



TECHNICAL AND COMPLIANCE COMMITTEE
Sixteenth Regular Session
Electronic Meeting
23 – 29 September 2020

LIST OF CAPACITY ASSISTANCE REQUESTS
AND CAPACITY DEVELOPMENT PLANS

WCPFC-TCC16-2020-8B
17 September 2020

Paper prepared by the Secretariat

Purpose

1. This paper summarizes Capacity Assistance Needs identified by CCMs, based on this year's Annual Report Part 2 reporting and/or draft Compliance Monitoring Report (draft CMR) replies. The summary provided also indicates where CCMs have provided submissions related to Capacity Development Plans (CMM 2019-06 paragraphs 14 – 15) as part of their replies to this year's draft CMR. The information in this summary is as at 16th September 2020.

Background

2. As in previous years, and in accordance with CMM 2019-06, CCMs may provide in their Annual Report Part 2 and in replies to draft CMRs, indications of areas where assistance is needed.

3. CMM 2019-06 paragraph 27 states:

27. Upon receipt of its dCMR, each CCM may, where appropriate, reply to the Executive Director no later than 28 days prior to TCC each year to:

(i) provide additional information, clarifications, amendments or corrections to information contained in its dCMR;

(ii) identify any particular difficulties with respect to implementation of any obligations; or

(iii) identify technical assistance or capacity building needed to assist the CCM with implementation of any obligations.

4. Also, CMM 2019-06 paragraphs 14 and 15 states:

14. Notwithstanding paragraph 4, where a SIDS or Participating Territory, or Indonesia or the Philippines cannot meet a particular obligation that is being assessed, due to a lack of capacity, that CCM shall provide a Capacity Development Plan to the Secretariat with their draft Compliance Monitoring Report (dCMR), that:

(i) clearly identifies and explains what is preventing that CCM from meeting that obligation;

- (ii) *identifies the capacity assistance needed to allow that CCM to meet that obligation;*
- (iii) *estimates the costs and/or technical resources associated with such assistance, including, if possible, funding and technical assistance sources where necessary;*
- (iv) *sets out an anticipated timeframe in which, if the identified assistance needs are provided, that CCM will be able to meet that obligation.*

15. *The CCM may work together with the Secretariat to draft the Capacity Development Plan. This plan shall be attached to that CCM's comments to the dCMR.*

5. In respect of Capacity Development Plans, Circular 2020/76 dated 30th July 2020 announced the availability online for each CCM of their draft CMR and included a Quick Guide on how to submit Capacity Development Plan with CCM's draft CMR response. The Circular also included a weblink link to the Capacity Development Plan template on the WCPFC website. The Secretariat has provided guidance to CCMs on request.

Link to the Strategic Investment Plan

6. The Commission, at its meeting in December 2018, agreed to several decisions that formalized the linkage between the Strategic Investment Plan and CCM requested Capacity Assistance Needs and Capacity Development Plans once referenced in the provisional CMR. The relevant agreed decisions from WCPFC15 Summary Report were:

154. The Commission approved the 2018 Strategic Investment Plan as a means to target investment to address the priority needs as identified by developing states, including effective participation. (Attachment F). The Commission agreed that this Plan would be updated annually by the Secretariat for approval by the Commission and that the Secretariat would report to the Commission on implementation of the Strategic Investment Plan each year.

155. The Commission agreed to make any capacity assistance needs identified in the provisional Compliance Monitoring Report and in Part 2 reports (as may be agreed by TCC each year to be contained in the Executive Summary of the Provisional Compliance Monitoring Report), public domain data that will inform annual updates of the Strategic Investment Plan.

7. In 2019, the *Implementation of Article 30 of the Convention* webpage on the WCPFC website was established at this link:- <https://www.wcpfc.int/implementation-article-30-convention>.

Obligations where assistance needs were identified

8. The 2019 Strategic Investment Plan includes a list of Capacity Assistance Need areas from the Final Compliance Monitoring Report covering 2018 activities (see Table 1 below). The Secretariat confirms that two CCMs provided replies in Annual Report Part 2 to the Capacity Assistance Needed score from the Final Compliance Monitoring Report (refer to **TCC16-2020-AR Pt2**). At the time of preparing this paper, one of two CCMs has also submitted a separate

report on the progress of their Capacity Development Plan (refer to **TCC16-2020_dCMRAddInfo_ID**).

Table 1. List of Capacity Assistance Needs from the 2019 Final Compliance Monitoring Report covering 2018 activities

Philippines for 100% purse seine observer coverage for vessels fishing exclusively in areas under national jurisdiction	Capacity Development Plan (CDP) submitted to TCC15	Assistance and funding is being sought
Indonesia for Scientific data provision	Capacity Development Plan (CDP) submitted to TCC13	Assistance and funding is being sought from SPC

9. The 2019 Strategic Investment Plan also includes a list of additional areas of capacity assistance identified by CCMs in their Annual Report Part 2 covering 2018 reporting year (see Table 2 below).

Table 2. List of additional areas of capacity assistance identified by CCMs in their Annual Report Part 2 covering 2018 reporting year

CMM	Notes about types of assistance requested	CCM	Updates in AR Pt 2 (2020)
2017-03 03-06, 11, 12 Observer Safety CMM	Assistance from FFA with this and other measures that require legislation changes	Cook Islands	
2013-07 04-05 Capacity development for personnel	Additional training is needed in the following areas: 1. Prosecution 2. Data analysis 3. MCS	Fiji	Fiji was assisted by the government of New Zealand through the Ministry of Primary Industries with workshops and trainings in High Seas boarding & Inspection and Caution interview techniques. Fiji still needs trainings and attachments in the three identified areas.
2013-07 10-11 Capacity development for MCS activities	Assistance from developed partners to assist in both aerial and surface surveillance coverage	Kiribati	

10. No CCMs have submitted a “Capacity Development Plan” within their reply to this year’s draft Compliance Monitoring Report covering 2019 activities.

11. Table 3 below provides the additional obligation (CMM paragraphs) where at least one CCM has indicated a capacity assistance need in Annual Report Part 2 covering 2019 reporting year (see Table 3).

Table 3. List of additional areas of capacity assistance identified by CCMs in their Annual Report Part 2 or in replies to the draft CMR covering 2019 reporting year

CMM or CMM paragraph	Notes and types of assistance requested
<p>CMM 2011-04 03 Oceanic white tip shark required annual reporting</p>	<p>Capacity assistance needed through WPEA project following the commission meeting decision in December 2019 related to our capacity assistance needed (CAN) just effectively executed after project board meeting in Feb 2020. Since the covid 19, the face to face meeting, training and field supervision under WPEA program have to postponed.</p>
<p>CMM 2013-08 03 Silky shark required annual reporting</p>	<p>Annual catch estimate was conducted 16 to 17 July 2020 through face to face and virtual meeting. Due to limited time the workshop could not rectify the shark catch from operational data (logbook and observer), but provide the aggregate shark catch based on statistic data.</p>
<p>CMM 2018-01 17 Meeting required report deadline</p>	<p>We endeavor to meet the deadline, but may find it a little difficult sometimes with a very small administration and the many reporting obligations.</p> <p>We are a recently established Flag State, and we would require capacity building assistance on Flag State requirements from WCPFC and will request this once possible.</p>

12. Authorised users of CCMs may view individual CCMs indications of assistance needs by:
 - a. reviewing the full draft Compliance Monitoring Report on the Intranet or in MS Excel export format and filtering by the appropriate column to show the CCMs and CMM paragraphs where CCMs had indicated they had an assistance need (“Capacity Development Plan = Applicable” or “Capacity Building requested = YES”); and
 - b. reviewing the Annual Report Part 2 on the Intranet or in MS Excel export format and reviewing individual CCMs reports for relevant obligations listed in Table 3.

13. Copies of reports in response to Capacity Assistance Needed scores from WCPFC16 are viewable by authorized users of CCMs through the secure side of the WCPFC website as **TCC16-2020-dCMR01**, and **TCC16-2020-AR Pt2** respectively.

Recommendation

14. TCC16 is invited to consider the capacity assistance needs listed in Tables 1 to 3 and any other needs as may be identified by CCMs during TCC16’s review of draft CMRs when developing the Provisional Compliance Monitoring Report and to note the task set out in paragraph 38 (ii) of CMM 2019-06.
