



**TECHNICAL AND COMPLIANCE COMMITTEE**  
**Seventeenth Regular Session**  
Electronic Meeting 22 – 28 September 2021

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**LIST OF CAPACITY ASSISTANCE REQUESTS  
AND CAPACITY DEVELOPMENT PLANS**

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**WCPFC-TCC17-2021-8B**  
**17 September 2021**

**Paper prepared by the Secretariat**

**Purpose**

1. This paper summarizes Capacity Assistance Needs identified by CCMs, based on this year's Annual Report Part 2 reporting and/or draft Compliance Monitoring Report (draft CMR) replies. The summary provided also indicates where CCMs have provided submissions related to Capacity Development Plans (CMM 2019-06 paragraphs 14 – 15) as part of their replies to this year's draft CMR. The information in this summary is as of 17<sup>th</sup> September 2021.

**Background**

2. As in previous years, and in accordance with CMM 2019-06, CCMs may provide in their Annual Report Part 2 and in replies to draft CMRs, indications of areas where assistance is needed.

3. CMM 2019-06 paragraph 27 states:

*27. Upon receipt of its dCMR, each CCM may, where appropriate, reply to the Executive Director no later than 28 days prior to TCC each year to:*

*(i) provide additional information, clarifications, amendments or corrections to information contained in its dCMR;*

*(ii) identify any particular difficulties with respect to implementation of any obligations; or*

*(iii) identify technical assistance or capacity building needed to assist the CCM with implementation of any obligations.*

4. Also, CMM 2019-06 paragraphs 14 and 15 states:

*14. Notwithstanding paragraph 4, where a SIDS or Participating Territory, or Indonesia or the Philippines cannot meet a particular obligation that is being assessed, due to a lack of capacity, that CCM shall provide a Capacity Development Plan to the Secretariat with their draft Compliance Monitoring Report (dCMR), that:*

*(i) clearly identifies and explains what is preventing that CCM from meeting that obligation;*

*(ii) identifies the capacity assistance needed to allow that CCM to meet that obligation;*

(iii) estimates the costs and/or technical resources associated with such assistance, including, if possible, funding and technical assistance sources where necessary;

(iv) sets out an anticipated timeframe in which, if the identified assistance needs are provided, that CCM will be able to meet that obligation.

15. The CCM may work together with the Secretariat to draft the Capacity Development Plan. This plan shall be attached to that CCM's comments to the dCMR.

5. In respect of Capacity Development Plans, Circular 2021/64 dated 29<sup>th</sup> July 2021 announced the availability online for each CCM of their draft CMR and referred CCMs to the online support system (<https://wcpfc.freshdesk.com/support/home>) for guidance on viewing the draft CMR Potential Issues and to submit additional information online.

#### Link to the Strategic Investment Plan

6. The Commission, at its meeting in December 2018, agreed to several decisions that formalized the linkage between the Strategic Investment Plan and CCM requested Capacity Assistance Needs and Capacity Development Plans once referenced in the provisional CMR. The relevant agreed decisions from WCPFC15 Summary Report were:

154. The Commission approved the 2018 Strategic Investment Plan as a means to target investment to address the priority needs as identified by developing states, including effective participation. (Attachment F). The Commission agreed that this Plan would be updated annually by the Secretariat for approval by the Commission and that the Secretariat would report to the Commission on implementation of the Strategic Investment Plan each year.

155. The Commission agreed to make any capacity assistance needs identified in the provisional Compliance Monitoring Report and in Part 2 reports (as may be agreed by TCC each year to be contained in the Executive Summary of the Provisional Compliance Monitoring Report), public domain data that will inform annual updates of the Strategic Investment Plan.

7. Since 2019, the *Implementation of Article 30 of the Convention* webpage on the WCPFC website has been maintained by the Secretariat at this link:- <https://www.wcpfc.int/implementation-article-30-convention>. Copies of the WCPFC Strategic Investment Plan and past years CMM 2013-07 annual reports for all CCMs may be accessed from this page.

#### **Obligations where assistance needs were identified**

8. The 2020 Strategic Investment Plan includes a list of Capacity Assistance Need areas from the Final Compliance Monitoring Report covering 2019 activities (see **Table 1** below). The Secretariat confirms that two CCMs provided some replies in Annual Report Part 2 to the Capacity Assistance Needed score from the Final Compliance Monitoring Report (refer to **TCC17-2021-AR Pt2**). At the time of preparing this paper, no additional information in relation to the progress of Capacity Development Plans had been received.

**Table 1. List of Capacity Assistance Needs from the 2020 Final Compliance Monitoring Report covering 2019 activities**

<b>Indonesia for Scientific data provision (SciData03)</b>	Capacity Development Plan (CDP) submitted to TCC13	TCC noted that a report on progress was submitted by Indonesia to TCC16 that confirms that due to COVID-19 there were some delays in the anticipated timeframe and assistance delivery set out in the Capacity Development Plan. TCC noted that for RY 2019 Indonesia’s capacity assistance needs in their Capacity Development Plan were not yet met. The revised Capacity Development Plan would indicate that implementation would be completed at the end of 2020 or early 2021.
<b>Indonesia for annual report on estimated number of releases and status upon release of oceanic whitetip sharks (CMM 2011-04 paragraph 3)</b>	Capacity Assistance Needed (CMR RY2019)	TCC noted that Indonesia’s capacity assistance needed for the reporting obligation in CMM 2011-04, paragraph 3 and the implementation obligation in CMM 2013-08, paragraph 3 were related to its existing Capacity Development Plan. The identified reporting gap for silky sharks in particular is linked to COVID-19 preventing delivery of capacity assistance. This is to be incorporated into its CDP for RY 2019. TCC16 expects Indonesia to be meet this obligation in 2021.
<b>Indonesia for annual report on estimated number of releases and status upon release of silky sharks (CMM 2013-08 paragraph 3)</b>	Capacity Assistance Needed (CMR RY2019)	TCC noted that Indonesia’s capacity assistance needed for the reporting obligation in CMM 2011-04, paragraph 3 and the implementation obligation in CMM 2013-08, paragraph 3 were related to its existing Capacity Development Plan. The identified reporting gap for silky sharks in particular is linked to COVID-19 preventing delivery of capacity assistance. This is to be incorporated into its CDP for RY 2019. TCC16 expects Indonesia to be meet this obligation in 2021.
<b>Philippines for 100% purse seine observer coverage for vessels fishing exclusively in areas under national jurisdiction (CMM 2018-01 paragraph 5)</b>	Capacity Development Plan (CDP) submitted to TCC15	TCC noted that a written report was received from the Philippines on progress on its Capacity Development Plan which provided a schedule for implementation to progressively increase observer coverage in Philippine waters over 2020 to 2023. TCC noted that for RY 2019 Philippine’s capacity assistance needs in their Capacity Development Plan were not yet met.

9. The 2020 Strategic Investment Plan also includes a list of additional areas of capacity assistance identified by CCMs in their Annual Report Part 2 covering 2019 reporting year (see **Table 2** below).

**Table 2. List of additional areas of capacity assistance identified by CCMs in their Annual Report Part 2 covering 2019 reporting year**

<b>CMM</b>	<b>Notes about types of assistance requested</b>	<b>CCM</b>
<b>2017-03 03-06, 11, 12 Observer Safety CMM</b>	Assistance from FFA with this and other measures that require legislation changes	Cook Islands
<b>2013-07 04-05 Capacity development for personnel</b>	Additional training is needed in the following areas: 1. Prosecution 2. Data analysis 3. MCS	Fiji
<b>2013-07 10-11 Capacity development for MCS activities</b>	Assistance from developed partners to assist in both aerial and surface surveillance coverage	Kiribati

10. One CCM has submitted two “Capacity Development Plans” within their reply to this year’s draft Compliance Monitoring Report covering 2020 activities (see **Table 3** below).

**Table 3. List of additional areas of capacity assistance identified by CCMs in their replies to the draft CMR covering 2020 reporting year**

<b>CMM or CMM paragraph</b>	<b>Notes and types of assistance requested</b>
<b>CMM 2018-04 06</b> CCMs to require longline vessels to carry and use line cutters and de-hookers to handle and promptly release sea turtles, as well as dip-nets where appropriate	SPC guidelines are distributed to all vessels. Circle hooks are encouraged but not compulsory. All vessels of the fleet already carry and use line cutters. Compulsory measures have been drafted and included in the updated binding regulations related to fishing licenses. These should be adopted before the end of 2021.
<b>CMM 2018-04 07a</b> Sea Turtle mitigation requirements for shallow-set longline vessels	No shallow set fisheries targeting swordfish (only exploratory fishing with one vessel using only 13/0 or 14/0 circle hooks, during 3 exploratory trips in 2020). Our longliners target SPALB. Compulsory measures have been drafted and included in the updated binding regulations related to fishing licenses. These should be adopted before the end of 2021.

11. **Annex 1** provides a summary of reporting by developing members and participating territories in Annual Report Part 2 covering 2020 reporting year in response to CMM on Special Requirements of Small Island Developing States and Territories (CMM 2013-07), where capacity assistance needs were identified. Annex 1 also collates notes from developing members and participating territories on areas where assistance was received in response to their capacity assistance needs. The complete set of CMM 2013-07 annual reports for all CCMs for reporting year 2020 are posted for TCC17 as **TCC17-2021-11\_rev1**.<sup>1</sup>

12. Authorised users of CCMs may view individual CCMs indications of assistance needs by:  
a. reviewing the full draft Compliance Monitoring Report on the Intranet or in MS Excel export format and filtering by the appropriate column to show the CCMs and CMM

<sup>1</sup> Copies of past years CMM 2013-07 annual reports for all CCMs may be accessed from <https://www.wcpfc.int/implementation-article-30-convention>

- paragraphs where CCMs had indicated they had an assistance need (“Capacity Development Plan = Applicable” or “Capacity Building requested = YES”); and
- b. reviewing the Annual Report Part 2 on the Intranet or in MS Excel export format and reviewing individual CCMs reports for relevant obligations listed in **Table 4**.

13. Copies of reports in response to Capacity Assistance Needed scores from WCPFC17 are viewable by authorized users of CCMs through the secure side of the WCPFC website as **TCC17-2021-dCMR01**, and **TCC17-2021-AR Pt2** respectively. Copies of Capacity Development Plans submitted to TCC17 are viewable by authorized users of CCMs through the secure side of the WCPFC website as **TCC17-2021-dCMR01-CDP**.

### **Secretariat’s Comment**

14. Due to the COVID-19 global pandemic much of the work of the Secretariat through 2021 was progressed electronically through email and skype exchanges, as well as one-on-one zoom meetings. Throughout 2020/21 the Secretariat has continued to assist individual CCMs, on request, with WCPFC reporting requirements, including Annual Report Part 1 and Part 2, online Compliance Case File System guidance, and use of the WCPFC High Seas Transshipment E-Reporting (TSER) system and VMS Reporting Status Tool (VRST). In 2021, advice was also provided on request to CCMs with their consideration and development of Capacity Development Plans as part of replies to the draft CMR. Such assistance from the Secretariat seems to be helpful in circumstances where a CCM national administrations has changes in staff who are involved in supporting WCPFC matters or where a better understanding of how to use the online system can assist in more efficient processes for CCMs.

15. A new ‘WCPFC Helpdesk’ available since late 2020 also provides brief reference information for members on how to access and complete reports and to access and make updates to some WCPFC datasets. ([Helpdesk : WCPFC \(freshdesk.com\)](http://freshdesk.com)) These are very short guides with new topics progressively being added as resources permit.

### **Recommendation**

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| <p>16. TCC17 is invited to consider the capacity assistance needs listed in Tables 1 to 3, and Annex 1 and any other needs as may be identified by CCMs during TCC17’s review of draft CMRs when developing the Provisional Compliance Monitoring Report and to note the task set out in paragraph 38 (ii) of CMM 2019-06.</p> |
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**Annex 1. List of additional areas of capacity assistance identified by CCMs in their Annual Report Part 2 CMM on SPECIAL REQUIREMENTS OF SMALL ISLAND DEVELOPING STATES AND TERRITORIES CMM 2013-07 reporting covering 2020 reporting year, and notes on where assistance was received**

CMM 2013-07	Notes and types of assistance requested	Areas where assistance was received
<p><b>Paras 01-03</b> General Provisions</p>	<p>"<b>Indonesia</b> is included in the SIDS partnership as announced at the Third International Conference on Small Island Developing States (SIDS Conference) was held from 1 to 4 September 2014 in Apia, Samoa. Several multi-stakeholders partnerships initiatives for SIDS where Indonesia as one of the partners have been operating in several SIDS such as Papua New Guinea and Solomon Island for Coral Triangle Initiative.  <a href="http://www.sids2014.org/partnerships/countries/?country=219">http://www.sids2014.org/partnerships/countries/?country=219</a>  <a href="http://www.sids2014.org/partnerships/countries/?country=238">http://www.sids2014.org/partnerships/countries/?country=238</a>"</p> <p>Recently, in mid 2020, Indonesia called for mobilization of adequate resources and support for Small Island Developing States during a discussion with the premise on mobilizing international solidarity, accelerating action and embarking on new pathways to realize the 2030 agenda and the Samoa Pathway: Small Island Developing States</p> <p><b>Kiribati</b> is one of the SIDS countries that depend much on assistance from regional and sub-regional agencies such as WCPFC, FFA and PNA including donor partners.</p> <p><b>RMI</b> is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts</p> <p><b>New Caledonia</b> received no assistance in this category since 2017</p> <p><b>Tonga</b> cooperate at regional and sub regional initiatives to support the development of SIDS Fisheries.</p> <p><b>Vanuatu:</b> CCM is in need of adequate capacity assistance provided for by the Commission and its partners on all areas.</p>	
<p><b>Paras 04-05</b> Capacity development for personnel</p>	<p><b>Fiji</b> still needs trainings and attachments in the following areas:            1) prosecution 2) data analysis 3) MCS</p> <p><b>Indonesia</b> (as per above response for 01-03)</p> <p><b>Kiribati</b> is a small island country with limited resources to manage its vast EEZ.</p> <p><b>RMI</b> is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts</p> <p><b>New Caledonia</b> received no assistance in this category since 2017</p> <p><b>Tonga:</b> Our current national capacity does not provide Tonga ability to assist capacity development of other SIDS. Tonga is recipient of capacity development assistance</p>	<p><b>FSM:</b> Some capacity development assistance delivered by FFA/PNA/SPC and WCPFC as FSM is a SID</p>

CMM 2013-07	Notes and types of assistance requested	Areas where assistance was received
	<p><b>Vanuatu:</b> CCM is in need of a dequate capacity assistance provided for by the Commission and its partners on all areas.</p>	
<p><b>Paras 06-07</b> Assistance with technology transfers</p>	<p><b>FSM:</b> National IMS Development/FIMS Development/TUFMN2 development/EM/ER initiatives</p> <p><b>Indonesia</b> (<i>as per above response for 01-03</i>)</p> <p><b>Kiribati</b> as small island developing states depend much on technology assistance from regional agencies and development partners.</p> <p><b>RMI</b> is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts</p> <p><b>New Caledonia</b> received no assistance in this category since 2017</p> <p><b>Tonga:</b> Our current national capacity does not provide Tonga ability to assist capacity development of other SIDS. Tonga is recipient of capacity development assistance</p> <p><b>Vanuatu:</b> CCM is in need of a dequate capacity assistance provided for by the Commission and its partners on all areas.</p>	<p><b>Fiji:</b> SPC assisted in providing the training to Enforcement staff on Onboard App for E-Reporting and also updated some features on TUFMAN.</p>
<p><b>Paras 08-09</b> Assistance in areas of fisheries conservation and management</p>	<p><b>FSM:</b> bilateral arrangements to implement ROP, transshipment monitoring and sharing of MCS data when necessary</p> <p><b>Indonesia</b> (<i>as per above response for 01-03</i>)</p> <p><b>Kiribati:</b> Kiribati is small island with limited resources, hence unable to provides further assistance while concentrating effort within national jurisdiction only.</p> <p><b>RMI</b> is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts</p> <p><b>New Caledonia</b> received no assistance in this category since 2017</p> <p><b>Tonga</b> cooperate at regional and sub-regional initiatives to support the development of SIDS Fisheries</p> <p><b>Vanuatu:</b> CCM is in need of a dequate capacity assistance provided for by the Commission and its partners on all areas.</p>	<p><b>Fiji:</b> The FFA carried out one workshop for Fiji fisheries officers Ministry of Health CAs and industry on traceability and Industry standards.</p>

CMM 2013-07	Notes and types of assistance requested	Areas where assistance was received
<p><b>Paras 10-11</b> Assistance in the areas of Monitoring, Control and surveillance</p>	<p><b>Indonesia</b> (as per above response for 01-03)</p> <p><b>Kiribati:</b> As small island state with only one patrol boat to monitor three separated EEZ. Kiribati greatly need assistance from developed partners to assist in both aerial and surface surveillance coverage.</p> <p><b>RMI</b> is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts</p> <p><b>New Caledonia</b> received no assistance in this category since 2017</p> <p><b>Vanuatu:</b> CCM is in need of adequate capacity assistance provided for by the Commission and its partners on all areas.</p>	<p><b>Fiji:</b> 3 Aerial support were received from FFA KingAir.</p> <p>2 Sea patrol were Conducted : Operation Island Chief and Operation Kurukuru</p> <p><b>Niue:</b> Joint sea surveillance operations with Cook Islands for our EEZ under the NTSA arrangement.</p> <p><b>Tonga</b> participate at sea monitoring, control, surveillance and enforcement activities through bilateral arrangement with territories in the Convention area. Tonga involved on surveillance patrol operation Ika Moana, Tu'imooana and Operation Kurukuru by provided Navy support Unit Voea Late, Voea Ngahau Siliva. In 2020, 30 surface patrol within our EEZ</p>
<p><b>Paras 12-18</b> support for the Domestic Fisheries Sector and Tuna-fisheries related businesses and market access</p>	<p><b>FSM:</b> PNA market related initiatives - MSC, VDS,CDS,EM/ER,PSM</p> <p><b>Indonesia</b> (as per above response for 01-03)</p> <p><b>RMI</b> is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts</p> <p><b>New Caledonia</b> received no assistance in this category since 2017</p> <p><b>Vanuatu:</b> CCM is in need of adequate capacity assistance provided for by the Commission and its partners on all areas.</p>	<p><b>Fiji:</b> Fiji worked on the request from Industry for the extension of work permits of Foreign seagoing and crew of Fishing Industry due to border closures. The extension used to be 1 year has now been agreed to extend to 3 years.</p> <p>Through Support of Fiji Fisheries, PEUMP through FFA financially assisted Fiji Fishing Industry Association [FFIA] MSC Group in the certification of Bigeye.</p> <p>In addition, WWF NZ assisted FFIA financially in the MSC 2020 Audit.</p> <p><b>Kiribati</b> appreciates the assistance and support provided by development partners towards domestic fisheries related projects.</p> <p><b>Tonga</b> assisting our domestic fisheries Sector and Tuna Fisheries through implementing the Fuel and Fishing equipment concession scheme, Fisheries Economic Development Loan Scheme and Tonga Cyclone Ian Reconstruction and Climate Resilience Project</p>



