



## TECHNICAL AND COMPLIANCE COMMITTEE

### Eighth Regular Session

27 September- 2 October 2012

Pohnpei, Federated States of Micronesia

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### Report on the development of an online interface for Annual Report Part 2, and suggested refinements to future reporting requirements for CMR

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WCPFC-TCC8-2012/18\_rev2<sup>1</sup>

21 September 2012

#### Paper prepared by the Secretariat

#### Background

1. During 2011, in response to a request from TCC6 and WCPFC7, work was undertaken by the Secretariat to review the Part 2 Annual Report with a view to “streamlining” the template and reporting process. As the outcome of this work, two versions of a revised draft reporting format for Annual Report Part 2 were proposed by the Secretariat to TCC7 (WCPFC-TCC7-2011/19). At TCC7, some CCMs indicated that they wished to suggest specific changes, particularly on the version 1 of the template which was proposed. The outcome from TCC7 was:

**104. TCC7 recommended the adoption of a streamlined Part 2 Annual Report template. There was strong support for implementation of a streamlined template in a web-based format subject to provision of funds for its development.**

2. At WCPFC8, a paper was prepared by the Secretariat on the updated streamlined Part 2 Annual Report (WCPFC8-2011/22). This paper noted that significant comments were provided on the proposed “streamlined annual report Part 2 template”. WCPFC8-2011/22 provided a further revised template for Part 2 reports to be submitted in 2012 (covering 2011 activities), but noted that not all submissions from CCMs post-TCC7 were able to be accommodated in this template proposal, which was attached to WCPFC8-2011/22. WCPFC8 approved that work be undertaken by the Secretariat to develop an online interface for Part 2 reports within the approved budget under Part 2.3 for “Information Management System including RFV”. A revised Part 2 Annual Report template was not agreed by WCPFC8.

3. In 2012, and in the absence of an agreed Part 2 Annual Report template noting the urgency to have it finalized so that information can be provided, the Secretariat prepared a revised Annual Report Part 2 template for use by CCMs to report on their implementation of CMMs in 2011. This template was based on the Annual Report Part 2 template which was used by CCMs in 2011 (as approved by WCPFC7), and not the “shortened” Annual Report Part 2 template that had been considered by TCC during 2011.

#### Preparations for development of an online-interface for Annual Report Part 2

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<sup>1</sup> Includes updates to paragraphs 10b) 10c) and 11 and in Annex B a correction to the reference years in the quote of CMM 2010-05 para 4.

4. In 2012, the WCPFC Secretariat contracted consultant Taz-E to undertake the development of an online interface for Annual Report Part 2. As an initial task, and noting the strength of the recommendation from TCC7, the consultant was tasked with producing preliminary prototypes using the two versions of what was described as a “streamlined Annual Report-Part 2” which was contained in WCPFC-TCC7-2011/19.

5. The feedback that the Secretariat received on a review of the two versions or formats from the consultant included:

- Both formats are aimed at being available to the CCMs on-line (over the Internet), so that answers are electronically recorded and stored in a database.
- Version 1: CMM paragraphs are interpreted as to derive a series of questions to be answered by CCMs. As understood, the main rationale for this format is that data is captured as ‘structured data’ rather than ‘text data’. The advantage being that structure data can be processed by computer programs (for comparisons, totals, etc.), which is not possible with unstructured data (text);
- Version 2: CMM paragraphs are presented without modification, in their original form (wording). CCMs are asked to provide their own assessment of whether they have fully complied with the measure or not (CCM can also indicate if they are exempt from the measure). Whatever their response is, CCM has opportunity to provide further explanations regarding each measure;
- There are some important issues to consider regarding Version 1 (and to overcome, if this format is to become the preferred one)
  - Questions have to be designed as very specific and to cater for all possible options, in order to be able to capture structured data. For example, CMM 2005-03, paragraph 4, asks for fishing effort breakdown by fishing gear, then all possible gears must be listed as separate (related) questions (fields where answers are to be provided). This is a significant design issue that must be carefully considered for each and every measure. It could lead to having a very elaborate (long) report to fill out. If questions are left just as ‘text boxes’ (which are flexible and can contain ‘anything’), then the main envisaged advantage of this format is lost.
  - If questions are designed to ask for data (information) that is already supposed to be provided elsewhere, then it could lead to an inconsistent and confusing environment where it is not apparent which source of data should be used.
- In summary, in a way version 1 and version 2 represent two different ‘philosophies’ (approaches or purposes): version 1 is an attempt to create a structured ‘data collection’ mechanism, but in its current design (WCPFC-TCC7-2011/19 Attachment A) however, this format as proposed provides insufficient detail for structured data collection and also asks for data which is meant to be collected elsewhere. Version 2 is essentially a ‘self-assessment’ on behalf of the CCMs as to how compliant they are with various CMMs. Therefore, one thing that needs to be clearly determined is: What exactly is the purpose of Annual Reports part 2 - is it supposed to be a ‘data collection’ mechanism (for data NOT meant to be collected elsewhere), or is it a ‘self-assessment’ on behalf of CCMs as to how they complied with measures, or perhaps a combination of both.

6. In considering this question asked by the consultant regarding what is Annual Report Part 2 aiming to achieve, the Secretariat reviewed and took note of guiding principles which were provided by TCC6 on Part 2 Annual Reports, and feedback which was provided to the Secretariat on the TCC7 Part 2 report templates (a summary of these are listed in **Annex A**).

7. The Secretariat also considered 2012 experience from developing draft CMRs, and considered the types of information that are required to be in Annual Report Part 2 which dCMR reports need. In this regard, the Secretariat makes the following observations:

- Annual Report Part 2 Yes / No/ n/a, and additional comments provided by were heavily relied upon by the Secretariat to populate the dCMR implementation column;
- Concurrence with the findings of the 2011 SWG-CMS, which in the final CMR report 2010 (WCPFC-TCC8-2012-IP19), identified that there were a number of provisions in CMMs which were used by dCMR, for which there are no relevant fields in the Part 2 report template;
- The Secretariat used quotes in dCMR from Annual Report Part 2 (and from Annual Report Part 1), which suggests scope for being able to automatically populate dCMRs from Annual Report Part 2 reports that are completed through an online interface, if the CMM paragraphs are matched to the CMM paragraphs in the dCMR template;
- An expectation that it should be possible for tables of CCM limits in CMMs and provisional catch and effort estimates, and other summary MCS statistics, to be used to automatically populate the CCM limits section of dCMRs and as verification notes in the “implementation column” of dCMR;
- A likelihood that the date of receipt by the Secretariat of a CCMs submissions under CMMs, would be relatively straightforward to obtain from the IMS for inclusion as verification in the “implementation column” of the dCMR, because the WCPFC IMS is structured by CMM paragraphs; and
- With future enhancements to integrate WCPFC MCS data and information into databases on the WCPFC IMS, there would be scope for additional summary data, by CMM paragraph, to be extracted from the WCPFC IMS and directly used to populate the dCMR reports and Annual Report Part 2, where required.

8. In addition, the Secretariat found that some of the more specific reporting requirements in CMMs for annual catch and effort estimates specific to the area to which a CMM applies, tended to either be overlooked or not obvious in many CCMs Annual Report Part 1 or Part 2. This meant that these figures were often received by WCPFC, only as responses from or through clarifications provided by CCMs to the draft CMR.

9. One potential response to this concern could be to collect the structured data through the Annual Report Part 2 online interface, in the way proposed by version 1 of the “shortened Part 2 template” proposed to TCC7. However, an alternative approach noting that many of these reporting requirements in CMMs specify provision in Annual Report Part 1, would be to ensure that these catch and effort statistics, and other fishery statistics are provided and easy to find in CCMs Annual Report Part 1, by adding them to the template that is used.

### **Proposed changes to future WCPFC reporting requirements**

10. Following this review and experiences with dCMR development, the Secretariat has prepared the following as the outcome of the online interface work, to date:

- a) A proposed addendum to the Annual Report Part 1 template for future reports (**Annex B**), so as to ensure that CCMs Annual Report Part 1 cover the required reporting provisions as contained in CMMs;
- b) A revised Annual Report Part 2 template for 2013 reports covering 2012 activities (**Annex C**). This template used the CMM paragraphs contained in the WCPFC-TCC7-2011/19 Attachment B version 2 as a starting point, and then adds in the additional CMMs and paragraphs so as to ensure coverage of all CMM provisions used in dCMR. These points are further explained in the cover to the proposed template in **Annex C**; and
- c) The Secretariat is able to provide access during TCC8 to CCMs to trial a prototype for online Annual Report Part 2, which is based on WCPFC-TCC7-2011/19 Attachment B version 2 as a starting point with some features of Annex C shown for illustrative purposes. The Secretariat notes that the prototype can be easily amended to incorporate all necessary CMMs and paragraphs, including those described in Annex C. This prototype was developed using the Microsoft Sharepoint. The details and instructions for accessing this prototype will be provided to TCC8 Heads of Delegation meeting.

11. Although the proposed Annual Report Part 2 template is a little longer than the template that was proposed to TCC7, at 8 pages it is still far shorter than the 18 page template which was prepared by the WCPFC Secretariat for reporting on 2011 activities. In any case, the initial feedback that the Secretariat has received from the interns who were able to “test” earlier versions of this prototype, was that the online interface itself is “streamlined”.

12. A couple of features that the Secretariat hopes to look into including into the Annual Report Part 2 prototype include:

- A print feature, so that CCMs can print a draft of the report to support their internal clearance processes for official reports of this kind; and
- Creation of a document library that CCMs can use to provide attachment additional notes, and copies of legislation.

13. One of the features that are not currently accommodated in the prototype is the ability to populate the template with answers from the previous year’s Annual Report Part 2 report. It is instead suggested that CCMs who would like to reference the previous years report, could easily have the previous years report open on-screen, alongside the Annual Report Part 2 online entry. This way the CCMs in completing these reports are making sure, and undertaking due diligence when preparing their reports, to ensure that any changes in circumstances from the previous Annual Report Part 2 are not overlooked.

13. Finally, as outlined in paragraph 7 (above) and elaborated further in WCPFC-TCC8-2012-19, the Secretariat sees significant potential for the internal development of an online interface on the WCPFC IMS for draft CMRs. This is expected to assist with managing and streamlining the workload from draft CMR report development, on a small WCPFC Secretariat.

### **Some proposed next steps for online interface development by the Secretariat**

- i. Receive feedback from TCC8 delegates on the online interface prototype and any changes that are suggested;
- ii. Agreement on the “2013 Annual Report Part 2 template”, specifically the list of CMM paragraphs to be included, so that the online interface can be finalized;

- iii. Include the additional features if possible;
- iv. Establish, subject to funding approval at WCPFC9, a server for Annual Report Part 2 online hosting and publishing (see WCPFC –TCC8-2012/21);
- v. Facilitate access through a portal on the WCPFC website so CCMs can complete their Annual Report Part 2 online;
- vi. Provide and check that all CCMs have an operational CCM logins and password to access their Annual Report Part 2 online interface; and
- vii. Make the online interface available as early as possible in 2013, so that CCMs who are able to can complete their Annual Report Part 2 as soon as possible.

### **Recommendations**

CCMs are invited to:

- Trial the prototype for online Annual Report Part 2 reporting during TCC8; and
- Provide the Secretariat with feedback and comments to assist in their ongoing work to finalize the on the online interface prototype for Annual Report Part 2 reporting.

TCC8 is invited to:

- Recommend the revised Annual Report Part 2 template (**Annex C**) be used as a basis for finalizing the online interface to be used for Annual Report Part 2 that is to be submitted by CCMs in 2013, and which covers 2012 activities;
- Recommend that the Secretariat finalise the development of online interface for Annual Report Part 2, with a view to making it available for all CCMs to use for their 2013 Annual Report Part 2; and
- Recommend the adoption of the proposed addendum to the Annual Report Part 1 template for future reports (**Annex B**), so as to ensure that CCMs Annual Report Part 1 cover the required reporting provisions as contained in CMMs.

**Guiding principles, comments and experiences which were used by the Secretariat as the basis for developing an online-interface for Annual Report Part 2**

- a) General criteria for streamlining the Annual Report – Part 2, suggestions to the Secretariat from TCC6 small working group (as reported in WCPFC7-2010/25)
- i. Cost effectiveness for CCMs and the Commission;
  - ii. A clear role for the Secretariat;
  - iii. Basing the reporting on fisheries management needs and priorities;
  - iv. A reporting format that is flexible and relevant to individual CCMs, while retaining consistency;
  - v. Efficiency – avoiding duplication and repetition;
  - vi. Transparency (noting relevant data confidentiality rules);
  - vii. Allows for effective monitoring of compliance with measures.
- b) Comments provided to the Secretariat during 2011/12 on the proposed “streamlined” draft revised template Annual Report – Part 2 (Version 1 of WCPFC-TCC7-2011/19), which included:
- The annual report template should not attempt to interpret the requirements or reword them;
  - The annual report template should, in addition to yes and no answers, call for explanations of how the provisions were complied with;
  - The annual report template should include a N/A field, if this applies to a particular CMM;
  - When referring to compliance with particular reporting requirements, it would be useful to have the CCM enter the date of the report submission instead of merely yes/no – to assist with assessment of whether the reporting deadline was met and to help track the report;
  - The template should have the capability for CCMs to insert remarks in specific areas providing them the ability to provide an explanatory note for non-compliance including identification of any need for capacity building support or other assistance;
  - It is important to ensure that the effort to streamline the Annual Report does not eliminate information that is important to the review of CCMs compliance under the Compliance Monitoring Scheme; and
  - There was a need to expand the streamlined report in the first year, to establish an “electronic” base year report for each CCM in year one, which then would allow for a tailored more streamlined report in subsequent years that simply built on the base year report; and
  - 2011 SWG-CMS identified that there were a number of measures for which there are no relevant fields in the Part 2 report template.



**PROPOSED ADDENDUM TO THE TEMPLATE TO BE USED BY CCMS FOR  
ANNUAL REPORT PART 1- NATIONAL FISHERY REPORT**

16 September 2012

**Prepared by the Secretariat**

Proposed attachment to be added to the current template to be used by CCMs for Annual Report Part 1- National Fishery Report (as an addition to Attachment N of the SC4 Summary Report).

**SPECIFIC INFORMATION TO BE PROVIDED IN PART 1 AS REQUIRED BY CCMS**

<b>CMM 05-03, para 4</b>	All CCMs shall report annually to the WCPFC Commission all catches of albacore north of the equator and all fishing effort north of the equator in fisheries directed at albacore. The reports for both catch and fishing effort shall be made by gear type. Catches shall be reported in terms of weight. Fishing effort shall be reported in terms of the most relevant measures for a given gear type, including at a minimum for all gear types, the number of vessel-days fished.* [* footnote 1: The first such report shall be due on April 30th, 2006 and shall cover calendar year 2004. Small Island Developing States will make their best efforts to comply with this first reporting deadline.]
<b>CMM 06-04 para 4</b>	In accordance with paragraph 1, CCMs shall provide information to the Commission, by 1 July 2007, on the number of their vessels that have fished for striped marlin in the Convention area south of 15°S, during the period 2000 – 2004, and in doing so, nominate the maximum number of vessels that shall continue to be permitted to fish for striped marlin in the area south of 15°S. CCMs shall report annually to the Commission the catch levels of their fishing vessels that have taken striped marlin as a bycatch as well as the number and catch levels of vessels fishing for striped marlin in the Convention Area south of 15°S.
<b>CMM 07-04, Seabirds para 9</b>	CCMs shall annually provide to the Commission, in part 1 of their annual reports, all available information on interactions with seabirds, including bycatches and details of species, to enable the Scientific Committee to estimate seabird mortality in all fisheries to which the WCPF Convention applies.
<b>CMM 09-03, Swordfish para 8</b>	CCMs shall report to the Commission the total number of vessels that fished for swordfish and the total catch of swordfish for the following: a. vessels flying their flag anywhere in the Convention Area south of 20°S other than vessels operating under charter, lease or other similar mechanism as part of the domestic fishery of another CCM; b. vessels operating under charter, lease or other similar mechanism as part of their domestic fishery south of 20°S; and c. any other vessels fishing within their waters south of 20°S. This information shall be provided in Part 1 of each CCM's annual report. Initially, this information will be provided in the template provided at Annex 2 for the period 2000-2009 and then updated annually.
<b>CMM 09-06, Transshipment para 11 (annex</b>	11. CCMs shall report on all transshipment activities covered by this Measure (including transshipment activities that occur in ports or EEZs) as part of their Annual Report in accordance with the guidelines at Annex II. In doing so, CCMs shall take all reasonable steps to validate and where possible, correct information received from vessels undertaking transshipment using all available information such as catch and effort data,

<b>II)</b>	<p>position data, observer reports and port monitoring data.</p> <p>ANNEX II TRANSHIPMENT INFORMATION TO BE REPORTED ANNUALLY BY CCMs</p> <p>Each CCM shall include in Part 1 of its Annual Report to the Commission:</p> <p>(1) the total quantities, by weight, of highly migratory fish stocks covered by this measure that were transhipped by fishing vessels the CCM is responsible for reporting against, with those quantities broken down by:</p> <ul style="list-style-type: none"> <li>a. offloaded and received;</li> <li>b. transhipped in port, transhipped at sea in areas of national jurisdiction, and transhipped beyond areas of national jurisdiction;</li> <li>c. transhipped inside the Convention Area and transshipped outside the Convention Area;</li> <li>d. caught inside the Convention Area and caught outside the Convention Area;</li> <li>e. species;</li> <li>f. product form; and</li> <li>g. fishing gear used</li> </ul> <p>(2) the number of transhipments involving highly migratory fish stocks covered by this measure by fishing vessels that is responsible for reporting against, broken down by:</p> <ul style="list-style-type: none"> <li>a. offloaded and received;</li> <li>b. transhipped in port, transhipped at sea in areas of national jurisdiction, and transhipped beyond areas of national jurisdiction;</li> <li>c. transhipped inside the Convention Area and transhipped outside the Convention Area;</li> <li>d. caught inside the Convention Area and caught outside the Convention Area; and</li> <li>e. fishing gear.</li> </ul>
<b>CMM 10-05, south Pacific albacore para 4</b>	CCMs shall report annually to the Commission the catch levels of their fishing vessels that have taken South Pacific Albacore as a bycatch as well as the number and catch levels of vessels actively fishing for South Pacific albacore in the Convention area south of 20°S. Initially this information will be provided for the period 2006-2010 and then updated annually.
<b>CMM 10-07, Sharks para 4</b>	<p>Each CCM shall include key shark species*, as identified by the Scientific Committee, in their annual reporting to the Commission of annual catch and fishing effort statistics by gear type, including available historical data, in accordance with the WCPF Convention and agreed reporting procedures. ...</p> <p>*footnote 2: The key shark species are blue shark, silky shark, oceanic whitetip shark, mako sharks, and thresher sharks, porbeagle shark (south of 20°S, until biological data shows this or another geographic limit to be appropriate) and hammerhead sharks (winghead, scalloped, great, and smooth).]</p>
<b><i>Commencing in reports that cover activities post-1 January 2013</i></b>	
<b>CMM 11-03 Cetaceans and Purse Seine fisheries, para 5</b>	CCMs shall include in their Part 1 Annual Report any instances in which cetaceans have been encircled by the purse seine nets of their flagged vessels, reported under paragraph 2(b).
<b>CMM 2011-04 Oceanic white tips, para 3</b>	CCMs shall estimate, through data collected from observer programs and other means, the number of releases of oceanic whitetip shark, including the status upon release (dead or alive), and report this information to the WCPFC in Part 1 of their Annual Reports.






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**PROPOSED TEMPLATE FOR THE 2012 ANNUAL REPORT (PART 2) COVERING 2011  
ACTIVITIES**

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16 September 2012

**Prepared by the Secretariat**

The TCC7 recommended shortened Annual Report Part 2 template (WCPFC-TCC7-2011/19 Attachment B version 2) was used as the starting point for this revised template. Some features in the template of the Part 2 report which was used in 2012 (for reporting on 2011 activities) were also maintained.

The main modifications and additions to the Annual Report Part 2 TCC7 recommended Attachment B version 2 template include:

- Adding to Section 2.1 the CMMs which were adopted by the Commission in 2011;
- Adding an optional tick-box within the “Measures in Place” column of section 2.1 to allow for CCMs to refer to attachments and to provide advice where applicable on response to the CMR process during 2011. These include a tick-box for: on measures in place; action taken to address non-compliance; explanation of implementation obstacles and implementation plan; capacity building and assistance requirements; and CMM specific reporting requirements.
- Expanding the provisions covered by the template, to ensure that all the fields which were used in the draft CMR template for 2012 (covering 2011 activities), have fields to collect relevant information on implementation;
- Clarifying the HSBI reporting fields for summary reports by CCMs conducting boardings in accordance with CMM 2006-08 (para 40), and summary reports by CCMs whose flag vessels were boarded on the high seas (by other CCMs) in response to any alleged violations.
- Including a suggested format for CCMs use for reports under paragraph 44 of the *Rules and Procedures for the Protection, Access to, and Dissemination of High Seas Non-Public Domain Data and Information Compiled by the Commission for the Purpose of Monitoring, Control or Surveillance (MCS) Activities and the Access to and Dissemination of High Seas VMS Data for Scientific Purposes*.

**TEMPLATE**  
**ANNUAL REPORT TO THE COMMISSION**  
**1 JANUARY – 31 DECEMBER 2012**

**PART 2. MANAGEMENT AND COMPLIANCE**

**2.1 IMPLEMENTATION OF CONSERVATION AND MANAGEMENT MEASURES**

Report on CCM steps to implement conservation and management measures in the Convention area (Article 23(2)c)

CMM	Implemented (yes/no) (If no, explain why not) (If N/A, explain why)				Measures in place Attachment ( __ )
CMM 2005-03: Conservation and Management Measure for North Pacific Albacore	2.	3.	4.	7.	Attachment ( __ ) reports one or more of the following: <input type="checkbox"/> measures in place; <input type="checkbox"/> action taken to addressing non-compliance; <input type="checkbox"/> explanation of implementation obstacles and implementation plan; <input type="checkbox"/> capacity building and assistance requirements <input type="checkbox"/> CMM specific reporting requirements

CMM	Implemented (yes/no) (If no, explain why not) (If N/A, explain why)																Measures in place Attachment ( __ )	
CMM 2006-04: Conservation and Management Measures for Striped Marlin in the South West Pacific	1.								4.									
CMM 2007-01: Conservation and Management Measure for the Regional Observer Programme.	7.	9.	10.	13.	14ii)	14(vii)	Attachme nt K, Annex C, 4.	Attachme nt K, Annex C, 6 <sup>2</sup> .										
CMM 2007-04: <i>(Revision of CMM-2006-02)</i> Conservation and Management Measure for Mitigating the Impact of Fishing on Seabirds.	1.				2.				1.				4.					
CMM 2008-01: Conservation and Management Measure for Bigeye and Yellowfin Tuna in the WCPO. <i>(Replaces CMM</i>	9.	10.	17.	18.	19.	23.	26.	28.	30.	31.	32.	33.	36.	39.	42.	43.	45.	

<sup>2</sup> The Secretariat suggests CCMs could submit a plan for meeting required levels of coverage (this is not mandatory)

CMM	Implemented (yes/no) (If no, explain why not) (If N/A, explain why)														Measures in place Attachment (___)		
<i>2005-01 and CMM 2006-01).</i>																	
CMM 2008-03: Conservation and Management for Sea Turtles.	2.							7. (c)									
CMM 2008-04: Conservation and Management Measure to Prohibit the Use of Large Scale Driftnets on the High Seas in the Convention Area.	1.				2.				5.								
CMM 2009-01: Record of Fishing Vessels and Authorization to Fish ( <i>Replaces CMM 2004-01</i> )	9.							15									
CMM 2009-02: Conservation and Management Measure on the Application of High Seas FAD Closures and Catch Retention	2. (Fad Closure Rules: 4 – 7)							2. (Catch Retention Rules: 8 – 12)									
CMM 2009-03: Conservation and Management for	1.			2.			3.			8.							

CMM	Implemented (yes/no) (If no, explain why not) (If N/A, explain why)				Measures in place Attachment ( __ )
Swordfish. (Replaces CMM 2006-03 and CMM 2008-05).					
CMM 2009-05: Conservation and Management Prohibiting Fishing on Data Buoys	1.	3.	4.	5.	
CMM 2009-06: Conservation and Management on the Regulation of Transshipment	11.		13.		
CMM 2010-01 Conservation and Management Measure for North Pacific Striped Marlin	5.(a) (b) (c)	6.	7.	8.	
CMM 2010-02 Conservation and Management Measure for the Eastern High Seas Pocket Special Management Area	2.				
CMM 2010-04 Conservation and Management for	2.	3.		4.	

CMM	Implemented (yes/no) (If no, explain why not) (If N/A, explain why)								Measures in place Attachment ( _ )
Pacific Bluefin Tuna <i>(replaces CMM 2009-07)</i>									
CMM 2010-05: Conservation and Management Measure for South Pacific Albacore <i>(replaces CMM 2005-02)</i>	1.				4.				
CMM 2010-07 Conservation and Management Measure for Sharks <i>(replaces CMM 2009-04, which replaced CMM 2008-06 and before that CMM 2006-05)</i>	1.	2.	4.	6.	7.	9.	10.	12.	
CMM 2011-01 Conservation and Management Measure for Temporary Extension of CMM 2008-01	2.		3.		4.		5.		

CMM	Implemented (yes/no) (If no, explain why not) (If N/A, explain why)					Measures in place Attachment (___)
CMM 2011-02: Commission VMS (replaces CMM 2007-02, which replaced CMM- 2006-06).	4.	9 (a).	VMS SSPs 2 (8)	VMS SSPs 2 (9, 11, 13), 7.2 (2, 4) <sup>3</sup>	VMS SSPs 7.2 (5)	
CMM 2011-06 Conservation and Management Measure for the Compliance Monitoring Scheme (Replaced CMM 2010-03)	21.					

Report on CCM measures adopted for conservation and management of HMFS in areas under national jurisdiction (Art. 23(3))	Comment (Attach copies or provide links to national legislation and regulations, or any updates if already provided)
Brief summary of management methods used for Highly Migratory Fish Species (HMFS) in areas under national jurisdiction.	

## 2.2 MONITORING AND INSPECTION ACTIVITIES

The information is to be in a summarized form.

Activity	Frequency/Numbers/% Coverage	Comment
Transshipment inspections		

<sup>3</sup> A proposed format for CCMs to provide summary results of audits (required under VMS SSPs 2.13 and 7.2.4: is included in WCPFC-TCC8-2012-12)

At-sea inspections		
Port inspections		Include investigations and outcomes
Monitoring of trade and domestic distribution of HMFS.		
Inspections of domestic-only vessels		
Response to any high seas boardings and inspections of flag vessels. (CMM 2006-08 paragraph 41)		Report action taken in response to any HSBI boardings undertaken by other CCMs with alleged violations, including any proceedings instituted and sanctions applied.
High seas boarding and inspections conducted in accordance with CMM 2006-08 (CMM 2006-08 paragraph 40)		Summary of HSBI activities undertaken by authorized inspectors, as well as possible violations observed.

### 2.3 SURVEILLANCE ACTIVITIES

The completion of this section should be in summarized form.

Activity	Frequency	Incidents/CMM Clause	Comment
Seagoing patrols			
Aerial surveillance			

### 2.4 INVESTIGATIONS AND PROSECUTION ACTIVITY

The completion of this section should be in summarized form to the level of detail that domestic requirements allow

Activity	Number	CMM Clause	Reason and summary outcome
Investigations			
Outcomes – penalties or other action			
- No further action			



## **2.5 WHERE APPLICABLE, SUGGESTED FORMAT FOR CCMS TO REPORT ON THEIR IMPLEMENTATION OF RULES AND PROCEDURES FOR DATA PROTECTION, ACCESS TO AND DISSEMINATION OF WCPFC DATA**

Paragraph 44 of the *Rules and Procedures for the Protection, Access to, and Dissemination of High Seas Non-Public Domain Data and Information Compiled by the Commission for the Purpose of Monitoring, Control or Surveillance (MCS) Activities and the Access to and Dissemination of High Seas VMS Data for Scientific Purposes* states “Members of the Commission shall include in their Part 2 Annual Report to the Commission information on the domestic measures that they have taken to ensure the confidentiality of the data and information received pursuant to these Rules and Procedures. Members of the Commission shall provide in their Part 2 Annual Report to the Commission a statement affirming that they have complied with the data retention and destruction requirements of Section V of these Rules and Procedures. In addition, Members of the Commission shall include a summary report of the status of any investigation, judicial or administrative proceedings in Part 2 of its Annual Report to the Commission at the next session of the Technical and Compliance Committee (TCC) until the conclusion of the investigation, judicial or administrative proceedings.”

**Summary of data and information that was received in 2012 pursuant to Rules and Procedures for Access to, Protection of and Dissemination of WCPFC data (2007 and 2009)**

**Information on the domestic measures taken to ensure the confidentiality of any data and information received in 2012 pursuant to the Rules and Procedures for Access to, Protection of and Dissemination of WCPFC data (2007 and 2009)**

**Statement affirming compliance with the data retention and destruction requirements of Section V of the High Seas Rules and Procedures for Access to, Protection of and Dissemination of WCPFC data (2009), of any data and information received in 2011.**

**If data that was received in 2012 was retained, a summary report of the status of any investigation, judicial or administrative proceedings, until the conclusion of the investigation, judicial or administrative proceedings.**