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SLAVERY AT SEA

FORCED LABOUR, HUMAN RIGHTS ABUSES, AND THE NEED FOR THE WESTERN AND CENTRAL PACIFIC FISHERIES COMMISSION TO ESTABLISH LABOUR STANDARDS FOR CREW

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Slavery at Sea: Forced Labour, Human Rights Abuses, and the Need for the Western and Central Pacific Fisheries Commission to Establish Labour Standards for Crew Chris Wold*

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I. Introduction

Isolated at sea, many fishers are trapped and helpless, unable to escape brutal beatings and inhumane work days of 20 hours.¹ Sometimes, fishers, dead from malnutrition, exhaustion or abuse, are simply thrown into the sea like undesirable bycatch.² The fates of these fishers, typically migrants looking for economic opportunity,³ remain uncertain because the abuse, crimes, and deplorable conditions they allege usually happen out in the open sea, far away from the scrutiny of inspectors who might ensure proper working conditions, safety, and human wellbeing.

The staggering scale of modern slavery and inhumane treatment has been well documented but not appropriately addressed. In 2016, the International Labour Organization (ILO) estimated that 40.3 million people were exploited in "modern slavery,"⁴ with roughly 24.9 million people victims of "forced labour."⁵ Of the victims of forced labour, 11% were in the agriculture and fishing sectors,⁶ with as many as 100,000 people enslaved on fishing vessels around the world.⁷

¹ U.S. Department of State, <u>China 2020 Human Rights Report</u>, 74 (2020) (reporting that Indonesian fishers on board a Chinese flagged fishing vessel "claimed they were subjected to physical violence, forced to work 20 hour days, and not paid for their work."); Greenpeace, <u>Why Are Indonesian Fishing Crews Dying?</u>, (Sept. 17, 2020)("Another crew member, Sepri, 24, also died and was thrown overboard.").

² U.S. Department of Labor, *List of Goods Produced by Child Labor or Forced Labor*, 34 (2020).

³ International Labour Organization and Walk Free Foundation, <u>Estimates of Modern Slavery: Forced Labour and</u> <u>Forced Marriage</u>, 33, 34 (2017); International Organization for Migration, <u>Report on Human Trafficking, Forced</u> <u>Labour and Fisheries Crime in the Indonesian Fishing Industry</u>, 41, 43 (2016) [hereinafter Fisheries Crime in the Indonesian Fishing Industry].

⁴ The term "modern slavery" is not defined in international law. However, it is commonly used to mean slavery, slavery, servitude, debt bondage, forced marriage, and other circumstances of forced labour, and human trafficking. *See generally Estimates of Modern Slavery, supra* note 3, at 9; Roger Plant, <u>Modern Slavery: The Concepts and Their</u> <u>Practical Implications</u>, (ILO Working Paper, May 2014).

⁵ Estimates of Modern Slavery, supra note 3, at 9-10. "Forced labour" is defined by ILO Forced Labour Convention, 1930 (No. 29) as "all work or service that is exacted from any person under the menace of any penalty and for which the said person has not offered himself voluntarily." <u>ILO Forced Labour Convention, 1930 (No. 29)</u>, art. 2, June 28, 1930 (entered into force May 1, 1932). See also List of Goods Produced by Child Labor or Forced Labor, supra note 2, at 5 ("The latest global estimates highlight that 152 million children remain in child labor and 25 million adults and children toil under conditions of forced labor, including in global supply chains that crisscross our globe."). ⁶ Estimates of Modern Slavery, supra note 3, at 10–11.

⁷ Gavin G. McDonald et al., *Satellites Can Reveal Global Extent of Forced Labor in the World's Fishing Fleet*, 118(3) PNAS (January 19, 2021). *See also* U.S. Department of State, *Trafficking in Persons Report*, 28 (June 2021) ("Forced labor is well documented in the private economy, particularly in agriculture, fishing, manufacturing, construction, and domestic work.") [hereinafter *Trafficking in Persons Report 2021*].

Despite these horrific statistics, the problem is likely to worsen. Human trafficking⁸ and the use of forced labour are entwined with illegal, unreported, and unregulated (IUU) fishing⁹ and the depletion of fish stocks.¹⁰ As fishers continue to deplete fish stocks—more than 34% of stocks are now fished at unsustainable levels¹¹—vessels and their crew stay at sea for longer periods in order to remain profitable.¹² Some tuna longliners, for example, stay at sea for months or even years or even years when aided by at-sea transhipment.¹³ Moreover, as near-shore fisheries decline, fishers push farther out to sea to fish.¹⁴ As fishing voyages lengthen, fuel costs unavoidably rise, driving vessel owners and operators to reduce or eliminate other costs, including "vessel maintenance, safety equipment and labour, as well as living and working conditions, leading to undermanning and fatigue that greatly contribute to human error and accidents."¹⁵ However, labour costs, which account for up to 60% of a fishing vessel's operating costs,¹⁶ are often the first to be cut.¹⁷ As FAO explains, "[o]perators of IUU vessels ... tend to deny to crew members fundamental rights concerning the terms and conditions of their labour, including those concerning

⁸ "Trafficking in persons" is defined as

the recruitment, transportation, transfer, harbouring or receipt of persons, by means of the threat or use of force or other forms of coercion, of abduction, of fraud, of deception, of the abuse of power or of a position of vulnerability or of the giving or receiving of payments or benefits to achieve the consent of a person having control over another person, for the purpose of exploitation. Exploitation shall include, at a minimum, the exploitation of the prostitution of others or other forms of sexual exploitation, forced labour or services, slavery or practices similar to slavery, servitude or the removal of organs.

Protocol to Prevent, Suppress and Punish Trafficking in Persons, Especially Women and Children, supplementing the United Nations Convention against Transnational Organized Crime, art. 3(a),Nov. 15, 2000 (entered into force Dec. 25, 2003).

⁹ See FAO, *International Plan of Action to Prevent, Deter and Eliminate Illegal, Unreported and Unregulated Fishing*, para. 3 (2001) (defining IUU fishing) [hereinafter IPOA-IUU].

¹⁰ FAO, <u>Scoping Study on Decent Work and Employment in Fisheries and Aquaculture: Issues and Actions for</u> <u>Discussion and Programming</u>, viii (2016) (stating, "practices such as illegal, unreported and unregulated (IUU) fishing are closely linked with labour abuse, including exploitation of migrant workers.").

¹¹ FAO, <u>State of the World Fisheries and Aquaculture 2020</u>, at 47 (2020).

¹² International Labour Organization, <u>Caught at Sea: Forced Labour and Trafficking in Fisheries</u>, 5–6 (2013). See also International Labour Organization, <u>Work in Fishing Convention and Recommendation, 2007: Action Plan 2011–</u>2016, 1 ("Many fishers are under economic pressure due to overfishing.").

¹³ For example, Korean longliners fish in the WCPFC Convention Area for 18 to 24 months before returning to Busan, the home port for all Korean longline vessels. Liam Campling et al., *The Tuna Longline Industry in the Western and Central Pacific Ocean and its Market Dynamics*, 156 (2017); UN Office on Drugs and Crime, *Transnational Organized Crime in the Fishing Industry*, 34 (2011) ("With the possibility of at-sea transshipment a vessel may stay at sea for many years at a time without coming to port.).

¹⁴ FAO, *The State of the World Fisheries and Aquaculture 2012*, 156 (2012) ("Case studies . . . found evidence that if fishery resources are depleted, or competition for limited resources becomes more intense, fishers will take greater risks, such as fishing farther offshore, to seek a living.").

¹⁵ FAO, *Fishing Operations: Best Practices to Improve Safety at Sea in the Fisheries Sector*, FAO Technical Guidelines for Responsible Fisheries 1, Suppl. 3, at 2 (2015).

¹⁶ U. Tietze, U. et al., <u>*Techno-economic Performance of Marine Capture Fisheries*</u>, FAO Fisheries Technical paper 421, at 19 (2001).

¹⁷ Environmental Justice Foundation, <u>Blood and Water: Human Rights Abuse in the Global Seafood Industry</u>, 10 (2019).

wages, safety standards and other living and working conditions."¹⁸ In fact, the UN Office on Drugs and Crime reports that many fishers are traded from vessel to vessel and, because they cannot escape, are "*de facto* prisoners."¹⁹ Moreover, IUU fishing begets additional IUU fishing,²⁰ which leads to losses of social and economic opportunities, negative effects on food security and environmental protection, and serious impairment of efforts to rebuild depleted stocks.²¹ As such, providing proper work conditions for crew is critical to fisheries management.

Many fishing operators and recruitment agencies lure migrant workers into forced fisheries labour by charging recruitment fees, providing false promises concerning wages or working conditions, or misrepresenting the nature of the job itself.²² Once "on the job," employers, vessel captains, and recruitment agencies frequently confiscate passports and other travel documents, require payment for food, withhold wages, and otherwise expose migrant labourers to "the typical range of abuses,"²³ including threats against family, confinement, denial of food and sleep, threats of legal action, and sexual violence.²⁴

To address these issues within the Western and Central Pacific Fisheries Commission (WCPFC),²⁵ Indonesia proposed a Conservation and Management Measure (CMM) on Labour Standards for Crew on Fishing Vessels at the WCPFC's 2020 annual meeting.²⁶ Although most WCPFC members supported Indonesia's proposal,²⁷ China opposed it, arguing that the International Labour Organization (ILO) and International Maritime Organization (IMO), not the WCPFC, were the appropriate forums for adopting binding labour standards for crew and that its fisheries delegation did not have jurisdiction over labour matters.²⁸ Given the consensus-based voting culture of the WCPFC,²⁹ China was able to block adoption of Indonesia's proposal.³⁰

¹⁸ FAO, *Implementation of the International Plan of Action to Prevent, Deter and Eliminate Illegal, Unreported and Unregulated Fishing*, FAO Technical Guidelines on Responsible Fishing No. 9, 1 (2002) [hereinafter *Implementation of IPOA IUU*].

¹⁹ UNDOC, *supra* note 13, at 34.

²⁰ *Implementation of IPOA IUU, supra* note, at 1 (noting that IUU fishing "undermines the morale of legitimate fishers and, perhaps more importantly, encourages them to disregard the rules as well. Thus, IUU fishing tends to promote additional IUU fishing, creating a downward cycle of management failure.").

²¹ FAO, *International Plan of Action to Prevent, Deter and Eliminate Illegal, Unreported and Unregulated Fishing*, para. 1 (2001) [hereinafter IPOA-IUU].

²² Estimates of Modern Slavery, supra note 3, at 31.

²³ *Id.* at 34.

²⁴ *Id.* at 35.

²⁵ The <u>Convention on the Conservation and Management of Highly Migratory Fish Stocks in the Western Pacific Ocean</u> (WCPF Convention) established the Western and Central Pacific Fisheries Commission (WCPFC). Convention on the Conservation and Management of Highly Migratory Fish Stocks in the Western and Central Pacific Ocean, art. 9, Sept. 5, 2000, 2275 U.N.T.S. 40532 (entered into force June 19, 2004) [hereinafter "WCPF Convention"].

²⁶ Indonesia, <u>Proposed CMM on the Labour Standards for Crew on Fishing Vessels</u>, WCPFC17-2020-DP09 (2020).

²⁷ WCPFC, <u>Summary Report of the Seventeenth Regular Session of the Commission</u>, paras. 83–87, 294–298, 300–303 (2021) [hereinafter WCPFC17 Report].

²⁸ *Id.* at paras. 293. Without explanation, China agreed that the WCPFC could adopt non-binding decisions on labour standards. *Id.*

²⁹ The WCPF Convention includes rules for voting. WCPF Convention, *supra* note 25, at art. 20. However, the WCPFC has never taken a decision by vote.

³⁰ However, the WCPFC did agree to "intersessional work to be led by Co-Leads Indonesia and an FFA Member through various means to promote discussion among members and enable the sharing of information, with initial discussion points to be developed in consultation with the Commission Chair and the Secretariat." *Id.* at para. 322.

China's objections, however, are without merit. The WCPFC has the authority to adopt binding CMMs addressing labour standards for fishing crews, as well as related issues of human rights. In fact, fishing subject to human rights abuses constitutes IUU fishing,³¹ and IUU fishing is squarely within the competence of the WCPFC and other regional fisheries management organizations (RFMOs)., such as the WCPFC. As the Food and Agriculture Organization of the United Nations (FAO) explains, "RFMOs have a central role to play in preventing, deterring and eliminating IUU fishing."32 Moreover, while a substantial body of law, including the Work in Fishing Convention,³³ exists within the ILO to address the rights of fishers on fishing vessels, nothing prevents the WCPFC from adopting other rules. In fact, ensuring the safety of crew is a core obligation of flag States under the UN Convention on the Law of the Sea (UNCLOS)³⁴ and has been made a core part of fisheries management since at least since 1995 through FAO's Code of Conduct for Responsible Fisheries.³⁵ In fact, due to the low participation rate in the ILO's Work in Fishing Convention and other relevant ILO and IMO agreements concerning labour conditions,³⁶ particularly among WCPFC members, the WCPFC should adopt labour standards for crew. In that way, the standards could be subject to the WCPFC's Compliance Monitoring Scheme³⁷ and reviewed by the WCPFC's Technical and Compliance Committee (TCC).³⁸ They could also be harmonized with the labour conditions required by the Forum Fisheries Agency (FFA) as a requirement for fishing in the jurisdictional waters of the 17 FFA members,³⁹ whose waters compose a large portion of the WCPFC Convention Area.⁴⁰

This report reviews relevant international law to show that the WCPFC has authority to adopt binding labour standards for crew. Section II begins by exploring the scale of forced labour in fishing. Section III describes the history of regulating labour conditions in international law. Section III.B assesses the long history of addressing labour conditions, explicitly and implicitly, as part of fisheries agreements, including UN Convention on the Law of the Sea (UNCLOS), the UN Fish Stocks Agreement, the FAO Compliance Agreement, the FAO Code of Conduct, and other fisheries agreements, including the WCPF Convention. Section III.B then analyzes the ILO's Work in Fishing Convention and concludes that despite its comprehensiveness it does not preclude

³¹ IPOA-IUU, *supra* note 9, at para. 3.1.3 (defining "illegal fishing" to mean activities "in violation of national laws or international obligations, including those undertaken by cooperating States to a relevant regional fisheries management organization.").

³² Implementation of IPOA IUU, supra note 18, at 55.

³³ Work in Fishing Convention, 2007 (No. 188), June 14, 2007 (entered into force Nov. 16, 2017).

³⁴ <u>The United Nations Convention on the Law of the Sea</u>, art. 94, Dec. 10, 1982, 1833 U.N.T.S 3, U.N. Doc. A/CONF.62/122 (entered into force Nov. 16, 1994) [hereinafter UNCLOS].

³⁵ FAO, <u>Code of Conduct for Responsible Fisheries</u>, FAO Resolution 4/95 (1995).

³⁶ See infra Section V.A.

³⁷ WCPFC, <u>Compliance Monitoring Scheme</u>, Conservation and Management Measure 2019-06 (2019). Not every obligation imposed by the WCPF convention and CMMs is subject to compliance review; the members decide which obligations to review. *Id.* at para. 6.

³⁸ WCPF Convention, *supra* note 25, at art. 14 (establishing the functions of the TCC). *See infra* Section V.B for a discussion of the TCC.

³⁹ See infra Section V.C. The members of the Foreign Fisheries Agency are Australia, Cook Islands, Federated States of Micronesia, Fiji, Kiribati, Marshall Islands, Nauru, New Zealand, Niue, Palau, Papua New Guinea, Samoa, Solomon Islands, Tokelau, Tonga, Tuvalu, and Vanuatu. Pac. Islands Forum Fisheries Agency, FFA Members, <u>Who</u> <u>We Are</u>.

⁴⁰ WCPFC Convention, *supra* note 25, at art. 3(1) (defining the Convention Area). The map of the Convention Area includes all or most of the jurisdictional waters of the 17 FFA members listed in note 38. *See* WCPFC, <u>Convention Area Map</u>.

action by States through other regimes and organizations. In fact, FAO, ILO, and IMO are collaborating on a range of activities relating to labour conditions.⁴¹ Section IV challenges the argument that labour standards for crew should not be adopted by RFMOs because national delegations to RFMO meetings do not have authority to address labour issues; international law requires that all parties to a treaty implement their treaty obligations in good faith. Section V describes policy reasons for the WCPFC to adopt labour standards for crew, including review by the WCPFC's TCC. Section VI concludes that the WCPFC has authority to adopt binding labour standards for crew and that sound policy reasons support the need for the WCPFC to do so.

II. The Scale of the Problem

The global catch of fisheries resources is massive and continues to grow. Total production of fisheries resources rose from 145.9 million tonnes in 2009 to 178.5 million tonnes in 2018,42 with a total first sale value of US\$401 billion.⁴³ Global exports of fish products have also continued to grow at roughly 8% per year, reaching a value of US\$164 billion in 2018.44 In the Western and Central Pacific Ocean, home to perhaps the most valuable fisheries in the world, fishers earned more than US\$5billion and the total catch retailed for more than US\$22.68 billion in 2014.45 Fishing and aquaculture are also critical sources of food and livelihoods: 10% to 12% of the global population relies on fisheries resources for their livelihoods,⁴⁶ and 3.3 billion people depend on fish products for nearly 20% of their intake of animal proteins.⁴⁷ Global fisheries are clearly "among the most critical industries for food security, poverty relief and human prosperity worldwide."48

Yet, many of those who provide the human population with this critical resource remain unprotected, even though ILO considers fishing "hazardous"⁴⁹ and "[i]n many countries fishing is the most hazardous occupation."50 Indeed, the FAO rated commercial fishing the world's second deadliest profession in 2019⁵¹ and the occupation with the "highest incidence of occupational injuries and fatalities"⁵² with approximately 32,000 fishers dying each year, a number that the FAO's Committee on Fisheries (COFI) concedes is likely an underestimate.⁵³ Commercial fishing

⁴¹ See COFI, Safety at Sea and Decent Work in Fisheries and Aquaculture, COFI/2020/Inf.14.1, para. 24 (2021) (describing some of the collaboration between the three organizations including those relating to combatting forced labour in the fishing sector).

 ⁴² See FAO, <u>State of the World Fisheries and Aquaculture 2016</u>, at 4, tbl. 1 (2016); <u>State of the World Fisheries and Aquaculture 2020</u>, supra note 11, at 3, tbl. 1.
 ⁴³ State of the World Fisheries and Aquaculture 2020, supra note 11, at 2.

⁴⁴ *See id.* at 8.

⁴⁵ See Pew Charitable Trusts, <u>Netting Billions: A Valuation of Tuna in the Western and Central Pacific Ocean</u> (Sept. 23, 2016).

 ⁴⁶ FAO, <u>The State of the World Fisheries and Aquaculture 2014</u>, at 32 (2014).
 ⁴⁷ State of the World Fisheries and Aquaculture 2020, supra note 11, at 5.
 ⁴⁸ NAFIG & INTERPOL, <u>Chasing Red Herrings: Flags of Convenience and the Impact on Fisheries Crime Law</u> *Enforcement*, at 14 (2017).

⁴⁹ Work in Fishing Convention, *supra* note 33, at preamble, para. 8 ("Recognizing that the International Labour Organization considers fishing as a hazardous occupation when compared to other occupations").

⁵⁰ International Labour Office, The Work in Fishing Convention, 2007 (No. 188) Guidelines for Port State Control Officers, at V (2011) (emphasis added) [hereinafter ILO Port State Guidelines].

⁵¹ FAO, Need for New Vision for Fisheries Amidst Growing Concerns over State of Oceans (Nov. 19, 2019).

⁵² International Labour Office, Road Map Towards the Ratification of ILO Convention No. 188 to Protect Indonesian Fisheries (Mar. 10, 2011).

⁵³ COFI, *supra* note 41, at para. 3.

is so hazardous and deadly because it involves "long work shifts, physically demanding tasks, poor availability and use of protective equipment, [and] inexperienced crew."⁵⁴ Injuries can be caused by extreme weather, poor sea conditions, working at night, noise, exposure to chemicals, sleep deprivation, stress-induced physical and psychological injuries, sexual assault and harassment, malfunctioning equipment, malnourishment, and seasickness, among other things.⁵⁵ Injuries at sea are exacerbated because workers aboard fishing vessels are often far from professional medical care, and caretaking duties often fall on others on board.⁵⁶

Perhaps due to hazardous and dangerous working conditions, fishing operations often have difficulties finding crew. International capture fisheries and aquaculture operations annually employ more than 58 million people, with more than 15 million working full-time on fishing vessels.⁵⁷ Yet, worldwide, in the United Kingdom,⁵⁸ Thailand, ⁵⁹ and elsewhere,⁶⁰ fleets face chronic shortages of crew.

As a result of these shortages, fleets often turn to migrant workers to fill positions.⁶¹ For example, although the vast majority of longline vessels operating in the Western and Central Pacific Ocean are flagged by China, Japan, Korea, and Chinese Taipei, the nationality of the crews on these vessels is primarily Indonesian, Filipino, and Vietnamese.⁶²

Migrant workers, however, are frequently recruited with deceptive practices, physically and sexually abused, and subject to different labour laws than those applied to citizens of the flag State.⁶³ Recruiters lure migrant workers into forced labour with false promises concerning wages, or working conditions, or the nature of the job itself.⁶⁴ Once "on the job," employers or recruitment agencies migrants frequently confiscate passports and other travel documents, withhold wages, and otherwise expose migrant labourers to "the typical range of abuses,"⁶⁵ including threats against

⁵⁴ Rapeepong Suphanchaimat et al., <u>Extreme Exploitation in Southeast Asia Waters: Challenges in Progressing</u> towards Universal Health Coverage for Migrant Workers, PLoS Med 14(11) (Nov. 22, 2017).

⁵⁵ See, e.g., Fisheries Crime in the Indonesian Fishing Industry, supra note 3, at 36 (fishers "faced with no pay, or a token pay, excessive working hours often exceeding 20 hours a day, and unsanitary and unhealthy conditions. This represented the 'best case' scenario. For most it meant human trafficking for labour exploitation, forced labour, physical, sexual, and psychological abuse, with no prospect of escape.").

⁵⁶ *ILO Port State Guidelines, supra* note 50, at V.

⁵⁷ International Labour Organization, <u>Fisheries</u>,

⁵⁸ Scottish Whitefish Producer's Association, <u>Services</u>, ("Partly due to a recent downturn in fleet profitability, many boats experience a chronic shortage of trained crew."); Fishing News, <u>Foreign Crewing Hopes</u> (Oct. 5, 2020).

⁵⁹ National Public Radio, <u>Confined To A Thai Fishing Boat, For Three Years</u> (June 19, 2012) ("Thailand's giant fishing fleet is chronically short of up to 60,000 fishermen per year."); ILO, <u>Employment Practices and Working Conditions</u> <u>in Thailand's Fishing Sector</u>, ix (noting shortages of fishers in 2008 of 10,000 rising to in 2012 to 50,000 fishers, mainly migrant workers from Cambodia and Myanmar.).

⁶⁰ See, e.g., Bonnie Flaws, <u>Salaries Can Be High, with Lots of Time Off—So Why Can't Fishing Boats Hire Kiwis?</u>, STUFF, (Oct. 23, 2020) (noting shortages in New Zealand's deep sea fishery).

⁶¹ Penchan Charoensuthipann, <u>Boats Need Over 40,000 Migrant Crew</u>, Bangkok Post (Aug. 3, 2018) (stating that the "workers from Laos, Myanmar, Cambodia and Vietnam would be targeted" to fill crew needs in Thailand).

⁶² Liam Campling et al., *supra* note 131, 147, 159, 175–76 (2017). Korean vessel owners may also be turning to Nepalis for crew. Personal Communication with Mike McCoy (Mar. 2018).

⁶³ See generally Linklaters LLP, *Fishing for Fairness: A Landscape Analysis of Rights of Fishing Industry Workers and ILO Convention 188* (2016) (describing the application of different labour laws in the Philippines, Chinese Taipei

⁶⁴ Estimates of Modern Slavery, supra note 3, at 31.

⁶⁵ Estimates of Modern Slavery, supra note 3, at 34.

family, confinement, denial of food and sleep, threats of legal action, and sexual violence.⁶⁶ In addition, forced labourers often find themselves in debt bondage, working to pay off a debt arising from "wage advances or loans to cover recruitment or transport costs or from daily living or emergency expenses, such as medical costs."⁶⁷

Human trafficking, the use of slave labour, and violations of human rights of crew are widely acknowledged to occur in the Western and Central Pacific Ocean. For example, in 2015, the Indonesian International Office of Migration rescued more than 1,340 fishers from Myanmar, Cambodia, Thailand, and Laos on remote Indonesian islands where they had been forced to work more than 20 hours per day.⁶⁸ Some of these men had been at sea for years, with one victim "separated from his family, without any contact, for 22 years."⁶⁹ The International Organization for Migration estimates that up to 4,000 migrant fishers from countries including Cambodia, Laos, Myanmar and Thailand may be stranded or held in remote parts of the Indonesian archipelago.⁷⁰ The U.S. Department of Labor has also concluded that the fisheries sectors in Indonesia use child labour and forced labour.⁷¹

In addition, both the U.S. Department of Labor and the Department of State have concluded that the fisheries sectors in China and Chinese Taipei (Taiwan) use forced labour⁷² and that the Philippines' fisheries sector uses child labour.⁷³ The Department of Labor reported n 2020, relying on media, governmental, and nongovernmental sources, that Indonesian and Filipino migrant workers on Chinese fishing vessels "are sometimes recruited by agencies that deceive workers with false information regarding their wages and the terms of the contracts, and require the workers to pay recruitment fees and sign debt contracts."⁷⁴ It also recorded "numerous incidents of forced labor" on Chinese fishing vessels: workers have their identity documents confiscated, work 18 to 22 hours a day, "face hunger and dehydration, live in degrading and unhygienic conditions, are subjected to physical violence and verbal abuse, are prevented from leaving the vessel or ending their contracts, and frequently are not paid their promised wages."⁷⁵ In 2021, the U.S, Department of State reported that, across the entire Chinese distant water fishing fleet, nothing had changed.⁷⁶

⁶⁶ *Id.* at 35.

⁶⁷ Greenpeace, <u>Seabound: The Journey to Modern Slavery on the High Seas</u>, 10 (2019).

⁶⁸ Fisheries Crime in the Indonesian Fishing Industry, supra note 3, at 35, 93; International Labour Organization, Working Paper: Indonesia's Fisheries Human Rights Certification System: Assessment, Commentary, and <u>Recommendations</u>, 6 (2019).

⁶⁹ International Organization for Migration, <u>"On World Day Against Trafficking in Persons"</u> (July 30, 2015).

⁷⁰ International Labour Organization, <u>Working Paper: Indonesia's Fisheries Human Rights Certification System:</u> <u>Assessment, Commentary, and Recommendations</u>, 6 (2019) (referencing estimates from the International Organization for Migration).

⁷¹ U.S. Department of Labor, *supra* note 2, at 22.

⁷² *Id.* at 21, 24.

⁷³ *Id.* at 23.

⁷⁴ *Id.* at 33.

⁷⁵ *Id.* at 33. The U.S. State Department of State reported that "Chinese-flagged fishing vessels subjected workers from other countries to forced labor. On August 26, an Indonesian social media outlet posted a video of three Indonesian fisherman pleading for rescue from a PRC-flagged fishing vessel. The fishermen claimed they were subjected to physical violence, forced to work 20-hour days, and not paid for their work." *China 2020 Human Rights Report, supra* note 1, at 74.

⁷⁶ The Department of State reported the following with regard to the Chinese distant water fishing fleet (DWF):

In fact, in May 2021, U.S. Customs and Border Protection banned imports of tuna, swordfish, and other seafood from a Chinese fishing company, Dalian Ocean Fishing Co., Ltd., because information reasonably indicated that the company uses forced labour in its fishing operations.⁷⁷ Meanwhile, Indonesia is investigating forced labour, slavery, and torture of more than 150 Indonesian fishers and the death of two others.⁷⁸ Indonesia's Ministry of Foreign Affairs already repatriated 589 Indonesian fishers from 98 Chinese-flagged vessels in 2020.⁷⁹

Similar incidents have been recorded aboard vessels flagged by Chinese Taipei, which flags approximately 36% of the global tuna longline fleet.⁸⁰ This fleet employs approximately 35,000 migrant workers mostly from Indonesia and the Philippines.⁸¹ These migrant workers also face the "typical" range of abuses: "confiscation of documents, long days with little rest, physical and verbal abuse, and lack of payment."⁸² The U.S. Department of State has further reported that "[m]igrant fishermen reported senior crewmembers employ coercive tactics such as threats of physical violence, beatings, withholding of food and water, retention of identity documents, wage deductions, and noncontractual compulsory sharing of vessel operational costs to retain their labor."⁸³ It noted that "[t]hese abuses were particularly prevalent in Taiwan's large distant-waters fishing fleet, which operated without adequate oversight."⁸⁴

Despite the international requirement that flag States take effective jurisdiction and control over the vessels they flag,⁸⁵ Chinese Taipei only applies its labour laws to fishers working on vessels operating within Taiwan's territorial waters.⁸⁶ Thus, fishers on vessels flagged by Chinese Taipei operating in the high seas or exclusive economic zones of other States are "not afforded the same labor rights, wages, insurance, and pensions as those recruited to work within Taiwan's territorial waters."⁸⁷ Whereas fishers working in the territorial waters of Chinese Taipei earn a

Trafficking in Persons Report 2021, supra note 7, at 180.

Many men from countries in Africa, Asia—especially Indonesia and the Philippines—and other regions employed on many of the 2,900 Chinese-flagged DWF fishing vessels operating worldwide experience contract discrepancies, excessive working hours, degrading living conditions, severe verbal and physical abuse, denial of access to health care, restricted communication, document retention, arbitrary garnishing or nonpayment of wages, and other forced labor indicators, often while being forced to remain at sea for months or years at a time.

⁷⁷ U.S. Customs and Border Protection, <u>CBP issues Withhold Release Order on Chinese Fishing Fleet</u> (May 28, 2021). U.S. law prohibits the importation of "goods, wares, articles, and merchandise mined, produced, or manufactured wholly or in part in any foreign country by convict labor or/and forced labor or/and indentured labor." <u>19 U.S.C. §</u> 1307.

⁷⁸ Trafficking in Persons Report 2021, supra note 7, at 289.

⁷⁹ Id. at 291.

⁸⁰ U.S. Department of Labor, *supra* note 2, at 33.

⁸¹ Id. at 33.

⁸² *Id.* at 33.

⁸³ U.S. Department of State, *Taiwan 2020 Human Rights Report*, 20 (2020).

⁸⁴ *Id.* at 20 (2020). *See also Trafficking in Persons Report 2021, supra* note 7, at 150 ("Senior crew aboard vessels in the Thai and Taiwanese fishing fleets subject some Burmese men to forced labor through debt-based coercion, passport confiscation, contract switching, wage garnishing and withholding, threats of physical or financial harm, or fraudulent recruitment; they also subject some to physical abuse and force them to remain aboard vessels in international waters for years at a time without coming ashore.").

⁸⁵ UNCLOS, *supra* note 34, at art. 94.

⁸⁶ Taiwan 2020 Human Rights Report, supra note 83, at 24.

⁸⁷ Taiwan 2020 Human Rights Report, supra note 83, at 24.

mandatory minimum monthly wage of roughly US850,⁸⁸ those working in other waters make a minimum of just US450 but typically receive far less than that amount.⁸⁹

Given the scale of the Chinese Taipei fleet and the number of reports indicating abuse on those vessels, in 2018 and 2019 the Environmental Justice Foundation interviewed 71 Indonesian fishermen who had worked on 62 Taiwanese vessels.⁹⁰ Of those interviewed, 24% suffered violent physical abuse; 92% had their wages withheld; and 82% worked "excessive overtime," with many working up to 20 hours per day with very little rest.⁹¹

These are not isolated cases. Dating back to 2012, 203 Indonesian fishers working for the Taiwanese Kwo Jeng Trading Co. Ltd Company were rescued in the waters of Trinidad and Tobago because they "suffered physical and psychological abuse" and "were subjected to excessive working hours, denied proper food and medical assistance request, and had restricted freedom of movement."⁹² South Africa detained the Chinese Taipei-flagged *Fuh Sheng 11* for violating the Work in Fishing Convention; South African officials found a "lack of documentation, poor accommodation, insufficient food for fishers, and poor safety and health conditions on board," as well as crew without work agreements.⁹³

On deep-sea vessels flagged by the Republic of Korea, migrants compose 73.3% of workers.⁹⁴ As with the fleets of China and Chinese Taipei, some of these migrant workers were recruited with deceptive practices and had their passports confiscated and wages withheld.⁹⁵ Also similarly, they face long work days of more than 18 hours per day—even when sick—endure physical and verbal abuse by the Korean captains and other crew, and are forced to work.⁹⁶ In 2011, for example, the Indonesian government assisted 27 Indonesian fishers on the Korean-flagged *Melilla 203*, which fished in New Zealand's waters; the crew worked "excessive hours" and "were treated as slave labour, with some also sexually and physically abused.⁹⁷

Despite these abuses, the Korean government has not taken meaningful steps to stop them. It did not identify any cases of forced labour on fishing vessels in 2020, has not implement an adequate inspections regime, and interviewed fishers in locations where they could not speak

⁸⁸ Republic of China(Taiwan), Ministry of Labor, <u>Minimum Wage to Be Adjusted to NT\$23,800 per Month and</u> <u>NT\$158 per hour starting January 1, 2020</u> (Mar. 25, 2020).

⁸⁹ Taiwan 2020 Human Rights Report, supra note 83, at 24.

⁹⁰ Environmental Justice Foundation, "<u>Widespread Abuse and Illegal Fishing As Taiwan's Fleet Remains out of</u> <u>Control</u>", (July 20, 2020).

⁹¹ Id.

⁹² Fisheries Crime in the Indonesian Fishing Industry, supra note 3, at 56.

⁹³ ILO, <u>First Fishing Vessel Detained under ILO Fishing Convention</u> (July 17, 2018).Nick Aspinwall, <u>The High Seas</u> <u>Danger to Workers in Taiwan's Fishing Fleet</u>, The Interpreter (The Lowry Institute, May 2, 2019) (reporting on the Fuh Sheng 11 and other problems associated with the fishing fleet of Chinese Taipei).

⁹⁴ U.S. Department of State, <u>*Republic of Korea 2020 Human Rights Report*</u>, 30 (2020).

⁹⁵ Id. at 31; Trafficking in Persons Report 2021, supra note 7, at 337..

⁹⁶ Id.

⁹⁷ Fisheries Crime in the Indonesian Fishing Industry, supra note 3, at 56. Prior to this incident, other Korean vessels were accused of providing "abhorrent" conditions on their vessels fishing in New Zealand waters. These incidents led New Zealand to, among other things, require all vessels fishing in New Zealand's waters to be flagged by New Zealand. Ian Urbina, <u>Ship of Horrors: Life and Dearth on the Lawless High Seas</u>, THE GUARDIAN (Sept. 12, 2019).

freely.⁹⁸ Moreover, Korea's Seafarers Act continues to exempt migrant workers from labour rules that apply to Koreans. For example, the Act exempts migrant workers from legal working and rest hours, overtime pay, and paid holidays, and another law fails to set a minimum wage for migrant fishers, although it does for Korean fishers.⁹⁹ In addition, Korean law does "not prohibit exploitative wage deductions or prohibit worker-charged recruitment fees, which enable[s] traffickers to use debt-based coercion to exploit migrant fishermen."¹⁰⁰ With perhaps 4,000 migrant workers on Korean-flagged fishing vessels, and with many Korean-flagged vessels frequently using at-sea transshipment to stay at sea for a year or more—while also providing fishers with inadequate food and water and unsanitary work and living conditions¹⁰¹—the problems are significant.

In fact, the problems with the Asian fleets are so significant¹⁰² that Indonesia has prohibited Indonesian fishers from working on fishing vessels operated by Chinese companies or flagged by China, the Republic of Korea, and Chinese Taipei when those vessels operate outside of their exclusive economic zones.¹⁰³ But these problems are not unique to Asian fleets. In Australian ports, physical abuse is used on some fishing vessels to force men to work.¹⁰⁴ Fijian flagged vessels exploit migrant labour.¹⁰⁵

In the United States, U.S. law exempts Hawaiian vessel owners catching highly migratory fish like tuna from federal rules concerning crewing of fishing vessels.¹⁰⁶ As a consequence, roughly 700 migrant workers who catch \$110 million worth of seafood annually on Hawaiian longline vessels are not granted visas to enter the United States.¹⁰⁷ In fact, they are essentially captives on their vessels for the duration of their employment; U.S. Customs and Border Protection

¹⁰⁵ *Id.* at 236-37.

¹⁰⁷ Martha Mendoza & Margie Mason, *Hawaiian Seafood Caught by Foreign Crews Confined on Boats*, Associated Press (2016).

⁹⁸ Trafficking in Persons Report 2021, supra note 7, at 334-35.

⁹⁹ See id. at 336.

¹⁰⁰ Id.

¹⁰¹ *Id.* at 337-38.

¹⁰² See id. at 293-94 (detailing in three paragraphs the full range of abuses inflicted by some Chinese-, Korean-, and Taiwanese-flagged vessels).

¹⁰³ See id. at 292.

¹⁰⁴ *Id.* at 97.

¹⁰⁶ The Hawaiian longline fishery is not expressly named in the relevant legislation but from the law's context one can deduce that the loophole is meant to apply to the boats in this fleet. Immigration law, at 46 U.S.C. 8103(i)(1), provides that "each unlicensed seamen on a fishing, fish processing, or fish tender vessel that is engaged in the fisheries in the navigable waters of the United States or the exclusive economic zone must be-(A) a citizen of the United States; (B) an alien lawfully admitted to the United States for permanent residence; (C) any other alien allowed to be employed under the Immigration and Nationality Act" or (D) an alien working on vessels stationed in the Northern Mariana Islands. Subsection (i)(2) requires that "[n]ot more than 25 percent of the unlicensed seamen on a vessel subject to paragraph (1) of this subsection may be aliens referred to in clause (C) of that paragraph." However, subsection (i)(3) exempts "fishing vessel[s] fishing exclusively for highly migratory species" as that term is defined in the Magnuson-Stevens Fishery Conservation and Management Act (MSA). The MSA defines "highly migratory species" to mean "tuna species, marlin (Tetrapturus spp. and Makaira spp.), oceanic sharks, sailfishes (Istiophorus spp.), and swordfish (Xiphias gladius)." 16 U.S.C. § 1802(21). Because the Hawaiian longline fishery targets only tuna and swordfish, it is exempt from the law requiring crews to comprise mostly U.S. citizens. In addition, the Secretary may waive a citizen requirement under 46 U.S.C. § 8103 governing vessel and seamen citizenship requirements for several reasons, including "if the Secretary determines, after an investigation, that qualified seamen who are citizens of the United States are not available." Id. at 46 U.S.C. 8103 (b)(3)(C).

even requires captains to hold the passports of these migrant fishers.¹⁰⁸ As such, they lack labour rights most Americans take for granted. They are paid as little as 70 cents per hour, while "living in squalor on some boats, forced to use buckets instead of toilets, suffering running sores from bed bugs and sometimes lacking sufficient food."¹⁰⁹ An investigation also found evidence of human trafficking.¹¹⁰

In 2017, members of the Hawaiian Congressional delegation introduced bills in the House¹¹¹ and Senate¹¹² to authorize the temporary entry into the United States of foreign crew employed on Hawaiian longline vessels to ensure that they receive reasonable wages and working conditions. However, neither bill received a vote.¹¹³

Perhaps most disconcerting is that the problem globally and in the WCPO may be more severe than these documented cases suggest. According to one study that evaluated information concerning vessel behavior,

Taiwanese longliners, Chinese squid jiggers, and Chinese, Japanese, and South Korean longliners are consistently the five fisheries with the largest number of unique high-risk vessels. This pattern is consistent with reports on the abuses seen within distant water fleets that receive little legal oversight and often use marginalized migrant workers.¹¹⁴

Clearly, far more needs to be done.

III. The International Regulation of Labour Conditions on Fishing Vessels

The widespread exposure to and reporting of degrading work conditions, fraudulent labour practices, and human rights abuses on fishing vessels has led the international community to take steps to improve the treatment of fishers. The International Labour Organization (ILO) adopted a series of conventions in the 1950s and 1960s to address minimum age, accommodations, and other issues.¹¹⁵ When the ILO deemed those conventions inadequate, it adopted a new agreement and recommendation in 2007. Meanwhile, the 1982 U.N. Convention on the Law of the Sea (UNCLOS) requires every State to "take such measures for ships flying its flag as are necessary to ensure safety at sea with regard, *inter alia*, to . . . the manning of ships, *labour conditions* and the training of crews, taking into account the applicable international instruments."¹¹⁶ Abuses to crew have even reached international trade negotiations concerning fisheries subsidies and efforts

¹⁰⁸ Id.

¹⁰⁹ Id.

¹¹⁰ Id.

¹¹¹ Sustainable Fishing Workforce Protection Act, <u>H.R. 4224</u>, 115th Cong., 1st Sess. (2017).

¹¹² Sustainable Fishing Workforce Protection Act, <u>S. 2071</u>, 115th Cong., 1st Sess. (2017).

¹¹³ GovTrack, <u>H.R. 4224 (115th): Sustainable Fishing Workforce Protection Act</u> (reporting, "This bill was introduced on November 2, 2017, in a previous session of Congress, but it did not receive a vote."); GovTrack, <u>S. 2071 (115th):</u> <u>Sustainable Fishing Workforce Protection Act</u> (reporting, "This bill was introduced on November 2, 2017, in a previous session of Congress, but it did not receive a vote.").

¹¹⁴ McDonald et al., *supra* note 7, at 3-4.

¹¹⁵ See infra Section III.B.

¹¹⁶ UNCLOS, *supra* note 34, at art. 94(3)(b) (emphasis added).

to curb IUU fishing.¹¹⁷ RFMOs, too, have sought to address these abuses. The WCPF Convention, for example, directs the WCPFC members to "adopt minimum standards for the responsible conduct of fishing operations."¹¹⁸ Several have also adopted binding labour standards for onboard observers,¹¹⁹ although not for crew.

As this Part demonstrates, no single treaty regime has exclusive authority to regulate standards for fishing crew. To date, fisheries regimes, the ILO, and the IMO have all adopted rules relating to labour conditions for crew. Moreover, given the requirement in UNCLOS that States establish requirements for labour conditions for crew as a component of a flag State's duties, and the elaboration of flag State duties in subsequent fisheries agreements, including the U.N. Fish Stocks Agreement, it is clear that RFMOs have the authority to adopt binding conservation and management measures to address labour conditions for crew.

A. The Regulation of Labour Conditions on Fishing Vessels As Fisheries Law

1. UNCLOS

Fishing and navigation have long been subject to the freedom of the seas doctrine—a principle articulated by the Dutch scholar Hugo Grotius in 1609 in his book, *Mare Liberum*.¹²⁰ In that book, Grotius argued that peaceful navigation and fishing on the high seas was a basic right of nations since natural law forbade ownership of the commons: "The sea is common to all because it is so limitless that it cannot become a possession of one, and because it was adapted for the use of all, whether we consider it from the point of view of navigation or of fisheries."¹²¹

His view of the limitless oceans perhaps made sense in the early seventeenth century, when very few vessels plied the high seas and very few people wanted or needed the resources of the oceans. While coastal States had the right to regulate coastal waters within a narrow three-mile belt of sea surrounding the State's coastline known as the territorial sea, the remainder of the seas was proclaimed to be free to all and belonging to none. Vessels could navigate the oceans without interference from other vessels or States, and fish would be allocated based on the rule of capture.

This law quickly evolved after World War II with growing conflicts over maritime jurisdiction, increasing concerns over control and unsustainable use of fish and other natural resources, and mounting evidence of harmful pollution of the marine environment.¹²² To that end, UNCLOS acknowledges that "the problems of ocean space are closely interrelated and need to be

¹¹⁷ Submission of the United States, <u>The Use of Forced Labor on Fishing Vessels</u>, TN/RL/GEN/205 (May, 27, 2021). The U.S. submission is a proposed amendment to the chair's consolidated text on fisheries subsidies, which seeks to prohibit subsidies to IUU vessels, regarding overfished stocks, and contributing to overcapacity or overfishing. WTO, Fisheries Subsidies, <u>Draft Consolidated Chair Text</u>, TN/RL/W/276 (May 11, 2021).

¹¹⁸ WCPF Convention, *supra* note 25, at art. 10(1)(h).

¹¹⁹ See, e.g. ICCAT, Protecting the Health and Safety of Observers in ICCAT's Regional Observer Programs, Recommendation 19-10 (2020); WCPFC, Protection of Regional Observer Programme Observers, Conservation and Management Measure 2017-03 (2017).

¹²⁰ Hugo Grotius, *Mare Liberum* (1609).

¹²¹ *Id.* at 28.

¹²² See, e.g., United Nations, <u>The United Nations Convention on the Law of the Sea (A Historical Perspective)</u> (2012).

considered as a whole."¹²³ As a consequence, it establishes rules that "take[] into account the interests and needs of [hu]mankind as a whole"¹²⁴ in order to contribute to "principles of justice and equal rights [and] promote the economic and social advancement of all peoples of the world."¹²⁵

To effectuate those goals, UNCLOS imposes an array of new obligations on coastal States, flag States, and other States regarding ocean governance. UNCLOS is not just about fisheries management, although that is certainly an important aspect of it.¹²⁶ It is also about navigation,¹²⁷ rights to lay submarine cables¹²⁸ and conduct research,¹²⁹ pollution prevention,¹³⁰ access to mineral resources,¹³¹ dispute settlement,¹³² and other issues.¹³³

As a consequence of the comprehensive nature of these obligations, UNCLOS relies heavily on the duty to cooperate to fulfill them. With regard to marine pollution, UNCLOS imposes on all States the duty to "cooperate on a global basis and, as appropriate, on a regional basis, directly or through competent international organizations, in formulating and elaborating international rules, standards and recommended practices and procedures consistent with this Convention, for the protection and preservation of the marine environment.¹³⁴ Even when acting within its sovereign rights in its exclusive economic zone, a coastal State must "exercis[e] its rights and perform[] its duties" while giving "due regard to the rights and duties of other States."¹³⁵ Moreover, coastal and other States must cooperate, including through appropriate regional organizations, for the conservation and management of straddling stocks—those species that move between the exclusive economic zones of two or more states or between an exclusive economic zone and the high seas.¹³⁶ Similarly, they must cooperate "directly or through appropriate international organizations with a view to ensuring conservation and promoting the objective of optimum utilization" of highly migratory species listed in Annex I of UNCLOS.¹³⁷ Annex I includes many tuna and tuna-like species,¹³⁸ including those managed by the WCPFC and other

¹²³ UNCLOS, *supra* note 34, at preamble, para. 3.

¹²⁴ *Id.* at para. 5.

¹²⁵ *Id.* at para. 7.

¹²⁶ *Id.* at arts. 55–75 (rights and duties, including those relating to fisheries management, in exclusive economic zones), 86–120 (rights and duties, including those relating to fisheries management, in the high seas).

¹²⁷ *Id.* at arts. 17–26 (innocent passage), 37–44 (transit passage through straits), 52 (passage through archipelagic States), 58 (in exclusive economic zones), 86 (freedom of navigation on the high seas).

¹²⁸ *Id.* at arts. 87(a), 112–115 (on the high seas).

¹²⁹ *Id.* at arts. 56(b)(ii) (in exclusive economic zones), 87(f) (on the high seas), 238–265 (general).

¹³⁰ *Id.* at arts.192–233.

¹³¹ *Id.* at arts. 76–85 (on the continental shelf), 133–191 (in the Area).

¹³² Id. at arts. 279–320, Annexes VI–VIII.

¹³³ See, e.g., id. at arts. 101 (piracy), 266–278 (transfer of marine technology).

¹³⁴ *Id.* at art. 197.

¹³⁵ *Id.* art. 56(2).

¹³⁶ *Id.* art. 63.

¹³⁷ *Id.* art. 64(1).

¹³⁸ Id. at Annex I. (Unlike straddling stocks, which are identified by their existence in two or more exclusive economic zones or in the economic zone of one State and the high seas, *id.* art. 63, highly migratory stocks are specifically identified in UNCLOS Annex I. Annex I includes, among others, the following species: albacore tuna (*Thunnus alalonga*), bluefin tuna (*Thunnus thynnus*), bigeye tuna: (*Thunnus obesus*), skipjack tuna (*Katsuwonus pelamis*), yellowfin tuna (*Thunnus albacares*), southern bluefin tuna (*Thunnus maccoyii*), and many oceanic sharks, including basking shark (*Cetorhinus maximus*); thresher sharks (Family Alopiidae); whale shark (*Rhincodon typus*); oceanic

tuna-RFMOs. They must also cooperate to conserve and manage anadromous $(e.g., salmon)^{139}$ and catadromous species (e.g., eels),¹⁴⁰ as well as to protect the marine environment.¹⁴¹ Consistent with the duty to cooperate for specific species, UNCLOS also demands that States cooperate for the conservation and management of all living resources of the high seas.¹⁴²

By framing its obligations within the context of the duty to cooperate, UNCLOS greatly constrains the freedom of the seas and calls on States to take collective action. The International Court of Justice and international tribunals have consistently concluded that the essential purpose of the duty to cooperate is to protect the rights of States that might be affected by another State's activities. Given the importance of protecting the rights of other States, the International Court of Justice and international tribunals have interpreted the duty to cooperate in the context of ocean governance generally and UNCLOS specifically as including the duty to negotiate, consult, share information, monitor impacts of activities, and conduct environmental impact assessments.¹⁴³

Some additional constraints on the freedom of the seas can be seen in UNCLOS's imposition of flag State responsibilities. While a State has the right to flag vessels where there is a "genuine link" between that State and the vessel,"¹⁴⁴ the flag State has an obligation to "effectively exercise its jurisdiction and control" over the vessels it flags.¹⁴⁵ UNCLOS specifies that the exercise of "jurisdiction and control" applies to "administrative, technical and social matters."¹⁴⁶ More specifically, a State must maintain a register of the names and particulars of the vessels it flags¹⁴⁷ and "assume jurisdiction under its internal law over each ship flying its flag and its master, officers and crew in respect of administrative, technical and social matters concerning the ship."¹⁴⁸

The drafters of UNCLOS continued to elaborate on the duties imposed on a flag State to effectuate the duty to exercise effectively its jurisdiction and control over the vessels it flags. It specifies that "[e]very State shall take such measures for ships flying its flag as are necessary to

whitetip and blue shark and others in Family Carcharhinidae; and Hammerhead sharks (Family Sphyrnidae). Id. at Annex I.

¹³⁹ *Id.* at art. 66. Anadromous species are those, like salmon, that spawn in freshwater and spend the majority of their lives in the marine environment. Nelson Institute of Marine Research, <u>Fish FAQ</u>.

¹⁴⁰ *Id.* at art. 67. Catadromous species are those, like many eels, that live their adult lives in freshwater but spawn in the marine environment. Nelson Institute of Marine Research, <u>Fish FAQ</u>.

¹⁴¹ *Id.* at art. 197 ("States shall co-operate on a global basis and, as appropriate, on a regional basis, directly or through competent international organizations, in formulating and elaborating international rules, standards and recommended practices and procedures consistent with this Convention, for the protection and preservation of the marine environment, taking into account characteristic regional features.").

¹⁴² *Id.* at art. 118.

¹⁴³ As one international scholar succinctly states, the duty to cooperate "has . . . been translated into more specific commitments," including environmental impact assessment, information exchange, consultation, and notification.

PHILIPPE SANDS ET AL., PRINCIPLES OF INTERNATIONAL ENVIRONMENTAL LAW 215–216 (4th ed. 2018). For more on the duty to cooperate, including interpretations of it provided by the International Court of Justice and arbitral tribunals, see Chris Wold <u>Japan's Resumption of Commercial Whaling and Its Duty to Cooperate with the International Whaling Commission</u>, 35 J. ENVTL. L. & LITIGATION 87 (2020).

¹⁴⁴ UNCLOS, *supra* note 34, at art. 91(1).

¹⁴⁵ *Id.* at art. 94(1).

¹⁴⁶ *Id.* at art. 94(1).

¹⁴⁷ *Id.* at art. 94(1).

¹⁴⁸ *Id.* at art. 94(2).

ensure safety at sea with regard, inter alia, to . . . the manning of ships, *labour conditions* and the training of crews, taking into account the applicable international instruments."¹⁴⁹ The 1958 Convention on the High Seas imposes a nearly identical obligation.¹⁵⁰

In other words, flag States have had, as a matter of maritime law, a duty to protect fishing crew by establishing labour conditions for them for decades. Nowhere does UNCLOS cede responsibility to the ILO or IMO. Rather, the duty to cooperate, which applies to all aspects of UNCLOS¹⁵¹ including those relating to the conservation and management of fisheries resources, indicates that RFMOs are an appropriate forum for addressing labour conditions for crew when labour conditions affect the management of straddling and highly migratory fish stocks, among others. As combatting IUU fishing is a central component of the responsibility to conserve and manage fisheries resources and because IUU fishing is abetted by the use of forced labour and poor labour conditions, UNCLOS not only authorizes RFMOs to address labour conditions, but could also be interpreted as requiring that RFMOs do so.

2. The Role of FAO in Addressing Labour Conditions on Fishing Vessels

Although these provisions of UNCLOS do not expressly make the establishment of labour standards for crew an element of fisheries law, the FAO has done so as an aspect of controlling IUU fishing and elaborating on the steps a flag State must take to exercise effectively jurisdiction and control over vessels. The FAO has a mandate to collect, analyze, interpret and disseminate information relating to food and agriculture, including fisheries.¹⁵² Pursuant to that mandate, the FAO has played an active and key role in developing fisheries management concepts, including those relating to IUU fishing and labour conditions on fishing vessels. That work began in earnest in the early 1990s with the negotiation and adoption of the FAO Compliance Agreement and the FAO Code of Conduct for Responsible Fisheries, with work continuing in both the FAO and the FAO's Committee on Fisheries (COFI).

¹⁵² Its mandate reads in full as follows:

¹⁴⁹ *Id.* at art. 94(3) (emphasis added).

¹⁵⁰ <u>Convention on the High Seas</u>, art. 10(1)(b), Apr. 29, 1958, 450 U.N.T.S. 82 (entered into force Sept. 30, 1962) ("Every State shall take such measures for ships under its flag as are necessary to ensure safety at sea with regard, inter alia, to ... (b) The manning of ships and labour conditions for crews taking into account the applicable international labour instruments").

¹⁵¹ The first paragraph of the preamble to UNCLOS states that the parties to the convention are "[p]rompted by the desire to settle, in the spirit of mutual understanding and cooperation, all issues relating to the law of the sea." UNCLOS, *supra* note 34, at preamble, para. 1. *See also id.* at arts. 56(2), 58(3) (directing coastal States to have "due regard" to the rights of other States when exercising their own rights and duties within their exclusive economic zone); art. 87 (directing all States to have "due regard" to the rights of other States to have "due regard" to the rights of other states when exercising their own rights and duties on the high seas).

The Organization shall collect, analyse, interpret and disseminate information relating to nutrition, food and agriculture. In this Constitution, the term "agriculture" and its derivatives include fisheries, marine products, forestry and primary forestry products.

Constitution of the Food and Agriculture Organization of the United Nations, art. I, Oct. 16, 1945.

a. The FAO Compliance Agreement

The Agreement to Promote Compliance with International Conservation and Management Measures by Fishing Vessels on the High Seas (FAO Compliance Agreement)¹⁵³ imposes obligations on States designed to strengthen their control over the vessels they flag through cooperation and other means.¹⁵⁴ The FAO Compliance Agreement is an outcome of the Declaration of Cancun, which calls for States to "enhance international cooperation to prevent illicit fishing that constitutes an obstacle to achieving responsible fishing objectives."¹⁵⁵

To accomplish its goals to strengthen flag State control over its vessels, deter IUU fishing, and promote international cooperation, the FAO Compliance Agreement requires a party to take such measures as may be necessary to ensure that fishing vessels entitled to fly its flag do not engage in "any activity that *undermines the effectiveness* of international conservation and management measures."¹⁵⁶ Thus, a flag State must do more than ensure that its vessels comply with CMMs; it must ensure that those vessels conduct fishing operations in ways that do not undermine the effectiveness of those CMMs. In light of the goals of the Agreement, this provision appears aimed at those States that do not exercise effectively jurisdiction and control over the vessels they flag—that is, States that issue flags of convenience. In addition, it appears aimed at States that fail to participate in relevant RFMOs. A State and its vessels cannot be in noncompliance with CMMs of an RFMO to which it is not a member; when such vessels catch fish regulated by an RFMO, they engage in "unregulated fishing"¹⁵⁷ and undermine the CMMs of RFMOs.

Significantly, the FAO Compliance Agreement places the duty to prevent IUU fishing and enforcement of flag State responsibilities within the context of the duty to cooperate.¹⁵⁸ The preamble to the Agreement recognizes that "under international law as reflected in [UNCLOS], all States have the duty to take, or to cooperate with other States in taking, such measures for their respective nationals as may be necessary for the conservation of the living resources of the high seas."¹⁵⁹ The Agreement embodies the duty to cooperate by requiring parties to cooperate to implement the Agreement¹⁶⁰ and, as appropriate, "enter into cooperative agreements or arrangements of mutual assistance on a global, regional, subregional or bilateral basis so as to

¹⁵³ <u>Agreement to Promote Compliance with International Conservation and Management Measures by Fishing Vessels</u> <u>on the High Seas</u>, Nov. 24, 1993, 2221 U.N.T.S. 91 (entered into force Apr. 24, 2003) [hereinafter FAO Code of Compliance Agreement].

¹⁵⁴ FAO Compliance Agreement, *supra* note 153, at preamble, paras. 2, 7, 10. *See also* FAO, Illegal, Unreported and Unregulated (IUU) Fishing, <u>FAO Compliance Agreement</u> (the Agreement "aims to enhance the role of flag States and ensure that a State strengthens its control over its vessels to ensure compliance with international conservation and management measures.").

¹⁵⁵ Declaration of the International Conference on Responsible Fishing (Declaration of Cancun), para. 18 (May 1992).

¹⁵⁶ FAO Compliance Agreement, *supra* note 153, at art. III(1)(a) (emphasis added).

¹⁵⁷ IPOA-IUU defines "unregulated fishing" to mean fishing activities "in the area of application of a relevant regional fisheries management organization that are conducted by vessels without nationality, or by those flying the flag of a State not party to that organization, or by a fishing entity, in a manner that is not consistent with or contravenes the conservation and management measures of that organization." IPOA-IUU, *supra* note 9, at para. 3.3.1.

¹⁵⁸ FAO Compliance Agreement, *supra* note 153, at preamble, paras. 2, 7, & 10.

¹⁵⁹ *Id.* at preamble, para. 2.

¹⁶⁰ *Id.* at art. V(1).

promote the achievement of the objectives of this Agreement."¹⁶¹ In other words, RFMOs like the WCPFC can provide the means through which to implement these obligations.

While the FAO Compliance Agreement does not explicitly address labour conditions, it does so implicitly. When vessels provide unsafe working conditions, use forced labour, or violate human rights, those vessels undermine the effectiveness of CMMs, which are designed to ensure the long-term conservation and sustainable use of stocks.¹⁶² The use of forced labour has already been linked to IUU fishing. As FAO explains, "[o]perators of IUU vessels . . . tend to deny to crew members fundamental rights concerning the terms and conditions of their labour, including those concerning wages, safety standards and other living and working conditions."¹⁶³ When the denial of those fundamental rights violates international human rights law, then such vessels engage in "illegal fishing," as defined by the IPOA-IUU¹⁶⁴ because much of human rights law, including the freedom from slavery, freedom of movement, and security of person, are considered customary international law and binding on all States.¹⁶⁵ As such, the FAO Compliance Agreement has made labour conditions for crew an aspect of fisheries law and the duty to prevent IUU fishing.

b. FAO Code of Conduct for Responsible Fisheries

The FAO continued its efforts to prevent IUU fishing and promote responsible fishing practices with the non-binding FAO Code of Conduct for Responsible Fisheries (FAO Code of Conduct),¹⁶⁶ which makes clear that addressing labour standards and human rights are fisheries matters. The Code of Conduct establishes "principles and international standards of behavior for

¹⁶¹ *Id.* at art. V(3).

¹⁶² See, e.g., WCPF Convention, *supra* note 25, at art. 2; <u>Convention for Strengthening the Inter-American Tropical Tuna Convention</u>, art. II, June 27, 2003, (entered into force on Aug. 27 2010). Other regional fisheries agreements use different language to convey the same idea. *See* <u>Convention for the Conservation of Antarctic Marine Living Resources</u>, art. II, May 20, 1980, T.I.A.S. 10240 (entered into force Apr. 7, 1982), ("rational use"); <u>Convention for the Conservation of Southern Bluefin Tuna</u>, art. 2, May 10, 1993, 1819 U.N.T.S. 360 (entered into force May 20, 1994) ("conservation and optimum utilization"); Agreement for the Establishment of the Indian Ocean Tuna Commission, Nov. 25, 1993, 1927 U.N.T.S. 329, (entered into force Mar. 27, 1996) ("conservation and optimum utilization").

¹⁶³ Implementation of IPOA IUU, supra note 18, at 1.

¹⁶⁴ "Illegal fishing" includes activities conducted in violation of international obligations. IPOA-IUU, *supra* note, at para. 3.1.3 The full definition of "illegal fishing" refers to activities

^{3.1.1} conducted by national or foreign vessels in waters under the jurisdiction of a State, without the permission of that State, or in contravention of its laws and regulations;

^{3.1.2} conducted by vessels flying the flag of States that are parties to a relevant regional fisheries management organization but operate in contravention of the conservation and management measures adopted by that organization and by which the States are bound, or relevant provisions of the applicable international law; or

^{3.1.3} in violation of national laws or international obligations, including those undertaken by cooperating States to a relevant regional fisheries management organization.

IPOA-IUU, supra note 9, at para. 3.1.

¹⁶⁵ See, e.g. James Crawford, *Brownlie's Principles of Public International Law* 642-44 (8th ed. 2012) ("It is now generally accepted that the fundamental principles of human rights form part of customary international law, although not everyone would agree on the identity or content of the fundamental principles.").

¹⁶⁶ FAO Code of Conduct for Responsible Fisheries, *supra* note 35.

responsible fisheries practices."¹⁶⁷ To that end, Article 6.12 directs States to "ensure responsible fishing"¹⁶⁸ and Article 6.17 emphasizes that "States should ensure that fisheries activities and equipment allow for safe, healthy and *fair working and living conditions* and meet internationally agreed standards adopted by relevant international organizations."¹⁶⁹

It further directs States *and* RFMOs to adopt CMMs that take into account "the interests of fishers."¹⁷⁰ Concerning "fishing operations," Article 8.1.5 "expands on the concept of safety,"¹⁷¹ asking States "to ensure that health and safety standards are adopted for everyone employed in fishing operations" and that "[s]uch standards should be not less than the minimum requirements of relevant international agreements on conditions of work and service.¹⁷² While implicitly recognizing standards set by ILO, the Code of Conduct recognizes those standards as *minimum* requirements, thereby indicating that States may adopt higher standards. Article 8.4.1 requests that "States should ensure that fishing is conducted with due regard to the safety of human life."¹⁷³ FAO has specifically interpreted Article 8 to mean that responsible fishing operations need to be conducted so as to "support human well-being while protecting their environments."¹⁷⁴

Moreover, the FAO Code of Conduct, while addressing its provisions to States, also tasks them with implementing the Code's provisions through RFMOs. Article 6.10 provides that, through RFMOs, "States should ensure compliance with and enforcement of conservation and management measures and establish effective mechanisms, as appropriate, to monitor and control the activities of fishing vessels and fishing support vessels."¹⁷⁵ The Code also asks States, within the framework of RFMOs, to "cooperate to establish systems for monitoring, control, surveillance and enforcement of applicable measures with respect to fishing operations and related activities in waters outside their national jurisdiction."¹⁷⁶

In other words, the FAO Code of Conduct establishes labour standards as relevant to fisheries management and that RFMOs are relevant actors for adopting, monitoring, and enforcing such standards. FAO technical guidance for the Code of Conduct supports this view. For example, FAO has explained that States should encourage responsible fishing by ensuring that "the interests of those engaged in fishing are recognized and sufficiently secure to provide for their long term

¹⁷¹ COFI, *supra* note 41, at para. 2.

¹⁷⁶ *Id.* at para. 8.1.4.

¹⁶⁷ Id. at "Introduction."

¹⁶⁸ *Id*, at para. 6.12.

¹⁶⁹ *Id.* at para. 6.17 (emphasis added).

¹⁷⁰ *Id.* at paras. 7.2.1, 7.2.2.

¹⁷² FAO Code of Conduct for Responsible Fisheries, *supra* note 35, at para. 8.1.5.

¹⁷³ *Id.* at para. 8.4.1.

¹⁷⁴ The full statement of FAO is as follows:

Article 8 of the Code develops provisions regarding responsible fishing operations which need to be conducted and controlled to ensure long-term sustainability of living marine resources so that these can make a substantial contribution to food and nutrition security, strengthen employment opportunities and support human well-being while protecting their environments.

FAO, *Implementation of the Code of Conduct for Responsible Fisheries: Trends over the Last 25 Years*, 12 (2021).

¹⁷⁵ FAO Code of Conduct for Responsible Fisheries, *supra* note 35, at para. 6.10.

contribution to the health of fisheries resources and inter-generational equity," that "incomes are sufficient to allow conservation and management measures to be imposed without causing undue financial hardship on fishers and fishing vessel owners," and that "matters concerning the safety and health of those engaged in the fishing industry are given due consideration by taking into account the provisions of the relevant ILO conventions, as well as the recommendations of its Committee on Conditions of Work and Service in the Fishing Industry."¹⁷⁷

In addition, FAO technical guidance for the Code of Conduct provides that fisheries protection officers on the high seas should have authority to "require the master to appear and give any explanation concerning the vessel and the crew or any person on board the fishing vessel."¹⁷⁸ If crew appear malnourished, beaten, or abused, for example, this FAO guidance indicates that fisheries protection officers are well within their rights to ask questions regarding the crew, and the master is required to provide answers.

c. Post-Code of Conduct Work in the FAO and COFI

FAO and COFI have continued to elaborate on the need to protect fishers from fraudulent recruiting practices, degrading work conditions, and human rights abuses. For example, FAO, RFMOs, and others have frequently placed fisheries management in the context of sustainable development¹⁷⁹ or, in the words of many agreements, long-term conservation and sustainable use.¹⁸⁰ Sustainable development and sustainable use include environmental, economic, and social factors,¹⁸¹ with the goal of improving or sustaining quality of life and human well-being, not simply economic growth.¹⁸² Sustainable development in the context of fisheries means "[c]atering for the well-being of a fishery workforce within a wider community and broader economic context,"¹⁸³ including through the protection of fishers human rights.¹⁸⁴ In other words, FAO has, again, described labour conditions as a fisheries issue.

¹⁷⁷ FAO, *Fishing Operations*, FAO Technical Guidelines for Responsible Fisheries: No. 1, para. 5 (1996).

¹⁷⁸ Fishing Operations, supra note, at para. 61.

¹⁷⁹ FAO, <u>Indicators for Sustainable Development of Marine Capture Fisheries</u>, FAO Technical Guidelines for Responsible Fisheries: No. 8 (1999) ("fishing is important to sustainable development") [hereinafter *Indicators of Sustainable Development*].

¹⁸⁰ See, e.g., WCPF Convention, *supra* note 25, at art. 2 ("The objective of this Convention is to ensure, through effective management, the long-term conservation and sustainable use of highly migratory fish stocks in the western and central Pacific Ocean in accordance with the 1982 Convention and the Agreement.").

¹⁸¹ World Commission on Sustainable Development, *Our Common Future* 43-46 (1990); *Indicators of Sustainable Development, supra* note, at 18; *The Future We Want, supra* note, at para. 87 (reaffirming the need to strengthen international environmental governance "in order to promote a balanced integration of the economic, social and environmental dimensions of sustainable development"); Robert Costanza et al., *An Introduction to Ecological Economics*, 88-92 (2d ed. 2015) (describing sustainability in the context of ecological economics as including three factors: sustainable scale, fair distribution of resources, and efficient allocation of resources).

¹⁸² Indicators of Sustainable Development, supra note 179, at 9 ("Development in this sense relates to the quality of life and should not be confused with economic growth.").

¹⁸³ *Id.* at 11.

¹⁸⁴ See U.S. Agency for International Development, <u>Sustainable Fisheries and Responsible Aquaculture: A Guide for</u> <u>USAID Staff and Partners</u>, 32 (2013) ("Economic development is only sustainable if it includes respect for worker rights and human rights. Too often in fisheries, these rights are violated—especially in regards to child labor and working conditions on boats or in processing plants.").

Most recently, in February 2021, the FAO's Committee on Fisheries (COFI) specifically linked sustainable fisheries to labour conditions on vessels. In its *Declaration on Sustainable Fisheries and Aquaculture*, Ministers and others representing their governments at the COFI meeting declared that they

Promote the attainment of safe, healthy and *fair working conditions* for all in the sector, support efforts to prevent and *halt forced labour*, facilitate access to social protection programmes for fishers and aquaculture producers and their communities, support measures to improve safety at sea, and work towards enhancing the standards of living for all in the sector, in cooperation with other relevant international organizations, including the International Labour Organization and the International Maritime Organization.¹⁸⁵

COFI and FAO have also placed labour conditions squarely within fisheries management. In the *Voluntary Guidelines for Securing Sustainable Small-Scale Fisheries*, FAO and COFI ask States to "address occupational health issues and unfair working conditions of all small-scale fishers and fish workers by ensuring that the necessary legislation is in place and is implemented in accordance with national legislation and international human rights standards and international instruments to which a State is a contracting party."¹⁸⁶ These *Guidelines* also ask States to "eradicate forced labour, prevent debt-bondage of women, men and children, and adopt effective measures to protect fishers and fish workers, including migrants, with a view to the complete elimination of forced labour in fisheries, including small-scale fisheries."¹⁸⁷ Clearly, adopting and implementing labour standards for better working and living conditions for crew is a fisheries issue.

In fact, COFI now has a stream of work called "Safety at Sea and Decent Work in Fisheries and Aquaculture."¹⁸⁸ As part of this program, FAO has been conducting capacity building workshops on safety at sea¹⁸⁹ and seminars specifically related to labour issues that "identified regional priorities and potential improvements in the sector."¹⁹⁰ It is even exploring the use of insurance services "to reduce the vulnerability of fishers and their families to shocks caused by work-related accidents."¹⁹¹

After highlighting the vulnerability of fishers to unfair labour practices and indecent work conditions, FAO concludes that States should develop guidelines for good labour practices in the fisheries sector that cover fundamental labour rights, wages, working hours and occupational

¹⁸⁵ FAO Committee on Fisheries (COFI), <u>2021 COFI Declaration for Sustainable Fisheries and Aquaculture</u>, para. 18 (Feb. 1, 2021) (emphasis added).

¹⁸⁶ FAO, <u>Voluntary Guidelines for Securing Sustainable Small-Scale Fisheries in the Context of Food Security and</u> <u>Poverty Eradication</u>, para. 6.12 (2015). As described in the forward, these *Guidelines* are the result of a process that ran through COFI. *Id.* at v.

¹⁸⁷ *Id.* at para. 6.13.

¹⁸⁸ See COFI, <u>Safety at Sea and Decent Work in Fisheries and Aquaculture</u>, COFI/2020/Inf.14.1, para. 1 (2021).

¹⁸⁹ *Id.* at paras. 4–9. ¹⁹⁰ *Id.* at paras. 11–15.

¹⁹¹ *Id.* at para. 31. See also FAO, Best Practices to Improve Safety at Sea in the Fisheries Sector, supra note 15, at 155–65.

health and safety standards.¹⁹² It further recommends that States develop and use certification standards that include decent work criteria.¹⁹³ Lastly, it recommends that States strengthen the capacity of fisheries agencies "to deal with labour issues and for effective coordination and cooperation between labour, marine transport, and fisheries and aquaculture management authorities."¹⁹⁴ As an aspect of this last recommendation, FAO also explains that States should improve monitoring and enforcement of labour legislation in offshore and remote fisheries.¹⁹⁵ Clearly, FAO believes that labour is a fisheries issue and that the concept of "fishing" is broader than just the gear.¹⁹⁶

This work by COFI and FAO supports the larger global sustainable development agenda. The international community adopted the 2030 Agenda for Sustainable Development in which they called for an end to IUU fishing¹⁹⁷ and subsidies that contribute to IUU fishing.¹⁹⁸ States committed to "take immediate and effective measures to eradicate force labour [and] end modern slavery."¹⁹⁹ States also committed to "[p]rotect labour rights and promote safe and secure working environments for all workers, including migrant workers, in particular women migrants, and those in precarious employment."²⁰⁰ As long as States, acting alone or through RFMOs like the WCPFC, fail to take action to improve labour conditions on vessels, they fail to uphold their international commitments under the 2030 Agenda for Sustainable Development and, thus, contribute to IUU fishing.

The 2030 Agenda for Sustainable Development supports an earlier 2012 UN General Assembly resolution, *The Future We Want*,²⁰¹ which affirms that policies in the context of sustainable development and poverty eradication should enhance the welfare of fishers and "improve the livelihoods and empowerment of the poor and vulnerable groups, in particular in developing countries."²⁰² Moreover, the General Assembly acknowledged that IUU fishing "deprive[s] many countries of a crucial natural resource and remain[s] a persistent threat to their sustainable development."²⁰³ Importantly, in neither of these resolutions did the General Assembly

Fisheries management involves not only direct regulations but also management of access rights, influencing of fishers' attitudes toward the resources, and other broader issues. The scope of fisheries management has widened in recent years to consider aspects beyond the extent of a fishery resource, implying an ecosystem approach. However, in managing fisheries, due consideration should be given to the risk factor to fishers from the point of view of safety at sea, when making management decisions.

¹⁹² FAO, Scoping Study on Decent Work and Employment in Fisheries and Aquaculture, supra note 10, at 39.

¹⁹³ *Id*.

¹⁹⁴ Id. ¹⁹⁵ Id.

¹⁹⁵ Id.

¹⁹⁶ In one document supporting the Code of Conduct, the FAO noted the following:

FAO, Best Practices to Improve Safety at Sea in the Fisheries Sector, supra note 15, at 119.

¹⁹⁷ United Nations, <u>*Transforming Our World: The 2030 Agenda for Sustainable Development*</u>, U.N.G.A. Doc. A/Res/70/1, para. 14.4 (Oct. 21, 2015) [hereinafter 2030 Agenda for Sustainable Development].

¹⁹⁸ 2030 Agenda for Sustainable Development, supra note, at para. 14.6.

¹⁹⁹ *Id.* at para. 8.7.

²⁰⁰ *Id.* at para. para. 8.8.

²⁰¹ United Nations, <u>The Future We Want</u>, U.N.G.A. Doc. A/Res/66/288 (Sept. 11, 2012).

²⁰² The Future We Want, supra note 201, at para. 58(k).

²⁰³ *Id.* at para. 170.

direct any specific entity to take these actions; it directed *all* States to do so. Consistent with the duty to cooperate as described in UNCLOS, States could improve labour conditions for crew on fishing vessels, prevent IUU fishing, and implement the goals of the 2030 Agenda for Sustainable Development and The Future We Want through collective action taken within the WCPFC and other RFMOs.

3. UN Fish Stocks Agreement

The UN Fish Stocks Agreement²⁰⁴ furthers the conception of labour conditions for crew as an aspect of fisheries management. While the UN Fish Stocks Agreement is limited in scope to straddling and highly migratory fish stocks,²⁰⁵ parties to it commit themselves to "responsible fisheries,"²⁰⁶ the phrase used by the FAO Code of Conduct to include labour conditions as part of fisheries management. To accomplish that goal and effectuate their duty to cooperate,²⁰⁷ parties must, among many other things, take measures to prevent or eliminate overfishing and excess fishing capacity and ensure that levels of fishing effort do not exceed those commensurate with the sustainable use of fishery resources.²⁰⁸ Also to fulfill their duty to cooperate specifically through RFMOs,²⁰⁹ States must "adopt and apply any generally recommended international minimum standards for the responsible conduct of fishing operations."²¹⁰ Like the Code of Conduct, the UN Fish Stocks Agreement acknowledges that the *minimum* standards of other organizations do not exclude the possibility of that an RFMO may adopt higher standards. As such, the UN Fish Stocks Agreement implicitly if not explicitly contemplates the adoption of labour standards by RFMOs.

Moreover, the UN Fish Stocks Agreement also requires a party to flag vessels only if it is able to exercise effectively its responsibilities in respect of such vessels.²¹¹ Like the FAO Compliance Agreement, the UN Fish Stocks Agreement directs flag States to ensure the vessels they flag do not undermine the effectiveness of CMMs.²¹² If they cannot effectively exercise jurisdiction and control over their vessels, then they should not flag such vessels. If they are able to effectively exercise jurisdiction and control over their vessels, then they should not flag such vessels. If they are able to effectively exercise jurisdiction and control over their vessels, then they must investigate alleged violations of CMMs,²¹³ enforce such measures wherever such violations occur,²¹⁴ and impose sanctions adequate in severity to ensure compliance and future violations.²¹⁵

²⁰⁴ U.N. Conference on Straddling Fish Stocks and Highly Migratory Fish Stocks: <u>Agreement for the Implementation</u> of the Provisions of this U.N. Convention of the Law of the Sea of 10 Dec. 1982, Relating to the Conservation and <u>Management of Straddling Fish Stocks and Highly Migratory Fish Stocks</u>, Aug. 4, 1995, UNDOC A/Conf.164/37, art.18 (entered into force Dec. 11, 2001), [hereinafter "UNFSA"].

²⁰⁵ UNFSA, *supra* note 204, at art. 3(1).

²⁰⁶ *Id.* at preamble, para. 6 ("The States Parties to this Agreement . . . [c]ommitting themselves to responsible fisheries . . .").

²⁰⁷ *Id.* at art. 5.

²⁰⁸ Id. at art. 5(h).

²⁰⁹ *Id.* at art. 10.

²¹⁰ *Id.* at art. 10(c)

 $^{^{211}}$ Id. at art. 18(2).

 $^{^{212}}$ Id. at art. 18(1)

 $^{^{213}}$ Id. at art. 19(1)(b).

 $^{^{214}}$ Id. at art. 19(1)(a).

²¹⁵ *Id.* at art. 19(2).

The UN Fish Stocks Agreement further authorizes port States to take measures "to promote the effectiveness of" CMMs adopted by RFMOs.²¹⁶ Port States are also authorized to inspect "documents,"²¹⁷ which could include crew lists and work agreements, if such documents were required by an RFMO, and prohibit landings and transshipments of catch taken in a manner that undermines the effectiveness of CMMs.²¹⁸ The UN Fish Stocks Agreement thus contemplates an RFMO adopting labour standards and enforcing such standards.

4. Port State Measures Agreement

The Port State Measures Agreement (PSMA)²¹⁹ also includes labour conditions as an aspect of international fisheries law. The PSMA has an objective to "prevent, deter and eliminate IUU fishing."²²⁰ Like other fisheries instruments assessed as part of this report, it does not expressly authorize inspections and detentions of vessels for labour conditions but it does authorize inspections to determine whether a vessel has engaged in IUU fishing or fishing related activities in support of such fishing.²²¹ The PSMA defines IUU fishing consistently with IPOA-IUU²²² and, as such, includes violations of international law, including human rights law, as a reason to deny port entry,²²³ inspect the vessel,²²⁴ and allow services essential to the safety or health of the crew.²²⁵ It further defines "fishing related activities" to mean "any operation in support of, or in preparation for, fishing, including the landing, packaging, processing, transshipping or transporting of fish that have not been previously landed at a port.²²⁶ Critically, it expressly relates to "the provisioning of personnel."²²⁷

Substantively, a PSMA party may not allow the entry and use of its ports to vessels if the port State has sufficient proof that the vessel seeking entry has engaged in IUU fishing or fishing related activities in support of IUU fishing, unless it wants to inspect and take other appropriate actions with respect to such vessels.²²⁸ If a vessel has entered one of its ports, a party shall deny that vessel port services if it has "reasonable grounds" to believe that the vessel has engaged in IUU fishing or fishing related activities in support of such fishing.²²⁹ However, a party shall not deny a vessel the use of port Services "essential to the safety or health of the crew."²³⁰

Thus, consistent with other fisheries related agreements, the PSMA includes labour issues as a fisheries management issue. Like the Code of Conduct, the PSMA expressly addresses labour

²¹⁸ *Id.* at art. 23(3).

²¹⁶ *Id.* at art. 23(1).

²¹⁷ *Id.* at art. 23(2).

²¹⁹ <u>Agreement on Port State Measures to Prevent, Deter and Eliminate Illegal, Unreported and Unregulated Fishing</u>, Nov. 22, 2009 (entered into force June 5, 2016) [hereinafter PSMA].

²²⁰ PSMA, *supra* note 219, at art. 2.

²²¹ *Id.* at art. 9(5).

²²² *Id.* at art. 1(e).

²²³ Id. at art. 9(4).

²²⁴ *Id.* at art. 9(5).

 $^{^{225}}$ Id. at art. 11(2).

²²⁶ *Id.* at art. 1(d).

²²⁷ *Id.* at art. 1(d).

²²⁸ *Id.* at art. 9(3)-(6).

²²⁹ *Id.* at art. 11(1).

²³⁰ *Id.* at art. 11(2).

conditions and other issues affecting the health and safety of the crew. That is, labour issues, including the establishment of labour conditions for crew, are not an issue left to ILO. These are issues for fisheries-related agreements.

5. WCPF Convention

As with the other instruments reviewed in this report, the WCPF Convention does not prevent the WCPFC from adopting labour standards, and, in fact, Article 10 provides the WCPFC with the express authority to do so. That provision authorizes the Commission to "adopt minimum standards for the responsible conduct of fishing operations."²³¹ By referring to "the responsible conduct of fishing operations," Article 10 refers back to the FAO Code of Conduct, which specifically refers to adoption of minimum standards for living conditions.²³²

More generally, as with the UN Fish Stocks Agreement,²³³ the FAO Code of Conduct,²³⁴ and other fisheries agreements,²³⁵ the WCPF Convention authorizes the WCPFC to establish cooperative mechanisms for effective monitoring, control, surveillance and enforcement,²³⁶ where control has been defined as "the regulatory conditions under which the exploitation of the resource may be conducted."²³⁷ The treatment of crew certainly falls with the phrase "conditions under which exploitation may be conducted."

The WCPFC has, in fact, adopted a non-binding resolution concerning labour standards for crew.²³⁸ This resolution begins by acknowledging that Articles 6 and 8 of the FAO Code of Conduct set out international standards, including labour standards, for the responsible conduct of fishing operations to ensure fair work and living conditions.²³⁹ In its operative paragraphs, it encourages members to adopt measures into their national legislation to establish minimum standards regulating crew labour conditions.²⁴⁰ That legislation should include provisions to ensure "fair working conditions," including through fair terms of employment embodied in a written contract, decent living and working conditions on the vessel, and "decent and regular remuneration," among other things.²⁴¹ Consistent with the obligations of flag States, the resolution also encourages flag States to "strengthen effective jurisdiction and control over vessels flying their flag and to exercise due diligence to improve and enforce requirements regarding labour conditions on board fishing vessels."²⁴²

²³¹ WCPF Convention, *supra* note 25, at art. 10(1)(h).

²³² See supra Section III.A.2.b.

²³³ UNFSA, *supra* note 204, at art. 5(1).

²³⁴ FAO Code of Conduct for Responsible Fisheries, *supra* note 35, at paras. 7.7.3, 8.1.4.

²³⁵ See, e.g., IPOA-IUU, supra note 9, at paras. 24. 28.7, & 51.1.

²³⁶ WCPF Convention, *supra* note 25, at art. 10(1)(i).

²³⁷ Peter Flewwelling, <u>An Introduction to Monitoring, Control and Surveillance Systems for Capture Fisheries</u>, FAO Fisheries Technical Paper 338, <u>§3.1</u> (1999).

²³⁸ WCPFC, *Labour Standards for Crew on Fishing Vessels*, Resolution 2018-01 (2018).

²³⁹ *Id.* at preamble, para. 1.

²⁴⁰ *Id.* at para. 1.

²⁴¹ *Id.* at para. 2.

²⁴² *Id.* at para. 4.

The European Union and Japan expressed concerns about the authority of the WCPFC to adopt the resolution.²⁴³ China expressly stated that these issues should be addressed elsewhere but supported the resolution because it was not binding.²⁴⁴ However, the WCPFC's mandate as embodied in the WCPF Convention does not change depending on whether a measure is a non-binding resolution or a binding CMM. By adopting the resolution, the WCPFC has implicitly, and in some cases, explicitly,²⁴⁵ agreed that the WCPFC has authority to address labour conditions on fishing vessels. If the WCPFC has the authority to take action pursuant to a non-binding resolution, it also has the authority to take action pursuant to a binding CMM.

In addition, the WCPFC has also acknowledged its authority to regulate for the safety of crews in other ways. For example, when sharks are taken as bycatch, vessels should release them alive "using techniques that result in minimal harm, taking into account the safety of the crew."²⁴⁶ When taking steps to "ensure the safe release" of cetaceans caught as bycatch, "the safety of the crew shall be paramount."²⁴⁷

Moreover, the WCPFC already regulates labour conditions for onboard observers. For example, the WCPFC prohibits the assault or intimidation of onboard observers.²⁴⁸ It also requires the vessel operator to provide the observer with officer level accommodation, food, and medical and sanitary facilities,²⁴⁹ and take other measures to ensure the safety of observers.²⁵⁰

The WCPFC also requires each member to "take all reasonable actions" to care for a sick or injured observer and provide medical care for observers.²⁵¹ WCPFC members must immediately facilitate safe disembarkation of an observer who needs additional medical care²⁵² if there are "reasonable grounds" to believe the observer has been threatened, harassed, or assaulted such that

²⁴³ WCPFC, *Summary Report of the Fifteenth Regulation Session of the Commission*, para. 114 (2019) (recording, "The EU stated it fully supported the principles put forward, that these were present in its own laws, and that its vessels fully respected them, but expressed doubts about the legal basis of the WCPFC to adopt a Resolution on labour standards under Article 10(h) of the Convention."). Article 10(h) of the WCPFC convention provides that the WCPFC may "adopt generally recommended international minimum standards for the responsible conduct of fishing operations." WCPF Convention, *supra* note 25, at art. 10(h).

²⁴⁴ WCPFC15 Summary Report, supra note 243, at para. 114.

²⁴⁵ Later, when Indonesia proposed a binding CMM, New Zealand stated, "Under the Convention, the WCPFC has a mandate to adopt minimum standards for responsible conduct of fishing operations. In New Zealand's view, eliminating the most egregious abuses of human rights against fishing vessel crews is clearly within the purview of establishing standards for the responsible conduct of fishing operations." *WCPFC17 Summary Report, supra* note 27, at para. 296.

²⁴⁶ WCPFC, <u>Sharks</u>, Conservation and Management Measure 2019-04 (2019).

²⁴⁷ WCPFC, <u>*Protection of Cetaceans from Purse Seine Operations,*</u> Conservation and Management Measure 2011-03 (2012),

²⁴⁸ WCPFC, <u>Regional Observer Programme</u>, Conservation and Management Measure 2018-05, Annex A, para. 1(i) (2018). See also WCPFC, Protection of Regional Observer Programme Observers, Conservation and Management Measure 2017-03, paras. 7, 8 (2017) (requiring the flag State to take specified action if "there are reasonable grounds to believe a WCPFC ROP observer has been assaulted, intimidated, threatened, or harassed such that their health or safety is endangered.").

²⁴⁹ WCPFC CMM 2017-03, *supra* note 248, at Annex A, para. 1(g).

²⁵⁰ *Id.* at para. 1 (providing full, unrestricted access for carrying duties).

²⁵¹ WCPFC, Protection of Regional Observer Programme Observers, Conservation and Management Measure 2017-03, para. 5(c)

²⁵² *Id.* at para. 5(d).

the observer's health of safety is endangered.²⁵³ It also specifically applies the provisions relating to high seas boarding and inspection to the search and rescue of an onboard observer.²⁵⁴

Other RFMOs, including ICCAT,²⁵⁵ IOTC,²⁵⁶ and the IATTC²⁵⁷ and its companion agreement, the AIDCP,²⁵⁸ include similar provisions on labour conditions for observers and the provision of adequate food, lodging, and sanitary facilities. ICCAT,²⁵⁹ IOTC,²⁶⁰ IATTC,²⁶¹ and CCSBT²⁶² also regulate crew safety in the context of releasing bycatch safely.²⁶³ None of these

²⁵³ *Id.* at para. 7.

²⁵⁴ *Id.* at para. 12.

²⁵⁵ ICCAT, Protecting the Health and Safety of Observers in ICCAT's Regional Observer Programs, Recommendation 19-10, paras. 6-7, Annex 1 (2020); ICCAT, Recommendation by ICCAT to Replace Recommendation 16-01 by ICCAT on a Multi-Annual Conservation and Management Programme for Tropical Tunas, Recommendation 19-02, Annex 7 (2020); ICCAT, Recommendation by ICCAT Amending Recommendation 18-02 Establishing a Multi-Annual Management Plan for Bluefin Tuna in the Eastern Atlantic and the Mediterranean, Recommendation 19-04, Annex 6, para. 11 (2020); ICCAT, Transhipment, Recommendation 16-15, Appendix 2, para. 10 (2017).

²⁵⁶ IOTC, Establishing a Programme for Transhipment by Large-scale Fishing Vessels, Resolution 19/06, Annex IV, para. 9 (2019) ("Observers shall be provided accommodation, including lodging, food and adequate sanitary facilities, equal to those of officers"); IOTC, Implementation of a Pilot Project in View of Promoting the Regional Observer Scheme of IOTC, Resolution 16/04, Annex I, paras. 3-4; IOTC, Regional Observer Scheme, Resolution 11/04, para. 5.

²⁵⁷ IATTC, Scientific Observers for Longline Vessels, Resolution C-19-08, para. 5, (2019); IATTC, Improving Observer Safety at Sea: Emergency Action Plan, Resolution C-18-07 (2018). ²⁵⁸ AIDCP, Improving Safety at Sea: Emergency Action Plan, Resolution A-18-03, paras. 5, 7 (2018) (including the

same provisions as in the IATTC).

²⁵⁹ See, e.g., ICCAT, Recommendation by ICCAT to Establish Rebuilding Programs for Blue Marlin and White Marlin/Roundscale Spearfish, Recommendation 19-05, para. 5 ("shall encourage the implementation of the minimum standards for safe handling and live release procedures, as specified in Annex 1 while giving due consideration to the safety of the crew.").

²⁶⁰ See, e.g., IOTC, Resolution 13/04, Conservation of Cetaceans, para. 3 (20)(IOTC members "shall require that, in the event that a cetacean is unintentionally encircled in a purse seine net, the master of the vessels shall ... take all reasonable steps to ensure the safe release of the cetacean, while taking into consideration the safety of the crew.").

²⁶¹ See, e.g., IATTC, Management of Sharks, Resolution C-16-05, para. 3 (2016) ("Any shark (whether alive or dead) caught in the Convention Area that is not retained must be promptly released unharmed, to the extent practicable, as soon as it is seen in the net or on the deck, without compromising the safety of any persons.").

²⁶² The CCSBT makes binding on its members certain CMMs of other RFMOs, including those relating to bycatch of sharks and cetaceans. CCSBT, Resolution to Align CCSBT's Ecologically Related Species Measures with Those of Other Tuna RFMOs, para. 2 & Annex I (2020). See also CCSBT, Bycatch Mitigation (listing the CMMs of other RFMOs that are binding on CCSBT members). The CCSBT establishes standards for release of certain bycatch. CCSBT, Minimum Performance Requirements to Meet CCSBT Obligations, § 5.1 (CCSBT members should "make every effort to ensure that birds captured alive during longlining are released alive and that wherever possible hooks are removed without jeopardizing the life of the bird concerned.").

²⁶³ See, e.g., ICCAT, Rebuilding Programs for Blue Marlin and White Marlin/Roundscale Spearfish, para. 5 (2020); IATTC, Management of Shark Species, Resolution C-16-05 para. 3 (2016) (vessels must "promptly released unharmed, to the extent practicable, as soon as it is seen in the net or on the deck, without compromising the safety of any persons."); IATTC, Conservation of Mobulid Rays Caught in Association with Fisheries in the IATTC Convention Area, Resolution C-15-04 para. 3 (2015) (requiring that vessels "promptly release unharmed" any Mobulid species caught and release them "in a manner that will result in the least possible harm to the Mobulid rays captured without compromising the safety of any persons."); IOTC, Conservation of Mobulid Rays Caught in Association with Fisheries in the IOTC Area of Competence, Resolution 19/03 (2019) (requiring vessels to "promptly release alive and unharmed" any Mobulid rays caught "in a manner that will result in the least possible harm to the individuals captured," "while taking into consideration the safety of the crew.").

RFMOs, however, appear to have any binding or non-binding CMMs relating directly to labour standards for crew.

As such, the WCPFC and other RFMOs have already adopted binding CMMs relating to labour standards. Not only does the WCPFC authorize the WCPFC to adopt labour standards, but the WCPFC already has shown that it believes such standards are within its mandate. Moreover, there is no distinction between adopting labour standards for observers and crew. Just as food, accommodation, and decent working conditions are not directly related to an observer's scientific and compliance role, so too food, accommodation, and decent working conditions are not directly related to catching fish. However, healthy and safe observers and crew are relevant to fisheries management as the job of catching and monitoring catches cannot be accomplished without them.

B. The Regulations of Labour Conditions on Fishing Vessels under the ILO

The ILO's constitutional mandate is to pursue social justice and address conditions of labour which involve "such injustice, hardship and privation to large numbers of people as to produce unrest so great that the peace and harmony of the world are imperilled."²⁶⁴ To that end, the ILO has been addressing issues concerning forced labour as early as 1930, when it adopted a convention to prohibit the use of forced labour.²⁶⁵

Even earlier, however, the ILO recommended limits on the hours worked by fishers.²⁶⁶ Beginning in 1959, the ILO adopted several conventions and additional recommendations specifically related to fishing and fishers. These conventions addressed minimum age,²⁶⁷ medical examinations,²⁶⁸ contracts,²⁶⁹ accommodations,²⁷⁰ and competency certification,²⁷¹ while a non-binding recommendation addressed training.²⁷² However, ILO determined that these conventions and recommendations required updating to

reflect changes in the sector which have occurred over the last 40 years; achieve more widespread ratification; and reach, where possible, a greater portion of the world's fishermen, particularly those on smaller vessels.²⁷³

²⁶⁴ Constitution of the International Labour Organization, preamble, June 28, 1919, 49 Stat. 2712, 15 U.N.T.S. 35 [hereinafter ILO Constitution]. The ILO Constitution has been amended several times, beginning in 1922 (entered into force on June 4, 1934). The Instrument of Amendment of 1945 entered into force on September 26, 1946; the Instrument of Amendment of 1946 entered into force on April 20, 1948; the Instrument of Amendment of 1953 entered into force on May 20, 1954; the Instrument of Amendment of 1962 entered into force on May 22, 1963; and the Instrument of Amendment of 1972 entered into force on November 1, 1974. *See* ILO, <u>ILO Constitution</u> (undated).

²⁶⁵ <u>Forced Labour Convention (No. 29)</u>, June 28, 1930 (entered into force May 1, 1932), as amended by the <u>Protocol</u> of 2014 to the Forced Labour Convention, 1930, June 11, 2014, (entered into force Nov. 9, 2016).

²⁶⁶ Hours of Work (Fishing) Recommendation, 1920 (No. 7) (withdrawn 2018).

²⁶⁷ Minimum Age (Fishermen) Convention, 1959 (No. 112), June 19, 1959 (entered info force Nov. 7, 1961).

²⁶⁸ Medical Examination (Fishermen) Convention, 1959 (No. 113), June 19, 1959 (entered into force Nov. 7, 1961)

²⁶⁹ <u>Fishermen's Articles of Agreement Convention, 1959 (No. 114)</u>, June 19, 1959 (entered into force Nov. 7, 1961).

²⁷⁰ <u>Accommodation of Crews (Fishermen) Convention, 1966 (No. 126)</u>, June 21, 1966 (entered into force Nov. 6, 1968).

²⁷¹ <u>Fishermen's Competency Certificates Convention, 1966 (No. 125)</u>, June 21, 1966 (entered into force July 15, 1969).

²⁷² Vocational Training (Fishermen) Recommendation, 1966 (No. 126), June 21, 1966.

²⁷³ ILO, <u>Conditions of Work in the Fishing Sector: A Comprehensive Standard (a Convention Supplemented by a</u> <u>Recommendation) on Work in the Fishing Sector</u>, 17 (2003).

Consequently, the ILO undertook negotiations to revise and update these standards as they apply to fishers, culminating in the Work in Fishing Convention,²⁷⁴ and a separate recommendation supplementing the Convention.²⁷⁵ A separate IMO treaty addresses labour standards for seafarers,²⁷⁶ but specifically excludes fishers.²⁷⁷

1. The Scope of the Work in Fishing Convention

Drawing from those five earlier conventions and two recommendations, the Work in Fishing Convention establishes a broad objective "to ensure that fishers have decent conditions of work on board fishing vessels with regard to minimum requirements for work on board; conditions of service; accommodation and food; occupational safety and health protection; medical care and social security."²⁷⁸ To that end, it applies to all fishers and all fishing vessels engaged in commercial fishing,²⁷⁹ with more prescriptive standards applying to vessels greater than 24 meters in length.²⁸⁰

In addition, the Work in Fishing Convention entitles all fishers to written terms and conditions of employment (a Fisherman's Work Agreement),²⁸¹ minimum hours of rest (not less than 10 hours in any 24-hours period),²⁸² payment (monthly or regularly),²⁸³ decent accommodation and food,²⁸⁴ medical care,²⁸⁵ health and safety on board the vessel,²⁸⁶ social security,²⁸⁷ regulated working time,²⁸⁸ repatriation,²⁸⁹ and fee-free recruitment and placement services.²⁹⁰ With respect to accommodation, parties must adopt legislation that gives "full effect" to the requirements included in Annex III, which provides additional requirements concerning accommodation, living conditions, and other matters affecting the quality of the onboard experience.²⁹¹

2. Major Gaps in the Work in Fishing Convention

Although broad in scope, the Work in Fishing Convention has numerous exceptions that diminish its scope. For example, if the Convention "raises special problems," a party to the

²⁷⁴ Work in Fishing Convention, , *supra* note, at 33.

²⁷⁵ ILO, <u>Work in Fishing Recommendation</u>, 2007, R199 (No. 199) (June 14, 2007).

²⁷⁶ Maritime Labour Convention, 2006, as amended, Feb. 23, 2006) (entered into force Aug. 20, 2013).

²⁷⁷ *Id.* at art. 2(4).

²⁷⁸ Work in Fishing Convention, *supra* note 33, at preamble, para. 14.

²⁷⁹ *Id.* at art. 2(1).

²⁸⁰ *Id.* at arts. 10(3), 12, 14(1)(a), 30, 32(1), 41, and Annex III.

²⁸¹ *Id.* at art. 16.

²⁸² *Id.* at art. 14(1).

²⁸³ *Id.* at arts. 23–24.

²⁸⁴ *Id.* at arts. 25–28.

²⁸⁵ *Id.* at arts. 29–30.

²⁸⁶ *Id.* at arts. 31–33, 38–39.

²⁸⁷ *Id.* at arts. 34–37.

²⁸⁸ *Id.* at arts. 13–14.

²⁸⁹ *Id.* at art. 21.

²⁹⁰ *Id.* at art. 22.

²⁹¹ *Id.* at art. 28.

Convention may exclude "limited categories of fishers or fishing vessels."²⁹² If a party cannot implement all the provisions due to "special problems of a substantial nature," it may delay implementation of numerous provisions, including those protecting fishers from work-related illness, injury, or death.²⁹³

Beyond these exceptions, national authorities have vast discretion as to how they implement the Convention and define key terms. For example, each party has discretion to adopt laws and regulations to ensure the vessels it flags are "sufficiently and safely manned" and that fishers are given "regular periods of rest of sufficient length."²⁹⁴ Although the Convention prohibits those under the age of 18 from fishing at night, parties may define "night" consistently with national law.²⁹⁵ Even the provision on compliance requires a party to establish a system for ensuring compliance with the Convention, "as appropriate," allowing a party to exclude inspections and monitoring from the compliance regime.²⁹⁶

Moreover, the provisions on port State authority are inadequate and fall well short of what is included in the Port States Measures Agreement.²⁹⁷ The Work in Fishing Convention provides that a port State party *may* prepare a report if it has received a complaint of evidence that a fishing vessel is not in conformance with the Convention and *may* take measures to "rectify any conditions on board which are clearly hazardous to safety or health.²⁹⁸ By using the discretionary "may," the Convention retreats from its objective to protect fishers from abuse. In addition, the "clearly hazardous" threshold permits abuses and working conditions that clearly deviate from the objectives of the Convention.

3. The Work in Fishing Convention Does Not Preclude Regulation by Fisheries Organizations

Even though the Work in Fishing Convention is broad and comprehensive, nothing in it precludes other regimes, including RFMOs, from addressing labour conditions on fishing vessels. In fact, questions arose during the negotiation of the Work in Fishing Convention as to whether a coastal State, within its jurisdictional waters, could enforce provisions stricter than those included in the Convention. The ILO's Legal Advisor responded that "there were no provisions in the proposed Convention that could have the effect of limiting what a Member may do in regulating

²⁹² *Id.* at art. 3(1).

²⁹³ *Id.* at art. 4(1). This exception, however, is not available to vessels that are 24 meters in length or more. *Id.* at art. 4(2).

²⁹⁴ *Id.* at art. 13.

²⁹⁵ *Id.* at art. 9(6).

²⁹⁶ *Id.* at art. 40. The provision reads in full: "Each Member shall effectively exercise its jurisdiction and control over vessels that fly its flag by establishing a system for ensuring compliance with the requirements of this Convention including, as appropriate, inspections, reporting, monitoring, complaint procedures, appropriate penalties and corrective measures, in accordance with national laws or regulations."

²⁹⁷ See supra Section III.A.4 (requiring a port State to deny port services to vessels believed to have engaged in IUU fishing or fishing related activities).

²⁹⁸ Work in Fishing Convention, *supra* note 33, at art. 42(2) (emphasis added).

the activities of foreign vessels" and that no ILO Convention ever "prevented Members from adopting higher standards.²⁹⁹

The provisions of the Work in Fishing Convention itself support this view. First, nothing in the Convention indicates that the ILO is the only body with authority to establish standards for labour on fishing vessels. Second, nothing in the Convention indicates that it establishes maximum standards for labour on fishing vessels or that it seeks to establish the only labour standards applicable to fishing vessels and fishers.

To the contrary, article 37 specifically provides that, with respect to social security, parties are free to adopt, through bilateral and multilateral agreements, "other rules."300 The use of the phrase "other rules" indicates that any other rules, even if completely different from those established by the Convention, are permissible. By implication, the absence of similar provisions with respect to the many other standards of the Convention indicates that parties to the Convention may adopt other standards, provided that they are compatible with those of the Convention. This is not surprising, as international agreements set minimum standards, not maximum standards. When international agreements establish maximum standards, they do so expressly, as UNCLOS has done with respect to vessel design and construction standards.³⁰¹

Moreover, providing decent work has become a "universal objective" and has been included in major human rights declarations, including Article 23 of the Universal Declaration of Human Rights.³⁰² It has also been included in UN Resolutions and outcome documents from major conferences. The 1995 World Summit for Social Development specifically commits States "at the international level" to "ensure migrant workers benefit from the protections provided by relevant national and international instruments, take concrete and effective measures against the exploitation of migrant workers, and encourage all countries to consider the ratification and full implementation of the relevant international instruments on migrant workers."³⁰³

The Millennium Development Goals,304 the World Summit Outcome Document,305 and The Future We Want³⁰⁶ further elaborate on the concept of decent work and the urgency of ending

²⁹⁹ ILO, Provisional Record of the Ninety-sixth Session: Report of the Committee on the Fishing Sector, para. 258 (2007). The Legal Advisor added, however, the full extent of port State authority over foreign vessels was subject to debate and that specific treaty provisions, regardless of origin, could clarify the matter. Id. at para. 258.

³⁰⁰ Work in Fishing Convention, *supra* note 33, at art. 37.

³⁰¹ See e.g., UNCLOS, supra note 34, at art. 211(6)(c) (prohibiting States from adopting laws and regulations relating to design, construction, manning, or equipment standards "other than generally accepted international rules and standards").

³⁰² Universal Declaration of Human Rights, U.N.G.A. Doc. Res/217 (III) A, art. 23 (Dec. 10, 1948) ("Everyone has the right to work, to free choice of employment"; Everyone has the right to just and favourable remuneration").

³⁰³ Report of the World Summit for Social Development, U.N.G.A. Doc. A/CONF. 166/9, Commitment 3, para. (j) (1995).

³⁰⁴ United Nations Millennium Declaration, U.N.G.A. Doc. A/Res/55/2, para. 20 (Sept. 18, 2000) ("We also resolve ... to develop and implement strategies that give young people everywhere a real chance to find decent and productive work.").

³⁰⁵ 2005 World Summit Outcome, U.N.G.A. Doc. A/Res/60/1, para. 47 (Oct. 24, 2005) ("We strongly support fair globalization and resolve to make the goals of full and productive employment and decent work for all"). ³⁰⁶ *The Future We Want, supra* note 201, at para. 23 (acknowledging the need to "promot[e] full and productive

employment and decent work for all, complemented by effective social policies, including social protection floors,

forced labour. Critically, in *The Future We Want*, States "recognize that workers should have access to education, skills, health care, social security, fundamental rights at work, social and legal protections, including occupational safety and health, and decent work opportunities."³⁰⁷

Most recently in the 2030 Agenda for Sustainable Development, States commit to promote decent work³⁰⁸ and end forced labour,³⁰⁹ with Goal 8 specifically calling on States to "take immediate and effective measures to eradicate forced labour, end modern slavery and human trafficking,"³¹⁰ and to "protect labour rights and promote safe and secure working environments for all workers, including migrant workers."³¹¹

Nowhere do any of these declarations and resolutions declare the ILO as the sole forum for addressing these issues. Rather, these instruments evidence global commitments by States to address labour conditions at the international level through international cooperation.³¹² Such universal objectives must be addressed everywhere and not compartmentalized. The protection of human rights, the provision of decent work, and the eradication of forced labour and human trafficking must be addressed in all relevant fora and not subject to some perverse international game of "not it," in which no one claims responsibility for performing and implementing fundamental rights of fishers.³¹³

IV. Coordination

China has also objected to adopting binding labour conditions within the WCPFC because its fisheries agency does not have jurisdiction over such issues.³¹⁴ China's complaint, however, implicates a failure to coordinate at the national level and not a problem that diminishes the authority of the WCPFC to adopt labour standards for crew.

China has simply raised a common issue—the need for inter-agency coordination—that challenges all parties to a wide variety of international negotiations because many international treaties are cross sectoral. For example, the Convention on International Trade in Endangered

with a view to achieving the internationally agreed development goals, including the Millennium Development Goals.").

³⁰⁷ Id. at para. 152. See also id. at para. 268 (committing to protect labour rights).

³⁰⁸ 2030 Agenda for Sustainable Development, supra note 197, at para. 3 ("We resolve also to create conditions for sustainable, inclusive and sustained economic growth, shared prosperity and decent work for all, taking into account different levels of national development and capacities").

³⁰⁹ *Id.* at para. 27 (We will work to build dynamic, sustainable, innovative and people-centred economies, promoting youth employment and women's economic empowerment, in particular, and decent work for all. We will eradicate forced labour and human trafficking and end child labour in all its forms.").

³¹⁰ *Id.* at Goal 8.6.

³¹¹ *Id.* at Goal 8.7.

³¹² See, e.g., Report of the World Summit for Social Development, *supra* note 303, at para. 28 ("Our global drive for social development and the recommendations for action contained in the Programme of Action are made in a spirit of consensus and international cooperation, in full conformity with the purposes and principles of the Charter of the United Nations, . . ."); *The Future We Want, supra* note 201, at para. 11 ("We reaffirm our commitment to strengthen international cooperation to address the persistent challenges related to sustainable development for all, . . ."). ³¹³ See Urban Dictionary, Not it.

³¹⁴ WCPFC17 Summary Report, supra note 27, at para. 293 (stating that China's WCPFC delegation "has no authorization to agree to any compulsory decision by the [WCPFC] on labour issues.").

Species of Fauna and Flora³¹⁵ seeks to protect species from over-exploitation due to trade.³¹⁶ It covers both marine and terrestrial species and animal and plant species.³¹⁷ As such, agencies from various departments—wildlife, forestry, fisheries, and others—must coordinate. Moreover, because this treaty protects species by regulating trade, fish and wildlife agencies must coordinate with trade agencies. Similarly, the Montreal Protocol on Substances that Deplete the Ozone Layer³¹⁸ requires coordination of environmental agencies with trade agencies because it, too, relies in part on trade measures to achieve its environmental goals. The same is true for the various "chemicals conventions"—the Basel Convention,³¹⁹ Rotterdam Convention,³²⁰ and the Stockholm Convention.³²¹ Negotiations within the World Trade Organization involve dozens of economic sectors, including pharmaceuticals, agriculture, automotive, and others. In fact, some trade negotiations have specifically incorporated labor issues.³²²

The fisheries context is no different. In fact, the UNCLOS negotiations themselves were slowed due to the range and complexity of the issues addressed in the convention.³²³ UNCLOS required coordination and harmonization of policies among foreign ministries and defense agencies, as well as fisheries, environmental, and air traffic control agencies, among others.³²⁴ IPOA-IUU, too, specifically recognizes that different agencies are likely to be engaged in efforts to prevent, deter, and eliminate IUU fishing. As such, it directs States to "ensure that national efforts to prevent, deter and eliminate IUU fishing are internally coordinated."³²⁵ Consistently with IPOA-IUU, FAO's advice concerning measures to improve safety at sea is to ensure "cross-sector involvement within government"³²⁶ It specifically provides that "[f]isheries managers should also build up mechanisms for close collaboration and cooperation between themselves and the administrations responsible for safety.

³²⁵ IPOAA-IUU, *supra* note 9, at para. 27.

³¹⁵ <u>Convention on International Trade in Endangered Species of Fauna and Flora</u>, Mar. 3, 1973, 27 U.S.T. 1087, 993 U.N.T.S. 243 (entered into force July 1, 1975) [hereinafter CITES].

³¹⁶ *Id.* at preamble, para. 4.

³¹⁷ CITES, <u>The CITES Species</u>.

³¹⁸ <u>Montreal Protocol on Substances that Deplete the Ozone Layer</u>, Sept. 16, 1987, 1522 U.N.T.S. 3, S. TREATY DOC. NO. 10, 100th Cong. 1st Sess. (1987), 26 I.L.M. 1541; 30 I.L.M. 537 (entered into force Jan. 1, 1989).

³¹⁹ <u>Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal</u>, Mar. 22, 1989, 1673 U.N.T.S. 57, S. Treaty Doc. No. 5, 102d Cong., 1st Sess. (1991) (entered into force May 5, 1994) (establishing requirements for transboundary movements of hazardous and other wastes).

³²⁰ <u>Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in</u> <u>International Trade</u>, Sept. 10, 1998, 2244 U.N.T.S. 337 (entered into force Feb. 24, 2004) (establishing requirements for trade listed chemicals and pesticides).

³²¹ Stockholm Convention on Persistent Organic Pollutants, May 22, 2001, 2256 U.N.T.S. 119 (entered into force 22, 2001) (establishing rules for trade in certain persistent organic pollutants).

³²² The negotiation of the North American Free Trade Agreement specifically included the negotiation of a labor "side agreement," known formally as the <u>North American Agreement on Labor Cooperation</u>. Free trade agreements between the European Union and its trading partners routinely include labour provisions. *See* ILO, <u>European FTAs</u> (Oct. 2009). *See also* WTO, Fisheries Subsidies, Draft Consolidated Chair Text, TN/RL/W/276 (May 11, 2021).

³²³ Tommy T.B. Koh & Shanmugam Jayakumar, *The Negotiating Process of the Third United Nations Conference on the Law of the Sea, in* UNITED NATIONS CONVENTION ON THE LAW OF THE SEA 1982: A COMMENTARY:" VOL. I, 29, 46 (Myron H. Nordquist ed. 1985).

³²⁴ Id.

³²⁶ FAO, *Best Practices to Improve Safety at Sea in the Fisheries Sector, supra* note 15, at 12 ("Given the cross-sector involvement within government, it is recognized that there would be a need for coordination between government agencies with regard to the development and implementation of a safety strategy related to activities at sea.").

As such, a delegation may not claim a lack of authorization to address any issue within a treaty body. In fact, the most fundamental of all rules of treaty interpretation is *pacta sunt servanda*, which provides that international agreements which are legally binding must be performed in good faith by parties to the treaty. The Vienna Convention on the Law of Treaties expresses this obligation by stating that "[e]very treaty in force is binding upon the parties to it and must be performed by them in good faith."³²⁷ It has also come to mean that national law may not be invoked to justify a failure to perform a treaty obligation.³²⁸

The *pacta sunt servanda* rule "embodies an elementary and universally agreed principle fundamental to all legal systems."³²⁹ The rule is so fundamental to international law and relations that it has been called "axiomatic and self-evident."³³⁰ This rule is of prime importance for the stability of treaty relations because a party to a treaty must know that the other parties commit to implementing their treaty obligations. Stated another way, a State bears the obligation to implement a treaty in good faith, not a particular agency. The failure to properly coordinate is a breach of a State's international obligation to implement its treaty obligations in good faith.

V. Reasons to Adopt Labour Standards for Crew in the WCPFC

Despite the comprehensiveness of the Work in Fishing Convention, compelling reasons urge adoption of labour standards within the WCPFC. For example, very few States have ratified the ILO Work in Fishing Convention, the WCPFC's Technical and Compliance Committee (TCC) could monitor compliance with labour standards, and, as has occurred with other issues, the adoption of standards in one RFMO can spread to other RFMOs.

A. WCPFC Members Are Not Ratifying Relevant ILO and IMO Conventions

Very few States have ratified the Work in Fishing Convention is extremely low. More than 14 years after its adoption, only 18 States have ratified it,³³¹ and only France among WCPFC members has ratified it.³³² None of the five flag States responsible for 90% of all distant-water fishing effort—four of which are WCPFC members (China, Chinese Taipei, Japan, and the Republic of Korea)—are among the 18 ratifications.³³³ Even if the convention had greater participation, it does not have any convention-based review of implementation, enforcement, and compliance, and the ILO itself does not have any sanctioning authority.³³⁴ Instead, compliance and

³²⁷ <u>Vienna Convention on the Law of Treaties</u>, art. 26, May 23, 1969, U.N. Doc. A/CONF. 39/27, 1155 U.N.T.S. 331 (entered into force Jan. 27, 1980).

³²⁸ Crawford, *supra* note 165, at 377. *See also* VCLT, *supra* note, at art. 27 ("A party may not invoke the provisions of its internal law as justification for its failure to perform a treaty").

³²⁹ Anthony Aust, Pacta Sunt Servanda (Feb. 2007).

³³⁰ Crawford, *supra* note 165, at 450.

³³¹ They are Angola, Argentina, Bosnia and Herzegovina, Congo, Denmark, Estonia, France, Lithuania, Morocco, Namibia, Netherlands, Norway, Poland, Portugal, Senegal, South Africa, Thailand, and the United Kingdom. ILO, <u>Ratifications of C188 - Work in Fishing Convention, 2007 (No. 188)</u>.

³³² ILO, <u>Ratifications for France</u>.

³³³ Just five countries are responsible for 90 percent of distant water fishing effort. China accounts for 38%, Chinese Taipei 21.5%, and Japan, Republic of Korea, and Spain account for about 10 percent each. Sally Yozell & Amanda Shaver, *Shining a Light: The Need for Transparency across Distant Water Fishing*, 15, fig. 2 (2019).

³³⁴ The ILO has established two committees that participate in review of obligations of a range of obligations found in a number of ILO conventions and protocols. The Committee of Experts on the Application of Conventions and

enforcement are left to individual parties.³³⁵ Given the scale of the problem, the lack of participation from key flag States and the lack of a central compliance regime indicate that the convention is unlikely to meaningfully address abuses to crew on fishing vessels.

Moreover, the history of addressing labour issues relating to fisheries within the ILO indicates that very few additional States are likely to ratify the Work in Fishing Convention in the future. For example, of the five conventions relating to fisheries that were superseded by the Work in Fishing Convention, none achieved more than 29 ratifications.³³⁶ Similarly, States have not ratified IMO conventions that address safety on fishing vessels. For example, more than 42 years after its adoption, the International Convention for the Safety of Fishing Vessels, known as the 1977 Torremolinos Convention, has yet to enter into force.³³⁷ Two agreements to update the 1977 Torremolinos Convention—the 1993 Torremolinos Protocol Relating to the 1977 Torremolinos International Convention for the Safety of Fishing Vessels and the Cape Town Agreement of 2012 on the Implementation of the Provisions of the Torremolinos Protocol of 1993 relating to the Torremolinos International Convention for the Safety of Fishing Vessels, 1977³³⁸—have also not entered into force.

If the Cape Town Agreement of 2012 enters into force, approximately 64,000 fishing vessels of at least 24 meters in length that operate on the high seas³³⁹ would be subject to minimum

Recommendations (CEACR or Committee of Experts) is an independent body that analyzes country reports submitted by parties, relevant legislation, court decisions, and other information to make non-binding "observations" concerning the conformity of a party's national legislation and practices with that party's ILO obligations. The Conference Committee of on the Application of Standards (CAS) comprises a tripartite structure of governments. workers, and employers that can make "direct requests" to parties seeking additional information and can recommend that a party take specific measures to remedy an issue of non-co9mpliance. ILO, Resolution concerning the methods by which the Conference can make use of the reports submitted under Article 408 of the Treaty of Versailles [current article 22 of the ILO Constitution], in Record of Proceedings, International Labour Conference, Eighth Session, Vol. I, Appendix VII, 429 (1926). For more information on this process and the roles of the Committee of Experts and the CAS, see ILO, Monitoring Compliance with International Labor Standards: The Key Role of the ILO Committee of Experts on the Application of Conventions and Recommendations (2019). One group of scholars concluded that "compliance review through CEACR reporting is effective in improving the worst cases of non-compliance, but not in getting fairly compliant states to fully comply with international labor standards." Faradj Koliev et al., Compliance without Coercion: Effects of Reporting on International Labor Rights, 58 JOURNAL OF PEACE RESEARCH 494, 503 (2018). Others, however, question the effectiveness of ILO's compliance procedures to bring party's into compliance, noting that the ILO cannot even require that parties submit their annual report, much less bring their national laws into conformity with ILO obligations; they call another ILO compliance process a "toothless tiger." Kimberly Ann Elliott & Richard B. Freeman, Can Labor Standards Improve under Globalization?, 98, 102 (2003).

³³⁵ Work in Fishing Convention, *supra* note 33, at arts. 40-44.

³³⁶ ILO, *Conditions of Work in the Fishing Sector, supra* note 73, at 19, Table 2.1.

³³⁷ IMO, <u>Torremolinos Statement on the Cape Town Agreement of 2012, relating to Fishing Vessel Safety, and</u> <u>Combating Illegal, Unreported and Unregulated (IUU) Fishing</u>, Ministerial Conference Resolution 1, FVS-IUU/CONF.1/WP.2 (2019).

³³⁸ The Cape Town Agreement of 2012 updates, amends, and replaces various aspects of the Torremolinos Protocol of 1993. <u>Cape Town Agreement of 2012 on the Implementation of the Provisions of the Torremolinos Protocol of</u> 1993 relating to the Torremolinos International Convention for the Safety of Fishing Vessels, 1977, Oct. 11, 2012. The IMO has provided a consolidated text of the three agreements. IMO, <u>International Regulations for the Safety of</u> Fishing Vessels, MSC 92/26/Add.2.

³³⁹ IMO, <u>2012 Cape Town Agreement (Made Simple)</u>, 2 (undated).

requirements for vessel design, construction, equipment, and inspection.³⁴⁰ These binding safety regulations would prevent practices that place the lives of crew at risk.³⁴¹ With 16 of the 22 of the necessary ratifications representing only 1,907 of the 3,600 covered vessels necessary to bring the Agreement into force,³⁴² the 2012 Cape Town Agreement is not yet within striking distance of entering into force.³⁴³ Notably, moreover, only one WCPFC member, the Cook Islands, has ratified the 2012 Cape Town Agreement.³⁴⁴ The low level of interest in the region is evidenced with only two ratifications among WCPFC members of the earlier 1993 Protocol (Kiribati and France).³⁴⁵ As such, the IMO, like the ILO, is not the best forum for addressing fisheries-related labour standards.

That more than one forum may have authority to address the same or similar issues is not new to international law. The International Court of Justice in *Certain Expenses of the United Nations* concluded that both the Security Council and UN General Assembly could address peacekeeping operations.³⁴⁶ In that case, the Court was asked to determine whether or not the General Assembly could conduct its own peacekeeping missions when the U.N. Charter expressly granted the Security Council "primary responsibility for the maintenance of international peace and security."³⁴⁷ The Court concluded that the General Assembly also had authority, if not primary authority, to "recommend measures for the peaceful adjustment of any situation, regardless of origin, which it deems likely to impair the general welfare or friendly relations among nations, including situations resulting from a violation of the provisions of the present Charter setting forth the purposes and principles of the United Nations."³⁴⁸ Moreover, Article 43 of the Charter, which requires U.N. members to make troops available to the Security Council, did not constitute a rule, *lex specialis*, preventing the U.N. General Assembly from organizing peacekeeping missions.³⁴⁹

While *Certain Expenses of the United Nations* concerned two subsidiary bodies within the same organization, a similar reasoning can be applied to different treaty bodies. For example, the General Agreement on Tariffs and Trade (GATT)³⁵⁰ establishes rules for international trade in all products. Nonetheless, a variety of other treaties establish rules for trade in specific products. CITES establishes rules for trade in species of conservation concern,³⁵¹ the Montreal Protocol for

³⁵¹ CITES, *supra* note 315, at arts. III-V.

³⁴⁰ See generally IMO, International Regulations for the Safety of Fishing Vessels, MSC 92/26/Add.2.

³⁴¹ IMO, <u>2012 Cape Town Agreement (Made Simple)</u>, 2 (undated).

³⁴² Cape Town Agreement of 2012, *supra* note, at art. 4 ("This Agreement shall enter into force 12 months after the date on which not less than 22 States the aggregate number of whose fishing vessels of 24 m in length and over operating on the high seas is not less than 3,600 have expressed their consent to be bound by it.").

³⁴³ IMO, <u>Status of IMO Treaties</u>, at 500 (June 17, 2021).

³⁴⁴ *Id.* at 500 (June 17, 2021).

³⁴⁵ *Id.* at 498 (June 17, 2021).

³⁴⁶ <u>Certain Expenses of the United Nations</u>, Advisory Opinion, 1962 I.C.J. Rep. 151.

³⁴⁷ Charter of the United Nations, art. 24, June 26, 1945, 1 U.N.T.S. XVI, (entered into force Oct. 24 1945).

³⁴⁸ Certain Expenses of the United Nations, *supra* note 346, at 16 (quoting Article 114 of the Charter of the United Nations).

³⁴⁹ *Id.* at 18-19.

³⁵⁰ General Agreement on Tariffs and Trade, Oct. 30, 1947, 61 Stat. A-11, 55 U.N.T.S. 194. During the negotiations that established the World Trade Organization ("WTO"), the 1947 version of the GATT was amended to replace the phrase "Contracting Parties" with "Members." It is now known as GATT 1994. Final Act Embodying the Results of the Uruguay Round of Multilateral Trade Negotiations, Apr. 15, 1994.

trade in ozone depleting substances,³⁵² and the Basel Convention for trade in hazardous and other wastes.³⁵³

Similarly, the WCPFC and other RFMOs may adopt labour standards for crew without deferring to the ILO. This seems especially true given that the International Court of Justice and other international tribunals have allowed organizations to interpret their mandates to allow them to perform their functions effectively.³⁵⁴ For example, the United Nations could sue on behalf of its agents, even in the absence of an express provision in the U.N. Charter allowing it to do so, "to ensure the efficient and independent performance" of peacekeeping missions and "to afford effective support to its agents."³⁵⁵ The Security Council could establish the International Criminal Court for Yugoslavia because it "enjoys a wide margin of discretion" in deciding how to redress breaches of the peace.³⁵⁶

As noted previously, Article 10(1)(j) of the WCPF Convention authorizes the WCPFC to "adopt generally recommended international minimum standards for the responsible conduct of fishing operations."³⁵⁷ In light of the FAO Code of Conduct for Responsible Fisheries, this report argues that Article 10(1)(j) provides an explicit delegation of authority to the WCPFC to adopt labour standards for crew.³⁵⁸ Even if that is not the case, the "imperatives associated with effective performance"³⁵⁹ of the WCPFC's functions argue for an interpretation of the WCPF Convention that results in such an interpretation.

B. Compliance Review through the WCPFC's TCC

The ability to monitor and review compliance with labour standards provides another compelling reason for the WCPFC to adopt labour standards. As the United Nations Environment Programme has said, "[s]trengthening of compliance with multilateral environmental agreements has been identified as a key issue."³⁶⁰

³⁵² Montreal Protocol, *supra* note 318, at art. 4.

³⁵³ Basel Convention, *supra* note 319, at arts. 4, 6.

³⁵⁴ Legality of the Use by a State of Nuclear Weapons in Armed Conflict, Advisory Opinion, 1996 I.C.J. Rep. 66, 74-75 ("the very nature of the organization created, the objectives which have been assigned to it by its founders, the imperatives associated with the effective performance of its functions, as well as its own practice, are all elements which may deserve special attention when the time comes to interpret these constituent treaties."); <u>Reparation for</u> <u>Injuries Suffered in the Service of the United Nations</u>, Advisory Opinion, 1949 I.C.J. Rep. 174, 180 ("the rights and duties of an entity such as the Organization must depend upon its purposes and functions as specified or implied in its constituent documents and developed in practice."). *See also* Certain Expenses of the United Nations, *supra* note 346, at 157 (stating that the Court would, when interpreting the Charter, consider "the structure of the Charter," "the relations established by it between the General Assembly and the Security Council," and how the General Assembly and Security Council "have consistently interpreted the text" in their practice.)

³⁵⁵ Reparation for Injuries Suffered in the Service of the United Nations, *supra* note 354, at 183.

³⁵⁶ Prosecutor v. Tadić, Appeals Chamber, I.C.T.Y., Case No. IT-94-1-AR2, para. 31 (1995).

³⁵⁷ WCPF Convention, *supra* note 25, at art. 10(1)(j).

³⁵⁸ See supra Section III.A.5 for a discussion of Article 10(1)(j).

³⁵⁹ Legality of the Use by a State of Nuclear Weapons in Armed Conflict, *supra* note 354, at 75 (stating that "the imperatives associated with the effective performance of its functions, as well as its own practice, are all elements which may deserve special attention when the time comes to interpret these constituent treaties.").

³⁶⁰ United Nations Environment Programme, <u>UNEP Guidelines on Compliance with and Enforcement of Multilateral</u> <u>Environmental Agreements</u>, para. 5 (2002).

Yet, the Work in Fishing Convention provides no means to ensure compliance, and the ILO has no sanctioning power; instead, it allows parties to review compliance with the Convention's standards.³⁶¹ In contrast, the WCPF Convention establishes a Technical and Compliance Committee (TCC) to "monitor and review compliance" with CMMs³⁶² and make recommendations to the WCPFC based on this review.³⁶³ The WCPFC adopted the Compliance Monitoring Scheme (CMS)³⁶⁴ to facilitate monitoring and review of compliance with CMMs.³⁶⁵

The TCC does not review every obligation. Instead, each year the WCPFC decides which obligations to assess using a risk-based approach,³⁶⁶ although that approach has yet to be implemented.³⁶⁷ Until that work is completed, the WCPFC chooses the obligations to assess based on the WCPFC's "needs and priorities," evidence of high levels of non-compliance, and "the potential risks posed by non-compliance by [members] of CMMs to achieve the objectives of the Convention or specific measures adopted thereunder."³⁶⁸

Under either approach, it seems likely that compliance with the CMM for crew standards would be part of the CMS. Given the scale of the problem, the health and safety risks to crew, and the competitive advantage gained by vessels using forced labour or failing to provide sufficient food and accommodation, WCPFC members would likely decide to review compliance with labour standards under a risk-based approach.

Using the interim, multi-factor approach, the needs and priorities of the WCPFC argue in favor of assessing compliance with any labour standards. The reputation of the fishery, and thus the reputation of products in the marketplace from this fishery, could be seriously and quickly undermined through social and other media.³⁶⁹ Especially with many fisheries managed by the WCPFC certified by the Marine Stewardship Council,³⁷⁰ ensuring the reputation of the fishery should be of high priority. The potential risks of non-compliance are high not only for those certified fisheries, but for all WCPFC fisheries. In addition, the number of reports of poor labour conditions and far worse among a range of WCPFC members, as documented in Section II, provides evidence of a high percentage of non-compliance.

In addition to monitoring and review, the WCPFC has the means to enforce the standards, something that the Work in Fishing Convention does not address. If the WCPFC adopts a binding CMM for labour standards, then those vessels authorized to perform high seas boarding and

³⁶¹ See supra note 334 and accompanying text.

³⁶² WCPF Convention, *supra* note 25, at art. 14(1)(b).

³⁶³ *Id.* at art. 14(2)(h).

³⁶⁴ WCPFC CMM 2019-06, *supra* note 37.

³⁶⁵ 2019-06, *supra* note 37, at para. 1 (the purpose of the CMS "is to ensure that [members] implement and comply with obligations arising under the Convention and [CMMs] adopted by the Commission.").

³⁶⁶ 2019-06, *supra* note, at para. 6. For a summary of the CMS, see WCPFC, <u>Compliance Monitoring Scheme</u>.

³⁶⁷ WCPFC17 Summary Report, supra note 27, at para. 375

³⁶⁸ WCPFC CMM 2019-06, *supra* note 37, at para. 6. This CMM uses the acronym "CCMs" to refer to members and cooperating non-members. For simplicity, this report uses "members."

³⁶⁹ See WCPFC17 Summary Report, supra note 27, at para. 296 (New Zealand stating, "The safety and conditions of fishing vessel crew are critical to the reputation of the Commission and its members; that reputation will be undermined by cases of human rights abuse in the Convention Area.").

³⁷⁰ For a list of MSC-certified tuna fisheries, including those fisheries managed by the WCPFC, see International Seafood Sustainability Foundation, <u>MSC-Certified Tuna Fisheries</u>.

inspection will have direct authority to inspect for possible violations of adopted labour standards.³⁷¹ In so doing, the WCPFC members would embrace the duty to cooperate that is at the heart of UNCLOS³⁷² and the WCPF Convention³⁷³ itself.

C. FFA Already Requires Minimum Labour Conditions

A third benefit of the WCPFC adopting establishing labour standards for crew is to help WCPFC members implement their duty to impose CMMs for the high seas that are compatible with those that apply under national jurisdiction, as required by Article 8 of the WCPF Convention.³⁷⁴ The 17 members of the Forum Fisheries Agency (FFA), through their *Harmonized Minimum Terms and Conditions for Access by Fishing Vessels*,³⁷⁵ already impose minimum standards for labour conditions similar to those included in the ILO Work in Fishing Convention.³⁷⁶ The *Harmonized Minimum Terms and Conditions* require written contracts, respect for human rights, proper medical services, decent and regular remuneration, repatriation, regular rest, appropriate accommodation, and suitable food and water, among other things.³⁷⁷ They also prohibit the deduction from crew wages of any work-related expenses.³⁷⁸ The vessel operator is responsible for ensuing compliance with these requirements.³⁷⁹

Not only would adoption of a CMM on labour standards for crew implement WCPFC member's duty under Article 8, it would also harmonize standards applicable to purse seine vessels and longline vessels. The *Harmonized Minimum Terms and Conditions* apply to all vessels fishing within the waters of FFA members. Because virtually all purse seine vessels registered to fish in the WCPFC Convention fish within FFA waters,³⁸⁰ these labour standards apply to virtually all purse seine catches regulated by the WCPFC.³⁸¹

³⁷¹ WCPFC, *Boarding and Inspection Procedures*, Conservation and Management Measure 2006-08, at para. 3 (stating the purpose of the CMM as ensuring compliance with the provisions of the Convention and CMMs). *See also id.* at para. 10(b) (2006) (prioritizing boarding and inspection of vessels reasonably believed to have engaged in activity in contravention of a CMM).

³⁷² See supra Section III.A.

³⁷³ WCPF Convention, *supra* note 25, at preamble, para. 3; art. 5 (through the WCPF Convention, WCPFC members are "giving effect to their duty to cooperate"); art. 8(1) ("members of the Commission have a duty to cooperate for the purpose of achieving compatible measures"); art. 10(1)(b) (function of the WCPFC is to "promote cooperation and coordination").

³⁷⁴ WCPF Convention, *supra* note 25, at art. 8(1).

³⁷⁵ FFA, *Harmonized Minimum Terms and Conditions for Access by Fishing Vessels*, as amended by FFC110 (May 2019).

³⁷⁶ Harmonized Minimum Terms and Conditions, supra note 372, at para. 22.

³⁷⁷ *Id.* at para. 22. *See also id.* (including details of the work agreement).

³⁷⁸ *Id.* at para. 22(m).

³⁷⁹ *Id.* at para. 22.

³⁸⁰ See Peter Williams & Thomas Ruaia, <u>Overview of Tuna Fisheries in the Western and Central Pacific Ocean,</u> <u>including Economic Conditions–2019</u>, WCPFC-SC16-2020/GN IP-1 rev 3, 4-19 (2020).

³⁸¹ In addition, because all purse seine vessels must have observers on them and tranship in port, monitoring and compliance with these labour standards is possible. WCPFC, <u>Bigeye, Yellowfin and Skipjack Tuna in the Western</u> and <u>Central Pacific Ocean</u>, Conservation and Management Measure 2020-01, para. 34 (2020) (requiring 100% observer coverage on all purse seine vessels operating in the Convention Area between 20°N and 20°S latitude). This requirement has been in place since 2010. *See* WCPFC, <u>Guidelines for the Regional Observer Programme</u>, at 2 (May 2019).

That is not the case with respect to longline vessels. Many longline vessels fish entirely on the high seas³⁸² and, as such, the FFA *Harmonised Standards* do not apply to them. Moreover, longline vessels compose more than 61% of WCPFC registered vessels³⁸³ and, as such, few longline vessels have international agreed labour standards that apply to them.³⁸⁴ The adoption of a CMM would thus harmonize standards as applied to purse seine vessels and longline vessels.

³⁸² In 2016, 32% of the longline catch came from the high seas area of the WCPFC Convention Area. Personal Communication with Peter Williams, Oceanic Fisheries Programme (OFP), Secretariat of the Pacific Community (SPC) (Dec. 5, 2017). *See also generally* Williams & Ruaia, *supra* note 377, at 25-29 (describing and showing the general geographic distribution of the longline catch).

³⁸³ WCPFC, Record of Fishing Vessels, Vessels in the RFV by Vessel Type.

³⁸⁴ In addition, the WCPFC does not require observers on most longline vessels. The WCPFC has established a goal of just five percent observer coverage for longline and other non-purse seine vessels. WCPFC, <u>Conservation and Management Measure for the Regional Observer Programme</u>, CMM 2018–05, at Annex C, at ¶ 6 (2018). Many members, however, do not meet even that low standard. Total observer coverage, as measured by number of hooks fished indicate that overall coverage was 4.7% and 3.5% for 2018, and 2019 respectively. Peter Williams et al., <u>Status of Observer Data Management</u>, WCPFC-SC16-2020/ST-IP-02, at para. 23 & pages 20-21, tables 5 and 6 (2020). As a result, crew on longline vessels are at much greater risk than on purse seine vessels in the WCPFC Convention Area.

VI. Conclusion

Although China has asserted that the WCPFC is not the proper forum for adopting labour conditions for fishing crews, the WCPF Convention provides the WCPFC with an express mandate to do so. International law, including that law embodied in the ILO's Work in Fishing Convention, does not establish the ILO as the only international organization capable of addressing labour standards for crew. Not only does the Work in Fishing Convention establish minimum labour standards for crew, but the international community has directed all States to take action to prevent IUU fishing and take immediate and effective measures to eradicate forced labour. In fact, the WCPFC, by addressing labour conditions, would be taking action prevent and deter IUU fishing.

Practical reasons also dictate that the WCPFC should adopt binding labour standards for crew. Participation in the ILO Work in Fishing Convention is very low, particularly among WCPFC member States. In addition, the WCPFC has the institutional machinery to review, monitor and compel compliance, whereas the ILO and parties to the Work in Fishing Convention do not. The WCPFC also has the means to enforce the labour standards through high seas boarding and inspection, which the Work in Fishing Convention does not. Moreover, the FFA has already adopted minimum labour standards consistently with the Work in Fishing Convention for any vessel fishing in the jurisdictional waters of the 17 FFA members, an area that encompasses the vast majority of purse seine fishing but only a relatively small amount of longline fishing. Adopting similar standards for vessels throughout the WCPFC Convention Area would not only help implement the members' duty to adopt compatible measures for the high seas that apply in national jurisdictions, but also harmonize standards as between purse sine vessels and longline vessels.

In any event, the WCPFC already has adopted labour standards for observers. It and other RFMOs have also taken measures to ensure the safe release and to minimize harm to sharks and cetaceans caught as bycatch. Only in Superman's Bizarro World³⁸⁵ can the WCPFC establish binding labour standards for observers, ensure the safety of whale sharks and cetaceans, but not establish labour standards for the wellbeing of crew. Crew represent a critical component of fisheries and fisheries management, along with gear and the vessel itself and fishing; fishing cannot take place without each of these three components.³⁸⁶ The sooner that the WCPFC recognizes these critical linkages and adopts binding labour standards, the sooner it can further prevent and deter IUU fishing, better manage the world's most valuable tuna fishery, and sufficiently protect the lives of the men, women, and children who risks their lives and wellbeing to provide the world with tuna and other fisheries resources.

³⁸⁵ See <u>Bizarro World</u>, Wikipedia ("In popular culture, 'Bizarro World' has come to mean a situation or setting which is weirdly inverted or opposite to expectations.").

³⁸⁶ As the United States has said, "fishing involves gear and people, and the Commission has CMMs regarding gear type, and it [is] time to consider the fishers. . . . [I]t is difficult to separate the gear from the humans who are operating it." *WCPFC17 Summary Report, supra* note 27, at para. 298.