



TECHNICAL AND COMPLIANCE COMMITTEE
Seventh Regular Session
Pohnpei, Federated States of Micronesia

**ANNUAL REPORT FOR THE WCPFC RECORD OF FISHING VESSELS AND INFORMATION TO
SUPPORT TCC'S REVIEW OF THE INTERIM REGISTER OF NON-MEMBER CARRIER AND BUNKERS**

WCPFC-TCC8-2012-IP06_rev2¹
21 September 2012

Paper prepared by the Secretariat

Introduction

1. The WCPFC Secretariat currently administers two vessel records on behalf of WCPFC members:
 - The Record of Fishing Vessels (RFV) which was established pursuant to Article 24 (paragraphs 4 – 7) of the WCPF Convention and implemented through CMM 2009-01 *Conservation and Management Measure for the Record of Fishing Vessels and Authorisation to Fish*, and which operates as a combined list of all the details that each Commission member and Cooperating Non-Member has provided to the WCPFC Secretariat of its vessels that are authorized to fish beyond its national jurisdiction within the Convention Area (each relevant vessel that is on a particular Members or Cooperating Non-Members national record of fishing vessels); and
 - The Interim Register of non-member carrier and bunker vessels which was established through CMM 2009-01 as a mechanism to allow non-member flag States to continue to support transshipments of highly migratory fish stocks and to bunker or otherwise supply CCM-flagged fishing vessels, while they sought to attain CNM status with WCPFC. The intended duration of the Interim Register was 2010-2012, and shall expire 60 days after the Annual Session of WCPFC in 2012 (WCPFC9) unless the Commission decides otherwise.

WCPFC Record of Fishing Vessels

2. The Record of Fishing Vessels (RFV) is an important tool in the set of WCPFC Conservation and Management Measures (CMMs), and responsibility for information contained on the RFV is with the flag CCM. In accordance with the WCPFC Convention Article 24 and CMM 2009-01, each Member of the Commission is required to provide to the Commission information as detailed in Annex IV of the Convention. Further to implement this requirement, CMM 2009-01 requires that each member of the Commission shall maintain a record of fishing vessels entitled to fly its flag and authorized to fish in the Convention Area beyond its national jurisdiction, and shall ensure that all such fishing vessels are entered in that record. The list of information to be submitted to the Commission is found in CMM 2009-01 Paragraph 6 (a - r). Each member was to have submitted, electronically where possible, to the Executive Director by 1 July 2005 the information in paragraph 6 (a – r) each vessel entered in its record. After 1 July 2005, each CCM is required to notify the Executive Director within 15 days or in any case within 72 hours before commencement of fishing activities in the Convention Area of:

- a. any vessel added to its Record along with the information set forth in paragraph six (6);

¹ This version includes updates and corrections to paragraphs 9 - 10, revisions to Annex 1 (to include the as at 13 August 2012), and Annex 2 updates and corrections.

- b. any change in the information referred to in paragraph six (6) with respect to any vessel on its Record; and
- c. any vessel deleted from its Record along with the reason for such deletion in accordance with Article 24(6) of the Convention.

3. At the time of preparing this paper, 29 CCMs (including six CNMs) had submitted 5,853 records of their respective fishing vessels to the Executive Director. These cover small, medium and large-scale tuna fishing vessels, vessels targeting tuna and other species, support vessels, and research and training vessels.

4. Annex 1 provides an indication of how complete the information contained in the RFV is, and it is shown as a summary by each CCM for its vessels for each of the requirements of CMM 2009-01 paragraph 6 (a – r). As has been mentioned in previous RFV reports, there remain gaps in the coverage of information and differences in the quality of information which is provided by CCMs for their vessels on the RFV. A draft set of WCPFC Standards, Specifications and Procedures for the RFV are currently being considered. Some features of the current draft proposal (WCPFC-TCC8-2012-24) have the potential to standardize and improve the quality of information on the RFV, which could improve the utility of the information contained in the WCPFC RFV.

Information to support TCC8's review of Interim Register of Non-Member Carrier and Bunker Vessels

5. Paragraph 39 of CMM 2009-01 states that the TCC will conduct a review in 2012 of the non-CCM carrier flagged fleet including an assessment of potential economic impacts to HMS fisheries in the Convention Area and unforeseen circumstances that could arise through prohibition of non-CCM carriers and bunkers. Paragraph 40 notes the Commission expectation that after the annual session of the Commission in 2013, that the majority of carrier and bunker vessels will be flagged to Members.

6. To assist TCC with this review the Secretariat provides some information here on the Interim Register of Non-Member Carrier and Bunkers and RFV Carrier and Bunker vessels:

- As of 13 September 2012, the interim register of Non-Member Carriers and Bunker Vessels had 22 vessels from 4 flag states, which have been sponsored by 4 CCMs (see Figure 1);
- Through 2011, there was a significant decrease in the number of vessels which were included on the Interim Register (see Figure 1), mainly as a result of Cooperating Non-Member (CNM) status being granted to Panama, but also Thailand, at WCPFC7 effective March 2011.
- As of 13 September 2012, the WCPFC RFV has a total of 484 active carrier and bunker vessels (457 carrier vessels and 33 bunker vessels), with the majority of these being flagged to Members (84 of these vessels (72 carriers and 12 bunkers) are flagged to CNMs) (see Table 1);
- The information for vessels that are or have been on the Interim Register tends to be more complete than the RFV, because the procedures in CMM 2009-01 for the Interim Register make all data fields and fee payment mandatory before a vessel can be listed;
- During 2012, there were a number of queries regarding the process of transferring a carrier or bunker vessel from the RFV to the Interim Register (by changing flag from a CCM to a non-member). In some instances there were delays in listing vessels on the Interim Register because the vessel was still listed on the WCPFC RFV; the Secretariat had not received advice from the flag CCM to confirm the vessel had been removed from their national record and that the vessel should be delisted from the RFV;
- Based on the high seas transshipment declarations and reports received by the WCPFC Secretariat (in accordance with CMM 2009-06), the majority of Interim Registered carriers do not appear to be involved in high seas transshipment activities;
- At WCPFC7, it was agreed that fees for non-CCM flagged carrier and bunker should be USD2500 per annum. The net receipts from the Interim Register of non-member carrier and bunkers are shown in

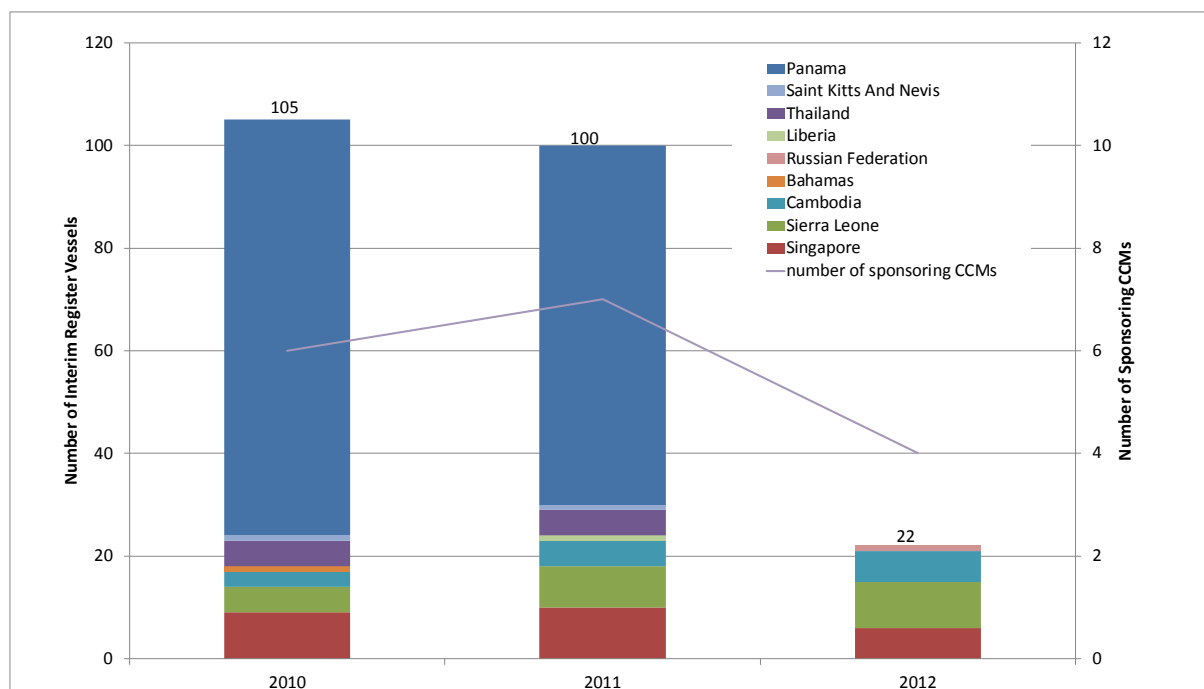


Figure 1. Summary of history of Interim Register of Non-Member Carrier and Bunker Vessels by year 2010 – 2012, as at 1 September 2012. Note data for 2010 and 2011, is based on a count of the vessels that were present on the Interim Register at any time during the calendar year, whereas the 2012 figures is based on the composition of the Interim Register as at 1 September 2012.

Table 1. Number of carrier and bunker vessels by flag on the WCPFC Record of Fishing Vessels as at 13 September 2012.

Flag CCM	Carrier Vessels	Bunker Vessels
China	8	
European Union	15	
Federated States of Micronesia	1	1
Japan	77	
Kiribati	19	7
Republic of Korea	16	7
Republic of Marshall Islands	10	3
Philippines	189	1
Papua New Guinea	3	
Chinese Taipei	20	
Tuvalu		2
Vanuatu	22	
Total Number of Member Vessels	382	21
Belize	4	3
Panama	62	8
Thailand	6	
Vietnam		1
Total Number of CCM Vessel	454	33

Table 2. Fees and Charges Trust Fund net receipts from fees levied on Interim Register of non-member carrier and bunkers , as at 1 September 2012.

2010	2011	2012 (1 Jan – 1 Sept)
\$272,786	\$30,041	\$60,388

Table 2, and these funds were paid into the WCPFC Fees and Charges Trust Fund.² To date, WCPFC has used \$125,000 per annum from the Fees and Charges Trust Fund to reduce the total level of assessed contributions payable for 2011 and 2012. The indicative budget for 2013 proposes that the same arrangement occur during 2013.

Issues and Experiences related to the WCPFC Record of Fishing Vessels and Interim Register during 2011/12

Direct Entry on the RFV

7. Since late 2010, there have been a number of CCMs who have taken up the WCPFC Secretariat's offer of using direct entry to create and modify their vessel records on the Record of Fishing Vessels, through the WCPFC website portal to the WCPFC RFV.³ There are six (6) CCMs that are currently regularly using the Direct Data Entry feature (on an almost daily basis), and these flag CCMs together have responsibility for 74% of the vessels that are currently listed on the RFV. The remaining CCMs appear to prefer to email their changes to the RFV directly to the Secretariat.

2011 Fished and Did not Fish Reports

8. Before 1 July of each year, each member shall submit to the Executive Director a list of all vessels that appeared in its record of fishing vessels at any time during the preceding calendar year, together with each vessel's WCPFC identification number (WIN) and an indication of whether each vessel fished for highly migratory fish stocks in the Convention Area beyond its area of national jurisdiction. The indication shall be expressed as (a) fished, or (b) did not fish.

9. Noting that many CCMs were not submitting reports, and so as to assist CCMs with completing these reports the Secretariat developed a template in 2011, which provided CCMs a tool to facilitate their submission of reports in accordance with paragraph 9 of CMM 2009-01. This template provided CCMs with a list of their vessels on the RFV and the option of choosing "fished" or "did not fish" from a drop down menu. Importantly for WCPFC, the template was designed to facilitate the efficient entry by the WCPFC Secretariat of CCMs national reports into the WCPFC Record of Fishing Vessels system. During 2012, the Secretariat improved the process for generating the templates to include all the vessels that were active at any one time in the calendar year (instead of a list based on the current composition of vessels on the RFV), and a revision of the template was issued to all CCMs which provided a more complete list.

10. In 2012, 19 CCMs submitted their fished or did not fish report for 2011 (Australia, Belize, Canada, China, Cook Islands European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Republic of Korea Marshall Islands New Zealand, Philippines, Papua New Guinea, Chinese Taipei, Tonga, United States of America and Vanuatu). At least one CCM has indicated their preference during 2012 to generate their own fished or did not fish report from their national record, and it appears that the template which requires a manual change to report "fished" or "did not fish". The Secretariat was able to work collaboratively with one CCM produce a modified report that allowed for simple export and import of the list of vessels in the template within their national system, and which still allowed for automatic upload into the RFV. Two other CCMs provided, without notifying the Secretariat prior, a modified version of the template has caused problems for the WCPFC RFV when the report was uploaded resulting in gaps or not being uploaded at all.⁴ The WCPFC Secretariat is

² As outlined in the FAC paper on the Status of Other Funds (WCPFC8-2011-FAC5/06 (Rev 1), as at 31 December 2011 an amount of \$103,856 for voluntary contributions from some Cooperating Non-Members was also included as receipts into the Fees and Charges Trust Fund.

³ All CCM's RFV authorized users have been provided username along with their password for the Direct Data Entry

⁴ This is the reason that the earlier version of the attached list of vessels that "fished" was incomplete, a revision has now been issued which includes data that had not been able to be uploaded into the RFV based on the submissions these CCMs provided to the Secretariat.

still working on these reports to find a solution to this and the reports for this meeting needed to be produced manually. The WCPFC Secretariat kindly asks that CCMs work with the WCPFC Secretariat and where possible, first discuss any concerns they might have about needing to vary procedures for the WCPFC RFV. It does appear from this experience, that there is a need for the Secretariat to consult and continue to work with CCMs who have concerns about the template for CCMs to report fished and did not fish reports (CMM 2009-01 paragraph 9), to ensure an efficient and accurate process that works for both the CCMs and the Secretariat.

11. At WCPFC8, the EU requested that information on the number of vessels actively fishing in the Convention be provided to TCC8 and WCPFC9, and be provided on an annual basis. Annex 2 provides the list of vessels that were reported by CCMs to have “fished” in 2011.

Status of the Authorisation Period for vessels on the RFV

12. During 2012, the WCPFC Secretariat received a number of queries about the meaning of the authorization period being expired for a range of vessels on the RFV. CMM 2009-01 criteria (r) requires that CCMs provide the form and number of the authorization granted by the flag State including any specific areas, species and time periods for which it is valid.

13. Prior to responding to these queries the WCPFC Secretariat reviewed the relevant provisions of the WCPF Convention (Article 24 (paragraphs 4-7) and CMM 2009-01, and also sought the advice of the Legal Advisor. It is clear to the Secretariat that there are different interpretations amongst CCMs of the meaning of the authorization period field being blank or when it includes a date of expiry that is not current. The response that the WCPFC Secretariat provided was along the following lines: “If a vessel flagged to a Commission member or Cooperating Non-Member is listed on the RFV, this implies through reference to CMM 2009-01 that the flag State considers that the vessel is “entitled to fly its flag and is authorized to fish in the Convention Area” (CMM 2009-01, para 5). Based on advice from the WCPFC Legal advisor, the WCPFC Secretariat considers that expired authorization periods are an administrative issue between the vessel and the flag State. We also recognize that there are policy issues for relevant port States or market States to determine, in respect of how to interpret the circumstances when a vessel has been maintained on the RFV by its flag State, but with an expired authorization period.”

Global Consolidated List of Authorised Vessels (CLAV)

14. The WCPFC Secretariat is a participant in the Joint Tuna RFMO initiative for the Consolidated Global List of Authorised Fishing Vessels, and is working in collaboration with other tuna RFMO secretariats to provide information from the WCPFC Record of Fishing Vessels for inclusion in the CLAV project. (<http://www.tuna-org.org/GlobalTVR.htm>)

Some internal administration notes for the RFV and Interim Register

15. To assist with internal record-keeping and the WCPFC has created a RFV email address and CCM contacts are contact.rfv@wcpfc.int encouraged to send copies of their emails with respect to the RFV to this email address.

16. There have been some delays in the Secretariat effecting updates to the vessels details on the RFV, mainly because the Secretariat was not sure whether a new individual is appropriately authorized by a CCM to make changes to the details of vessels on the RFV. The WCPFC Secretariat asks that CCMs provide some sort of formal notification and advice, when there are changes to authorized personnel who can approve any changes to their vessels information on the WCPFC RFV.

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17. This paper is proposed to be “a matter to be discussed by exception during TCC8. In any case the Secretariat would welcome direct feedback from CCMs on the usefulness of this report, and whether there is additional information on the WCPFC RFV that CCMs would like to see in future reports or made available through the WCPFC website, including through the CCM-section of the WCPFC website.

18. Finally, if TCC8 delegates would like to be given some instruction on the WCPFC RFV direct entry feature, please contact Ms Jeannie Nanpei who is responsible for the WCPFC RFV: Jeannie.nanpei@wcpfc.int.

Annex 1 (as at 13 August 2012)

Flag	AUS	BLZ	CAN	COK	CHN	ECU	ECO	FJI
Number of Vessels	101	16	23	16	408	9	115	92
CMM 2009-01 PAR. 6 (A-R)	% in Compliance							
5(a) Name of fishing vessel	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(a) Registration Number	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(a) WIN	88.1%	100.0%	100.0%	100.0%	99.5%	100.0%	87.1%	89.1%
5(a) Port of registry	97.0%	93.8%	100.0%	100.0%	70.1%	66.7%	89.2%	98.9%
5(b) Name of Owner	100.0%	100.0%	100.0%	100.0%	91.2%	100.0%	100.0%	100.0%
5(b)Address of Owner	100.0%	100.0%	100.0%	100.0%	85.8%	100.0%	100.0%	100.0%
5(c)Name of Master	59.4%	93.8%	95.7%	100.0%	90.4%	100.0%	58.4%	97.8%
5(c)Nationality of Master	1.0%	50.0%	95.7%	100.0%	95.6%	100.0%	84.4%	98.9%
5(e)IRCS	61.4%	100.0%	91.3%	100.0%	99.5%	100.0%	100.0%	100.0%
5(f)Vessel Comm types and numbers (Inmarsat A, B and C and Tel #'s)	97.0%	100.0%	95.7%	100.0%	89.5%	88.9%	43.1%	96.7%
5(g)Color of photo of vessel	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(h)Where built	74.3%	100.0%	100.0%	100.0%	100.0%	100.0%	69.1%	97.8%
5(h)YearBuilt	72.3%	100.0%	100.0%	100.0%	96.8%	100.0%	69.9%	92.4%
5(i)Type of Vessel	99.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(j)Normal Crew Compliment	63.4%	100.0%	95.7%	100.0%	97.8%	100.0%	67.1%	97.8%
5(l)Length	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	99.7%	100.0%
5(l)LengthType	91.1%	100.0%	91.3%	100.0%	93.4%	100.0%	59.4%	98.9%
5(l)LengthUnit	87.1%	100.0%	95.7%	100.0%	77.7%	33.3%	26.2%	90.2%
5(m)Moulded Depth	5.0%	100.0%	91.3%	100.0%	100.0%	88.9%	69.4%	100.0%
5(n)Beam	93.1%	100.0%	95.7%	100.0%	100.0%	100.0%	51.4%	100.0%
5(o)GRT	96.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(p)Power of main engine/engines	87.1%	100.0%	95.7%	93.8%	100.0%	100.0%	99.2%	100.0%
5(q)Power Unit	83.2%	100.0%	69.6%	93.8%	97.8%	100.0%	98.9%	100.0%
5(q)Carrying Capacity	53.5%	87.5%	100.0%	100.0%	95.8%	100.0%	45.6%	100.0%
5(q)Freezer Type	6.9%	31.3%	0.0%	93.8%	77.5%	100.0%	16.5%	78.3%
5(q)Freezer Number	53.5%	87.5%	100.0%	100.0%	95.8%	100.0%	45.6%	100.0%
5(q)FishHoldCapacity	48.5%	56.3%	47.8%	93.8%	98.8%	100.0%	38.8%	98.9%
5 (r)Form of FS auth	83.2%	100.0%	91.3%	100.0%	86.0%	100.0%	54.7%	88.0%
5 (r)Auth Number	2.0%	100.0%	95.7%	100.0%	78.7%	100.0%	41.1%	68.5%
5 (r)Specific Area Auth	56.4%	93.8%	17.4%	100.0%	91.7%	77.8%	20.5%	100.0%
5 (r)Species Auth	13.9%	93.8%	87.0%	100.0%	97.3%	66.7%	30.8%	71.7%
5 (r)Valid time period	71.3%	100.0%	95.7%	100.0%	77.7%	66.7%	88.6%	95.7%
Total % in Compliance	70.1%	93.4%	89.0%	99.2%	93.3%	93.4%	70.5%	95.6%

Annex 1 cont (as at 13 August 2012)

Flag	FSM	IDN	JPN	KIR	KOR	MHL	NCL	NZL
Number of Vessels	33	430	1349	41	280	27	27	7
CMM 2009-01 PAR. 6 (A-R)	% in Compliance							
5(a) Name of fishing vessel	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(a) Registration Number	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(a) WIN	100.0%	93.0%	76.0%	95.1%	95.7%	100.0%	100.0%	100.0%
5(a) Port of registry	100.0%	10.2%	100.0%	90.2%	100.0%	100.0%	100.0%	100.0%
5(b) Name of Owner	100.0%	99.5%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(b)Address of Owner	100.0%	99.3%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(c)Name of Master	100.0%	1.6%	100.0%	92.7%	95.7%	100.0%	100.0%	100.0%
5(c)Nationality of Master	100.0%	1.6%	100.0%	87.8%	92.5%	100.0%	100.0%	100.0%
5(e)IRCS	100.0%	96.3%	70.7%	97.6%	100.0%	100.0%	100.0%	100.0%
5(f)Vessel Comm types and numbers (Inmarsat A, B and C and Tel #'s)	78.8%	10.2%	75.8%	92.7%	98.9%	100.0%	100.0%	100.0%
5(g)Color of photo of vessel	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(h)Where built	100.0%	64.7%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(h)YearBuilt	100.0%	1.9%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(i)Type of Vessel	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(j)Normal Crew Compliment	100.0%	1.4%	99.8%	97.6%	99.6%	100.0%	100.0%	100.0%
5(l)Length	100.0%	2.1%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(l)LengthType	100.0%	1.4%	70.1%	97.6%	96.4%	100.0%	100.0%	100.0%
5(l)LengthUnit	100.0%	1.4%	67.6%	61.0%	44.3%	37.0%	0.0%	100.0%
5(m)Moulded Depth	100.0%	1.9%	100.0%	97.6%	99.6%	100.0%	92.6%	100.0%
5(n)Beam	100.0%	0.5%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(o)GRT	100.0%	99.8%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(p)Power of main engine/engines	100.0%	99.8%	99.5%	100.0%	100.0%	100.0%	100.0%	100.0%
5(q)Power Unit	100.0%	66.1%	99.9%	100.0%	98.9%	81.5%	100.0%	100.0%
5(q)Carrying Capacity	97.0%	0.9%	53.5%	68.3%	85.0%	88.9%	100.0%	85.7%
5(q)Freezer Type	87.9%	0.7%	90.6%	51.2%	76.8%	44.4%	0.0%	71.4%
5(q)Freezer Number	97.0%	0.9%	53.5%	68.3%	85.0%	88.9%	100.0%	85.7%
5(q)FishHoldCapacity	97.0%	1.4%	90.4%	70.7%	90.4%	88.9%	100.0%	100.0%
5 (r)Form of FS auth	100.0%	0.9%	68.6%	63.4%	52.1%	100.0%	100.0%	100.0%
5 (r)Auth Number	90.9%	1.6%	67.2%	87.8%	95.7%	100.0%	100.0%	100.0%
5 (r)Specific Area Auth	100.0%	99.8%	66.9%	90.2%	90.4%	96.3%	100.0%	100.0%
5 (r)Species Auth	100.0%	0.7%	66.9%	70.7%	88.9%	88.9%	100.0%	71.4%
5 (r)Valid time period	90.9%	27.2%	66.7%	78.1%	95.7%	11.1%	100.0%	14.3%
Total % in Compliance	98.1%	40.2%	87.0%	89.3%	93.2%	91.4%	93.5%	94.6%

Annex 1 cont. (as at 13 August 2012)

Flag	NZL	PAN	PYF	PNG	PHL	PRT	SLB	SLV	THA
Number of Vessels	7	70	95	41	623	10	5	2	6
CMM 2009-01 PAR. 6 (A-R)	% in Compliance								
5(a) Name of fishing vessel	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
5(a) Registration Number	100.00%	91.43%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
5(a) WIN	100.00%	82.86%	90.53%	75.61%	79.61%	100.00%	100.00%	100.00%	83.33%
5(a) Port of registry	100.00%	100.00%	37.89%	78.05%	98.56%	100.00%	100.00%	100.00%	83.33%
5(b) Name of Owner	100.00%	100.00%	100.00%	90.24%	100.00%	100.00%	100.00%	100.00%	100.00%
5(b)Address of Owner	100.00%	100.00%	100.00%	82.93%	100.00%	100.00%	100.00%	100.00%	100.00%
5(c)Name of Master	100.00%	100.00%	98.95%	24.39%	96.31%	30.00%	100.00%	100.00%	100.00%
5(c)Nationality of Master	100.00%	98.57%	54.74%	26.83%	78.81%	30.00%	100.00%	100.00%	100.00%
5(e)IRCS	100.00%	100.00%	67.37%	97.56%	84.59%	100.00%	100.00%	100.00%	100.00%
5(f)Vessel Comm types and numbers (Inmarsat A, B and C and Tel #'s)	100.00%	100.00%	89.47%	80.49%	65.33%	10.00%	100.00%	100.00%	100.00%
5(g)Color of photo of vessel	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
5(h)Where built	100.00%	100.00%	100.00%	24.39%	98.72%	90.00%	100.00%	100.00%	100.00%
5(h)YearBuilt	100.00%	100.00%	93.68%	85.37%	99.84%	90.00%	100.00%	100.00%	100.00%
5(i)Type of Vessel	100.00%	100.00%	100.00%	97.56%	100.00%	100.00%	100.00%	100.00%	100.00%
5(j)Normal Crew Compliment	100.00%	97.14%	64.21%	56.10%	99.20%	80.00%	100.00%	100.00%	100.00%
5(l)Length	100.00%	100.00%	100.00%	100.00%	97.91%	100.00%	100.00%	100.00%	100.00%
5(l)LengthType	100.00%	100.00%	100.00%	97.56%	49.76%	90.00%	100.00%	100.00%	100.00%
5(l)LengthUnit	100.00%	100.00%	0.00%	97.56%	52.49%	10.00%	100.00%	50.00%	100.00%
5(m)Moulded Depth	100.00%	100.00%	57.89%	17.07%	98.07%	90.00%	100.00%	100.00%	100.00%
5(n)Beam	100.00%	100.00%	100.00%	19.51%	67.74%	0.00%	100.00%	100.00%	83.33%
5(o)GRT	100.00%	100.00%	96.84%	95.12%	99.52%	100.00%	100.00%	100.00%	100.00%
5(p)Power of main engine/engines	100.00%	100.00%	100.00%	85.37%	92.30%	100.00%	100.00%	100.00%	100.00%
5(q)Power Unit	100.00%	97.14%	100.00%	85.37%	47.03%	100.00%	100.00%	100.00%	100.00%
5(q)Carrying Capacity	85.71%	95.71%	24.21%	14.63%	19.58%	0.00%	100.00%	100.00%	100.00%
5(q)Freezer Type	71.43%	44.29%	0.00%	17.07%	17.50%	0.00%	80.00%	100.00%	33.33%
5(q)Freezer Number	85.71%	95.71%	24.21%	14.63%	19.58%	0.00%	100.00%	100.00%	100.00%
5(q)FishHoldCapacity	100.00%	78.57%	0.00%	73.17%	42.54%	60.00%	100.00%	100.00%	100.00%
5 (r)Form of FS auth	100.00%	92.86%	98.95%	65.85%	99.20%	80.00%	100.00%	100.00%	16.67%
5 (r)Auth Number	100.00%	91.43%	65.26%	78.05%	97.11%	0.00%	100.00%	100.00%	0.00%
5 (r)Specific Area Auth	100.00%	95.71%	100.00%	82.93%	53.45%	0.00%	100.00%	100.00%	16.67%
5 (r)Species Auth	71.43%	41.43%	65.26%	80.49%	47.83%	0.00%	100.00%	100.00%	0.00%
5 (r)Valid time period	14.29%	97.14%	65.26%	85.37%	95.67%	100.00%	100.00%	100.00%	16.67%
Total % in Compliance	94.64%	93.75%	74.83%	69.66%	78.07%	64.38%	99.38%	98.44%	82.29%

Annex 1 cont. (as at 13 August 2012)

Flag	PAN	PYF	PNG	PHL	SLB	SLV	THA
Number of Vessels	70	95	41	623	5	2	6
CMM 2009-01 PAR. 6 (A-R)	% in Compliance						
5(a) Name of fishing vessel	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(a) Registration Number	91.4%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(a) WIN	82.9%	90.5%	75.6%	79.6%	100.0%	100.0%	83.3%
5(a) Port of registry	100.0%	37.9%	78.1%	98.6%	100.0%	100.0%	83.3%
5(b) Name of Owner	100.0%	100.0%	90.2%	100.0%	100.0%	100.0%	100.0%
5(b)Address of Owner	100.0%	100.0%	82.9%	100.0%	100.0%	100.0%	100.0%
5(c)Name of Master	100.0%	98.9%	24.4%	96.3%	100.0%	100.0%	100.0%
5(c)Nationality of Master	98.6%	54.7%	26.8%	78.8%	100.0%	100.0%	100.0%
5(e)IRCS	100.0%	67.4%	97.6%	84.6%	100.0%	100.0%	100.0%
5(f)Vessel Comm types and numbers (Inmarsat A, B and C and Tel #'s)	100.0%	89.5%	80.5%	65.3%	100.0%	100.0%	100.0%
5(g)Color of photo of vessel	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(h)Where built	100.0%	100.0%	24.4%	98.7%	100.0%	100.0%	100.0%
5(h)YearBuilt	100.0%	93.7%	85.4%	99.8%	100.0%	100.0%	100.0%
5(i)Type of Vessel	100.0%	100.0%	97.6%	100.0%	100.0%	100.0%	100.0%
5(j)Normal Crew Compliment	97.1%	64.2%	56.1%	99.2%	100.0%	100.0%	100.0%
5(l)Length	100.0%	100.0%	100.0%	97.9%	100.0%	100.0%	100.0%
5(l)LengthType	100.0%	100.0%	97.6%	49.8%	100.0%	100.0%	100.0%
5(l)LengthUnit	100.0%	0.0%	97.6%	52.5%	100.0%	50.0%	100.0%
5(m)Moulded Depth	100.0%	57.9%	17.1%	98.1%	100.0%	100.0%	100.0%
5(n)Beam	100.0%	100.0%	19.5%	67.7%	100.0%	100.0%	83.3%
5(o)GRT	100.0%	96.8%	95.1%	99.5%	100.0%	100.0%	100.0%
5(p)Power of main engine/engines	100.0%	100.0%	85.4%	92.3%	100.0%	100.0%	100.0%
5(q)Power Unit	97.1%	100.0%	85.4%	47.0%	100.0%	100.0%	100.0%
5(q)Carrying Capacity	95.7%	24.2%	14.6%	19.6%	100.0%	100.0%	100.0%
5(q)Freezer Type	44.3%	0.0%	17.1%	17.5%	80.0%	100.0%	33.3%
5(q)Freezer Number	95.7%	24.2%	14.6%	19.6%	100.0%	100.0%	100.0%
5(q)FishHoldCapacity	78.6%	0.0%	73.2%	42.5%	100.0%	100.0%	100.0%
5 (r)Form of FS auth	92.9%	99.0%	65.9%	99.2%	100.0%	100.0%	16.7%
5 (r)Auth Number	91.4%	65.3%	78.1%	97.1%	100.0%	100.0%	0.0%
5 (r)Specific Area Auth	95.7%	100.0%	82.9%	53.5%	100.0%	100.0%	16.7%
5 (r)Species Auth	41.4%	65.3%	80.5%	47.8%	100.0%	100.0%	0.0%
5 (r)Valid time period	97.1%	65.3%	85.4%	95.7%	100.0%	100.0%	16.7%
Total % in Compliance	93.7%	74.8%	69.7%	78.1%	99.4%	98.4%	82.3%

Flag	TON	TUV	TWN	USA	VNM	VUT
Number of Vessels	2	9	1705	189	1	130
CMM 2009-01 PAR. 6 (A-R)	% in Compliance					
5(a) Name of fishing vessel	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(a) Registration Number	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(a) WIN	100.0%	66.7%	99.8%	100.0%	100.0%	96.2%
5(a) Port of registry	100.0%	100.0%	99.8%	100.0%	100.0%	100.0%
5(b) Name of Owner	100.0%	100.0%	99.8%	100.0%	100.0%	100.0%
5(b)Address of Owner	0.0%	100.0%	99.8%	100.0%	100.0%	99.2%
5(c)Name of Master	0.0%	100.0%	99.0%	100.0%	100.0%	98.5%
5(c)Nationality of Master	0.0%	88.9%	99.8%	100.0%	100.0%	100.0%
5(e)IRCS	100.0%	100.0%	99.8%	100.0%	100.0%	100.0%
5(f)Vessel Comm types and numbers (Inmarsat A, B and C and Tel #'s)	0.0%		98.1%	98.9%	100.0%	97.7%
5(g)Color of photo of vessel	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(h)Where built	0.0%	100.0%	99.8%	100.0%	100.0%	99.2%
5(h)YearBuilt	0.0%	100.0%	99.8%	100.0%	100.0%	98.5%
5(i)Type of Vessel	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
5(j)Normal Crew Compliment	0.0%	100.0%	99.8%	100.0%	100.0%	100.0%
5(l)Length	100.0%	100.0%	99.8%	100.0%	100.0%	100.0%
5(l)LengthType	100.0%	100.0%	98.5%	100.0%	0.0%	95.4%
5(l)LengthUnit	0.0%	88.9%	98.4%	100.0%	100.0%	78.5%
5(m)Moulded Depth	0.0%	100.0%	99.7%	100.0%	100.0%	100.0%
5(n)Beam	0.0%	100.0%	99.8%	100.0%	100.0%	100.0%
5(o)GRT	100.0%	100.0%	99.8%	100.0%	100.0%	100.0%
5(p)Power of main engine/engines	0.0%	100.0%	99.8%	100.0%	100.0%	96.2%
5(q)Power Unit	0.0%	100.0%	98.4%	100.0%	100.0%	95.4%
5(q)Carrying Capacity	0.0%	66.7%	97.7%	100.0%	100.0%	100.0%
5(q)Freezer Type	0.0%	33.3%	9.6%	96.8%	0.0%	61.5%
5(q)Freezer Number	0.0%	66.7%	97.7%	100.0%	100.0%	100.0%
5(q)FishHoldCapacity	0.0%	66.7%	98.2%	100.0%	100.0%	96.2%
5 (r)Form of FS auth	100.0%	100.0%	99.8%	100.0%	0.0%	98.5%
5 (r)Auth Number	100.0%	55.6%	99.8%	100.0%	0.0%	97.7%
5 (r)Specific Area Auth	0.0%	100.0%	98.5%	100.0%	100.0%	99.2%
5 (r)Species Auth	0.0%	44.4%	99.8%	100.0%	0.0%	95.4%
5 (r)Valid time period	100.0%	44.4%	98.5%	98.4%	100.0%	79.2%
Total % in Compliance	43.8%	87.8%	96.5%	99.8%	84.4%	96.3%

Flag	TON	TUV	TWN	USA	VNM	VUT
Number of Vessels	2	9	1705	189	1	130
CMM 2009-01 PAR. 6 (A-R)	% in Compliance					
5(a) Name of fishing vessel	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
5(a) Registration Number	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
5(a) WIN	100.00%	66.67%	99.82%	100.00%	100.00%	96.15%
5(a) Port of registry	100.00%	100.00%	99.82%	100.00%	100.00%	100.00%
5(b) Name of Owner	100.00%	100.00%	99.82%	100.00%	100.00%	100.00%
5(b)Address of Owner	0.00%	100.00%	99.82%	100.00%	100.00%	99.23%
5(c)Name of Master	0.00%	100.00%	99.00%	100.00%	100.00%	98.46%
5(c)Nationality of Master	0.00%	88.89%	99.77%	100.00%	100.00%	100.00%
5(e)IRCS	100.00%	100.00%	99.82%	100.00%	100.00%	100.00%
5(f)Vessel Comm types and numbers (Inmarsat A, B and C and Tel #'s)	0.00%		98.12%	98.94%	100.00%	97.69%
5(g)Color of photo of vessel	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
5(h)Where built	0.00%	100.00%	99.82%	100.00%	100.00%	99.23%
5(h)YearBuilt	0.00%	100.00%	99.82%	100.00%	100.00%	98.46%
5(i)Type of Vessel	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
5(j)Normal Crew Compliment	0.00%	100.00%	99.82%	100.00%	100.00%	100.00%
5(l)Length	100.00%	100.00%	99.82%	100.00%	100.00%	100.00%
5(l)LengthType	100.00%	100.00%	98.48%	100.00%	0.00%	95.38%
5(l)LengthUnit	0.00%	88.89%	98.36%	100.00%	100.00%	78.46%
5(m)Moulded Depth	0.00%	100.00%	99.71%	100.00%	100.00%	100.00%
5(n)Beam	0.00%	100.00%	99.77%	100.00%	100.00%	100.00%
5(o)GRT	100.00%	100.00%	99.82%	100.00%	100.00%	100.00%
5(p)Power of main engine/engines	0.00%	100.00%	99.82%	100.00%	100.00%	96.15%
5(q)Power Unit	0.00%	100.00%	98.42%	100.00%	100.00%	95.38%
5(q)Carrying Capacity	0.00%	66.67%	97.71%	100.00%	100.00%	100.00%
5(q)Freezer Type	0.00%	33.33%	9.62%	96.83%	0.00%	61.54%
5(q)Freezer Number	0.00%	66.67%	97.71%	100.00%	100.00%	100.00%
5(q)FishHoldCapacity	0.00%	66.67%	98.24%	100.00%	100.00%	96.15%
5 (r)Form of FS auth	100.00%	100.00%	99.82%	100.00%	0.00%	98.46%
5 (r)Auth Number	100.00%	55.56%	99.82%	100.00%	0.00%	97.69%
5 (r)Specific Area Auth	0.00%	100.00%	98.53%	100.00%	100.00%	99.23%
5 (r)Species Auth	0.00%	44.44%	99.82%	100.00%	0.00%	95.38%
5 (r)Valid time period	100.00%	44.44%	98.48%	98.41%	100.00%	79.23%
Total % in Compliance	43.75%	87.81%	96.54%	99.82%	84.38%	96.32%

Annex 2. Summary of Reports received from CCMs pursuant to paragraph 9 of CMM 2009-01, for 2011 calendar year. List of vessels that appeared at any time during the preceding calendar year, and indication of whether the vessel fished for highly migratory fish stocks in the Convention Area beyond its area of national jurisdiction. This list is as at 21 September 2012.

Flag	Fished	Did Not Fish
Australia	8	92
Belize	2	6
Canada	2	3
China	298	86
Cook Islands	15	3
European Union - France	0	18
European Union - Lithuania	0	12
European Union - Malta	2	0
European Union - Portugal	1	9
European Union - Spain	10	65
European Union - Combined	13	104
Fiji	70	21
Federated States of Micronesia ⁵	37	4
Indonesia	89	317
Japan	981	124
Korea (Republic of)	152	128
Marshall Islands	26	1
New Zealand	5	2
Papua New Guinea	34	6
Philippines	184	439
Chinese Taipei	777	1212
Tonga	0	2
United States of America	183	325
Vanuatu	123	9

SEPARATE EXCEL FILE ATTACHMENT PROVIDES THE LIST OF VESSELS THAT WERE REPORTED TO HAVE FISHED IN 2011 – the latest revision of this spreadsheet is dated 21 September 2012

⁵ FSM reported in dCMR 2011 (submitted in 2012) that there was a correction to the fished and did not fish reports of 33 vessels that fished. To note that the Secretariat has not yet received the updated list, so the vessels listed in the attachment are the 37 which were provided in the original notice.