



TECHNICAL AND COMPLIANCE COMMITTEE
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**SUMMARY OF SUBMISSIONS OF ANNUAL REPORTS AND UPDATE ON INITIATIVES TO
STREAMLINE ANNUAL REPORTING**

WCPFC-TCC17-2021-10
10 September 2021

Paper prepared by the Secretariat

Purpose

1. The purpose of this paper is to provide information on the annual reporting submissions by CCMs to the Commission as required by the WCPFC Convention. The paper also presents updates on the two streamlining of annual reporting initiatives that were first implemented in 2020:
 - i. the Annual Catch and Effort Estimates (ACE) Tables and Annual Report Part 1; and
 - ii. the Annual Report Part 2 online report.
2. The paper also discusses some Secretariat thoughts on potential initiatives that could make future annual reporting processes more efficient and effective in supporting Compliance Monitoring Scheme (CMS) work. The preliminary suggestions could be further considered in continuing CMS-IWG processes. The paper is for noting.

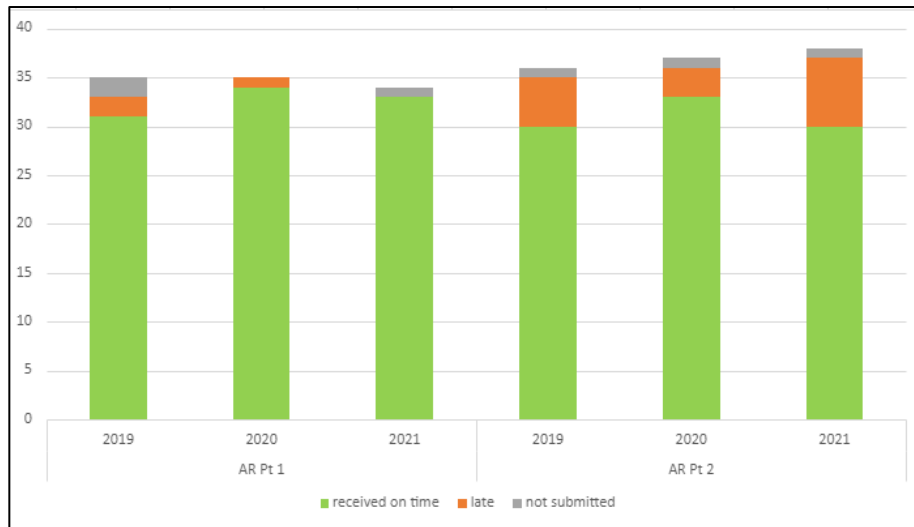
Background and Summary of Annual Report submissions

3. WCPF Convention Articles 23 and 25 require each CCM to annually provide information to the Commission on fishing activities of their flagged vessels. Since WCPFC2 (2005), the *Scientific Data to be Provided to the Commission* decision has specified the requirements for flag CCMs to provide annual catch estimates, the number of active vessels, operational level catch and effort data, aggregated catch and effort data, and size composition data to the Commission.
4. The Commission has also implemented a two-part reporting format for CCMs to report on their fishing activities and the implementation of conservation and management measures for the preceding year namely:
 - i. Annual Report Part 1 is intended to provide a summary of each CCM's fisheries, research and statistics information for the preceding calendar year and recently, has also included specific CMM-related reporting requirements for the same period; and
 - ii. Annual Report Part 2 is a report on management and compliance measures taken by CCMs in the previous year.

5. With the introduction of the CMS in 2011¹, Annual Reports Part 1 and 2 are considered important sources of information for the preparation of the draft Compliance Monitoring Report. The CCM reported data in Annual Report Part 2 (YES/NO/not applicable and any explanations) for the Commission’s approved list of obligations to be assessed in CMR, are considered by the Secretariat in the preparation of the dCMR. In addition, CCM reported data in Annual Report Part 1 related to required reports under CMMs, ACE Tables and/or descriptions of annual fishing activity during the previous year, are also considered by the Secretariat in the preparation of the dCMR. Presently the Secretariat’s work in preparing the dCMR continues to rely on the timely submissions of these two reports to minimize the amount of rework to the dCMR by CCMs and the Secretariat before being produced for TCC.

6. Since 2012, the Secretariat has provided an online facility for CCMs to directly enter their Annual Report Part 2 responses. The submission dates and reporting formats have become standardized over recent years and the timeliness of CCMs submissions has also improved. **Figure 1** (below) summarizes CCMs submissions this year and the previous two years.²

Figure 1. No. of Annual Report Part 1 (AR Pt 1) and Annual Report Part 2 (AR Pt 2) submissions (2019 - 2021)



7. In recent years additional reporting requirements, including from adopted CMMs agreed by the Commission, have increased the length of both Annual Report Part 1 and Annual Report Part 2. This year in respect of Annual Report Part 2, the Commission positively considered a request of the Secretariat that outlined the significant work the Secretariat would have to undertake in 2021 much of it related to TCC (eg TCC16-2020-20, *Preliminary Consideration of Anticipated Forecast of Secretariat Work Commitments for TCC in 2021/22*), and agreed that CCMs in 2021 shall submit their Annual Report Part 2, at least 100 days prior to TCC17 (which was an earlier date in mid-June compared to the usual date of 1 July). (WCPFC17 Summary Report, paragraph 373).

¹ The *Scientific Data to be Provided to the Commission* decision

² Annual Report Part 1 is due 30 days prior to SC meeting each year, which is usually the second week of July, and Annual Report Part 2 is usually due on 1 July 2021.

Table 1: Summary of CCM Annual Report Part 1 and Annual Report Part 2 covering 2020 activities submissions

CCM	Annual Report Part 1 (due 12 July 2021)	Annual Report Part 2 (due 14 June 2021)
Australia	12-Jul-21	14-Jun-21
Canada	12-Jul-21	14-Jun-21
Cook Islands	7-Jul-21	4-Jun-21
China	12-Jul-21	14-Jun-21
Curacao	-	15-Jun-21
Ecuador	15-Jul-21	8-Jul-21
El Salvador	10-Jul-21	14 Jun-21
European Union	11-Jul-21	14-Jun-21
Federated States of Micronesia	12-Jul-21	14-Jun-21
Fiji	9-Jul-21	14-Jun-21
France	-	29-Jul-21
French Polynesia	7-Jul-21	5-Jun-21
Indonesia	29-Apr-21	14-Jun-21
Japan	9-Jul-21	14-Jun-21
Kiribati	7-Jul-21	14-Jun-21
Korea	12-Jul-21	14-Jun-21
Liberia	3-Jul-21	19-Jun-21
Republic of Marshall Islands	9-Jul-21	14-Jun-21
Nauru	12-Jul-21	14-Jun-21
New Caledonia	14-Jun-21	14-Jun-21
New Zealand	9-Jul-21	14-Jun-21
Niue	11-Aug-21	31-May-21
Nicaragua	-	10-Jul-21
Palau	12-Jul-21	14-Jun-21
Panama	10-Jul-21	14-Jun-21
Papua New Guinea	12-Jul-21	17-Jun-21
Philippines	12-Jul-21	14-Jun-21
Samoa	11-Jul-21	14-Jun-21
Solomon Islands	12-Jul-21	14-Jun-21
Chinese Taipei	12-Jul-21	14-Jun-21
Thailand	7-Jul-21	14-Jun-21
Tokelau	7-Jul-21	12-May-21
Tonga	3-Jul-21	14-Jun-21
Tuvalu	12-Jul-21	14-Jun-21
United States of America	11-Jul-21	14-Jun-21
Vanuatu	12-Jul-21	27-Jul-21
Vietnam	29-Apr-21	14-Jun-21
Wallis and Futuna		
COUNT	34	38
Received/received on time	97%	82%

8. This year, 33 CCMs Annual Report Part 1 covering 2020 activities were received on time while one was received past the deadline.³ In respect of Annual Report Part 2, thirty (30) CCMs reports were received by this year's deadline, seven (7) were received past the deadline and at the time of writing this paper, the Secretariat is yet to receive one (1) CCMs Annual Report Part 2 covering 2020 activities. **Table 1** (above) lists report submissions by CCMs of the Annual Report Part 1 and 2 for 2021.

Reviews of the WCPFC CMS and Annual Reporting Requirements

9. In April 2017, the Commission commenced a review of the CMS. The final report of the Independent Panel issued on 7 March 2018 proposed several recommendations to make the CMS less onerous for CCMs and the Secretariat.⁴ Following on from the findings of the Review and in response to a Commission tasking, in 2019, the Secretariat presented a discussion paper that reviewed all the annual reporting requirements of the Commission with the view to streamline them and avoid duplicative reporting consistent with efforts to enhance the efficiency and effectiveness of the CMS. The discussion paper sought to respond to the following task in CMM 2018-07 *Conservation and Management Measure for the Compliance Monitoring Scheme* “a comprehensive review of all the Commission’s reporting requirements, with recommendations to remove duplicative reporting as well as ensure the Commission’s data and information needs are met” (CMM 2018-07 paragraph 45 (ii)). The same language was included as a priority project specific task for 2019 within the TCC workplan 2019 – 2021. Input to the Secretariat’s paper, was received from the FFA Secretariat, PNA Office and SPC-OFP, CCMs, Observers and the Scientific Committee before presentation to SC15 (SC15-ST-WP06). The final version of the discussion paper was presented to TCC15 entitled “Streamlining WCPFC Reporting Requirements Discussion Paper”(TCC15-2019-10).

10. TCC15’s consideration of the paper “Streamlining WCPFC Reporting Requirements Discussion Paper”(TCC15-2019-10) resulted in the two streamlining of annual reporting initiatives that were implemented in 2020.

11. Firstly, the Commission approved the trial of WCPFC **Annual Catch and Effort Estimate (ACE) Tables** to allow CCMs to explore a streamlining suggestion for the Annual Report Part 1 through SC and TCC. Secondly, in preparing the **Annual Report Part 2 2020 online interface**, the Secretariat has incorporated some streamlining suggestions, including using the “hold on file” of CCMs responses to implementation-type obligations that applied in prior years. Additional reporting requirements that have not been reported on in previous years required CCMs to provide new statements of implementation that will be added to the “hold on file”.

12. In 2020, the Secretariat prepared a paper (*Update on Streamlining of Annual Reporting* TCC16-2020-10) in conjunction with SPC-OFP that reviewed the experiences and outcomes of the trial ACE Tables and its cost and resources implications for SC16 and TCC16 consideration and advice to WCPFC17 (TCC15 Summary Report paragraph 142). This paper provides updates for 2021 on each of the streamlining of annual reporting initiatives.

³ Three other CCMs had no activity in the Convention Area in 2020.

⁴ Background on the broader work to review and enhance the CMS Scheme since 2010 may be accessed from this page <https://www.wcpfc.int/review-and-enhancement-cms-scheme>

Latest developments on the Annual catch estimates (ACE) tables

13. At the WCPFC16 in Port Moresby, Papua New Guinea (December 2020), the Commission (in adopting the TCC15 Summary Report) tasked the Secretariat and SSP to trial the publishing of ACE tables on the WCPFC website in 2020. The ACE tables would correspond to the “Essential Annual Fisheries Information” Tables I–IV and Tabular Annual Fisheries Information Tables 1–5 and Figures 1–3 from Annual Report Part 1, that are based on the April 30 scientific data submissions. CCMs would have the opportunity to review and update the ACE Tables to WCPFC/SPC throughout the calendar year. The purpose of the trial was principally to allow CCMs through SC and TCC, to explore a streamlining suggestion for Annual Report Part 1.

14. In 2020, the provisional ACE Tables were subsequently generated and published for CCM review on the WCPFC website at <https://www.wcpfc.int/ace-by-fleet>. The WCPFC Secretariat released a survey in late May for CCMs to comment on, *inter alia*⁵, the appropriateness of the ACE Tables to address the streamlining of the Annual Report Part 1. A TCC16 paper (TCC16-2020-10 *Update on Streamlining of Annual Reporting Initiatives*) included a summary of CCM comments on the ACE Tables and, in response, proposed future work on the ACE Tables.

15. At WCPFC17, held virtually in December 2020, the Commission:

- i. endorsed the SC16 recommendation that the approach of publishing the ACE tables based on the April 30 Scientific Data submissions and subsequent updates and revisions from CCMs is continued (WCPFC17 Summary Report paragraph 281), and
- ii. endorsed the TCC16 recommendation in paragraph 101 of the TCC16 Summary Report and tasked the Scientific Services Provider with reviewing the feasibility of expanding the ACE tables to include:
 - i. additional estimates of effort where it is practicable to be derived based on the April 30 scientific data submissions from CCMs and provide an update to SC17; and
 - ii. estimates of annual area based CMM quantitative limits where it is practicable for the estimate to be derived based on the April 30 scientific data submissions from CCMs and to provide an update to TCC17. (WCPFC17 Summary Report paragraph 312)

16. In 2021, the updates suggested by WCPFC17 were included in the ACE Tables available through the WCPFC website at <https://www.wcpfc.int/ace-by-fleet>. Some key changes in the 2021 version of the ACE Tables as of 23 July 2021 include:

- i. Maps of catch by species were produced for the most recent five years (i.e. 2016 - 2020) and are included in these tables. The maps are consistent with the WCPFC data dissemination rules in excluding the activities of less than 3 vessels. However, maps with all data (i.e. unfiltered) can be generated and included in the ACE Tables if the flag-state CCM provides authorisation to do so. An alternative is that the unfiltered map can be provided directly to the flag-state CCM on request (but not published in the ACE Table on the WCPFC website).
- ii. New data summaries:

⁵ The survey also posed questions related to the online tool for the Annual Report Part 2

- a. additional effort estimates where it is practicable to be derived based on the April 30 scientific data submissions from CCMs, and
 - b. derived recent annual catch and effort estimates that could be relevant for reviews of implementation of some CMMs and for certain CCMs where it is practicable for the estimate to be derived based on the April 30 scientific data submissions from CCMs.
- iii. Discard by species in “number of fish” have been submitted for a number of longline fleets, but are not yet included in the ACE Tables. It is hoped an additional worksheet tabulating the “Discards in number” for longline fleets can be included in a future version of the ACE tables.

17. In August 2021, section 4.2 of **SC17-ST-WP01** *Scientific data available to WCPFC* which provided an update on the implementation of the ACE Tables, was considered as the **SC17 Online Discussion Forum Topic 3**. One comment was received from PNA Member CCMs:

Palau on behalf of PNA Members, (COMMENT)_PNA welcome the preparation of the expanded ACE tables by SPC. We recall that this expansion was a result of a request from the Secretariat relating to the value of the tables in preparing the dCMR and look forward to discussion on the outcome at TCC. PNA consider that having catch and effort data online in Excel is much more useful than having less information in a pdf form in the Annual Reports, including being more useful to the WCPFC Secretariat and in the public domain. On that basis, PNA Members support the adoption of the ACE Tables as an alternative to reporting this data in the Part 1 Reports.

18. There were no specific outcomes from the SC17 Meeting related to the ACE Tables.

Secretariat observations

19. From the perspective of work to prepare draft CMRs, the 2021 enhanced version of the ACE tables did assist the Secretariat, allowing some initial work to be completed prior to mid-July when the Annual Report Part 1 submissions were due. For example, the new sheet providing “*derived recent annual catch and effort estimates that could be relevant for reviews of implementation of some CMMs*”, assisted the Secretariat consideration as to the possible applicability to some CCMs, of some bycatch and subtropical species for CMMs that were being reviewed for the first time in 2021 or that had not been reviewed through the CMS recently. The enhanced version of the ACE Tables this year provided the Secretariat with early annual catch and effort estimates potentially relevant to the review of quantitative limits across a broader number of CMMs. This information could be used to verify flag CCMs reports that are directly submitted to the Secretariat directly or through Annual Reports Part 1 and Part 2.

20. From SPCs perspective, the initial preparation of the enhanced ACE tables would have required additional work. However, it is expected that the future process for SPC-OFP to generate and publish the ACE tables should be more routine. Building on last year’s trial of the ACE tables, the 2021 enhanced version has further explored the annual catch and effort estimates that could (and could not) sensibly be derived from operational level catch and effort data submissions, and the extent to which they can be used to support reviews of CMM implementation for the 2021 list of obligations in the CMS. The Secretariat expects that the 2021 version of the ACE tables does

more fully respond to the task of exploring the potential streamlining suggestion related to Annual Report Part 1 and annual reporting more broadly.

Latest developments on the Annual Report Part 2 online system

21. Since 2020 the structure for Annual Report Part 2 has comprised two parts as follow:
 - i. The first part is the *Annual Report Part 2 (Prior Year Obligations) List* which contains only implementation-type obligations that CCMs have reported on previously and is intended to comprise the "hold on file" of all CCMs responses to implementation-type obligations that applied in prior years. CCMs were expected to check, and as needed, update, their national statements of implementation and confirm that the information remains current for this reporting year.
 - ii. The second part is the *Annual Report Part 2 (20xx Specific) List* which contains specific reporting requirements related to the reporting year. Substantive answers were expected from CCMs for new implementation obligations that applied for the first time in the reporting year.
22. The Secretariat has also ensured that any pre-populated answers in either part of each CCMs Annual Report Part 2 do remain editable. This allows CCMs to review and correct the report as needed. Since March 2021, the '[WCPFC Helpdesk](#)' provides short guides for CCMs on how to complete their Annual Report Part 2 report online.
23. The complete list of 187 Annual Report Part 2 questions for 2021, covering 2020 activities is provided in **Annex 1** to this paper (*page 11-27 of this paper*).

Secretariat observations

24. From the Secretariats perspective the initial preparation of the 2021 Annual Report Part 2 (Prior Year Obligations) List was more involved than in recent years because this was the inaugural year. The Secretariat needed to establish and document processes to support the update of each CCMs 2020 Annual Report Part 2 (Prior Year Obligations) List to produce the 2021 Annual Report Part 2 (Prior Year Obligations) List.
25. In 2021 and 2020 the additional work by the Secretariat to support the Annual Report Part 2 (Prior Year Obligations) List seemed to have assisted CCMs with completing their Annual Report Part 2 submission. The Secretariat did notice that in both years there were more CCMs who made earlier submissions of Annual Report Part 2, and this trend continued in 2021 despite the earlier deadline for Annual Report Part 2 of mid-June (see **Table 1** on page 3 of this paper).
26. The Secretariat continues to appreciate efforts of CCMs who can make earlier submissions of their Annual Report Part 2, as this does assist preparation of the draft CMR and in balancing the associated workload of other intersessional TCC tasks.

Secretariat's thoughts on how annual reporting processes could be more efficient and effective in supporting CMS work

27. The Secretariat has some reflections on potential opportunities for future streamlining of annual reporting with a view to enhance efficiency and effectiveness in supporting the CMS. The

suggestions have been grouped under the three headings used in the CMR and follow the approach used in the 2019 Secretariat paper on “TCC15-2019-10 Discussion Paper on Streamlining of WCPFC Reporting Requirements”.

Reporting requirements related to a CCM-level or collective quantitative limits

28. CMM 2019-06 paragraph 7(i) provides guidance that through the CMS, the annual assessment of compliance for quantitative limits shall be based on verifiable data indicating that a limit has not been exceeded. It seems possible that ACE tables could also be used to identify and highlight gaps in data available to WCPFC for verifying CCM self-reporting of compliance with quantitative limits. If certain annual catch and effort estimates are not able to be derived from operational level catch and effort data submissions for inclusion in the ACE tables, this may signal:

- i. that data of limited quality is being used to verify a CCMs report on its implementation against a quantitative limit, or
- ii. there is an over-reliance on the self-assessment of a CCM against a quantitative limit with potential non-compliance less likely to be identified through the CMS.

29. Along a similar vein, in 2019, TCC had referred to the Commission Table 2 of TCC15-2019-10, which provided a summary table on the reporting requirements for each CMM limit and a qualitative comparison of data that is available to WCPFC to verify CCMs reports (TCC15 Summary Report paragraph 124).⁶ The notes accompanying that table had suggested that CCMs might consider if additional reporting is needed to support verify a CCMs reports, or if revised formulations of limits could be considered to ensure WCPFC has ready access to data that can verify a CCM’s implementation of a quantitative limit (TCC15 Summary Report paragraph 125).

30. It also seems possible that through use and experience over time, the ACE table output could be combined with some automated IT tools potentially facilitating more streamlined reviews of some quantitative limits through the CMS.

Reporting requirements related to the steps that a CMM has taken to implement WCPFC obligations

31. From the perspective of the Secretariats work to prepare draft CMR in 2021, the *Annual Report Part 2 (Prior Year Obligations) List* does seem to offer a potential opportunity for the Secretariat to undertake some initial work prior to Annual Report Part 2 deadline of mid-June. However, this was not an option in 2021, mostly because of the heavy intersessional workload related to IWG, TTMW and TCC activities, that Secretariat Compliance staff were supporting. There was also a small technical constraint because the WCPFC IT systems processing of Annual Report Part 2 CCM responses takes the two parts of the Annual Report Part 2 as a single CCM response, when the technical transfer of Annual Report Part 2 answers occurs into the draft CMR.

32. However, the Secretariat is confident that in the future the necessary work to overcome the technical constraint could be easily addressed. This could be an opportunity for the Secretariat to complete some aspects of the review of CCMs implementation statements for obligations assessed through the CMS, earlier in the year and particularly if CCMs were willing to consider an earlier

⁶ For ease of reference a copy of the Table and notes is included as **Annex 2** to this paper (*pages 28-32 of this paper*)

deadline to submit the Annual Report Part 2 (Prior Year Obligations) section earlier than the Annual Report Part 2 (specific).

33. Furthermore, after two consecutive years the Annual Report Part 2 (Prior Year Obligations) report, could be considered a “compendium” of all CCMs statements of implementation related to Conservation and Management Measures currently in-force. It also may be possible that the future periodic reviews of the compendium could be undertaken, which could potentially provide opportunities to direct or focus the CMS (through a future risk-based approach) and/or to streamline future the CMS processes. For example, such a periodic review could be used to potentially identify:

- i. Which obligations have received NO responses from CCMs as to whether obligations have been implemented?
- ii. Which obligations have a higher frequency/proportion of less than fulsome supporting statement or lack a supporting statement altogether?
- iii. Which groups of obligations, have not been previously reviewed through the CMS?
- iv. Which individual CCMs statements of implementation have not been previously reviewed through the CMS due to a change in their circumstances in a reporting year eg becoming recognised as an active flag CCM?
- v. Which obligations and associated statements of implementation are relevant to specific management concerns from the Commission, including intersessional work?

34. Such a review would not necessarily need to be linked to a pre-TCC timeline process but could happen eg earlier in the year every so often to reduce the burden on CCMs over July and August each year. It also seems possible that through use and experience over time, the *Annual Report Part 2 (Prior Year Obligations)* output could be combined with some automated IT tools to facilitate more streamlined reviews of CCM statements of implementation through the CMS.

Other reporting requirements

35. From the perspective of the Secretariats work to prepare Annual Report Part 2 in 2021, it seems there is scope to continue to build on the outcomes of the “**TCC15-2019-10** Discussion Paper on Streamlining of WCPFC Reporting Requirements” and for opportunities to be explored to further streamline the list of obligations in Annual Report Part 2, particularly the annual specific list. This type of work would probably most suitably be completed as a member-led process with the support from the Secretariat. There are at least two areas where the Secretariat suggests that there is potential for annual reporting of other reporting requirements to be made more efficient and effective.

36. The first area is to clarify annual reporting requirements where templates and standards have not been specified, with a view to making reporting by CCMs more effective and efficient. **Annex 3** (refer to pages 32-33) provides a list of current annual reporting requirements where templates and standards for reporting have not been specified.

37. In responding to the list in **Annex 3**, it would be preferable to ensure efficiency and effectiveness of the reporting process through completing work to clarify the intended purpose and use of the required report and to develop formal templates or, at minimum, guidelines for CCM

reporting to ensure information to be reported supports effective consideration by the Commission. Additionally, where available online direct-entry and/or electronic reporting IT solutions should be used to assist CCMs and the Secretariat in managing these reports in the future and ensuring the ease of producing the required reports and analysis to support CCM and Commission discussions. Where needed, the inclusion of these reporting requirements in future Annual Report Part 2 templates could be reconsidered.

38. The second area would be for the Commission to consider if all obligations and reporting requirements need to be included in Annual Report Part 2. Building on the 2019 Secretariat-led study that was presented as “TCC15-2019-10 Discussion Paper on Streamlining of WCPFC Reporting Requirements”, a more current review could be undertaken of the current list of obligations that CCMs are currently required to report on in Annual Report Part 2. The review could focus on reporting requirements that have not been assessed in the CMS before or have only been reviewed infrequently. The purpose of the review would be to ascertain if each obligation is necessary for CCMs to be asked to report on annually (or otherwise)? In some cases, the infrequent review is because of competing priorities compared to other priority obligations, in other cases it may be because the obligation may not be necessary to report on annually. In the latter case, consideration could be given by the Commission as to whether the Secretariat should be directed to remove the obligation from future Annual Report Part 2 and from the list of obligations that could be potentially considered for review through the CMS and the timeframe for a review of this decision if required.

Possible way forward

39. The suggestions in this report could potentially also be further considered by the Commission, possibly through the work of the CMS-IWG. Specifically, the potential opportunities that

- i. the ACE tables or its enhancements, could provide to streamline and improve the effectiveness of CMS processes, particularly those related to CMM quantitative limits; and
- ii. the Annual Report Part 2 (Prior Year Obligations) or its enhancement, could provide to streamline and improve the effectiveness of CMS processes, particularly those related to CCM Statements of Implementation.

Additionally, the CMS-IWG could consider as part of the development of the Audit Points and Risk-based framework which are already comprehensively reviewing individual obligations, if there are ways to make annual reporting more efficient and effective, by

- iii. the Commission clarifying the intended purpose and use of certain required reports, and where needed, initiating member-led work to develop formal templates or minimum guidelines for these required reports; and
- iv. considering recommending that certain obligations be removed from future Annual Report Part 2 and from the complete list of obligations for potential review through the CMS.

Recommendation

40. TCC17 is invited to note the paper.

List of 2021 Annual Report Part 2 Questions, covering 2020 Activities

AR Pt 2 (Specific) - Quantitative Limit questions

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	CMM Label	Notes
Q-001(L)	CMM 2006-04 01	Limit number of fishing vessels fishing for MLS south of 15S to 2000 – 2004 levels	SW Striped Marlin	[CMR RY2020 (limit) + (Report - CMM 2006-04 04)]
Q-005(L)	CMM 2009-03 01	Limit number of vessels fishing for SWO south of 20S to the number in any one year between 2000-2005	Swordfish	[CMR RY2020 (limit) + (Report - CMM 2009-03 08)]
Q-006(L)	CMM 2009-03 02	Limit the catch of SWO by its vessels in area south of 20S to the amount in any one year during 2000-2006	Swordfish	[CMR RY2020 (limit) + (Report - CMM 2009-03 08)]
Q-008(L)	CMM 2009-06 29	Limit on purse seine vessels transshipment outside of port to vessels that have received an exemption from the Commission. Where applicable, flag CCM authorisation should be vessel-specific and address any specific conditions identified by the Commission.	Transshipment	[CMR RY2020 (limit)]
Q-009(L)	CMM 2009-06 34	Ban on high seas transshipment, unless a CCM has determined impracticability in accordance with para 37 guidelines, and has advised the Commission of such.	Transshipment	[CMR RY2020 (limit)]
Q-015(L)	CMM 2010-01 05	NP striped marlin catch limits applicable to CCMs with vessels fishing in the Convention Area north of the equator: commencing 2011	NP Striped Marlin	[CMR RY2020 (limit) + (Report - CMM 2010-01 08)]
Q-025(L)	CMM 2015-02 01	Limit on number of vessels actively fishing for SP ALB south of 20S above 2005 or 2000-2004 levels	SP Albacore	[CMR RY2020 (limit) + (Summary of Report - CMM 2015-02 04)]
Q-026(L)	CMM 2016-02 06	Transshipment is prohibited in E-HSP from 1 Jan 2019	Eastern High Seas Special Management Area	[CMR RY2020 (limit)]
Q-029(L)	CMM 2018-01 25	Purse seine EEZ limits (for skipjack, yellowfin and bigeye tuna) and advice from other coastal CCMs of EEZ limits to be applied	Bigeye, Skipjack and Yellowfin	[CMR RY2020 (limit)]
Q-030(L)	CMM 2018-01 26	High seas purse seine effort limits applying 20N to 20S	Bigeye, Skipjack and Yellowfin	[CMR RY2020 (limit)]
Q-031(L)	CMM 2018-01 39	Bigeye longline catch limits for 2019 and 2020, with adjustment to be made for any overage	Bigeye, Skipjack and Yellowfin	[CMR RY2020 (limit) + (Summary of Report - CMM 2018-01 41)]

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	CMM Label	Notes
Q-032(L)	CMM 2018-01 43	Bigeye longline catch limits by flag for certain other members which caught less than 2000t in 2004	Bigeye, Skipjack and Yellowfin	[CMR RY2020 (limit)]
Q-033(L)	CMM 2018-01 45	Limit by flag on number of purse seine vessels >24m with freezing capacity between 20N and 20S	Bigeye, Skipjack and Yellowfin	[CMR RY2020 (limit)]
Q-034(L)	CMM 2018-01 47	Limit by flag on number of longline vessels with freezing capacity targetting bigeye above the current level (applying domestic quotas are exempt)	Bigeye, Skipjack and Yellowfin	[CMR RY2020 (limit)]
Q-035(L)	CMM 2018-01 48	Limit by flag on number of ice-chilled longline vessels targetting bigeye and landing exclusively fresh fish above the current level or above the number of current licenses under established limited entry programmes (applying domestic quotas are exempt)	Bigeye, Skipjack and Yellowfin	[CMR RY2020 (limit)]
Q-036(L)	CMM 2018-01 51	Limit on total catch of certain other commercial tuna fisheries (that take >2000Mt of BET, YFT and SKJ)	Bigeye, Skipjack and Yellowfin	[CMR RY2020 (limit)]
Q-047(L)	CMM 2019-02 02 (1)	Total effort by vessels for Pacific Bluefin limited to 2002 - 2004 levels in Area north of 20N	Pacific Bluefin	[CMR RY2020 (limit)]
Q-048(L)	CMM 2019-02 02 (2)	Catches of Pacific bluefin tuna less than 30kg shall be reduced to 50% of 2002-04 level. Overage or underage may be used in following year	Pacific Bluefin	[CMR RY2020 (limit)]
Q-049(L)	CMM 2019-02 03	Take measures not to increase catches of BFT >30kg from 2002-04 levels with some exceptions	Pacific Bluefin	[CMR RY2020 (limit)]
Q-050(L)	CMM 2019-03 02	CCMs take measures to ensure level of fishing effort by vessels fishing for NP ALB is not increased	NP Albacore	[CMR RY2020 (Limit) + (Report - CMM 2019-03 03)]

AR Pt 2 (Specific) - Required report questions

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	AR Pt 2 (Specific) - Section	Notes
Q-002(R)	CMM 2006-08 40	Annual reporting by members on the HSBI carried out by its authorized inspection vessels	REPORTING CHECKLIST	
Q-003(R)	CMM 2006-08 41	Annual reporting by members on their actions in response to HSBI of their fishing vessels that resulted in observation of alleged violations.	REPORTING CHECKLIST	
Q-004(R)	CMM 2008-04 05	Reporting requirement for MCS actions related to large-scale driftnet fishing on the high seas	REQUIRED REPORT: MCS, COMPLIANCE AND FISHING-RELATED ACTIVITIES	{TEMPLATE AND STANDARD ARE NOT SPECIFIED}

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	AR Pt 2 (Specific) - Section	Notes
Q-007(R)	CMM 2009-06 11	Annual report on all transshipment activities covered by this Measure (including transshipment activities that occur in ports or EEZs) in accordance with the specified guidelines (Annex II)	REPORTING CHECKLIST	[CMR RY2020 (report)] {ARPT1 REQUIRED REPORT TO SUPPORT REVIEWS OF TRANSHIPMENT ACTIVITIES COVERED BY CMM 2009-06}
Q-010(R)	CMM 2009-06 35 a (ii)	Flag State's notification to the Secretariat on its flag vessels that are authorised to transship on the high seas	REPORTING CHECKLIST	[CMR RY2020 (report)]
Q-011(R)	CMM 2009-06 35 a (iii)	WCPFC Transshipment Advance Notification (including fields in Annex III)	REPORTING CHECKLIST	[CMR RY2020 (report)]
Q-012(R)	CMM 2009-06 35 a (iv)	WCPFC Transshipment Declaration (including information in Annex I)	REPORTING CHECKLIST	[CMR RY2020 (report)]
Q-013(R)	CMM 2009-06 35 a (v)	If high seas transshipment is authorised, plan is to be submitted detailing steps taken to encourage transshipment to occur in port in the future	REQUIRED REPORT: MCS, COMPLIANCE AND FISHING-RELATED ACTIVITIES	{TEMPLATE AND STANDARD ARE NOT SPECIFIED}
Q-014(R)	CMM 2009-09 05	Report by CCM on any sightings of fishing vessels without nationality fishing in high seas of Convention Area for WCPFC species.	REQUIRED REPORT: MCS, COMPLIANCE AND FISHING-RELATED ACTIVITIES	{TEMPLATE AND STANDARD ARE NOT SPECIFIED}
Q-016(R)	CMM 2010-07 12	Report on implementation of CMM 2010-07 SHARKS, and any alternative measures that are applied (para 11)	REQUIRED REPORT: SHARKS CMM 2019-04 PARA 23 & ANNEX 2	[CMR RY2020 (Report)] {TEMPLATE AND STANDARD ARE NOT SPECIFIED}
Q-017(R)	CMM 2011-03 05	Annual report of any instances in which cetaceans have been encircled by the purse seine nets of flagged vessels and as reported under CMM 2011-03 para 2(b) (AR Pt 1)	REPORTING CHECKLIST	{ARPT1 REQUIRED REPORT TO SUPPORT REVIEWS OF MEASURES INTENDED TO MINIMISE IMPACTS OF FISHING ON SPECIES OF SPECIAL INTEREST, INCLUDING NON-TARGET SPECIES}
Q-018(R)	CMM 2013-07 01-03	General provisions of CMM on SPECIAL REQUIREMENTS OF SMALL ISLAND DEVELOPING STATES AND TERRITORIES	REQUIRED REPORT: CMM 2013-07 PARA 19 SPECIAL REQUIREMENTS OF SIDS + T	CMM 2013-07 para 19
Q-019(R)	CMM 2013-07 04-05	Requirements to assist with Capacity Development of Personnel of SIDS and Territories	REQUIRED REPORT: CMM 2013-07 PARA 19 SPECIAL REQUIREMENTS OF SIDS + T	CMM 2013-07 para 19
Q-020(R)	CMM 2013-07 06-07	Requirements to assist with technology transfers to SIDS and Territories	REQUIRED REPORT: CMM 2013-07 PARA 19 SPECIAL REQUIREMENTS OF SIDS + T	CMM 2013-07 para 19

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	AR Pt 2 (Specific) - Section	Notes
Q-021(R)	CMM 2013-07 08-09	Requirements to assist SIDS and Territories in the areas of fisheries conservation and management	REQUIRED REPORT: CMM 2013-07 PARA 19 SPECIAL REQUIREMENTS OF SIDS + T	CMM 2013-07 para 19
Q-022(R)	CMM 2013-07 10-11	Requirements to assist SIDS and Territories in the areas of Monitoring, Control and surveillance	REQUIRED REPORT: CMM 2013-07 PARA 19 SPECIAL REQUIREMENTS OF SIDS + T	CMM 2013-07 para 19
Q-023(R)	CMM 2013-07 12-18	Requirements to support for the Domestic Fisheries Sector and Tuna-fisheries related businesses and market access	REQUIRED REPORT: CMM 2013-07 PARA 19 SPECIAL REQUIREMENTS OF SIDS + T	CMM 2013-07 para 19
Q-024(R)	CMM 2014-02 9a VMS SSPs 7.2.2	CCMs to conduct periodic audits of ALC/MTUs of its vessels and report results to the Commission (AR Pt 2)	REPORTING CHECKLIST	[CMR RY2020 (report)] {MTU Audit Inspections Online List}
Q-027(R)	CMM 2017-02 19	Requirement to notify and maintain current Port CCM contacts with WCPFC	REPORTING CHECKLIST	
Q-028(R)	CMM 2017-02 21	Advice of Port State measures applying in designated ports	REPORTING CHECKLIST	
Q-037(R)	CMM 2018-01 52	Requirement to provide operational level catch and effort data for EEZ and high seas S20N	REPORTING CHECKLIST	[CMR RY2020 (report)] {SCIENTIFIC DATA SUBMISSION}
Q-038(R)	CMM 2018-01 54	Requirement to provide 1 x 1 aggregate data for vessels fishing in EEZs and high seas N 20 N, as well as to cooperate in providing operational data to SPC for stock assessment	REPORTING CHECKLIST	[CMR RY2020 (report)] {SCIENTIFIC DATA SUBMISSION}
Q-039(R)	CMM 2018-03 13	Annual report of all available information on interactions with seabirds throughout Convention Area, as reported or collected by observers in AR Pt 1 (guidelines for reporting in CMM 2018-03 Annex 2)	REPORTING CHECKLIST	{ARPT1 REQUIRED REPORT TO SUPPORT REVIEWS OF MEASURES INTENDED TO MINIMISE IMPACTS OF FISHING ON SPECIES OF SPECIAL INTEREST, INCLUDING NON-TARGET SPECIES}
Q-040(R)	CMM 2018-04 02	Report on Implementation of Sea Turtles CMM including information collected on interactions with sea turtles	REQUIRED REPORT: SEA TURTLES CMM 2018-04 PARA 2	{TEMPLATE AND STANDARD ARE NOT SPECIFIED} {CMM 2018-04 became effective for all CCMs on 1 Jan 2020}
Q-041(R)	CMM 2018-04 05c	Annual reporting requirement for incidents involving sea turtles in purse seine fisheries	REPORTING CHECKLIST	{SCIENTIFIC DATA SUBMISSION}
Q-042(R)	CMM 2018-04 07e	Annual reporting requirement for incidents involving sea turtles in shallow-set longline fisheries	REPORTING CHECKLIST	{SCIENTIFIC DATA SUBMISSION}

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	AR Pt 2 (Specific) - Section	Notes
Q-043(R)	CMM 2018-05 14	CCM shall nominate a WCPFC National Observer Coordinator	REPORTING CHECKLIST	
Q-044(R)	CMM 2018-06 09	Submission by Member to ED a list of all vessels on national record in previous year, noting "fished" or "did not fish" for each vessel	REPORTING CHECKLIST	{CMR RY2020 (report)} {SECRETARIAT ISSUED TEMPLATE EMAILED TO CCM RFV CONTACTS}
Q-045(R)	CMM 2018-06 11	Requirement to report extraordinary circumstances as to why IMO or LR number is not able to be obtained	REQUIRED REPORT: MCS, COMPLIANCE AND FISHING-RELATED ACTIVITIES	{TEMPLATE AND STANDARD ARE NOT SPECIFIED}
Q-046(R)	CMM 2018-06 16	CCMs to advise of the results of their annual review of implementation of paragraph 1 of CMM 2018-06 (RECORD OF FISHING VESSELS)	REQUIRED REPORT: MCS, COMPLIANCE AND FISHING-RELATED ACTIVITIES	{TEMPLATE AND STANDARD ARE NOT SPECIFIED}
Q-051(R)	CMM 2019-04 05	Report to describe, where applicable, any alternative measures from those in CMM 2019-04 SHARKS which are applied by CCMs in areas under national jurisdiction (provide in Part 2 Annual Report)	REQUIRED REPORT: SHARKS CMM 2019-04 PARA 23 & ANNEX 2	{TEMPLATE FOR REPORTING: CMM 2019-04 ANNEX 2 PARAGRAPH 1}
Q-052(R)	CMM 2019-04 06	Assessment of need for National Plan of Action for the Conservation and Management of sharks and/or as appropriate status and details of such plans (provide in Part 2 Annual Report)	REQUIRED REPORT: SHARKS CMM 2019-04 PARA 23 & ANNEX 2	{TEMPLATE FOR REPORTING: CMM 2019-04 ANNEX 2 PARAGRAPH 1}
Q-053(R)	CMM 2019-04 07-09	Take measures necessary to require all sharks retained on board their vessels are fully utilized and ensure the prohibition of finning (provide in Part 2 Annual Report)	REQUIRED REPORT: SHARKS CMM 2019-04 PARA 23 & ANNEX 2	{TEMPLATE FOR REPORTING: CMM 2019-04 ANNEX 2 PARAGRAPH 1}
Q-054(R)	CMM 2019-04 16	CCMs shall develop and report their management plans for longline fisheries targeting sharks in their Part 2 Annual Report	REQUIRED REPORT: SHARKS CMM 2019-04 PARA 23 & ANNEX 2	{TEMPLATE FOR REPORTING: CMM 2019-04 ANNEX 2 PARAGRAPH 1}
Q-055(R)	CMM 2019-04 20 (04)	Report on any biological sampling of oceanic whitetip shark and silky shark conducted as part of a CCM project (and Part 2 Annual Report)	REQUIRED REPORT: SHARKS CMM 2019-04 PARA 23 & ANNEX 2	{TEMPLATE FOR REPORTING: CMM 2019-04 ANNEX 2 PARAGRAPH 1}
Q-056(R)	CMM 2019-04 21 (04)	Where applicable, describe any alternative measures for whale sharks from CMM 2019-04 21 that are applied by CCMs specifically in EEZs located N30N (provide in Part 2 Annual Report)	REQUIRED REPORT: SHARKS CMM 2019-04 PARA 23 & ANNEX 2	{TEMPLATE FOR REPORTING: CMM 2019-04 ANNEX 2 PARAGRAPH 1}
Q-057(R)	CMM 2019-04 22	Annual reporting requirement for data on key shark species	REPORTING CHECKLIST	{SCIENTIFIC DATA SUBMISSION}

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	AR Pt 2 (Specific) - Section	Notes
Q-058(R)	CMM 2019-04 Annex 2 07	Annual report of estimated number of releases of oceanic whitetip shark and silky shark caught in the Convention Area, including the status upon release (dead or alive)(provide in Part 2 Annual Report)	REQUIRED REPORT: SHARKS CMM 2019-04 PARA 23 & ANNEX 2	{TEMPLATE FOR REPORTING: CMM 2019-04 ANNEX 2 PARAGRAPH 7}
Q-059(R)	CMM 2019-04 Annex 2 09	Annual report on any instances in which whale sharks have been encircled by purse seine nets of flagged vessels (provide in Part 2 Annual Report)	REQUIRED REPORT: SHARKS CMM 2019-04 PARA 23 & ANNEX 2	{TEMPLATE FOR REPORTING: CMM 2019-04 ANNEX 2 PARAGRAPH 7}
Q-060(R)	CMM 2019-06 (17, 45)	Report on actions taken to address previous year non-compliance in AR Pt 2, as well as report of progress for "Capacity Assistance Needed" CMR scores in AR Pt 2	REPORTING CHECKLIST	[Addressing CMR-2019 Issues]
Q-061(R)	CMM 2019-08 02	Notification of charter arrangements made to the ED	REPORTING CHECKLIST	[CMR RY2020 (report)]
Q-062(R)	CMM 2019-08 03	Notifications of charter arrangements made to the flag State and ED, including updated information	REPORTING CHECKLIST	[CMR RY2020 (report)]
Q-063(R)	CMM 2019-08 07	Report annually to ED the catch and effort of chartered vessels in the previous year (unless specifically provided in other CMMs)	REPORTING CHECKLIST	[CMR RY2020 (report)] {ANNUAL CATCH AND EFFORT SUBMISSION FOR CHARTERED VESSELS}
Q-064(R)	Convention Article 23 (5)	Report from CCM on outcome of an investigation, conducted at the request of another Member related to alleged violations of CMMs by its nationals. Summary to be provided in Part 2	REPORTING CHECKLIST	{Article 25(2) Online Compliance Case file List}
Q-065(R)	Convention Article 23 2 (c)	Report on CCM steps to implement conservation and management measures in the Convention area ("Annual Report Part 2")	REPORTING CHECKLIST	
Q-066(R)	Convention Article 25 (11)	Report on mechanisms for monitoring international trade and domestic distribution of HMFS. Summary to be provided in Annual Report Part 2	REQUIRED REPORT: MCS, COMPLIANCE AND FISHING-RELATED ACTIVITIES	{TEMPLATE AND STANDARD ARE NOT SPECIFIED}
Q-067(R)	Convention Article 25 (2)	Report of outcome of an investigation conducted, at the request of another Member of its vessels alleged violation of the Convention or CMMs. Summary to be provided in Part 2	REPORTING CHECKLIST	{Article 25(2) Online Compliance Case file List}
Q-068(R)	Convention Article 25 (8)	Annual Statement of compliance measures, including imposition of	REQUIRED REPORT: MCS, COMPLIANCE AND FISHING-RELATED ACTIVITIES	

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	AR Pt 2 (Specific) - Section	Notes
		sanctions (to be provided in Annual Report Part 2)		
Q-069(R)	Convention Article 27	Reports of outcomes of Port State Inspections. Summary to be provided in Part 2	REQUIRED REPORT: MCS, COMPLIANCE AND FISHING-RELATED ACTIVITIES	
Q-070(R)	Convention Article 5 (j)	Reports on surveillance activities (sea patrols and aerial surveillance) conducted in areas beyond national jurisdiction. Summary to be provided in Part 2	REQUIRED REPORT: MCS, COMPLIANCE AND FISHING-RELATED ACTIVITIES	{TEMPLATE AND STANDARD ARE NOT SPECIFIED}
Q-079(R)	Data RaP MCS 44	Statement of compliance with Data RaP	REPORTING CHECKLIST	
Q-080(R)	SciData 01-05, 07	Scientific Data to be Provided Decision	REPORTING CHECKLIST	

AR Pt 2 (Specific) - Inaugural Implementation Report Question

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	AR Pt 2 (Specific) section	Notes
Pr-110(N)	CMM 2018-01 19	Required FAD design and construction specification requirements to reduce the risk of entanglement of sharks, sea turtles or other species (effective 1 Jan 2020)	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2020	
Pr-111(N)	CMM 2018-03 02	From 1 Jan 2020 required longline mitigation measures to be used by longline vessels in the high seas between 25S - 30S (one of these options: weighted branch lines, night setting or tori lines)	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2020	[CMR RY2020 (implementation)]
Pr-012(N)	CMM 2018-04 07a	Sea Turtle mitigation requirements for shallow-set longline vessels	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2020	[CMR RY2020 (implementation)]
Pr-113(N)	CMM 2019-04 12	Take measures necessary to prevent fishing vessels from retaining on board (including for crew consumption), transshipping and landing any fins harvested in contravention of CMM 2019-04	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2020	
Pr-114(N)	CMM 2019-04 13	Take measures necessary to ensure carcasses and their corresponding fins are landed or transshipped together, in a manner that allows inspectors to verify	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2020	
Pr-115(N)	CMM 2019-04 14	Implement at least one option to minimize bycatch of sharks in longline fisheries, and notify choice and whenever the selected option is changed	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2020	

Pr-116(N)	CMM 2019-04 18	Ensure that sharks that are caught but are not to be retained, are hauled alongside the vessel in order to facilitate species identification (only applicable where observer or EM camera is present, and where safe for crew and observers)	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2020	
Pr-117(N)	CMM 2019-04 20 (01 -03)	Specific requirements to protect oceanic whitetip shark and silky shark species as specified in CMM 2019-04	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2020	
Pr-118(N)	CMM 2019-04 21 (01-07)	Specific requirements to protect whale sharks as specified in CMM 2019-04	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2020	

AR Pt 2 (Prior Year) - Implementation Questions

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	AR Pt 2 (Prior Year) Section	Notes
Pr-001	CMM 2004-03 02	Fishing vessel marking and technical specifications	(CMM 2004-03) MARKING AND IDENTIFICATION OF FISHING VESSELS	
Pr-002	CMM 2004-03 03	Requirement to include WCPFC identification number (WIN) in RFV	(CMM 2004-03) MARKING AND IDENTIFICATION OF FISHING VESSELS	
Pr-003	CMM 2006-08 07	Fishing vessels to accept HSBI boardings by duly authorised inspectors, and as applicable Members to ensure compliance of its authorised inspectors with the HSBI procedures	(CMM 2006-08) HIGH SEAS BOARDING AND INSPECTION PROCEDURES	
Pr-004	CMM 2006-08 30	Requirement to provide Full Report on HSBI	(CMM 2006-08) HIGH SEAS BOARDING AND INSPECTION PROCEDURES	
Pr-005	CMM 2006-08 32	Notification of serious violation(s) detected during HSBI	(CMM 2006-08) HIGH SEAS BOARDING AND INSPECTION PROCEDURES	
Pr-006	CMM 2006-08 33 and 36	Response by authorities of the fishing vessel to a serious violation notification	(CMM 2006-08) HIGH SEAS BOARDING AND INSPECTION PROCEDURES	
Pr-015	CMM 2008-04 02	Measures necessary to prohibit use by their vessels of large-scale driftnets in the high seas	(CMM 2008-04) PROHIBIT THE USE OF LARGE SCALE DRIFTNETS ON THE HIGH SEAS OF THE CONVENTION AREA	
Pr-016	CMM 2009-02 03-07	FAD Closure Rules - high seas	(CMM 2009-02) HIGH SEAS FAD CLOSURES AND CATCH RETENTION RULES	
Pr-017	CMM 2009-02 08-13	Rules for Purse seine catch retention, including reporting - high seas	(CMM 2009-02) HIGH SEAS FAD CLOSURES AND CATCH RETENTION RULES	
Pr-018	CMM 2009-02 12	Submit purse seine Catch Discard Report within 48 hours after any discard - high seas	(CMM 2009-02) HIGH SEAS FAD CLOSURES AND CATCH RETENTION RULES	

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	AR Pt 2 (Prior Year) Section	Notes
Pr-019	CMM 2009-03 03	CCMs shall not shift their fishing effort for SWO to the area north of 20°S	(CMM 2009-03) SWORDFISH	
Pr-020	CMM 2009-05 01	CCMs shall prohibit their fishing vessels from fishing within 1 nautical mile of or interacting with a data buoy in the high seas	(CMM 2009-05) PROHIBITING FISHING ON DATA BUOYS IN THE HIGH SEAS	
Pr-021	CMM 2009-05 03	CCMs shall prohibit their fishing vessels from taking on board a data buoy unless specifically authorized or requested to do so by the Member or owner responsible for that buoy.	(CMM 2009-05) PROHIBITING FISHING ON DATA BUOYS IN THE HIGH SEAS	
Pr-022	CMM 2009-05 05	Requirement for flag CCM in event a vessel becomes entangled with a data buoy	(CMM 2009-05) PROHIBITING FISHING ON DATA BUOYS IN THE HIGH SEAS	
Pr-023	CMM 2009-06 13	CCM shall ensure that vessels they are responsible for carry observers from the WCPFC ROP to observe transshipments at sea.	(CMM 2009-06) REGULATION OF TRANSHIPMENT	[CMR RY2020 (implementation) - through the Intersessional Decisions in response to COVID-19 this obligation was suspended effective 28 May 2020]
Pr-025	CMM 2010-07 06	Full utilization of any catches of sharks retained	(CMMS REPLACED BY CMM 2019-04) SHARKS	
Pr-026	CMM 2010-07 07	Vessels implement 5% fin to weight ratio or an alternative	(CMMS REPLACED BY CMM 2019-04) SHARKS	
Pr-027	CMM 2010-07 09	Take measures to prohibit their vessels from retaining, transshipping, landing or trading in any fins harvested in contravention of this CMM	(CMMS REPLACED BY CMM 2019-04) SHARKS	
Pr-028	CMM 2010-07 10	Requirement to take measures to encourage release of live sharks	(CMMS REPLACED BY CMM 2019-04) SHARKS	
Pr-030	CMM 2011-03 01	Prohibit purse seine setting on cetaceans, if animal is sighted prior to commencement of the set	(CMM 2011-03) PROTECTION OF CETACEANS FROM PURSE SEINE FISHING	[Compliance Case Cetaceans and Whale Shark]
Pr-031	CMM 2011-03 02	Requirements in the event of unintentional encircling of cetaceans in the purse seine net, including incident reporting requirements	(CMM 2011-03) PROTECTION OF CETACEANS FROM PURSE SEINE FISHING	[Compliance Case Cetaceans and Whale Shark]
Pr-032	CMM 2011-03 03	Ensuring safe release of cetacean, unintentionally encircled in purse seine nets	(CMM 2011-03) PROTECTION OF CETACEANS FROM PURSE SEINE FISHING	[Compliance Case Cetaceans and Whale Shark]
Pr-033	CMM 2011-04 01	Prohibit vessels from retaining on board, transshipping, storing or landing any oceanic whitetip sharks, in whole or in part	(CMMS REPLACED BY CMM 2019-04) SHARKS	[Compliance Case Shark Catch Alleged Infringements] n

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	AR Pt 2 (Prior Year) Section	Notes
Pr-034	CMM 2011-04 02	Requirement to release oceanic whitetip sharks, as soon as possible	(CMMS REPLACED BY CMM 2019-04) SHARKS	[Compliance Case Shark Catch Alleged Infringements]
Pr-035	CMM 2012-03 02	CCMs shall achieve 5% coverage of the effort of each fishery fishing for fresh fish beyond the national jurisdiction in area N 20N	(CMM 2012-03) IMPLEMENTING ROP BY VESSELS N 20N	
Pr-036	CMM 2012-04 01	Prohibit purse seine setting on whale sharks, if animal is sighted prior to commencement of the set	(CMMS REPLACED BY CMM 2019-04) SHARKS	
Pr-037	CMM 2012-04 04	Requirement in the event of non-deliberate encircling of whale shark in the purse seine net, including safe release	(CMMS REPLACED BY CMM 2019-04) SHARKS	[Compliance Case Cetaceans and Whale Shark]
Pr-038	CMM 2013-05 01	Requirement to ensure the master of each vessel completes an accurate written or electronic log of every day that it spends at sea on the high seas of the Convention Area as specified.	(CMM 2013-05) DAILY CATCH AND EFFORT REPORTING	
Pr-039	CMM 2013-05 02	Requirement that information recorded by the master of each vessel each day with fishing operations shall, at a minimum include the information as specified	(CMM 2013-05) DAILY CATCH AND EFFORT REPORTING	
Pr-040	CMM 2013-05 03	Requirement that the master of each vessel fishing in the Convention Area provides an accurate and unaltered original or copy of the required information to its national authority within 15 days of the end of a trip or transshipment, or within the period	(CMM 2013-05) DAILY CATCH AND EFFORT REPORTING	
Pr-041	CMM 2013-05 04	Requirement that the master of each vessel fishing in the Convention Area provides an accurate and unaltered original or copy of the required information pertaining to the current trip on board the vessel at all times during the course of a trip	(CMM 2013-05) DAILY CATCH AND EFFORT REPORTING	
Pr-042	CMM 2013-06 01	Requirement to cooperate, either directly or through the Commission, to enhance the ability of developing States, particularly the least developed among them and SIDS and Territories, to develop their own fisheries for hmf	(CMM 2013-06) CRITERIA FOR THE CONSIDERATION OF CONSERVATION AND MANAGEMENT MEASURE PROPOSALS	

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	AR Pt 2 (Prior Year) Section	Notes
Pr-043	CMM 2013-08 01	Prohibit vessels from retaining on board, transshipping, storing or landing any silky sharks, in whole or in part (applied after 1 July 2014)	(CMMS REPLACED BY CMM 2019-04) SHARKS	[Compliance Case Shark Catch Alleged Infringements]
Pr-044	CMM 2013-08 02	Requirement that vessels release silky sharks, as soon as possible. (applied after 1 July 2014)	(CMMS REPLACED BY CMM 2019-04) SHARKS	[Compliance Case Shark Catch Alleged Infringements]
Pr-045	CMM 2014-02 04	Vessels shall continue to report to Commission VMS after moving into Northern Quadrant	(CMM 2014-02) COMMISSION VMS	
Pr-046	CMM 2014-02 9a	Fishing vessels comply with the Commission standards for WCPFC VMS including being fitted with ALC/MTU that meet Commission requirements	(CMM 2014-02) COMMISSION VMS	
Pr-047	CMM 2014-02 9a VMS SSPs 2.8	Provision of ALC/MTU 'VTAF' data	(CMM 2014-02) COMMISSION VMS	
Pr-048	CMM 2014-02 9a VMS SSPs 5.4 - 5.5	VMS Manual Reporting procedures - applies until 1 March 2021	(CMM 2014-02) COMMISSION VMS	
Pr-049	CMM 2014-02 9a VMS SSPs 7.2.4	Protocol for inspecting States to inspect ALCs/MTU of other CCMs vessels at sea, includes reporting requirements for inspecting States	(CMM 2014-02) COMMISSION VMS	[Article 25-2 Compliance Cases - relevant VMS inspection outcomes where the flag CCM has been requested to investigate will be recorded by the Secretariat in this list]
Pr-050	CMM 2014-02 9a VMS SSPs 7.2.5	Report to Secretariat any ALC/MTU, and associated details, that appear to not be in compliance with applicable CMMs related to VMS reporting	(CMM 2014-02) COMMISSION VMS	
Pr-090	CMM 2014-03 02	Requirements and specifications to implement CMM 2017-05/2018-06 paragraph 6 (submit completed vessel record data for vessels authorised to fish beyond its flag CCMs areas under national jurisdiction)	(CMM 2018-06 & CMM 2014-03) WCPFC RECORD OF FISHING VESSELS AND AUTHORIZATION TO FISH AND RFV SSPs	
Pr-051	CMM 2014-05 01	Shark mitigation measures to be applied to fisheries targetting tuna and billfish	(CMMS REPLACED BY CMM 2019-04) SHARKS	
Pr-052	CMM 2014-05 02	Submit management Plans for fisheries that target sharks in association with WCPFC fisheries	(CMMS REPLACED BY CMM 2019-04) SHARKS	
Pr-053	CMM 2017-02 05	Flag CCM to require its flagged vessels to cooperate with any port CCM	(CMM 2017-02) MINIMUM STANDARDS FOR PORT STATE MEASURES	
Pr-054	CMM 2017-02 08	Port CCMs to ensure fisheries inspections are conducted by Government Authorised Inspectors	(CMM 2017-02) MINIMUM STANDARDS FOR PORT STATE MEASURES	

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	AR Pt 2 (Prior Year) Section	Notes
Pr-055	CMM 2017-02 09-10	Minimum requirement for vessels to be inspected by Port CCMs	(CMM 2017-02) MINIMUM STANDARDS FOR PORT STATE MEASURES	
Pr-056	CMM 2017-02 13-14	Requirement for Inspection report to be provided if inspection is undertaken by Port CCM	(CMM 2017-02) MINIMUM STANDARDS FOR PORT STATE MEASURES	
Pr-057	CMM 2017-02 15	Requirement that a flag CCM investigate any alleged IUU fishing, if reported in a Port inspection report	(CMM 2017-02) MINIMUM STANDARDS FOR PORT STATE MEASURES	
Pr-058	CMM 2017-02 17	Expected actions by Port CCMs where there is sufficient evidence of IUU fishing	(CMM 2017-02) MINIMUM STANDARDS FOR PORT STATE MEASURES	
Pr-059	CMM 2017-02 26	Requirement to encourage use of ports of SIDS to the extent practicable	(CMM 2017-02) MINIMUM STANDARDS FOR PORT STATE MEASURES	
Pr-060	CMM 2017-03 03-06	Requirements that flag CCMs are to ensure their fishing vessels follow if an observer safety incident occurs while the observer is on the vessel (observer dies, is missing or presumed fallen overboard, observer suffers from a serious illness or injury)	(CMM 2017-03) PROTECTION OF OBSERVERS	
Pr-061	CMM 2017-03 07-08	Required actions by a flag CCM where there are reasonable grounds to believe a WCPFC ROP Observers safety incident occurred	(CMM 2017-03) PROTECTION OF OBSERVERS	
Pr-062	CMM 2017-03 09	Port CCM to facilitate entry of a fishing vessel where observe safety incident has been reported	(CMM 2017-03) PROTECTION OF OBSERVERS	
Pr-063	CMM 2017-03 10	Required actions if an observer safety incident is reported after the observer has disembarked the vessel	(CMM 2017-03) PROTECTION OF OBSERVERS	
Pr-064	CMM 2017-03 11	Requirement that CCMs are to ensure their national Observer Providers take certain actions to support the implementation of CMM 2017-03	(CMM 2017-03) PROTECTION OF OBSERVERS	
Pr-065	CMM 2017-03 12	Requirement to ensure that available HSBI Inspection Vessels and Fishing Vessels participate to the extent possible in any search and rescue operations	(CMM 2017-03) PROTECTION OF OBSERVERS	
Pr-101	CMM 2017-04 01	Encouragement to ratify, accept, approve or accede to annexes of MARPOL and the London Protocol	(CMM 2017-04) MARINE POLLUTION	
Pr-102	CMM 2017-04 02	Prohibit fishing vessels from discharging any plastics (including plastic packaging, items containing plastic and polystyrene) but not including fishing gear	(CMM 2017-04) MARINE POLLUTION	[CMR RY2020 (implementation)]

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	AR Pt 2 (Prior Year) Section	Notes
Pr-103	CMM 2017-04 03-07	Encourage adoption of additional measures to reduce marine pollution, including retrieval of abandoned, lost or discarded fishing gear, and provision of adequate port facilities	(CMM 2017-04) MARINE POLLUTION	
Pr-104	CMM 2017-04 08	Requirement to actively support SIDS and Territories through provision of adequate port facilities for receiving and appropriately disposing of waste from fishing vessels	(CMM 2017-04) MARINE POLLUTION	
Pr-105	CMM 2017-04 09-11	Encourage development of reporting frameworks for fishing gear loss and facilitating their recovery, and training and awareness for crew and master of fishing vessels regarding impacts of marine pollution	(CMM 2017-04) MARINE POLLUTION	
Pr-066	CMM 2018-01 16	Purse seine 3 month FAD closure (1 July - 30 September)	(CMM 2018-01) BIGEYE, YELLOWFIN AND SKIPJACK TUNA IN THE WESTERN AND CENTRAL PACIFIC OCEAN	
Pr-067	CMM 2018-01 17	Advice on choice and implementation of two additional month high seas FAD closure (April-May or Nov-Dec)	(CMM 2018-01) BIGEYE, YELLOWFIN AND SKIPJACK TUNA IN THE WESTERN AND CENTRAL PACIFIC OCEAN	[CMR RY2020 (implementation) and Compliance Case FAD Sets Alleged Infringements]
Pr-068	CMM 2018-01 23	Each purse seine vessel is limited to no more than 350 FADs with activated instrumented buoys	(CMM 2018-01) BIGEYE, YELLOWFIN AND SKIPJACK TUNA IN THE WESTERN AND CENTRAL PACIFIC OCEAN	[CMR RY2020 (implementation)]
Pr-069	CMM 2018-01 27	CCMs not to transfer fishing effort in days fished in the purse seine fishery to areas N20N and S20S	(CMM 2018-01) BIGEYE, YELLOWFIN AND SKIPJACK TUNA IN THE WESTERN AND CENTRAL PACIFIC OCEAN	[CMR RY2020 (implementation)]
Pr-070	CMM 2018-01 31	Purse seine catch retention requirements (20N - 20S)	(CMM 2018-01) BIGEYE, YELLOWFIN AND SKIPJACK TUNA IN THE WESTERN AND CENTRAL PACIFIC OCEAN	[CMR RY2020 (implementation)]
Pr-071	CMM 2018-01 33	Purse seine vessels are not to operate under manual reporting during FAD closure period	(CMM 2018-01) BIGEYE, YELLOWFIN AND SKIPJACK TUNA IN THE WESTERN AND CENTRAL PACIFIC OCEAN	[CMR RY2020 (implementation)]
Pr-072	CMM 2018-01 34	Requirement for purse seine vessels to carry a ROP observer	(CMM 2018-01) BIGEYE, YELLOWFIN AND SKIPJACK TUNA IN THE WESTERN	[CMR RY2020 (implementation) - through the Intersessional

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	AR Pt 2 (Prior Year) Section	Notes
			AND CENTRAL PACIFIC OCEAN	Decisions in response to COVID-19 this obligation was suspended effective 8 April 2020]
Pr-073	CMM 2018-01 35	100% purse seine coverage: specific rules for vessels fishing exclusively in areas under its national jurisdiction	(CMM 2018-01) BIGEYE, YELLOWFIN AND SKIPJACK TUNA IN THE WESTERN AND CENTRAL PACIFIC OCEAN	[CMR RY2020 (implementation) - through the Intersessional Decisions in response to COVID-19 this obligation was suspended effective 8 April 2020]
Pr-074	CMM 2018-01 Att 2 03	Philippines vessels Entry/Exit reports for HSP1-SMA	(CMM 2018-01) BIGEYE, YELLOWFIN AND SKIPJACK TUNA IN THE WESTERN AND CENTRAL PACIFIC OCEAN	[CMR RY2020 (report)]
Pr-075	CMM 2018-01 Att 2 04	Philippines to ensure its flagged vessels report sightings of any fishing vessel to the Commission Secretariat (vessel type, date, time, position, markings, heading and speed)	(CMM 2018-01) BIGEYE, YELLOWFIN AND SKIPJACK TUNA IN THE WESTERN AND CENTRAL PACIFIC OCEAN	
Pr-076	CMM 2018-01 Att 2 05-06	Specific requirements for deploying observers on Philippines vessels fishing in HSP-1	(CMM 2018-01) BIGEYE, YELLOWFIN AND SKIPJACK TUNA IN THE WESTERN AND CENTRAL PACIFIC OCEAN	[CMR RY2020 (report)]
Pr-077	CMM 2018-01 Att 2 08	Philippines to monitor landings by vessels operating in HSP1-SMA and collect reliable catch data by species	(CMM 2018-01) BIGEYE, YELLOWFIN AND SKIPJACK TUNA IN THE WESTERN AND CENTRAL PACIFIC OCEAN	[CMR RY2020 (implementation)]
Pr-082	CMM 2018-03 (resolves 02)	Report on implementation of IPOA Seabirds, including NPOAs for reducing incidental catch of seabirds in longline fisheries	(CMM 2018-03) MITIGATING THE IMPACT OF FISHING ON SEABIRDS	
Pr-106	CMM 2018-03 01	Required longline mitigation measures to be used by vessels fishing south of 30S (hook-shielding devices OR at least two of these options: weighted branch lines, night setting and tori lines)	(CMM 2018-03) MITIGATING THE IMPACT OF FISHING ON SEABIRDS	[CMR RY2020 (report)]
Pr-107	CMM 2018-03 06	Required longline mitigation measures to be used by longline vessels > 24m fishing north of 23N (choose 2 from Table 1 including at least 1 from Column A) and longline vessels < 24m fishing north of 23N (at least 1 measure from Column A in Table 1)	(CMM 2018-03) MITIGATING THE IMPACT OF FISHING ON SEABIRDS	[CMR RY2020 (implementation)]

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	AR Pt 2 (Prior Year) Section	Notes
Pr-008	CMM 2018-04 04	CCMs to ensure fishermen use proper mitigation and handling techniques and foster the recovery of any turtles that are incidentally captured	(CMM 2018-04) SEA TURTLES	
Pr-009	CMM 2018-04 05a	Sea Turtle mitigation requirements for purse seine vessels	(CMM 2018-04) SEA TURTLES	[CMR RY2020 (report)]
Pr-010	CMM 2018-04 05b	Purse seine sea turtle incident reporting requirements	(CMM 2018-04) SEA TURTLES	
Pr-011	CMM 2018-04 06	CCMs to require longline vessels to carry and use line cutters and de-hookers to handle and promptly release sea turtles, as well as dip-nets where appropriate	(CMM 2018-04) SEA TURTLES	[CMR RY2020 (implementation)]
Pr-013	CMM 2018-04 07c	Reporting requirement for operational definitions of shallow set swordfish fisheries, and sea turtle mitigation requirements including large circle hooks	(CMM 2018-04) SEA TURTLES	
Pr-014	CMM 2018-04 07d	Reporting requirement for incidents involving sea turtles in shallow-set longline fisheries for swordfish	(CMM 2018-04) SEA TURTLES	
Pr-083	CMM 2018-05 07	Vessels to be prepared to accept an observer from the ROP, if required	(CMM 2018-05) REGIONAL OBSERVER PROGRAMME	
Pr-084	CMM 2018-05 08	CCM shall be responsible for meeting the level of observer coverage as set by the Commission	(CMM 2018-05) REGIONAL OBSERVER PROGRAMME	
Pr-085	CMM 2018-05 09	CCMs shall source observers for their vessels as determined by the Commission	(CMM 2018-05) REGIONAL OBSERVER PROGRAMME	
Pr-086	CMM 2018-05 10	CCMs shall explain to the vessel captain, observer duties relevant to appropriate measures adopted by the Commission	(CMM 2018-05) REGIONAL OBSERVER PROGRAMME	[CMR RY2020 (implementation)]
Pr-108	CMM 2018-05 11	CCMs to use information collected by observers for the purpose of investigations under Convention Article 23 and 25, and shall cooperate in exchange of such information in accordance with standards adopted by the Commission	(CMM 2018-05) REGIONAL OBSERVER PROGRAMME	
Pr-109	CMM 2018-05 15 (g)	CCMs to ensure vessel operators comply with the Guidelines for the Rights and Responsibilities of Vessel Operators, Captains and Crew	(CMM 2018-05) REGIONAL OBSERVER PROGRAMME	[CMR RY2020 (implementation)]
Pr-087	CMM 2018-05 Annex C 04	ROP data submission	(CMM 2018-05) REGIONAL OBSERVER PROGRAMME	

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	AR Pt 2 (Prior Year) Section	Notes
Pr-088	CMM 2018-05 Annex C 06	CCMs shall achieve 5% coverage of the effort in each fishery under the jurisdiction of the Commission	(CMM 2018-05) REGIONAL OBSERVER PROGRAMME	
Pr-089	CMM 2018-05 Annex C 08	CCMs shall meet any additional ROP observer obligations as specified in CMMs	(CMM 2018-05) REGIONAL OBSERVER PROGRAMME	
Pr-091	CMM 2018-06 02	CCMs to ensure its fishing vessels only transship to/from, and provide bunkering for/ are bunkered by or otherwise supported by vessels on the RFV	(CMM 2018-06 & CMM 2014-03) WCPFC RECORD OF FISHING VESSELS AND AUTHORIZATION TO FISH AND RFV SSPs	
Pr-092	CMM 2018-06 03	CCMs should only allow its fishing vessels to be used for fishing, if properly authorised	(CMM 2018-06 & CMM 2014-03) WCPFC RECORD OF FISHING VESSELS AND AUTHORIZATION TO FISH AND RFV SSPs	
Pr-093	CMM 2018-06 04	Vessels authorization requirement	(CMM 2018-06 & CMM 2014-03) WCPFC RECORD OF FISHING VESSELS AND AUTHORIZATION TO FISH AND RFV SSPs	
Pr-094	CMM 2018-06 07	Requirement to notify any additions, modifications and deletions of Vessels from the record, including for each vessel all details as set out in paragraph 6 of this CMM	(CMM 2018-06 & CMM 2014-03) WCPFC RECORD OF FISHING VESSELS AND AUTHORIZATION TO FISH AND RFV SSPs	
Pr-095	CMM 2018-06 17	Flag CCM to ensure fishing vessels are on RFV is accordance with this CMM. Vessels not on RFV shall be deemed not authorized to fish for, retain on board, transship or land HMFS in Convention Area beyond the national jurisdiction of its flag State	(CMM 2018-06 & CMM 2014-03) WCPFC RECORD OF FISHING VESSELS AND AUTHORIZATION TO FISH AND RFV SSPs	
Pr-096	CMM 2018-06 18	CCMs to prohibit landings in ports or transshipment to vessels not on RFV	(CMM 2018-06 & CMM 2014-03) WCPFC RECORD OF FISHING VESSELS AND AUTHORIZATION TO FISH AND RFV SSPs	
Pr-078	CMM 2019-02 06	Requirement to cooperate for effective implementation of CMM, including juvenile catch reduction	(CMM 2019-02) PACIFIC BLUEFIN TUNA	
Pr-079	CMM 2019-02 07	Requirement to monitor and obtain prompt results of recruitment of juveniles each year	(CMM 2019-02) PACIFIC BLUEFIN TUNA	
Pr-080	CMM 2019-02 08	Requirement to take measures necessary to prevent commercial transaction of Pacific bluefin tuna and its products that undermine the effectiveness of this CMM	(CMM 2019-02) PACIFIC BLUEFIN TUNA	

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description	AR Pt 2 (Prior Year) Section	Notes
Pr-081	CMM 2019-02 10	Requirement to take measures to strengthen monitoring and data collection	(CMM 2019-02) PACIFIC BLUEFIN TUNA	
Pr-024	CMM 2019-07 22	CCMs shall take all necessary non-discriminatory measures, including under their applicable legislation, to take certain actions in respect of vessels listed on the WCPFC IUU Vessel List	(CMM 2019-07) CMM TO ESTABLISH A LIST OF VESSELS PRESUMED TO HAVE CARRIED OUT IUU FISHING	
Pr-097	Convention Article 22 (4)	Cooperation with IATTC, including implementation of the WCPFC9 decision (para 80 of WCPFC9 Summary Report)	CONVENTION	{ANNUAL CATCH AND EFFORT SUBMISSION FOR CHARTERED VESSELS}
Pr-098	Convention Article 23 (3)	Advice from members on its measures for areas under their national jurisdiction, including conservation and management measures, inspections and surveillance activities. Summary to be provided in Annual Report Part 2	CONVENTION	
Pr-099	Convention Article 23 (4)	Measures CCM adopted for regulating activities of fishing vessels flying its flag. Summary to be provided in Annual Report Part 2	CONVENTION	{TEMPLATE AND STANDARD ARE NOT SPECIFIED}
Pr-100	Convention Article 24 (3)	Requirement that condition of every authorization issued by flag CCM that the fishing vessel operates (i) in the high seas in accordance with Annex III and (ii) in areas under national jurisdiction only if it has required license/permits from coastal CCM	CONVENTION	

TCC15 Summary Report Attachment C

Summary of reporting requirements that are related to each CCM-level or collective quantitative limit and notes on the present availability of data for verifying the CCMs report on implementation against the limit (Table 2 of TCC15-2019-10⁷)

Supporting note

1. The Commission has adopted CMMs that specify for certain CCMs the quantity of catch of a species or a stock which may be caught, and/or the levels of fishing effort that are permitted within the Convention Area or subparts thereof. If CCMs are subject to an applicable quantitative limit, they are required and expected to provide in Annual Report Part 2 the supporting details that confirm an applicable quantitative limit was not exceeded. CMM 2018-07 paragraph 7 states that through the CMS the annual assessment of compliance for quantitative limits, shall be based on verifiable data indicating that a limit has not been exceeded.

2. There are nineteen (19) obligations that have been classified within the grouping of “Quantitative Limit: CCM-level or collective” in this year’s Annual Report Part 2 report covering 2018 activities.⁸ The table below lists in the first column each of the nineteen quantitative limit-related obligations and a brief description of the obligation. The table below provides notes on the present availability of data that can be used to verify a CCM’s report on implementation for each of the nineteen quantitative limits.

3. *Annual report expected in Annual Report Part 2 (ARPt2)?* The third column confirms that for each of the nineteen obligations, each CCM is expected to provide an annual statement of YES/NO/not applicable and those CCMs to whom a quantitative limit applies is expected to provide additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded.

4. *Dedicated required report on implementation of a limit?* Some CMMs that specify quantitative limits also oblige that CCMs annually report data to the Commission related to the CMM and its quantitative limit. Ten (10) quantitative limit obligations have related CMM-required reports and some individual reports provide data and information that could be related to more than one specified quantitative limits (eg different paragraphs within the same CMM).⁹ The

⁷ **WCPFC-TCC15-2019-10 STREAMLINING WCPFC REPORTING REQUIREMENTS DISCUSSION PAPER - A TCC Workplan 2019 – 2021 project related to the WCPFC Compliance Monitoring Scheme** paper by the Secretariat (20 September 2019)

⁸ In 2019, the Commission agreed that sixteen (16) of the nineteen (19) obligations will be reviewed through the CMS (the limits in CMM 2006-04 south-west Pacific striped marlin and CMM 2009-03 Swordfish were not included in the list of obligations to be assessed by the CMS in 2019).

⁹ Some suggestions and options to streamline these reporting requirements can be found in **WCPFC-TCC15-2019-10** pages 7 – 10.

pairing of the nine (9) CMM-required reports to their corresponding quantitative limit is shown in the fourth column of the table (below).

5. The inclusion of CMM-required reporting requirements was common in CMMs with limits that were adopted in the earlier years of the Commission. In part this was because at that time most major flag CCMs were recognized as having domestic legal constraints that prevented them from submitting operational level catch and effort data to the Commission. Such dedicated required reports were intended to ensure a flow of data and information to support reviews of the quantitative limit, including to establish baselines and to monitor an individual CCMs compliance with the applicable quantitative limits.

6. *Does WCPFC routinely use other data to verify a CCMs report on its implementation of a quantitative limit?* For all quantitative limits a principal source of information on its implementation of a quantitative limit, is the CCMs own report of implementation in Annual Report Part 2. However, there are some differences in the availability of additional data to verify the CCMs report on their implementation against a quantitative limit.

7. In recent years improvements that CCMs have made in their reporting of operational level catch and effort data and other WCPFC records, has meant that WCPFC routinely receives and is able to use WCPFC data to verify a CCMs report on its implementation of a quantitative limit. For example, purse seine EEZ limits (CMM 2017-01 25), high seas purse seine limits (CMM 2017-01 26 and bigeye longline catch limits (CMM 2017-01 39 and 43).

8. In other instances, dedicated reporting requirements have been included in a CMM because a limit was phrased as “fishing for a species or stock” and it was recognized that there were some limitations on the use of operational catch and effort data as the sole verification tool used to review CCMs compliance with the applicable quantitative limits. For example, CMM 2015-02 includes a reporting requirement set out in CMM 2015-02 04 and similarly the annual report for CMM 2017-01 39 “limit” includes a monthly reporting requirement CMM 2017-01 41.

9. There are presently nine quantitative limits where there are limited or no additional data presently available to WCPFC to verify the CCM’s report on their implementation against the limit. [CMM 2005-03 02 (NP albacore), CMM 2006-04 01 (SW Striped Marlin), CMM 2009-03 01, 02 (Swordfish), CMM 2010-01 05 (NP striped marlin), CMM 2017-01 45, 47, 48 (Tropical tuna vessel limits), CMM 2017-01 51, CMM 2017-08 (Pacific Bluefin)]. TCC has recommended that the Commission should consider whether additional reporting or revised formulations of quantitative limits should be considered so that WCPFC has more ready access to data that can be used to verify a CCM’s implementation of a quantitative limit.

10. The fifth and sixth columns in the table below highlights the quantitative limits where there are some data that can be used verify implementation of a quantitative limit, and those quantitative limits which rely solely on the CCMs own report of implementation in Annual Report Part 2.

TCC15-2019-10 Table 1. Summary of reporting requirements that are related to each CCM-level or collective quantitative limit and notes on the present availability of data for verifying the CCMs report on implementation against the limit

Quantitative Limit	Brief Description {ARPt2 SeqNo}	Annual report expected in ARPt2?	Dedicated required report on implementation of a limit?	Does WCPFC routinely use other data to verify the report?	Notes
CMM 2005-03 02	CCMs take measures to ensure level of fishing effort by vessels fishing for North Pacific albacore tuna is not increased { Q-003(L) }	✓	CMM 2005-03 03 CMM 2005-03 04 SUBMISSION TO SECRETARIAT	✗	I. a.
CMM 2006-04 01	Limit number of fishing vessels fishing for striped marlin S 15 S, to 2000 – 2004. { Q-006(L) }	✓	CMM 2006-04 04 SUBMISSION TO SECRETARIAT	✗	I. a.
CMM 2009-03 01	Limit number of vessels fishing for Swordfish S20S to the number in any one year between 2000-2005 { Q-038(L) }	✓	CMM 2009-03 08 SUBMISSION REQUIRED IN ARPt1	✗	I. a.
CMM 2009-03 02	Limit the catch of Swordfish by its vessels in area S20S to the amount in any one year during 2000-2006 { Q-039(L) }	✓	CMM 2009-03 08 SUBMISSION REQUIRED IN ARPt1	✗	I. b.
CMM 2009-06 29	Limit on purse seine vessels transshipment outside of port to vessels that have received an exemption from the Commission. Where applicable, flag CCM authorisation should be vessel-specific and address any specific conditions identified by the Commission. { Q-047(L) }	✓	✗	✓	III(ii) e.
CMM 2009-06 34	Ban on high seas transshipment, unless a CCM has determined impracticability in accordance with para 37 guidelines, and has advised the Commission of such. { Q-048(L) }	✓	✗	✓	III(ii). e.
CMM 2010-01 05	Catch limits applicable to CCMs with vessels fishing in the Convention Area north of the equator: commencing 2011{ Q-054(L) }	✓	CMM 2010-01 08 SUBMISSION REQUIRED IN ARPt2	✗	I. b.
CMM 2015-02 01	Limit on number of vessels actively fishing for SP ALB in area S 20 S above 2005 or 2000-2004 levels { Q-100(L) }	✓	CMM 2015-02 04 SUBMISSION TO SECRETARIAT And/or Operational data submitted to SPC-OFP	✓	III(i)
CMM 2017-01 25	Purse seine EEZ limits (for skipjack, yellowfin and bigeye tuna) and advice from other coastal CCMs of EEZ limits to be applied{ Q-108(L) }	✓	✗	✓	III(i)
CMM 2017-01 26	High seas purse seine effort limits applying 20N to 20S{ Q-109(L) } includes CMM 2017-01 Att 2 10 Effort limit for PH flag vessels operating in the HSP-1 { Q-114(L) }	✓	✗	✓	III(i) III(ii)b. d.
CMM 2017-01 39	Bigeye longline catch limits for 2018 and adjustment to be made for any overage{ Q-121(L) }	✓	CMM 2017-01 41 (monthly report) SUBMISSION TO SECRETARIAT	✓	III(i)

Quantitative Limit	Brief Description {ARPt2 SeqNo}	Annual report expected in ARPt2?	Dedicated required report on implementation of a limit?	Does WCPFC routinely use other data to verify the report?	Notes
CMM 2017-01 43	Bigeye longline catch limits by flag for certain other members which caught less than 2000t in 2004 {Q-122(L)}	✓	x	✓	III(i)
CMM 2017-01 45	Limit by flag on number of purse seine vessels >24m with freezing capacity between 20N and 20S{Q-123(L)}	✓	x	partial	II. c.
CMM 2017-01 47	Limit by flag on number of longline vessels with freezing capacity targeting bigeye above the current level (applying domestic quotas are exempt) {Q-125(L)}	✓	x	partial	II. c.
CMM 2017-01 48	Limit by flag on number of ice-chilled longline vessels targeting bigeye and landing exclusively fresh fish above the current level or above the number of current licenses under established limited entry programmes (applying domestic quotas are exempt) {Q-126(L)}	✓	x	partial	II. c.
CMM 2017-01 51	Limit on total catch of certain other commercial tuna fisheries (that take >2000Mt of BET, YFT and SKJ) {Q-126(L)}	✓	x	x	I.
CMM 2017-08 02 (1)	Total effort by vessels for Pacific Bluefin limited to 02-04 level N20N {Q-157(L)}	✓	CMM 2017-08 04 SUBMISSION TO SECRETARIAT	x	I. a.
CMM 2017-08 02 (2)	Catches of Pacific bluefin tuna less than 30kg shall be reduced to 50% of 2002-04 level. Overage to be deducted from following year {Q-158(L)}	✓	CMM 2017-08 04 SUBMISSION TO SECRETARIAT	x	I.
CMM 2017-08 03	Every possible measure to be taken not to increase catches of BFT >30kg from 2002-04 levels{Q-159(L)}	✓	CMM 2017-08 04 & 10 SUBMISSION TO SECRETARIAT	x	I.

Tier score related to the present availability of data that can be used to verify the CCMs report on implementation against the limit

- I. Limited or no data is presently available to WCPFC to verify the report on implementation against the limit
- II. Partial data is available to WCPFC to verify the report on implementation against the limit
- III(i). Operational data held by **SPC-OPF** and that is regularly compiled into a report for **WCPFC**, is used to verify the report on implementation against the limit
- III(ii). Some data based on WCPFC held records is available to verify the report on implementation against the limit

Notes on any data/information needs and reporting formats for verifying the report

- a. The limit refers to “vessel fishing for”, so there are limitations on use of operational data or VMS data as a verification tool
- b. Operational level catch and effort data held by **SPC-OPF** could be compiled into a report for **WCPFC** as additional verification of the CCMs report
- c. Partial information from **WCPFC records**, specifically RFV records, but there are limitations on their use as verification of the CCMs report
- d. Some information from **WCPFC records**, specifically CMM 2017-01 Att 2 03 entry and exit reports and high seas VMS records
- e. Some information from **WCPFC records**, specifically RFV & high seas transshipment reports

Excerpt from Suggested checklist of 2021 Reporting Requirements in CMMs or other WCPFC Decisions (available from <https://www.wcpfc.int/compliance-monitoring>) providing the list of AR Part 2 questions where templates and standards are not specified

REPORT REQUIREMENT	TEXT OF PROVISION	AR PART 2 SeqNo ¹⁰ (and notes)
CMM 08-04 para 5 (Driftnet)	5. CCMs shall include in Part 2 of their Annual Reports a summary of monitoring, control, and surveillance actions related to large-scale driftnet fishing on the high seas in the Convention Area.	Q-004(R) CMM 2008-04 05 REQUIRED REPORT: MCS, COMPLIANCE AND FISHING-RELATED ACTIVITIES {TEMPLATE AND STANDARD ARE NOT SPECIFIED}
CMM 09-06 Para 35(v) (Transshipment)	35. Where transshipment does occur on the high seas: a. the CCMs responsible for reporting against both the offloading and receiving vessels shall, as appropriate: v. Submit to the Commission a plan detailing what steps it is taking to encourage transshipment to occur in port in the future.	
CMM 09-09 para 5 (Vessels without Nationality)	5. Any sightings of fishing vessels that appear to be without nationality that may be fishing in the high seas of the Convention Area for species covered by the WCPF Convention shall be reported to the Secretariat as soon as possible by the appropriate authorities of the CCM whose vessel or aircraft made the sighting.	Q-014(R) CMM 2009-09 05 REQUIRED REPORT: MCS, COMPLIANCE AND FISHING-RELATED ACTIVITIES {TEMPLATE AND STANDARD ARE NOT SPECIFIED}
CMM 18-06 para 11 Record of Fishing Vessels (RFV)	11. In assessing compliance with 6(s) above, the Commission shall take into account extraordinary circumstances in which a vessel owner is not able to obtain an IMO or LR number despite following the appropriate procedures. Flag CCMs shall report any such extraordinary situations in Part 2 of their annual reports .	Q-045(R) CMM 2018-06 11 REQUIRED REPORT: MCS, COMPLIANCE AND FISHING-RELATED ACTIVITIES {TEMPLATE AND STANDARD ARE NOT SPECIFIED}
CMM 2018-06 para 16 Record of Fishing Vessels (RFV)	16. CCMs shall review their own internal actions and measures taken pursuant to paragraph 1, including sanctions and punitive actions and, in a manner consistent with domestic law as regards disclosure, report annually to the Commission the results of the review. In consideration of the results of such review, the Commission shall, if appropriate, request that the Flag State, or member, of vessels on the Record or the Register take further action to enhance compliance by those vessels with WCPFC conservation and management measures.	Q-046(R) CMM 2018-06 16 REQUIRED REPORT: MCS, COMPLIANCE AND FISHING-RELATED ACTIVITIES {TEMPLATE AND STANDARD ARE NOT SPECIFIED}
Convention Article 23(4)	Article 23 - Obligations of members of the Commission 4. Each member of the Commission shall keep the Commission informed of the measures it has adopted	Pr-099 Convention Article 23(4) CONVENTION {TEMPLATE AND STANDARD ARE NOT SPECIFIED}

10 The SeqNo field, also includes a notation of (R) for reports, and (L) for quantitative limits eg Q-020(R) is a “report”, Q-001(L) is a “limit”, whereas Pr-001 or Q-047 are “implementation” questions. A prefix of “Pr” is used for the Annual Report Part 2 (Prior Year Obligations) questions, and prefix of “Q” is used for the Annual Report Part 2 (2020 Specific) questions.

REPORT REQUIREMENT	TEXT OF PROVISION	AR PART 2 SeqNo ¹⁰ (and notes)
	for regulating the activities of fishing vessels flying its flag which fish in the Convention Area. The Commission shall circulate periodically such information to all members.	
Convention Article 24(3)	Article 24 Flag State duties 3. It shall be a condition of every authorization issued by a member of the Commission that the fishing vessel in respect of which the authorization is issued: (a) conducts fishing within areas under the national jurisdiction of other States only where the fishing vessel holds any licence, permit or authorization that may be required by such other State; and (b) is operated on the high seas in the Convention Area in accordance with the requirements of Annex III, the requirements of which shall also be established as a general obligation of all vessels operating pursuant to this Convention.	Pr-100 Convention Article 23(4) CONVENTION
Convention Article 25 (8) (Annual Statement of Compliance Measures)	Article 25 -Compliance and enforcement 8. Each member shall transmit to the Commission an annual statement of compliance measures, including imposition of sanctions for any violations, it has taken in accordance with this article [Article 25].	Q-068(R) Convention Article 25 (8) REQUIRED REPORT: MCS, COMPLIANCE AND FISHING-RELATED ACTIVITIES