**WCPFC20-2023-26 Annex 1**

**LIST OF OBLIGATIONS TO BE REVIEWED IN 2023 DRAFT COMPLIANCE MONITORING REPORT (COVERING 2022 ACTIVITIES) AND SUPPORTING NOTES FOR A POTENTIAL LIST FOR 2024 (COVERING 2023 ACTIVITIES)**

|  |  |  |  |
| --- | --- | --- | --- |
| ***Low*** | ***Moderate*** | ***High*** | ***Severe*** |

**Key:** Indicative Risk Rating (based on compliance history up to RY 2020)

**Category: RP** = Report, **IM** = Implementation, **QL** = Limit, **DL** = Report Deadline

|  | **CMM** | **RY2021/2022**  **Para and risk rating** | **Description** | **Category** | **Agreed AP** | **CMM or AP being revised/interpretation issue?** | **Comment re: RY2023 inclusion** | **RY2023 list of obligations** |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Sci Data** | **SciData 01** | Annual Catch Estimates - Flag CCM responsibility | **RP** | **Y** | Recs for amendments to address data gaps in Scientific Data to be Provided in WP18, [WP20](https://meetings.wcpfc.int/node/21167) and [WP21](https://meetings.wcpfc.int/node/21165). | Scidata provision is a priority data collection programme supporting harvest strategies (see WP14).  Assessment at TCC19 used Tier-Scoring Evaluation Level based on CCM submissions to SSP.  There were no compliance issues, only one Capacity Assistance Needed assessment, raised at TCC19. | **SciData 01**  (potential for future alternative reporting option) |  |
|  | **SciData 02** | Number of Vessels Active - Flag CCM responsibility | **RP** | **Y** | **SciData 02**  (potential for future alternative reporting option) | 1. **2** |
|  | **SciData 03** | Operational Level Catch and Effort Data - Flag CCM responsibility | **RP** | **Y** | **SciData 03**  (potential for future alternative reporting option) | 1. **3** |
|  | **SciData 05** | Size Composition Data - Flag CCM responsibility | **RP** | **Y** | TCC19 did not assess due to lack of observer coverage in 2021 as a result of COVID-19 circumstances and difficulty of obtaining alternative size data. This was not a precedent | **SciData 05**  (potential for future alternative reporting option) | 1. **4** |
|  | **Tropical Tuna Measure** | **2021-01 14** | Purse seine 3 month FAD closure (1 July - 30 September). | **IM** | **Y** | TCC19 – APs to be reviewed through CMS-IWG to determine whether it remained appropriate and applicability  TCC19 – APs to be reviewed through CMS-IWG to determine whether it remained appropriate and applicability | TCC in the past evaluated this obligation annually, last evaluated in 2020, with average compliance score 94%. Two repeat year compliance issues for two CCMs for 2021 and 2022 raised at TCC19 | Possible exception reporting only for CCMs with IM issues |  |
|  | **Tropical Tuna Measure** | **2021-01 15** | Annual advice on choice and implementation of two additional month high seas purse seine FAD closure (April-May or Nov-Dec) | **IM**  **RP** | **Y**  **[]** | **RP -** Draft AP proposed – CMS-IWG AP | TCC in the past evaluated this obligation annually, last evaluated in 2020, with average compliance score >95%. IM and RP reviewed by TCC19 no compliance issues. Noting no IM compliance issues, suggest that in 2024 that only the RP is assessed. | **RP: 2021-01 15**  (potential for future alternative reporting option) |  |
|  | **2021-01 24** | Purse seine EEZ limits (for skipjack, yellowfin and bigeye tuna) and advice from other coastal CCMs of EEZ limits to be applied | **QL** | **Y** | Current AP conflates quantitative limit and notification requirement, needs consideration in proposals to amend CMM 2021-01 ([WP33](https://meetings.wcpfc.int/node/21024)). | The current data collection and monitoring programmes provide data from multiple sources that can be used to verify CCMs implementation of purse seine days fished implementation. For catch limits, information reported against limit obligations is self-reported.  TCC19 agreed there was a need for resolution of the outstanding EEZ purse seine effort limits in the context of the ongoing work in revising the TTM, particularly in relation to EEZs where purse seining is not currently taking place but may do so in the future, otherwise no compliance issues raised at TCC19. | **2021-01 24**  (potential for future alternative reporting option) |  |
|  | **Tropical Tuna Measure** | **2021-01 25** | High seas purse seine effort limits applying 20N to 20S | **QL** | **Y** |  | The current data collection and monitoring programmes provide data from multiple sources that can be used to verify CCMs implementation of purse seine days fished implementation. No compliance issues raised at TCC19 | **2021-01 25**  (potential for future alternative reporting option) |  |
|  | **2021-01 37** | Bigeye longline annual catch limits for 2021-2023, with adjustment to be made for any overage | **QL** | **Y** |  | SC19 management advice, mostly healthy state. Information reported against limit obligation is self-reported. One compliance issue for limit in RY2021 raised at TCC19. | **2021-01 37** |  |
|  | **2021-01 38** | Monthly reporting of bigeye longline catches | **RP** | **Y** |  | (potential for future alternative reporting option) |  |
|  | **2021-01 40** | Bigeye longline catch limits by flag for certain other members which caught less than 2000t in 2004 | **QL** | **Y** |  | **2021-01 40** |  |
|  | **2021-01 42** | Limit by flag on number of purse seine vessels >24m with freezing capacity between 20N and 20S | **QL** | **Y** |  | Information reported against limit obligations is partially verified, no compliance issues raised at TCC19. | **2021-01 42** |  |
|  | **2021-01: 44** | Limit by flag on number of longline vessels with freezing capacity targeting bigeye above the current level (applying domestic quotas are exempt) | **QL** | **Y** |  | Information reported against limit obligations is self-reported, no compliance issues raised at TCC19. | **2021-01 44** |  |
|  | **2021-01 45** | Limit by flag on number of ice-chilled longline vessels targeting bigeye and landing exclusively fresh fish above the current level or above the number of current licenses under established limited entry programmes (applying domestic quotas are exempt) | **QL** | **Y** |  | Information reported against limit obligations is self-reported, no compliance issues raised at TCC19. | **2021-01 45** |  |
|  | **2021-01 Att2 03** | Philippines vessels Entry/Exit reports for HSP1-SMA | **RP** | **Y** |  | Information reported is verified using data from multiple sources, repeat year compliance issue raised at TCC19. | **RP: 2021-01 Att 2 03** |  |
|  | **2021-01 Att2 05-06** | Specific requirements for deploying observers on Philippines vessels fishing in HSP-1 | **IM** | **Y** |  | IM reviewed by TCC19 no compliance issues |  |  |
|  | **Pacific Bluefin** | **2021-02 02** | Total effort by vessels for Pacific Bluefin limited to 2002 - 2004 levels in Area north of 20N | **QL** | **Y** | Sect rec for additional reporting requirement to assist TCC in completing future assessments of limit in [WP13](https://meetings.wcpfc.int/node/21130) | ISC stock status and management advice noted by SC18, stock is in a depleted state but likely recovering as planned or possibly faster.  Information reported against limit obligations is self-reported, no compliance issues raised at TCC19. | **2021-02 02** |  |
|  | **2021-02 03** | Pacific bluefin tuna catch limits for Japan, Korea and Chinese Taipei applying from 2022 | **QL** | **Y** | NC rec to amend CMM footnote 8 in [WP19](https://meetings.wcpfc.int/node/21098). | **2021-02 03** |  |
|  | **2021-02 04** | Pacific Bluefin 30kg or larger catch limits, by flag for certain other members | **QL** | **Y** |  | **2021-02 04** |  |
|  | **2021-02 08** | Reporting of recent fishing effort and catch for Pacific Bluefin and where applicable also report on implementation of paragraph 5 and 6 | **RP** | **Y** |  |  |  |
|  | **2021-02 14** | Annual report on measures for Pacific Bluefin, including monitoring of international trade | **RP** | **Y** |  |  |  |
|  | **SP Albacore** |  | **2015-02: 01** Limit on number of vessels actively fishing for SP ALB south of 20S above 2005 or 2000-2004 levels | **QL** | **Y** | Sect rec for additional reporting requirement to assist TCC in completing future assessments of limit in [WP13](https://meetings.wcpfc.int/node/21130)  FFA rec for proposal toa address the term “actively fishing for” in CMM 2015-02 in [DP03](https://meetings.wcpfc.int/node/21185) | SC17 management advice, mostly healthy state, stock assessment scheduled with start year and end year of 2024.  Information reported against limit obligation is self-reported. No compliance issues for reporting requirement raised at TCC19. Continuing difficulties in assessing the limit. | ***Pending resolution of CMM limit issues*** |  |
|  | **2015-02 04** | Annual report of SP ALB by vessel by species | **RP** | **Y** | **2015-01 04** |  |
|  | **NP Albacore** |  | **2019-03: 02** CCMs take measures to ensure level of fishing effort by vessels fishing for NP ALB is not increased | **QL** | **Y** | Sect rec for additional reporting requirement to assist TCC in completing future assessments of limit in WP13 | ISC stock status and management advice noted by SC19, mostly healthy state.  Information reported against limit obligations is self-reported, no compliance issues raised when this obligation was last evaluated at TCC17. |  |  |
|  |  | **2019-03: 03** Annual report of catches and fishing effort north of the equator directed at ALB | **RP** | **Y** |  |  |
|  | **NP Marlin** | **2010-01 05** | NP striped marlin catch limits applicable to CCMs with vessels fishing in the Convention Area north of the equator: commencing 2011 | **QL** | **Y** | SC19 discussed precautionary reduction in catch limits | ISC stock status and management advice noted by SC19, less than healthy state, rebuilding plan needed.  Information reported against limit obligations is self-reported, no compliance issues raised at TCC19 | **2010-01 05** |  |
|  | **SWP. Marlin** | **2006-04 01** | Limit number of fishing vessels fishing for MLS south of 15S to 2000 – 2004 levels | **QL** | **Y** | Sect rec for additional reporting requirement to assist TCC in completing future assessments of limit in WP13 | SC15 management advice, less than healthy state, stock assessment scheduled with start year and end year of 2024.  Information reported against limit obligations is self-reported, no compliance issues raised at TCC19 | **2006-04 01** |  |
|  | **2006-04 04** | Annual catches of MLS (bycatch), and annual numbers of vessels fishing for MLS south of 15S and their catch levels | **RP** | **Y** | TCC19 – AP to be reviewed through CMS-IWG to determine whether it remained appropriate | ***Pending review of AP*** |  |
|  | **Swordfish** | **2009-03 01** | Limit number of vessels fishing for SWO south of 20S to the number in any one year between 2000-2005 | **QL** | **Y** | Sect rec for additional reporting requirement to assist TCC in completing future assessments of limit in WP13 | SC17 management advice is that is mostly healthy state, stock assessment scheduled with start year and end year of 2025.  Information reported against limit obligations is self-reported, no compliance issues were raised at TCC19. | **2009-03 01** |  |
|  | **2009-03 02** | Limit the catch of SWO by its vessels in area south of 20S to the amount in any one year during 2000-2006 | **QL** | **Y** |  | **2009-03 02** |  |
|  | **2009-03 03** | CCMs shall not shift their fishing effort for SWO to the area north of 20°S | **IM** | **Y** |  |  |  |
|  | **2009-03 08** | Report annually on total catch and effort for SWO S 20°S | **RP** | **Y** |  |  |  |
|  | **RFV** |  | CCMs to ensure its fishing vessels only transship to/from, and provide bunkering for/ are bunkered by or otherwise supported by vessels on the RFV | **IM** | **[]** | Draft AP proposed – CMS-IWG AP | TCC in the past evaluated this obligation annually, last evaluated in 2019, with average compliance score 98% | **2018-06 02** |  |
|  | **2018-06 03** | CCMs should only allow its fishing vessels to be used for fishing, if properly authorised | **IM** | **Y** |  | IM reviewed by TCC19.  One compliance issue for RY2022 raised at TCC19. This may be resolved considering additional CCM information submitted post-TCC19 | Possible exception reporting only for CCMs with IM issues |  |
|  | **2018-06 09** | Submission by Member to ED a list of all vessels on national record in previous year, noting "fished" or "did not fish" for each vessel | **RP** | **Y** |  | CMM requires annual report | **2018-06 09**  (potential for future alternative reporting option) |  |
|  |  | Requirement to report extraordinary circumstances as to why IMO or LR number is not able to be obtained | **RP** | **[]** | Draft AP proposed – CMS-IWG AP | Never evaluated by TCC | **2018-06 11** |  |
|  |  | Flag CCM to ensure fishing vessels are on RFV is accordance with this CMM. Vessels not on RFV shall be deemed not authorized to fish for, retain on board, transship or land HMFS in Convention Area beyond the national jurisdiction of its flag State | **IM** | **[]** | Draft AP proposed – CMS-IWG AP | TCC in the past evaluated this obligation annually, last evaluated in 2019, with average compliance score 99% | **2018-06 17** |  |
|  |  | CCMs to prohibit landings in ports or transshipment to vessels not on RFV | **IM** | **[]** | Draft AP proposed – CMS-IWG AP | Last evaluated by TCC in 2013 with compliance score of 97% | **2018-06 18** |  |
|  | **VMS** | **2014-02 09a** | Fishing vessels comply with the Commission standards for WCPFC VMS including being fitted with ALC/MTU that meet Commission requirements | **IM** | **[]** | Draft AP proposed – CMS-IWG AP | TCC has evaluated this obligation annually, last evaluated in 2020, with average compliance score 86%. CMM requires annual review – CMM 2014-02 Annex 2 template applies.  Eleven compliance issues were raised at TCC19 for RY 2021 and thirteen compliance issues for RY 2022. The counts of issues may change considering additional CCM information submitted post-TCC19 | **2014-02 9a**  (potential for future alternative reporting option) |  |
|  | **2014-02 09a VMS SSPs 2.8** | Provision of ALC/MTU 'VTAF' data | **RP** | **Y** |  | TCC has evaluated this obligation annually and it was last evaluated in 2020, with average compliance score 91%. No compliance issues raised at TCC19 | **2014-02 09a VMS SSPs 2.8**  (potential for future alternative reporting option) |  |
|  | **Transhipment** | **2009-06 11** | Annual report on all transhipment activities covered by this Measure (including transhipment activities that occur in ports or EEZs) in accordance with the specified guidelines (Annex II) | **RP** | **Y** |  | TCC has evaluated this obligation annually, last evaluated in 2020, with average compliance score 80%. Partially able to be verified and three compliance issues were raised at TCC19 for RY2022 and one compliance issue for RY2021. These may all be resolved considering additional CCM information submitted post-TCC19. | **2009-06 11** |  |
|  | **2009-06 29** | Limit on purse seine vessels transhipment outside of port to vessels that have received an exemption from the Commission. Where applicable, flag CCM authorisation should be vessel-specific and address any specific conditions identified by the Commission. | **QL** | **Y** |  | Due to current analytical and data limitations the evaluations in CMR reviewed by TCC19 were partially verified, no compliance issues |  |  |
|  | **2009-06 34** | Ban on high seas transshipment, unless a CCM has determined impracticability in accordance with para 37 guidelines, and has advised the Commission of such. | **QL** | **Y** |  |  |  |
|  | **2009-0635 (a) (ii)** | Flag State's notification to the Secretariat on its flag vessels that are authorised to transship on the high seas | **RP** | **Y** |  | **2009-0635 (a) (ii)**  (potential for future alternative reporting option) |  |
|  | **2009-06 35 (a) (iii)** | WCPFC Transshipment Advance Notification (including fields in Annex III) | **RP** | **Y** |  | No compliance issues raised at TCC19  Secretariat adopts administrative process to ensure complete transhipment reports for all transhipment events | **2009-06 35 (a) (iii)**  (potential for future alternative reporting option) |  |
|  | **2009-06 35 (a) (iv)** | WCPFC Transshipment Declaration (including information in Annex I) | **RP** | **Y** |  | No compliance issues raised at TCC19  Secretariat adopts administrative process to ensure complete transhipment reports for all transhipment events | **2009-06 35 (a) (iv)** (potential for future alternative reporting option) |  |
|  | **Charter Notifications** | **2021-04 02** | Notification of charter arrangements made to the ED | **RP** | **Y** |  | Three compliance issues raised for this RP related to RY 2022 at TCC19, these are deadline issues. | (potential for future alternative reporting option) |  |
|  | **2021-04 07** | Report annually to ED the catch and effort of chartered vessels in the previous year (unless specifically provided in other CMMs) | **RP** | **Y** |  | No compliance issue raised at TCC19 | (potential for future alternative reporting option) |  |
|  | **Sea turtles** |  | CCMs to ensure fishermen use proper mitigation and handling techniques and foster the recovery of any turtles that are incidentally captured | **IM** | **[]** | Draft AP proposed – CMS-IWG AP | Effective 1 January 2020, no compliance history. | **2018-04 04** |  |
|  | **2018-04 06** | CCMs to require longline vessels to carry and use line cutters and de-hookers to handle and promptly release sea turtles, as well as dip-nets where appropriate | **IM** | **Y** |  | Effective 1 January 2020  Evaluated by TCC for RY2020.  One compliance issue raised at TCC19 for RY 2022, this may be resolved considering additional CCM information submitted post-TCC19. A Capacity Assistance Need was also recognised for one CCM for RY2021 and RY2022. | Possible exception reporting only for CCMs with IM issues |  |
|  | **2018-04 07 (a)** | Sea Turtle mitigation requirements for shallow-set longline vessels | **IM** | **Y** | Draft revised AP proposed – CMS-IWG AP | Effective 1 January 2020  Evaluated by TCC for RY2020  No compliance issued raised at TCC19 |  |  |
|  |  |  | **IM** | **[]** | Draft AP proposed – CMS-IWG AP | Effective 1 January 2020, no compliance history. | **2018-04 7 (d)** |  |
|  | **Sharks** | **2019-04 05** | Report to describe, where applicable, any alternative measures from those in CMM 2019-04 SHARKS which are applied by CCMs in areas under national jurisdiction (provide in Part 2 Annual Report) | **RP** | **Y** | TCC19 **-** agreed the need for clarification of obligation and its applicability. Measure for review | One compliance issue identified by TCC for RY2021 and 2022, and one capacity assistance need identified for RY2021 and RY2022 | ***Pending resolution of CMM interpretation issues and AP review*** |  |
|  | **2019-04 07-10** | Take measures necessary to require all sharks retained on board their vessels are fully utilized and ensure the prohibition of finning (provide in Part 2 Annual Report) - includes consideration of para 10 request from CCM | **IM** | **Y** | TCC19 – AP to be reviewed through CMS-IWG to determine whether it remained appropriate and its applicability  Measure for review in 2024 | Two compliance issues identified by TCC for RY2021 and 2022, and one capacity assistance need identified for RY2021 and RY2022. The counts may be updated considering additional CCM information submitted post-TCC19 | ***Pending resolution of CMM interpretation issues and AP review*** |  |
|  | **2019-04 11** | Report annually on shark fins attached/alternative measures | **RP**  **DL** | **Y**  **[]** | TCC19 – AP to be reviewed through CMS-IWG to determine whether it remained appropriate  Measure for review in 2024 | TCC19 noted limited information available on alternative measures implemented to inform the review of the measures and TCC19 not in position to evaluate effectiveness of such measures. Some CCMs to provide information before TCC20.  Evaluated RY2021 and 2022  Two reporting issues identified by TCC for RY2021 and one for RY2022, one or more may be resolved considering additional CCM information submitted post-TCC19.  Seven deadline issues identified by TCC for RY 2021 and three for RY 2022.  One capacity assistance need identified for RY2021 and RY2022 for the report and the deadline | ***Pending resolution of CMM interpretation issues and AP review*** |  |
|  | **2019-04 12** | Take measures necessary to prevent fishing vessels from retaining on board (including for crew consumption), transshipping and landing any fins harvested in contravention of CMM 2019-04 | **IM** | **Y** | Measure for review in 2024 | One compliance issue identified by TCC for RY2022, and one capacity assistance need identified for RY2022 | Possible exception reporting only for CCMs with IM issues |  |
|  | **2019-04 13** | Take measures necessary to ensure carcasses and their corresponding fins are landed or transshipped together, in a manner that allows inspectors to verify | **IM** | **Y** | Measure for review in 2024 | One compliance issue identified by TCC for RY2022, and one capacity assistance need identified for RY2021 and RY2022 | Possible exception reporting only for CCMs with IM issues |  |
|  | **2019-04 14-15** | Implement at least one option to minimize bycatch of sharks in longline fisheries, and notify choice and whenever the selected option is changed | **IM** | **Y** | Measure for review in 2024 | No compliance issues identified by TCC for RY 2021 and RY2022, and one capacity assistance need identified for RY2021 and RY2022 |  |  |
|  | **2019-04 16** | Develop and report annually on management plans for longline fisheries targeting sharks in Pt 2 Annual Report | **RP** | **Y** | Measure for review in 2024 | No compliance issues identified by TCC for RY 2021 and RY2022, and one capacity assistance need identified for RY2021 and RY2022 |  |  |
|  | **2019-04 18** | Ensure that sharks that are caught but are not to be retained, are hauled alongside the vessel in order to facilitate species identification (only applicable where observer or EM camera is present, and where safe for crew and observers) | **IM** | **Y** | TCC19 – further consideration of obligation applicability, noting that the obligation only applies where there is an observer present.  Measure for review in 2024 | Two compliance issues identified by TCC for RY 2021 and RY2022, and one capacity assistance need identified for RY2022 | Possible exception reporting only for CCMs with IM issues |  |
|  | **2019-04 20 (01)** | Prohibit retaining/transhipping/storing/landing oceanic whitetip & silky sharks | **IM** | **Y** | Measure for review in 2024 | No compliance issues identified by TCC for RY 2021 and RY2022, and one capacity assistance need identified for RY2022 |  |  |
|  | **2019-04 20 (02)** | Requirement to release oceanic whitetip & silky sharks asap | **IM** | **Y** | Measure for review in 2024 | Two compliance issues identified by TCC for RY 2021 and RY2022, one or more may be resolved considering additional CCM information submitted post-TCC19. One capacity assistance need identified for RY2022 | Possible exception reporting only for CCMs with IM issues |  |
|  | **2019-04 20 (03)** | If oceanic whitetip & silky sharks caught, must be given to govt or discarded | **IM** | **Y** | Measure for review in 2024 | One compliance issue identified by TCC for RY 2021 and two compliance issues for RY2022, and one capacity assistance need identified for RY2021 and RY2022 | Possible exception reporting only for CCMs with IM issues |  |
|  | **2019-04 21 (01-07)** | Prohibit purse seine setting on whale sharks, retaining/transhipping/landing of whale sharks | **IM** | **Y** | Measure for review in 2024 | One compliance issue identified by TCC for RY 2021 and two compliance issues for RY2022, and one capacity assistance need identified for RY2022 | Possible exception reporting only for CCMs with IM issues |  |
|  | **2019-04 21 (04)** | Where applicable, describe any alternative measures for whale sharks from CMM 2019-04 21 that are applied by CCMs specifically in EEZs located N30N (provide in Part 2 Annual Report) | **RP** | **Y** | Measure for review in 2024 | No compliance issues were raised at TCC19 |  |  |
|  | **2019-04 23** | Report annually on implementation of shark CMM (Annex 2 template) | **RP** | **Y** | TCC19 - paras 05 and 11 for review but are within scope of expected response to para 23  Measure for review in 2024 | TCC19 did not assess because 8 substantive issues in AP were considered separately. TCC19 therefore agreed that this obligation should not be assessed this year, but noted that this would be a useful obligation to assess in future years where the substantive obligations in this CMM were not assessed | **RP: 2022-04 23** |  |
|  |  | **DL** | **[]** | **DL: 2022-04** |  |
|  | **Cetaceans** | **2011-03 01** | Prohibit purse seine setting on cetaceans, if animal is sighted prior to commencement of the set | **IM** | **Y** |  | Two compliance issues were raised at TCC19 for RY2022, these may be resolved considering additional CCM information submitted post-TCC19. | Possible exception reporting only for CCMs with IM issues |  |
|  | **2011-03 02** | Requirements in the event of unintentional encircling of cetaceans in the purse seine net, including incident reporting requirements | **IM** | **Y** |  | One compliance issue for RY 2022 was raised at TCC19, and also one Capacity Assistance Needed for RY2022. | Possible exception reporting only for CCMs with IM issues |  |
|  | **Mobulids** |  | Prohibit targeted fishing or intentional setting on mobulid rays | **IM** | **[]** | Draft AP proposed – CMS-IWG AP | Effective 1 January 2021, never evaluated by TCC | **2019-05 03** |  |
|  | **2019-05 (04-06, 08, 10)** | Mobulids: Prohibit retaining/transhipping/landing of mobulid rays | **IM** | **Y** |  | Effective 1 January 2021 CMM requires annual report ARPt2  Evaluated RY2021 and 2022  Seven compliance issues raised at TCC19 for RY2021 and RY 2022, and also a Capacity Assistance Need for RY 2021 and RY 2022. | **2019-05 (04-06, 08, 10)** |  |
|  |  | Report on Implementation of CMM 2019-05 Mobulid rays (Part 2 Annual Report) | **RP** | **[]** | Draft AP proposed – CMS-IWG AP | Effective 1 January 2021, never evaluated by TCC and no risk rating. | **2019-05 07** |  |
|  | **Regional Observer Programme** |  | Vessels to be prepared to accept an observer from the ROP, if required | **IM** | **[]** | Draft AP proposed – CMS-IWG AP | This is a priority data collection programme supporting harvest strategies (see WP14). TCC in the past evaluated this obligation annually, last evaluated in 2016, with average compliance score 99% | **2018-05 07** |  |
|  |  | CCMs shall source observers for their vessels as determined by the Commission | **IM** | **[]** | Draft AP proposed – CMS-IWG AP | Last evaluated by TCC in 2014, with average compliance score of 92% | **2018-05 09** |  |
|  |  | CCMs shall achieve 5% coverage of the effort in each fishery under the jurisdiction of the Commission | **IM** | **[]** | Draft AP proposed – CMS-IWG AP  Proposal to expand LL coverage requirements in WP33 | Previously assessed by TCC annually, last assessed in 2019 with no Compliance issues noted.  The current 5% minimum ROP requirement means there is limited independent verification of CCMs reporting of their compliance with longline limits. | **2018-05 Annex C 06** |  |
|  |  | CCMs shall achieve 5% coverage of the effort of each fishery fishing for fresh fish beyond the national jurisdiction in area N 20N | **QL** | **[]** | Draft AP proposed in WP20 | Never evaluated by TCC | **2012-03 02** |  |
|  | **NP Swordfish** |  | CCMs take measures to ensure level of fishing effort by fisheries taking NP SWO N20N is not increased | **QL** | **[]** | Draft AP proposed in WP20 | ISC stock status and conservation status noted by SC19, indicates a mostly healthy state.  CMM first implemented in 2023, no compliance history and no risk rating. The self-reported baseline limits, applicability of limits and annual reporting obligation are yet to be reviewed by TCC through the CMS. | **2022-04 02** |  |
|  |  | Annual report of catches of North Pacific swordfish in waters N20N and fishing effort in N20N, using the template and by gear type, for those fisheries subject to the limits in paragraph 2 of the CMM | **RP** | **[]** | NC rec to expand reporting requirement, draft AP proposed in WP20 | **2022-04 04** |  |
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|  |  |
|  | **Vessel Marking and Specs** |  | Fishing vessel marking and technical specifications | **IM** | **[]** | Draft AP recommended by CMS-IWG | Last evaluated by TCC in 2013 and 2016, with average compliance score 96% | **2004-03 02** |  |
|  | **High Seas Driftnets** |  | Measures necessary to prohibit use by their vessels of large-scale driftnets in the high seas | **IM** | **[]** | Draft AP recommended by CMS-IWG | Never evaluated by TCC | **2008-04 02** |  |
|  | **Marine Pollution** |  | Prohibit fishing vessels from discharging any plastics (including plastic packaging, items containing plastic and polystyrene) but not including fishing gear | **IM** | **[]** | Draft AP recommended by CMS-IWG | Last evaluated by TCC in 2020, no compliance issues | **2017-04 02** |  |
|  |  | Encourage adoption of additional measures to reduce marine pollution, including retrieval of abandoned, lost or discarded fishing gear. | **RP** | **[]** | Draft AP recommended by CMS-IWG | Never evaluated by TCC, and no risk rating. Report could be useful to inform future Commission discussions on measures supporting reducing marine pollution | **2017-04 05** |  |
|  |  | Requirement to actively support SIDS and Territories through provision of adequate port facilities for receiving and appropriately disposing of waste from fishing vessels | **RP** | **[]** | Draft AP recommended by CMS-IWG | Never evaluated by TCC. Report could be useful to inform future Commission discussions on measures supporting reducing marine pollution | **2017-04 08** |  |
|  | **Seabird Mitigation** |  | Required longline mitigation measures to be used by longline vessels in specific waters of the Convention Area | **IM** | **[]** | Draft AP recommended by CMS-IWG | Last evaluated by TCC in 2020, some compliance issues raised at the time. | **2018-03 01,02, 06** |  |
|  |  | Report on which mitigation measures are used north of 23N or south of 30S, as well as technical specifications. Subsequent years include advice on any changes | **RP** | **Y** |  | Last evaluated by TCC in 2015 and 2016, with no Compliance issues raised | **2018-03 08** |  |
|  | **IUU Vessel List** |  | CCMs shall take all necessary non-discriminatory measures, including under their applicable legislation, to take certain actions in respect of vessels listed on the WCPFC IUU Vessel List | **IM** | **[]** | Draft AP recommended by CMS-IWG | Last evaluated by TCC in 2016, with average compliance score of 97% | **2019-07 22** |  |
|  | **Port State Minimum Standards** |  |  |  | **[]** | TCC19 recommended WCPFC20 tasks TCC20 to review CMM  Draft AP are under discussion through CMS-IWG. | Question of interpretation raised in AP development process. **Recommend:** that inclusion of obligations from Port State CMM should wait for the adoption of APs by the Commission. | ***Pending adoption of APs*** |  |