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Draft Audit Points for consideration and adoption by WCPFC21

WCPFC21-2024-11d
13 November 2024

Submitted by TCC Vice Chair

Purpose

1. This paper presents a draft Audit Points for consideration and adoption by the Commission.

TCC20 Outcomes

TCC20	<p>2. TCC20 recommends to the Commission that it adopt the following Audit Points:</p> <ol style="list-style-type: none"> a. <u>CMM 2023-03 02 QL</u>: The CCM reported in AR Pt2 its level of fishing effort of its fisheries taking North Pacific swordfish in the Convention Area north of 20N and the Secretariat can verify the CCM’s reported information and confirm that the allowable limit has not been exceeded. b. <u>CMM 2023-03 04 RP</u>: The Secretariat confirms that CCM submitted a report of information on all catches and effort by CCM flagged vessels subject to the limits in paragraph 2 using the template at Annex 1 of <u>CMM 2023-03</u>. <i>(ref: TCC20 Outcomes, paragraph 19)</i> <p>3. TCC20 requested that the TCC Vice-Chair develop draft Audit Points for any obligations that are listed in Annex 1 that TCC20 proposes would be reviewed in the draft Compliance Monitoring Report (dCMR) prepared for TCC21’s review in 2025 and for which Audit Points are required and submit these to WCPFC21 for adoption. <i>(ref: TCC20 Outcomes, paragraph 20)</i></p> <p>List of obligations without audit points in Annex 1 of TCC20 outcomes</p> <ul style="list-style-type: none"> • <u>CMM 2012-03 02 QL</u> CCMs shall achieve 5% coverage of the effort of each fishery fishing for fresh fish beyond the national jurisdiction in area N 20N. • <u>CMM 2023-01 38 QL</u> Bigeye longline annual catch limits for 2024-2026, with adjustment to be made for any overage and certain CCMs may also increase the
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	<p><i>catch limit by committing to proportionate increase in observer coverage level above the minimum 5% ROP coverage level.</i></p> <ul style="list-style-type: none"> • <i><u>CMM 2023-01 48 QL</u> Limit on total catch of certain other commercial tuna fisheries (that take >2000Mt of BET, YFT and SKJ).</i> • <i><u>CMM 2023-03 02 QL</u> CCMs take measures to ensure fishing effort by fisheries taking more than 200mt of NP SWO N20N per year is limited to 2008 – 2010.</i> • <i><u>CMM 2023-01 13 IM</u> Purse seine 1 1/2 month FAD closure (1 July - 15 August).</i> • <i><u>CMM 2022-06 01 IM/RP</u> Requirement to ensure the master of each vessel completes an accurate electronic log of every day that it spends at sea on the high seas of the Convention Area as specified (effective for most vessels as of 1 Jan 2024).</i> • <i><u>CMM 2022-06 02 IM/RP</u> Requirement that information recorded by the master of each vessel each day with fishing operations shall, at a minimum include the information as specified.</i> • <i><u>CMM 2022-06 03 IM/RP</u> Requirement that the master of each vessel fishing in the Convention Area provides an required information to its national authority within 15 days of the end of a trip or transshipment event.</i> • <i><u>CMM 2022-06 04 RP</u> Requirement to provide operational catch and effort data recorded by the master of each vessel each day with fishing operations to the Commission, and where possible in accordance with the agreed SSPs.</i> • <i><u>CMM 2022-06 05 IM/RP</u> Requirement that the master of each vessel fishing in the Convention Area provides an accurate and unaltered original or copy of the required information pertaining to the current trip on board the vessel at all times during the course of a trip.</i>
	<p>4. TCC20 requested that the TCC Vice-Chair review the Audit Point for the bigeye longline catch obligation <u>CMM 2023-01 38</u>, taking into consideration the footnotes in CMM 2023-01 Attachment 1, Table 3 for consideration by WCPFC21. <i>(ref: TCC20 Outcomes, paragraph 36)</i></p>
	<p>5. TCC20, noting that CMM 2023-01 is reviewed annually, recommended to the Commission that it give consideration to the development of an audit point for CMM 2023-01, paragraph 48 (Other Commercial Fisheries). <i>(ref: TCC20 Outcomes, paragraph 37)</i></p>

Draft Audit Points for consideration

- 6. This paper considers the above TCC20 recommendations, in particular that the TCC Vice-Chair develop draft Audit Points for any obligations that are listed in TCC20 Outcomes Annex 1 that TCC20 proposes would be reviewed in the draft Compliance Monitoring Report (dCMR) prepared for TCC21’s review in 2025 and for which Audit Points are required and submit these to WCPFC21 for adoption. These are attached to this paper as draft audit points for your review.

Guidance from past Audit Points development processes

7. At this juncture, it would be good to remind us of the useful recommendations that the former audit points work lead, Mr. Viv Fernandes (Australia), provided to the Commission in relation to the development of audit points. They are:

- j. The Commission will apply the following general approach when determining the particular category of obligations (i.e. implementation or reporting) and developing associated audit points:*
- i. obligations that require CCMs to take particular control or action over its vessels, operators, masters or crew (e.g. 'CCMs shall ensure that its flagged vessels...') are best treated as implementation obligations. This is because these obligations require CCMs to exercise control over its vessels, masters or crew - and require national binding measures to enable it to do so, along with appropriate monitoring controls*
 - ii. obligations that require specific action from a national authority or its officers (e.g. inspectors) are best treated as reporting obligations rather than implementation obligations. This is because CCMs do not generally have national binding measures requiring their national authority or officials to take specific action, and instead they implement or require such action as part of national procedures or policies.*
 - iii. obligations that require CCMs to complete an action (e.g. 'CCMs shall...') but provide some qualification (e.g. 'to the extent practicable' or 'where possible') are best treated as reporting obligations. This is because a CCM still has a binding obligation to take a particular action but has some discretion in light of particular circumstances.*
 - iv. obligations requiring CCMs to cooperate are best treated as reporting obligations.*
 - v. obligations may be categorised as both implementation and reporting obligations.*
 - vi. no audit points are required for:*
 - (i) Convention obligations that are covered by specific CMMs or which are general in nature;*
 - (ii) non-binding obligations; and*
 - (iii) obligations that apply to the Commission (in relation to CCMs' compliance assessments)*
 - vii. The Commission will consider obligations that apply to it and how compliance with these obligations can be assessed.*

8. For your reference, agreed audit points adopted by the Commission to date may be viewed by using the “Audit Point Adopted” filter on the “Obligations” tab of the Monitoring & Evaluation incorporating CMMs webpage at this link: <https://cmm.wcpfc.int/obligations>.

Next steps

9. Please submit your comments or suggestions on the attached draft audit points, if any, as soon as possible and preferably by 21 November to the TCC Vice Chair, Mr Ilkang Na at ikna@korea.kr (cc: Compliance Manager, Dr Lara Manarangi-Trott Lara.Manarangi-Trott@wcpfc.int).
10. If necessary, a small working group meeting to discuss these draft audit points will be convened in the margins of WCPFC21.

Recommendation

11. The Commission is invited to approve the proposed Audit Points presented in this paper.

Annex 1

1.	ROP for North of 20N <u>2012-03 02</u> Category: Quantitative Limit (QL)			
	Current Agreed Audit Point and notes on TCC20 discussions	Draft Audit Point for consideration	CMM Paragraph	Decision Points/Comments
	<p>The CCM reported in AR Pt2 its observer coverage of effort of each fishery fishing for fresh fish beyond national jurisdiction in area N 20 N and the Secretariat can verify the CCM's reported observer coverage level and confirm that the CCM has achieved at least 5% coverage for each fishery.</p> <p>WCPFC Sec Criteria Secretariat dCMR verify note: Applicability for reporting year was based on data available to WCPFC/SPC indicating whether a CCM had reported activity north of 20N in the RY, which may have included trips that were exclusively on high seas and landing fresh fish. (CMM 2018-05 footnote 3: "fresh fish" means highly migratory fish</p>	<p>[The CCM reported in AR Pt2 its observer coverage of effort of each fishery fishing for fresh fish beyond national jurisdiction in area N 20 N and the Secretariat can verify the CCM's reported observer coverage level and confirm that the CCM has achieved at least 5% coverage for each fishery.]</p>	<p>2. For such fishing vessels, CCMs shall achieve 5% coverage of the effort of each fishery fishing for fresh fish by the end of December 2014.</p>	<p><i>Commission at WCPFC21 is to discuss the ongoing applicability of paragraph 2 of CMM 2012-03</i></p> <p><i>Worth consulting the agreed AP for CMM 2018-05, Annex C para.6 which is categorized as RP</i></p>

<p>stocks that are live, whole or dressed/gutted, but not further processed or frozen). Then the available data for the fishing effort in the area north 20N was compared to observer coverage based on observer data received by WCPFC/SPC.</p> <p><i>TCC20 completed the CMR assessment based on the agreed audit point. However, some CCMs questioned the need for the CMM 2012-03 assessment, in light of the broader observer requirements eg under CMM 2018-05. TCC20 outcome paragraph 31: TCC20 recommended that the Commission at WCPFC21 discuss the ongoing applicability of paragraph 2 of CMM 2012-03.</i></p>			
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2.	Tropical Tuna Measure 2023-01 13 Category: Implementation (IM)			
	Agreed Audit Point for CMM 2021-01 14	Draft Audit Point for consideration	CMM Paragraph	Decision Points/Comments
	<p>CCM submitted a statement in AR Pt2 that:</p> <p>a. confirms CCM’s implementation through adoption of a national binding measure that prohibits CCM flagged PS vessels from fishing on FADs between 1 July and 30 September in EEZs and high seas between 20N and 20S.</p> <p>b. describes how CCM is monitoring its flagged PS vessels to ensure they do not fish on FADs in EEZs and on high seas between 20N and 20S and how potential infringements or instances of non-compliance with this requirement are handled.</p> <p>*FOR PNA MEMBERS THAT NOTIFY EXEMPTIONS AS PER FOOTNOTE 1: In addition to the statements required in a and b for its flagged vessels</p>	<p>CCM submitted a statement in AR Pt2 that:</p> <p>a. confirms CCM’s implementation through adoption of a national binding measure that prohibits CCM flagged PS vessels from fishing on FADs between 1 July and <u>15 August</u> in EEZs and high seas between 20N and 20S.</p> <p>b. describes how CCM is monitoring its flagged PS vessels to ensure they do not fish on FADs in EEZs and on high seas between 20N and 20S and how potential infringements or instances of non-compliance with this requirement are handled.</p>	<p>13. A one and a half (1 1/2) months (July to mid-August) prohibition of deploying, servicing or setting on FADs shall be in place between 0001 hours UTC on 1 July and 2359 hours UTC on 15 August each year for all purse seine vessels, tender vessels, and any other vessels operating in support of purse seine vessels fishing in exclusive economic zones and the high seas in the area between 20N and 20S.</p> <p>* Members of the PNA may implement the FAD set management measures consistent with the Third Arrangement Implementing the Nauru Agreement of May 2008. Members of the PNA shall provide notification to the Commission of</p>	<p>Minor adjustment to the audit points adopted for the corresponding paragraph of the previous tropical tuna measure, CMM 2021-01.</p>

	<p>operating in other EEZs and on the high seas between 20N and 20S, the PNA member submitted a notification to the WCPFC ED within 15 days of its approval of an arrangement to which domestic vessels that the 3-month FAD closure will not apply in PNA member EEZ</p>	<p>*FOR PNA MEMBERS THAT NOTIFY EXEMPTIONS AS PER FOOTNOTE 1: In addition to the statements required in a and b for its flagged vessels operating in other EEZs and on the high seas between 20N and 20S, the PNA member submitted a notification to the WCPFC ED within 15 days of its approval of an arrangement to which domestic vessels that the <u>one-and-a-half (1 1/2)-month</u> FAD closure will not apply in PNA member EEZ.</p>	<p>the domestic vessels to which the FAD closure will not apply. That notification shall be provided within 15 days of the arrangement being approved. The Secretariat shall provide each year to the Scientific Services Provider and TCC the list of fishing vessels that have not applied the FAD closure in the previous year, as well as, their respective numbers of FADs sets during the FADs closure</p>	
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3.	Tropical Tuna Measure 2023-01 14 Category: Implementation (IM)			
	Agreed Audit Point for CMM 2021-01 15	Draft Audit Point for consideration	CMM Paragraph	Decision Points/Comments
	<p>Based on the CCM’s notification by the required deadline of its choice of implementation of which two consecutive additional months of FAD closure on the high seas, the CCM has submitted a statement that:</p> <p>a. confirms CCM’s implementation through adoption of a national binding measure that prohibits CCM flagged PS vessels from fishing on FADs on the high seas between 20N and 20S during the chosen additional two months closure period</p> <p>b. describes how CCM is monitoring its flagged PS vessels to ensure they do not fish on FADs on the high seas between 20N and 20S during the chosen additional two months closure period, and how potential infringements or instances of non-compliance with this requirement are handled.</p>	<p>Based on the CCM’s notification by the required deadline of its choice of implementation of which additional one month of FAD closure on the high seas, the CCM has submitted a statement that:</p> <p>a. confirms CCM’s implementation through adoption of a national binding measure that prohibits CCM flagged PS vessels from fishing on FADs on the high seas between 20N and 20S during the chosen <u>one month</u> closure period</p> <p>b. describes how CCM is monitoring its flagged PS vessels to ensure they do not fish on FADs on the high seas</p>	<p>14. In addition to the one and a half month FAD closure in paragraph 13, except for those vessels flying the Kiribati flag when fishing in the high seas adjacent to the Kiribati exclusive economic zone, and Philippines’ vessels operating in HSP1 in accordance with Attachment 2, it shall be prohibited to deploy, service or set on FADs in the high seas for one additional month of the year. Each CCM shall decide which one month (either April, May, November or December) shall be closed to setting on FADs by their fleets in the high seas for 2024, 2025, 2026 and notify the Secretariat of that decision by March 1, each year. In case a CCM decides to change the notified</p>	<p>Minor adjustment to the audit points adopted for the corresponding paragraph of the previous tropical tuna measure, CMM 2021-01.</p>

between 20N and 20S during the chosen additional one month closure period, and how potential infringements or instances of non-compliance with this requirement are handled.

period at any given year of the application of this CMM this shall be notified to the Secretariat before 1st March of that year.

4.	Tropical Tuna Measure 2023-01 38 Category: Quantitative Limit (QL)			
	Agreed Audit Point for CMM 2021-01 38	Draft Audit Point for consideration	CMM Paragraph	Decision Points/Comments
	<p>The CCM reported its total bigeye longline catch in its AR Pt2 and the Secretariat can verify the CCM’s reported catch level and confirm that the allowable limit has not been exceeded.</p>	<p>The CCM reported its total bigeye longline catch in its AR Pt2 and the Secretariat can verify the CCM’s reported catch level and confirm that the allowable limit has not been exceeded.</p> <p><u>* FOR any CCM who chose to increase its BET catch limit with a proportional increase of observer coverage, the Secretariat can confirm that the CCM notified the Secretariat by the end of February of the year of fishing operations and that the required observer coverage was achieved.</u></p>	<p>38. As an interim measure, CCMs listed in Attachment 1, Table 3 shall restrict the level of bigeye catch to the levels specified in Table 3. Where the limits in Table 3 have been exceeded, any overage of the catch limit by a CCM listed in Table 3 shall be deducted from the catch limit for the following year for that CCM.</p> <p>Table 3. Bigeye Longline Catch Limits [paragraphs 37-39]</p>	<p>Building up on the audit point adopted for the corresponding paragraph of the previous tropical tuna measure, CMM 2021-01, some new language has been added to cover the new provision which allows conditional BET catch limit increase subject to increased observer coverage.</p>

5.	Tropical Tuna Measure 2023-01 48 Category: Quantitative Limit (QL)			
	Notes	Draft Audit Point for consideration	CMM Paragraph	Decision Points/Comments
	<i>This paragraph (CMM 2018-01 51) was assigned “CMM Review” in 2020 CMR with requests to SC and TCC for further work to support clarification of this obligation.</i>	CCM reported the total catch of its respective other commercial tuna fisheries for bigeye, yellowfin or skipjack tuna in its AR Pt 2 and the Secretariat can verify, excluding those fisheries taking less than 2,000 tonnes of tropical tunas, the CCM’s reported catch level and confirm that it does not exceed either the average level for the period 2001-2004 or the level of 2004.	48. CCMs shall take necessary measures to ensure that the total catch of their respective other commercial tuna fisheries for bigeye, yellowfin or skipjack tuna, but excluding those fisheries taking less than 2,000 tonnes of tropical tunas (bigeye, yellowfin and skipjack), shall not exceed either the average level for the period 2001-2004 or the level of 2004.	Similar wording to the audit point for para. 38 of CMM 2023-01. OR From WCPFC21 (2023) Audit Points IWG submission Proposed approach: No AP currently feasible as further SC/TCC work required as the audit point work cannot resolve the issue CMS IWG recommends the Commission consider how to implement and assess 2021-01 47 (or any similar future version of this paragraph).

6.	Catch and Effort Reporting <u>2022-06 01</u> Category: Implementation (IM) / Report (RP)			
	Agreed Audit Point for <u>CMM 2013-05 01</u>[IM]	Draft Audit Point for consideration	CMM Paragraph	Decision Points/Comments
	<p>CCM submitted a statement in ARPt2 that:</p> <p>a. confirms CCM’s implementation through adoption of a national binding measure that requires CCM vessel masters to complete an accurate written or electronic log of every day it spends at sea on the high seas of the Convention Area.</p> <p>b. describes how CCM is monitoring and ensuring that its vessel masters complete an accurate written or electronic log of every day it spends at sea on the high seas of the Convention Area, and how CCM responds to potential infringements or instances of non-compliance with this requirement.</p>	<p>[IM]</p> <p>CCM submitted a statement in AR Pt2 that:</p> <p>a. confirms CCM’s implementation through adoption of a national binding measure that requires CCM vessel masters to complete an accurate written or electronic log of every day it spends at sea on the high seas of the Convention Area, <u>as required by this paragraph.</u></p> <p>b. describes how CCM is monitoring and ensuring that its vessel masters complete an accurate written or electronic log of every day it spends at sea on the high seas of the Convention Area <u>as required by this paragraph, and how CCM</u></p>	<p>1. Each CCM shall ensure that the master of each vessel flying its flag in the Convention Area shall complete an accurate electronic log of every day that it spends on the high seas of the Convention Area, including trips that include fishing in an EEZ under bilateral arrangements as well as high seas in one trip, as follows*, **::</p> <p>i. for days with fishing operations, the log must be completed by recording the effort and catch at the end of each fishing operation (i.e. end of a purse-seine set, end of a longline -haul, or at the end of the day in the case of all other fishing methods); or</p> <p>ii. for days with no fishing operations but where any other</p>	<p>Minor adjustment to the audit points adopted for the corresponding paragraph of the previous version of the CMM, CMM 2013-05.</p>

		<p>responds to potential infringements or instances of non-compliance with this requirement.</p> <p><u>[RP]</u> <u>The Secretariat confirms that the electronic log of each vessel of CCM was received by the Scientific Services Provider as required by Scientific Data to be provided to the Commission and that the coverage was 100%.</u></p>	<p>“fishing effort” occurred, then the relevant activities (e.g. “SEARCHING”, “DEPLOY/RETRIEVE FAD”) must be entered in the log at the end of the day; or</p> <p>iii. for days with no fishing operations and no other ‘fishing effort***’, the main activity of the day must be entered in the log at the end of the day.</p> <p>* Fishing vessels less than 24 meters in length may keep a daily written log until 1 January 2026, by which time they shall keep a daily electronic log. Troll vessels targeting albacore may keep a daily written log until 1 January 2025, by which time they shall keep a daily electronic log.</p> <p>** Notwithstanding paragraphs 1 and 3, a fishing vessel shall keep a daily written log if its electronic log is malfunctioning.</p> <p>*** According to Article 1(d) of the Convention</p>	
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7.	Catch and Effort Reporting 2022-06 02 Category: Implementation (IM) / Report (RP)			
	Agreed Audit Point for CMM 2013-05 02[IM]	Draft Audit Point for consideration	CMM Paragraph	Decision Points/Comments
	<p>CCM submitted a statement in ARPt2 that:</p> <p>a. confirms CCM’s implementation through adoption of a national binding measure that requires CCM vessel masters to record the minimum specified information in para 2(a-c) of CMM 2013-05.</p> <p>b. describes how CCM is monitoring and ensuring that its vessel masters record the minimum specified information, and how CCM responds to potential infringements or instances of noncompliance with this requirement.</p>	<p>[IM]</p> <p>CCM submitted a statement in AR Pt2 that:</p> <p>a. confirms CCM’s implementation through adoption of a national binding measure that requires CCM vessel masters to record the minimum specified information in para 2(i-iii) of CMM 2022-06.</p> <p>b. describes how CCM is monitoring and ensuring that its vessel masters record the minimum specified information, and how CCM responds to potential infringements or instances of noncompliance with this requirement.</p> <p>[RP]</p>	<p>2. Information recorded for each day with fishing operations shall, at a minimum, include the following:</p> <p>i. The information specified in sections 1.3 to 1.6 of ANNEX 1 of the Scientific Data to be Provided to the Commission;</p> <p>ii. Catch information about other species not listed in those sections, but required to be reported by CCMs under other Commission decisions such as, inter alia, key shark species according to FAO species codes.</p> <p>iii. Interaction information about other species not listed in those sections, but required to be reported by CCMs under other Commission decisions such as, inter alia, cetaceans, seabirds and sea turtles.</p>	<p>Minor adjustment to the audit points adopted for the corresponding paragraph of the previous version of the CMM, CMM 2013-05.</p>

		The Secretariat confirms that the relevant information received by the Scientific Services Provider meets the minimum requirements		
8.	Catch and Effort Reporting 2022-06 03 Category: Implementation (IM) / Report (RP)			
	Agreed Audit Point for CMM 2013-05 03[IM]	Draft Audit Point for consideration	CMM Paragraph	Decision Points/Comments
	CCM submitted a statement in ARPt2 that: a. confirms CCM’s implementation through adoption of a national binding measure that requires CCM vessel masters to provide an accurate and unaltered original or copy of information required under CMM 2013-05 to CCM national authority within 15 days of the end of a trip or transshipment, or within a specified period as determined by the CCM. b. describes how CCM is monitoring and ensuring that CCM vessel	[IM] CCM submitted a statement in AR Pt2 that: a. confirms its implementation through adoption of a national binding measure that requires the master of each vessel referred to in paragraph 1 to provide the required information electronically to its national authority or its designated institution within the time frame set out in this paragraph.	3. Each CCM shall require the master of each vessel referred to in paragraph 1 to provide the required information (as set out in paragraph 2) electronically to its national authority or its designated institution within 15 days: i. of the end of a trip; and ii. where applicable, of the end of every transshipment event at sea	Some adjustment to the audit points adopted for the corresponding paragraph of the previous version of the CMM, CMM 2013-05.

	<p>masters provide an accurate and unaltered original or copy of the information required under CMM 2013-05 to CCM national authority within 15 days of the end of a trip or transshipment, or within a specified period as determined by the CCM, and how CCM responds to potential infringement or instances of non-compliance with this requirement.</p>	<p>b. describes how CCM is monitoring and ensuring that the master of each vessel referred to in paragraph 1 provide the required information electronically to its national authority or its designated institution within the time frame set out in this paragraph and how CCM responds to potential infringements or instances of non-compliance with this requirement.</p> <p>[RP] The Secretariat confirms that CCM submitted in AR Pt2 a statement confirming that the master(s) of its vessel(s) provided the required information electronically to its national authority or its designated institution within the time frame set out in this paragraph.</p>		
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9.	Catch and Effort Reporting 2022-06 04 Category: Report (RP)			
	Notes	Draft Audit Point for consideration	CMM Paragraph	Decision Points/Comments
	<i>New obligation not previously reviewed through the CMR.</i>	The Secretariat confirms that CCM submitted the required information electronically (as set out in paragraph 2) by April 30 of the following year as required by Scientific Data to be provided to the Commission.	4. Each CCM shall submit to the Commission the required information electronically (as set out in paragraph 2) by April 30 of the following year as required by Scientific Data to be provided to the Commission, and where possible in accordance with the agreed <i>Standards, Specifications and Procedures for Electronic Reporting in the WCPFC – operational catch and effort data</i>	

10.	Catch and Effort Reporting <u>2022-06 05v</u> Category: Implementation (IM) /			
	Agreed Audit Point for <u>CMM 2013-05 04[IM]</u>	Draft Audit Point for consideration	CMM Paragraph	Decision Points/Comments
	<p>CCM submitted a statement in ARPt2 that:</p> <p>a. confirms CCM’s implementation through adoption of a national binding measure that requires CCM vessel masters to provide an accurate and unaltered original or copy of the information required under CMM 2013-05 pertaining to the current trip on board the vessel at all times during the course of a trip</p> <p>b. describes how CCM is monitoring and ensuring that CCM vessel masters provide an accurate and unaltered original or copy of the required information pertaining to the current trip on board the vessel at all times during the course of a trip, and how the CCM responds to potential infringements or instances</p>	<p>[IM]</p> <p>CCM submitted a statement in ARPt2 that:</p> <p>a. confirms CCM’s implementation through adoption of a national binding measure that requires CCM vessel masters to provide an accurate and unaltered original or copy of the information required under <u>CMM 2022-06</u> pertaining to the current trip on board the vessel at all times during the course of a trip</p> <p>b. describes how CCM is monitoring and ensuring that CCM vessel masters provide an accurate and unaltered original or copy of the required information pertaining to the current trip on board the vessel</p>	<p>5. Each CCM shall require the master of each vessel flying its flag in the Convention Area to keep an accurate and unaltered original or copy of the required information pertaining to the current trip on board the vessel at all times during the course of a trip.</p>	<p>Minor adjustment to the audit points adopted for the corresponding paragraph of the previous version of the CMM, CMM 2013-05.</p>

	of non-compliance with this requirement.	at all times during the course of a trip, and how the CCM responds to potential infringements or instances of non-compliance with this requirement.		
11.	Record of Fishing Vessels <u>2018-06 11</u> Category: Report (RP)			
	Current Agreed Audit Point and notes on TCC20 discussions	Draft Audit Point for consideration	CMM Paragraph	Decision Points/Comments
	<p>Where a flag CCM has not reported an IMO or LR number for a vessel that is authorised on the RFV, the Secretariat to confirm that the CCM submitted in its ARP2 information, for each CCM authorised vessel, about any extraordinary situations where a vessel owner is not able to obtain an IMO or LR number.</p> <p>WCPFC Sec Criteria Secretariat dCMR verify note: Secretariat checked the IMO reporting gaps on vessels.wcpfc.int to identify those flag CCMs with current gaps in IMO number</p>	<p>[Where a flag CCM has not reported an IMO or LR number for a vessel that is authorised on the RFV, the Secretariat to confirm that the CCM submitted in its ARP2 information, for each CCM authorised vessel, about any extraordinary situations where a vessel owner is not able to obtain an IMO or LR number.]</p>	<p>11. In assessing compliance with 6(s) above, the Commission shall take into account extraordinary circumstances in which a vessel owner is not able to obtain an IMO or LR number despite following the appropriate procedures. Flag CCMs shall report any such extraordinary situations in Part 2 of their annual reports.</p>	<p><i>Commission at WCPFC21 is to define “extraordinary circumstances” or discuss the ongoing applicability of paragraph 11 of CMM 2018-0</i></p>

<p>identified. Where there are gaps, the Secretariat reviewed each vessel to check whether the vessel is authorised to operate outside the flag CCMs EEZ, and whether it meets the criteria for having an IMO number.</p> <p><i>TCC20 outcome paragraph 30: TCC20 recommended to the Commission that in future assessments of CMM 2018-06, paragraph 6(s) and paragraph 11 be assessed in the same year. TCC20 recommends to the Commission that it consider adopting a clearer definition of what was meant by ‘extraordinary circumstances’ in paragraph 11 of CMM 2018-06, or remove this phrase from paragraph 11.</i></p>			
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12.	Record of Fishing Vessels <u>2018-06 6(s)</u> Category: Report (RP)			
	Current Agreed Audit Point and Notes	Draft Audit Point for consideration	CMM Paragraph	Decision Points/Comments
	<p><i>CMM 2018-06 6s has not been previously assessed as a standalone obligation. It has previously been assessed in CMR as CMM 2022-05 02 (formerly CMM 2014-03 02) which has an agreed audit point.</i></p> <p><i>CMM 2022-05 02 Audit Point:</i></p> <p><i>1. The Secretariat confirms that the CCM submitted information in AR Pt2 about its implementation of the requirement to submit complete vessel record data to the WCPFC Secretariat that meet the structure and format specifications of Attachment 1, and submit vessel photographs that meet the specifications of Attachment 2 of CMM 2022-05.</i></p> <p><i>2. The Secretariat can confirm that it has received the complete vessel record data to the Secretariat for its</i></p>	<p><i>The Secretariat confirms that, based on VMS data, RFV records and Fished/Not Fished reports, IMO/LR number information is included in the RFV or was submitted to the Secretariat for CCM vessels that were eligible for IMO number or Lloyd’s Register number and fished in the Convention Area beyond the CCM’s area of national jurisdiction in the reporting year.</i></p>	<p>6. Each member of the Commission shall submit, electronically where possible, to the Executive Director the following information with respect to each vessel entered in its record:</p> <p>(s) International Maritime Organization (IMO) number or Lloyd’s Register (LR) number, if issued</p> <p>* Effective 1 January 2016, flag CCMs shall ensure that all their fishing vessels that are authorized to be used for fishing in the Convention Area beyond the flag CCM’s area of national jurisdiction and that are at least 100 GT or 100 GRT in size have IMO or LR numbers issued to them. Effective 1 April 2020, flag CCMs shall ensure that all their motorized inboard</p>	

	<i>vessels on the Record of Fishing Vessels as per CMM 2018-06 and CMM 2022-05.</i>		fishing vessels of less than 100 GRT (or 100 GRT) down to a size of 12 meters in length overall (LOA), authorized to be used for fishing in the Convention Area beyond the flag CCM's area of national jurisdiction have an IMO or LR issued.	
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