



**TECHNICAL AND COMPLIANCE COMMITTEE**  
**Seventeenth Regular Session**  
Electronic Meeting 22 – 28 September 2021

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**CHAIR'S PROPOSAL FOR DEVELOPING A PROVISIONAL COMPLIANCE  
MONITORING REPORT AT TCC17**

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**WCPFC-TCC17-2021-09<sup>1</sup>**  
**17 September 2021**

**Paper by the TCC Chair**

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<sup>1</sup> Note a supplement to this paper has also been prepared which is posted as **TCC17-2021-09\_supplement SECURE** Order for consideration of the list of potential compliance issues identified in the full dCMR. This is available to authorised CCM users at <https://www.wcpfc.int/cmr-2021>

**TCC Chair’s Revised proposal for Developing a Provisional Compliance Monitoring Report at TCC17**

1. The WCPF Convention obligates the Technical and Compliance Committee to monitor and review compliance with conservation and management measures adopted by the Commission. The process for undertaking this review is established in CMM 2019-06 *Conservation and Management Measure for Compliance Monitoring Scheme*.
2. TCC17 will be the second virtual meeting of the Technical and Compliance Committee. In 2020, CCMs led by then acting TCC Chair developed a process for implementing the Compliance Monitoring Scheme (CMS) in a virtual format. This process built on the experience through previous implementation of the CMS, and effectively delivered on this core function of the Committee. Hence, my intention is to closely follow the process undertaken last year (with a few clarifications as outlined in this paper).
3. CCMs will recall that, noting the virtual format of the meeting and the absence of an agreed process, TCC16 agreed not to consider the aggregated tables summarizing the information on alleged infringements drawn from the online Compliance Case File System (CCFS) as per Para 26(ii) of CMM 2019-06. WCPFC17 tasked the TCC Chair to lead intersessional work to provide guidance on how TCC17 would consider the aggregated tables. A Chair’s proposal for this process was provided to CCMs in Circular 2021/68 on 13 August 2021. Following feedback provided by one CCM, a revised process with further detail is outlined in this paper.
4. Any decision to close plenary for the consideration of the draft CMR and provisional CMR would need to be taken by TCC17. As per existing practice, this will be discussed at the pre-TCC17 HODs meeting to be held on Tuesday 21 September 2021.

**CMR process for TCC17**

5. The CMR process for TCC17 will be undertaken in three stages:

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| <ol style="list-style-type: none"><li>1. Review of Capacity Assistance Needed statuses from previous years</li><li>2. Review of the aggregated tables<ol style="list-style-type: none"><li>a. Identification of implementation challenges</li><li>b. Addressing outstanding cases in the Online CCFS</li></ol></li><li>3. Review of issues arising from the draft Compliance Monitoring Report (dCMR) and application of a compliance status for 2020</li></ol> |
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Following the CMR review process, the TCC Chair will develop a draft Provisional CMR Executive Summary for CCMs review.

**1. Review of Capacity Assistance Needed statuses**

6. As in past years, we will first receive reports on the progress of capacity development plans (obligations that were assessed by TCC16 as Capacity Assistance Needed (CAN)). We will go through each of the four (4) CAN assessments from last year and in accordance with Section V of CMM 2019-06 TCC will determine whether each should remain CAN or whether the assessment should be changed to reflect progress made over the past year. The results of

these assessments will be included in the Provisional CMR that is sent to the Commission. The list of four (4) CAN RY 2019 assessments is provided in Table 1 of [TCC17-2021-08B](#).

## 2. Review of aggregated summary tables of cases in the online CCFS

7. As per para 29 of CMM 2019-06, the Secretariat issued to CCMs on 13 August 2021 an MS Excel file containing dynamic aggregated summaries of information drawn from the Online CCFS. The new approach of a dynamic rather than a static pdf file, took into consideration the stated intention of the tables as described in paragraph 26(ii)...

*26. At the same time, the Executive Director shall draw from the online case file system and transmit to:*

*(ii) all CCMs, aggregated information across all fleets based on the information reported by CCMs pursuant to paragraph 10, for the previous 5 years. The templates attached as Annex II will serve as the basis for the data fields that will be included. This will be used to provide an indicator of potential anomalies in the implementation of obligations by a CCM, with a view towards identifying implementation challenges for that CCM and identifying systemic failures to take flag state action in relation to alleged violations. This information shall be considered by TCC alongside the Draft Compliance Monitoring Report.*

8. An updated version of this document (**TCC17-2021-dCMR02\_rev1**) is made available with the circulation of this paper and is available to authorised CCM users at <https://www.wcpfc.int/cm-2021>. The updated version of the dynamic aggregated tables file reflects the status of cases as at **close of business in Pohnpei on 6<sup>th</sup> September 2021**.<sup>2</sup>

9. As outlined in the process outlined in Circular 2021/68, TCC17 review of the aggregated tables will be undertaken in two stages based on paras 33 and 34 of CMM 2019-06.

10. In keeping with the recommendation from TCC13 and the decision from WCPFC14, we will not be considering any of the ROP Pre-Notification List.

### 1. Identification of implementation challenges

11. The aggregated tables facilitate the identification of CCMs' implementation challenges and provide guidance for TCC17 to seek information on such challenges and articulate a process for how these shall be resolved (CMM 2019-06 para 33a-d).

12. As per the process outlined in Circular 2021/68, CCMs may raise for TCC17 consideration implementation challenges pertaining to either themselves, or other CCMs. However, the identification of implementation challenges pertaining to other CCMs was subject to a reporting deadline of fifteen days prior to TCC17 (6 September 2021). No issues were raised by CCMs by this date.

13. Hence, consideration of implementation challenges shall be restricted to self-identified challenges, unless a CCM consents to an implementation issue being raised by another CCM.

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<sup>2</sup> For further guidance on accessing the aggregate tables file please visit the Secretariats recently created online support system <https://wcpfc.freshdesk.com/support/home>

14. Where an implementation challenge is identified, the CCM in question shall be asked to report to TCC17 information in line with para 33a and b, namely:

- a) *Identify any targeted assistance that might be required to address the challenge;*
- b) *Determine a timeframe for the resolution of the challenge*

CCMs may ask questions of the CCM in question with a view to providing clear guidance to the Commission. The Chair shall oversee this process in line with the Principles articulated in Section II of CMM 2019-06.

15. This information shall be included in the pCMR in line with the obligation to report to the Commission how identified implementation challenges shall be resolved (para 33c). Where feasible, CCMs are requested to provide responses to para 33a-b in writing to the Secretariat to facilitate reporting in the pCMR.

16. Where a CCM is a SIDS or Participating Territory or Indonesia or the Philippines, the information requested under para 33a-b may be referred to the development of a Capacity Development Plan in accordance with section V of CMM 2019-06.

17. In the event, that no implementation challenges are identified through this process, the TCC Chair may seek views from CCMs on whether alternative approaches to implement para 33 shall be developed ahead of TCC18. The Chair may also seek views from CCMs on refinements to the presentation of aggregate tables to inform Secretariat work ahead of TCC18.

## 2. Addressing outstanding cases in the Online CCFS

18. The dynamic aggregate tables file developed by the Secretariat includes a sheet “Counts of old cases >24 months” which identifies the list of CCMs and counts of the cases outstanding in the Online CCFS for two or more years. To support each flag CCMs review of the relevant cases in this category, the Secretariat has published a new list titled “**Old CCFS cases (>24 months)**” that may be accessed through the WCPFC intranet site.<sup>3</sup> The updated version of the dynamic aggregated tables file reflects the status of cases as at **close of business in Pohnpei on 6<sup>th</sup> September 2021**.

19. As with the review of issues arising from the dCMR and in line with the Commission’s existing approach, outstanding cases related to ROP pre-notifications shall be excluded from this review process.

20. In line with para 34 of CMM 2019-06, CCMs with cases identified in this document shall be asked to articulate to TCC17 information in line with para 34a and b, namely:

- a) *Identify what is needed to progress or resolve these cases;*
- b) *Determine a timeframe for resolution of the cases*

21. This issue will be done on a CCM-by-CCM basis. CCMs may provide information pertaining to these cases at a level that they determine appropriate (for instance, a CCM may report common challenges to all its outstanding cases or may identify challenges specific to different cases), however TCC17 will not consider the details of individual cases. CCMs may ask questions of the CCM in question with a view to providing clear guidance to the

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<sup>3</sup> For further guidance please visit the Secretariats recently created online support system <https://wcpfc.freshdesk.com/support/home>

Commission. The Chair shall oversee this process in line with the Principles articulated in Section II of CMM 2019-06 and guided by the Purpose of the CMS as articulated in Section I of CMM 2019-06.

22. Information provided by CCMs as per para 34a-b shall be recorded in the pCMR in line with the obligation to report to the Commission how identified implementation challenges shall be resolved (para 34c). Where feasible, CCMs are requested to provide responses to para 34a-b in writing to the Secretariat to facilitate reporting in the pCMR.

### 3. Review of issues arising from the draft Compliance Monitoring Report

23. As per the process undertaken at TCC16, the review of issues arising from the dCMR will be undertaken in the TCC17 plenary session. The dCMR has been prepared based on the list of obligations for assessment agreed by WCPFC17 (refer **Annex A**)<sup>4</sup>.

24. TCC17 will consider the full list of obligations covered in the full draft CMR, but will prioritise consideration of the 39 potential compliance issues identified by the Secretariat in the dCMR.

25. In line with the approach taken at TCC16, CCMs may raise additional compliance issues not identified in the dCMR. Such issues should be raised to the Chair, who may grant leave for the issue to be discussed and seek the Committee’s guidance on application of a compliance status.

26. Consistent with the practice of past years:

- i. Review will be on an obligation-by-obligation basis, not by CCM.
- ii. TCC17 will limit the practice of allowing CCMs to provide additional information verbally to situations of clarification only.

#### Assignment of Provisional Compliance Status

27. Annex I in CMM 2019-06 provides five Compliance Status options as follows:

#### **CMM 2019-06 Annex I - Compliance Status Table**

Compliance Status This annex applies to compliance statuses assigned for each individual obligation.	Criteria in 2019 Interim criteria
Compliant	A CCM will be deemed Compliant with an obligation if the following criteria have all been met: a. reporting or submission deadlines; b. implementation of obligations through national laws or regulations; c. submission of all mandatory information or data required, in the agreed format, as applicable.
Non-Compliant	A CCM will be deemed Non-Compliant with an obligation if any of the following have occurred, as applicable:

<sup>4</sup> Note that no deadline obligations were included in the list of obligations agreed for assessment by WCPFC17.

	<p>a. a CCM has failed to comply with an obligation or category of obligations not specifically identified as Priority Non-Compliant;</p> <p>b. information or data for the obligation has been submitted or reported in a way that is incomplete, incorrect.</p> <p>c. Where TCC does not consider that progress has been made on a CDP or flag CCM investigations, or wrongly formatted; or</p> <p>d. a CCM has failed to meet reporting or submission deadlines.</p>
Priority Non-Compliant	<p>A CCM will be deemed Priority Non-Compliant with an obligation if any of the following have occurred, as applicable:</p> <p>a. exceeded quantitative limit established by the Commission;</p> <p>b. failure to submit its Part II Annual Report;</p> <p>c. repeated non-compliance with an obligation for two or more consecutively assessed years; or</p> <p>d. any other non-compliance identified as Priority Non-Compliance by the Commission.</p>
Capacity Assistance Needed	<p>A SIDS or Participating Territory or Indonesia or the Philippines will be deemed Capacity Assistance Needed where they cannot meet an obligation and the following have occurred:</p> <p>a. that CCM has provided a Capacity Development Plan to the Secretariat with its dCMR prior to TCC; and</p> <p>b. TCC confirms that all the elements of paragraph 14 are included in that Plan.</p>
CMM Review	There is a lack of clarity on the requirements of an obligation.

28. Additionally paragraph 7 of CMM 2019-06 provides additional guidance on the criteria for assessments of certain types of obligations:

*(i) For a CCM-level quantitative limit or collective CCM quantitative limit, such as a limit on fishing capacity, fishing effort, or catch, verifiable data indicating that the limit has not been exceeded.*

*(ii) For other obligations:*

- a. Implementation – where an obligation applies, the CCM is required to provide information showing that it has adopted, in accordance with its own national policies and procedures, binding measures that implement that obligation; and*
- b. Monitor and ensure compliance – the CCM is required to provide information showing that it has a system or procedures to monitor compliance of vessels and persons with these binding measures, a system or procedures to respond to instances of non-compliance and has taken action in relation to potential infringements.*

29. In implementing Annex I, TCC17 should assign one of the above five compliance statuses for each CCM and obligation. Where a status of “Non-Compliant” or “Priority Non-Compliant” is assigned, TCC17 should determine if CCMs may provide additional information up to 21 days after TCC17, noting that additional information is limited to filling an information gap (CMM 2019-06 paragraph 40). The particular obligation/scores that may be

met with the provision of additional information should be noted at the time of taking the final Provisional Compliance Monitoring scores.

30. Consistent with the practice of past years:

- i. Decisions on provisional assessments are to be by consensus (refer CMM 2019-06 para 35)
- ii. CCMs cannot block their own compliance assessment if other CCMs have reached consensus (refer CMM 2019-06 para 36); and

31. As per para 35 of CMM 2019-06, where consensus cannot be reached, the pCMR shall record majority and minority views. Consistent with the role of TCC in making recommendations to the Commission as necessary stemming from the compliance review process, where TCC17 is unable to reach consensus on application of a compliance status, the Chair will seek guidance from CCMs on such advice to the Commission.

Application of information from the aggregated tables review in determining compliance status

32. The proposed process outlined in Circular 2021/68 indicated that, given this is a trial process, the processes outlined to implement paras 33 and 34 would not affect the application of a compliance score for 2020. The Chair has received feedback from one CCM indicating that it considers this contrary to the measure, in that where TCC *“does not consider that progress has been made on a [Capacity Development Plan] or flag CCM investigations”* CMM 2019-06 specifies that a CCM will be deemed non-compliant (per Annex I) with its obligation.

33. Noting this feedback, my suggested approach is that TCC17 **not preclude** consideration of information arising from the review of the aggregated tables in applying a compliance status. I also propose to undertake the review of aggregated tables prior to the dCMR review, to facilitate any discussion of implementation challenges arising from the review of aggregated tables.

- Where TCC17 takes information from the review of aggregated tables into account in the application of a non-compliant status for an implementation obligation, CCMs shall report on information provided in paras 33/34a-b in their Annual Report Part 2 in the following year (as per CMM 2019-06 para 45).
- The consideration of information arising from the aggregated tables in applying a compliance status for an implementation obligation shall only apply for the 2020 reporting year (i.e. shall not affect compliance statuses agreed in prior year CMRs).

Noting that this is a change in the proposed approach, I will **seek confirmation of this process at the TCC HODs meeting** on Tuesday 21 September 2021.

Process to develop and finalise the Provisional Compliance Monitoring Report and Executive Summary

34. The Provisional Compliance Monitoring Report will consist of four parts:

- Executive Summary
- Appendix I – Traffic Light pCMR matrix

- Appendix 2 – Tabular version of pCMR outcomes
- Appendix 3 – Outcomes of TCC consideration of aggregate tables
  - i. Table 1 – Implementation challenges (Para 33)
  - ii. Table 2 – Outstanding Cases (> 24 months) from the Online CCFS (Para 34)
- Appendix 4 – Aggregate tables based on templates in Annex III of CMM 2019-06

35. The TCC Chair will work with the Secretariat to develop an initial draft of the Provisional CMR Executive Summary for consideration by TCC participants. It will be based on the previous year's CMR Executive Summary, with appropriate updates to reflect this year's evaluation process and new features of CMM 2019-06 including the addition of Appendix 3 reflecting the outcomes of the consideration of aggregate tables.

36. The draft Executive Summary will be circulated for CCM consideration following completion of the review of the draft CMR (scheduled to be completed on Saturday 25 September) – time has been set aside in the TCC17 schedule for delegations to review the draft and submit comments ahead of a late session on Monday 27<sup>th</sup> September where TCC will clear the draft pCMR.



**LIST OF POTENTIAL COMPLIANCE ISSUES IDENTIFIED IN THE FULL dCMR (and list of obligations in the full draft CMR with no potential issues identified by the Secretariat) (as at 9<sup>th</sup> September 2021)**

<b>SUMMARY: COUNT BY CATEGORY</b>	
<b>Quantitative Limits (QL):-</b>	<b>6</b>
<b>Implementation (IM):</b>	<b>25</b>
<b>Report (RP):</b>	<b>8</b>
<b>Report Deadlines (DL):</b>	<b>0</b>
<b>TOTAL:</b>	<b>39 (for 14 CCMs)</b>

**COUNT BY CMM AND BY OBLIGATION**

**CMM 2006-04: SW Striped Marlin (0 potential issues)**

- **QL: CMM 2006-04 01** *Limit number of fishing vessels fishing for MLS south of 15S to 2000 – 2004 levels..... (0)*
- **RP: CMM 2006-04 04** *Annual catches of MLS (bycatch), and annual numbers of vessels fishing for MLS south of 15S and their catch levels ..... (0)*

**CMM 2009-03 – Swordfish (0 potential issues)**

- **QL: CMM 2009-03 01** *Limit number of vessels fishing for SWO south of 20S to the number in any one year between 2000-2005..... (0)*
- **QL: CMM 2009-03 02** *Limit the catch of SWO by its vessels in area south of 20S to the amount in any one year during 2000-2006..... (0)*
- **IM: CMM 2009-03 03** *CCMs shall not shift their fishing effort for SWO to the area north of 20°S ..... (0)*
- **RP: CMM 2009-03 08** *Report annually the number of vessels that fished for SWO and total catch of SWO - in AR Pt1 (0)*

**CMM 2009-06 – Transshipment (1 potential issue)**

- **RP: CMM 2009-06 11** *Annual report on all transshipment activities covered by this Measure (including transshipment activities that occur in ports or EEZs) in accordance with the specified guidelines (Annex II) (1)*
- **IM: CMM 2009-06 13** *CCM shall ensure that vessels they are responsible for carry observers from the WCPFC ROP to observe transshipments at sea..... (0)*
- **QL: CMM 2009-06 29** *Limit on purse seine vessels transshipment outside of port to vessels that have received an exemption from the Commission. Where applicable, flag CCM authorisation should be vessel-specific and address any specific conditions identified by the Commission. .... (0)*
- **QL: CMM 2009-06 34** *Ban on high seas transshipment, unless a CCM has determined impracticability in accordance with para 37 guidelines, and has advised the Commission of such..... (0)*
- **RP: CMM 2009-06 35 a (ii)** *Flag CCM's notification to the Secretariat on its flag vessels that are authorised to transship on the high seas..... (0)*
- **RP: CMM 2009-06 35 a (iii)** *WCPFC Transshipment Advance Notification (including fields in Annex III) (0)*

- **RP: CMM 2009-06 35 a (iv)** *WCPFC Transshipment Declaration (including information in Annex I)* (0)

**CMM 2010-01 – NP Striped Marlin (1 potential issue)**

- **QL: CMM 2010-01 05** *NP striped marlin catch limits applicable to CCMs with vessels fishing in the Convention Area north of the equator: commencing 2011.....* (0)
- **RP: CMM 2010-01 08** *Report on implementation of CMM 2010-01 for NP striped marlin including report against limits of the CMM (in AR Pt 2)* (1)

**CMM 2012-04 – Whale Sharks (2 potential issues)**

- **IM: CMM 2012-04 01** *Prohibit vessels from retaining on board, transshipping, storing or landing any oceanic whitetip sharks, in whole or in part* (2)

**CMM 2014-02 – VMS (7 potential issues)**

- **IM: CMM 2014-02 9a** *Fishing vessels comply with the Commission standards for WCPFC VMS including being fitted with ALC/MTU that meet Commission requirements* (4)
- **IM: CMM 2014-02 VMS SSPs 2.8** *Provision of ALC/MTU 'VTAF' data* (3)
- **RP: CMM 2014-02 VMS SSPs 7.2.2** *CCMs to conduct periodic audits of ALC/MTUs of its vessels and report results to the Commission (AR Pt 2)* (0)

**CMM 2015-02 – SP Albacore (1 potential issue)**

- **QL: CMM 2015-02 01** *Limit on number of vessels actively fishing for SP ALB south of 20S above 2005 or 2000-2004 levels* (1)
- **RP: CMM 2015-02 04** *SP albacore required report* (0)

**CMM 2016-02 – Eastern High Seas Pocket Special Management Measure (1 potential issue)**

- **QL: CMM 2016-02 01** *Transshipment is prohibited in E-HSP from 1 Jan 2019* (1)

**CMM 2017-04 – Marine Pollution (1 potential issue)**

- **IM: CMM 2017-04 01** *Prohibit fishing vessels from discharging any plastics (including plastic packaging, items containing plastic and polystyrene) but not including fishing gear* (1)

**CMM 2018-01 – Tropical tuna (9 potential issues)**

- **IM: CMM 2018-01 16** *Purse seine 3 month FAD closure (1 July - 30 September)* (2)
- **IM: CMM 2018-01 17** *Advice on choice and implementation of two additional month high seas FAD closure (April-May or Nov-Dec) ...*(0)
- **RP: CMM 2018-01 17** *Advice on choice and implementation of two additional month high seas FAD closure (April-May or Nov-Dec) ...*(0)
- **IM: CMM 2018-01 23** *Each purse seine vessel is limited to no more than 350 FADs with activated instrumented buoys.....*(0)
- **QL: CMM 2018-01 25** *Purse seine EEZ limits (for skipjack, yellowfin and bigeye tuna) and advice from other coastal CCMs of EEZ limits to be applied* (1)
- **QL: CMM 2018-01 26** *High seas purse seine effort limits applying 20N to 20S* (2)
- **IM: CMM 2018-01 27** *CCMs not to transfer fishing effort in days fished in the purse seine fishery to areas N20N and S20S.....*(0)
- **IM: CMM 2018-01 31** *Purse seine catch retention requirements (20N - 20S).....*(0)

- **IM: CMM 2018-01 33** *Purse seine vessels are not to operate under manual reporting during FAD closure period.....* (0)
- **IM: CMM 2018-01 34** *Requirement for purse seine vessels to carry a ROP observer.....* (0)
- **IM: CMM 2018-01 35** *100% purse seine coverage: specific rules for vessels fishing exclusively in areas under its national jurisdiction* (2)
- **QL: CMM 2018-01 39** *Bigeye longline catch limits for 2019 and 2020, with adjustment to be made for any overage.....* (0)
- **RP: CMM 2018-01 41...** *Bigeye longline catch required report...* (0)
- **QL: CMM 2018-01 43** *Bigeye longline catch limits by flag for certain other members which caught less than 2000t in 2004.....* (0)
- **QL: CMM 2018-01 45** *Limit by flag on number of purse seine vessels >24m with freezing capacity between 20N and 20S* (0)
- **QL: CMM 2018-01 47** *Limit by flag on number of longline vessels with freezing capacity targeting bigeye above the current level (applying domestic quotas are exempt) .....* (0)
- **QL: CMM 2018-01 48** *Limit by flag on number of ice-chilled longline vessels targeting bigeye and landing exclusively fresh fish above the current level or above the number of current licenses under established limited entry programmes (applying domestic quotas are exempt) ...* (0)
- **QL: CMM 2018-01 51** *Limit on total catch of certain other commercial tuna fisheries (that take >2000Mt of BET, YFT and SKJ)* (1)
- **RP: CMM 2018-01 52** *Requirement to provide operational level catch and effort data for EEZ and high seas S20N* (0)
- **RP: CMM 2018-01 54** *Requirement to provide 1 x 1 aggregate data for vessels fishing in EEZs and high seas N 20 N, as well as to cooperate in providing operational data to SPC for stock assessment* (0)
- **RP: CMM 2018-01 Att 2 03** *Philippines vessels Entry/Exit reports for HSP1-SMA* (1)
- **IM: CMM 2018-01 Att 2 05 -06** *Specific requirements for deploying observers on Philippines vessels fishing in HSP1-SMA ...* (0)
- **IM: CMM 2018-01 Att 2 08** *Philippines to monitor landings by vessels operating in HSP1-SMA and collect reliable catch data by species...* (0)

#### **CMM 2018-03 – Mitigation measures for Seabirds (3 potential issues)**

- **IM: CMM 2018-03 01** *Required longline mitigation measures to be used by vessels fishing south of 30S (hook-shielding devices OR at least two of these options: weighted branch lines, night setting and tori lines)* (1)
- **IM: CMM 2018-03 02** *From 1 Jan 2020 required longline mitigation measures to be used by longline vessels in the high seas between 25S - 30S (one of these options: weighted branch lines, night setting or tori lines)* (1)
- **IM: CMM 2018-03 03** *Required longline mitigation measures to be used by longline vessels > 24m fishing north of 23N (choose 2 from Table 1 including at least 1 from Column A) and longline vessels < 24m fishing north of 23N (at least 1 measure from Column A in Table 1)* (1)

#### **CMM 2018-04 – Mitigations measures for Sea Turtles (8 potential issues)**

- **IM: CMM 2018-04 05a** *Sea Turtle mitigation requirements for purse seine vessels* (3)

- **IM: CMM 2018-04 06** *CCMs to require longline vessels to carry and use line cutters and de-hookers to handle and promptly release sea turtles, as well as dip-nets where appropriate* (3)
- **IM: CMM 2018-04 07a** *Sea Turtle mitigation requirements for shallow-set longline vessels* (2)

**CMM 2018-05 – Regional Observer Programme (0 potential issues)**

- **IM: CMM 2018-05 10** *CCMs shall explain to the vessel captain, observer duties relevant to appropriate measures adopted by the Commission ...* (0)
- **IM: CMM 2018-05 15(g)** *CCMs to ensure vessel operators comply with the Guidelines for the Rights and Responsibilities of Vessel Operators, Captains and Crew* (0)

**CMM 2018-06 – Record of Fishing Vessels (2 potential issues)**

- **RP: CMM 2018-06 09** *Submission by Member to ED a list of all vessels on national record in previous year, noting "fished" or "did not fish" for each vessel* (2)

**CMM 2019-02 – Pacific Bluefin (2 potential issues)**

- **QL: CMM 2018-02 02 (1)** *Total effort by vessels for Pacific bluefin limited to 2002 - 2004 levels in Area north of 20N ...* (0)
- **QL: CMM 2018-02 02 (2)** *Catches of Pacific bluefin tuna less than 30kg shall be reduced to 50% of 2002-04 level. Overage or underage may be used in following year* (0)
- **IM: CMM 2018-02 03** *Every possible measure to be taken not to increase catches of Pacific bluefin >30kg from 2002-04 levels with some exceptions* (0)
- **RP: CMM 2019-02 05** *reporting of recent fishing effort and catch for Pacific Bluefin* (1)
- **RP: CMM 2019-02 11** *Annual report on measures for Pacific Bluefin, including monitoring of international trade* (1)

**CMM 2019-03 – NP albacore (0 potential issues)**

- **QL: CMM 2019-03 02** .... *CCMs take measures to ensure level of fishing effort by vessels fishing for NP albacore is not increased.....*(0)
- **RP: CMM 2019-03 03** *NP albacore required report* (0)

**CMM 2019-08 – Charter Notification (0 potential issues)**

- **RP: CMM 2019-08 02**... *Charter notification report.....*(0)
- **RP: CMM 2019-08 03**... *Charter notification report.....*(0)
- **RP: CMM 2019-08 07**... *Charter notification report.....*(0)

**Scientific data (1 potential issue)**

- **RP Scidata 01** *Scientific Data—annual catch estimates* (0)
- **RP Scidata 02** *Scientific Data—active vessels* (0)
- **RP Scidata 03** *Scientific Data—operational level catch and effort data* (1)
- **RP Scidata 05** *Scientific Data—size composition data* (0)