



**TECHNICAL AND COMPLIANCE COMMITTEE
Sixteenth Regular Session
Electronic Meeting
23 – 29 September 2020**

**Revised Chair's Proposal for Developing a Provisional Compliance Monitoring Report at
TCC16**

**WCPFC-TCC16-2020-09_rev1¹
16 September 2020**

Paper by the Acting TCC Chair

¹ Revised considering the Acting Chair's Summary and Outcomes of the TCC HOD meeting on 5th September 2020, and additional comments received from CCMs related to the list of potential issues in the full draft CMR by 14th September 2020.

TCC Chair's Revised proposal for Developing a Provisional Compliance Monitoring Report at TCC16

1. As confirmed in WCPFC Circular 2020/84 dated 10 August 2020, the Commission has decided not to convene a physical meeting for the TCC16 meeting. The Secretariat has also been tasked, in collaboration with the Acting TCC Chair, to convene an online TCC16 meeting between the 23rd to 29th September 2020 taking into account the guidance provided by the online HoD meeting held on 15th July 2020 on meeting arrangements for online Commission-related meetings in 2020.
2. A TCC HoD meeting was held on 5th September 2020 for the Acting TCC Chair to efficiently consult with Members about the online meeting arrangements for TCC16. The approach to be used by TCC to review the draft Compliance Monitoring Report and process to develop the Provisional Compliance Monitoring Report was among the topics discussed. This document has been revised considering the discussions during the TCC HoD meeting held on 5th September 2020, as well as comments received from CCMs after they have considered the list of potential issues presented in the full draft CMR as at the 8th September 2020.
3. Some background on the Compliance Monitoring Scheme and the new features of CMM 2019-06 *Compliance Monitoring Scheme* is provided in **Annex 1**.

Revised Proposal for TCC16

4. During the TCC HoD Meeting on 5th September 2020, and noting the obligation in CMM 2019-06 to undertake a compliance review, unless the Commission takes a decision to suspend the obligation, it was agreed that TCC would undertake to complete a review of the draft CMR and development of the provisional CMR. Furthermore, and considering the views expressed by Members during the TCC HoD Meeting on 5th September, the Acting TCC Chair proposes that TCCs review of the draft CMR, and the development of the provisional CMR, would be considered in plenary. Any decision to close plenary for the consideration of the draft CMR and provisional CMR would need to be taken by TCC16.

Capacity Assistance Needed in past years

5. As in past years, we will first receive reports on the progress of capacity development plans (obligations that were assessed by TCC15 as Capacity Assistance Needed (CAN)). We will go through each of the two (2) CAN assessments from last year and determine whether it should remain CAN or whether the assessment should be changed to reflect progress made over the past year. The results of these assessments will be included in the Provisional CMR that is sent to the Commission. However, because of the revised approach to Flag State Investigations (FSI) in CMM 2019-06, it is not anticipated that FSI assessments from last year will be considered at TCC16.

Potential issues to be considered in plenary from the full draft CMR

6. During the TCC HoD Meeting on 5th September 2020, there was discussion over whether the full list of obligations covered by the CMR would be considered and whether following past practice, TCC would prioritise the potential compliance issues identified by the Secretariat in the full draft Compliance Monitoring Report that was available on 8th September 2020. Noting the necessary constraints of the meeting schedule for plenary during TCC16 there was also discussion about whether the deadline issues would be considered by exception only, or whether the list of potential compliance issues should be reduced further. In light of the divergent views expressed, the Acting Chair considered that CCMs needed time to look through the draft CMR and potential compliance issues to be provided by the Secretariat on 8th September 2020. CCMs were requested to provide their views on the list of potential compliance issues to be considered in plenary by 14th September 2020.

7. As at 15th September 2020, comments have been received from FFA Secretariat, Chinese Taipei and the United States. Considering the comments received intersessionally, as well as those expressed during the TCC HoD meeting held on 5th September 2020, the Acting TCC Chair proposes that TCC would still consider the full list of obligations covered in the full draft CMR, but that TCC would prioritise consideration to only the 69 potential compliance issues identified by the Secretariat in the full draft Compliance Monitoring Report. Additionally, any deadline issues identified by the Secretariat in the full draft Compliance Monitoring Report would only be discussed in plenary by exception. Following past practice, this will mean that compliance statuses would still be given to each of the 31 reporting deadline issues, but they would be based solely on the Secretariat's assessment rather than discussed by the TCC in plenary (again, except in the case where a reporting deadline issue is taken up for discussion at the request of a CCM).

8. Additionally, and considering the necessary constraints of the meeting schedule for plenary during TCC16, and unlike past practice, CCMs will not be permitted to raise additional potential issues be taken up for discussion in plenary (outside of the 69 identified by the Secretariat as potential issues). In practice, this will mean that where the Secretariat has not raised a potential compliance issue in the full draft CMR for an applicable CCM, the compliance status that will be recorded in the provisional CMR will be "compliant". This approach is to ensure that CCMs are aware of issues that will be addressed (namely the 69 potential compliance issues) and reflects the significant challenges faced by CCMs to engage with their officials, the secretariat, and the other CCMs during our abbreviated virtual meeting schedule.

9. **Annex 2** highlights within the complete list of obligations covered by the CMR, the subset of 37 potential non-deadline issues that were identified by the Secretariat in the full draft CMR (**black**). The list of obligations where no potential issues were identified by the Secretariat in the full draft CMR are shown in **grey**.

Consideration of aggregated summary tables of cases in the online compliance case file system (a new feature of CMM 2019-06, specifically paragraphs 33 and 34)

10. Based on a range of views expressed by CCMs during the TCC HoD Meeting on 5th September 2020, it was agreed that TCCs consideration of the draft CMR in TCC16 plenary would not include the Aggregate Summary Tables of cases in the online compliance case file system.

11. As you will recall, significant work was done at WCPFC16 on updating the CMR evaluation process, most notably by developing the format for the aggregate tables that you have seen published with your initial draft CMR and noting that a revision 1 will be issued by the Secretariat prior to TCC16. It is nonetheless important that as Members we give some general consideration to the use and utility of the tables in 2021 (for ease of reference the relevant provisions of CMM 2019-06 are included in **Annex 1** to this paper). The Acting TCC Chair asks that Members consider sharing feedback and views on the format and utility of the tables during TCC16, as well as any suggested refinements. Looking ahead to 2021, would there be merit in Members working intersessionally with a view to provide guidance on how TCC17 would consider the tables alongside the draft CMR, including to “identify implementation challenges for a CCM” and to “identify systemic failures to take flag state action in relation to alleged violations”? Would there be merit in looking at how the member is doing and also pivot to ask how the CMMs are understood and being assessed/implemented? Are there an inordinate number of issues with one CMM or one aspect? Is it the measure itself that is an issue?

Assignment of Provisional Compliance Status

12. Annex I in CMM 2019-06 provides five Compliance Status options as follows:

CMM 2019-06 Annex I - Compliance Status Table

Compliance Status This annex applies to compliance statuses assigned for each individual obligation.	Criteria in 2019 Interim criteria
Compliant	A CCM will be deemed Compliant with an obligation if the following criteria have all been met: <ul style="list-style-type: none"> a. reporting or submission deadlines; b. implementation of obligations through national laws or regulations; c. submission of all mandatory information or data required, in the agreed format, as applicable.
Non-Compliant	A CCM will be deemed Non-Compliant with an obligation if any of the following have occurred, as applicable: <ul style="list-style-type: none"> a. a CCM has failed to comply with an obligation or category of obligations not specifically identified as Priority Non-Compliant;

	<p>b. information or data for the obligation has been submitted or reported in a way that is incomplete, incorrect.</p> <p>c. Where TCC does not consider that progress has been made on a CDP or flag CCM investigations, or wrongly formatted; or</p> <p>d. a CCM has failed to meet reporting or submission deadlines.</p>
Priority Non-Compliant	<p>A CCM will be deemed Priority Non-Compliant with an obligation if any of the following have occurred, as applicable:</p> <p>a. exceeded quantitative limit established by the Commission;</p> <p>b. failure to submit its Part II Annual Report;</p> <p>c. repeated non-compliance with an obligation for two or more consecutively assessed years; or</p> <p>d. any other non-compliance identified as Priority Non-Compliance by the Commission.</p>
Capacity Assistance Needed	<p>A SIDS or Participating Territory or Indonesia or the Philippines will be deemed Capacity Assistance Needed where they cannot meet an obligation and the following have occurred:</p> <p>a. that CCM has provided a Capacity Development Plan to the Secretariat with its dCMR prior to TCC; and</p> <p>b. TCC confirms that all the elements of paragraph 14 are included in that Plan.</p>
CMM Review	There is a lack of clarity on the requirements of an obligation.

13. Additionally paragraph 7 of CMM 2019-06 provides additional guidance on the criteria for assessments of certain types of obligations:

“(i) For a CCM-level quantitative limit or collective CCM quantitative limit, such as a limit on fishing capacity, fishing effort, or catch, verifiable data indicating that the limit has not been exceeded.

(ii) For other obligations:

a. Implementation – where an obligation applies, the CCM is required to provide information showing that it has adopted, in accordance with its own national policies and procedures, binding measures that implement that obligation; and

b. Monitor and ensure compliance – the CCM is required to provide information showing that it has a system or procedures to monitor compliance of vessels and persons with these binding measures, a system or procedures to respond to instances of non-compliance and has taken action in relation to potential infringements.”

14. In implementing Annex I, TCC16 should assign one of the above five compliance statuses for each CCM and obligation. Where a status of “Non-Compliant” or “Priority Non-Compliant” is assigned, TCC16 should determine if CCMs may provide additional information up to 21 days after TCC16, noting that additional information is limited to filling an information gap (CMM 2019-06 paragraph 40). The particular obligation/scores that may be met with the provision of additional information should be noted at the time of taking the final Provisional Compliance Monitoring scores.

15. Following past practice and CMM 2019-06 para 37, compliance statuses would still be given to each of the reporting deadline issues. Again, except in the case where a reporting deadline issue is taken up for discussion at the request of a CCM, they would be based solely on the Secretariat's assessment rather than be discussed by the TCC in plenary.

16. Due to the time limitations for TCC, and unlike past practice CCMs will not be permitted to raise additional potential issues from those identified by the Secretariat. In practice, this will mean that where the Secretariat has not raised a potential issue in the full draft CMR the outcome for an applicable CCM, the compliance status that will be recorded in the provisional CMR will be "compliant".

17. Noting that consideration by TCC of the draft CMR in TCC16 plenary would not include the Aggregate Summary Tables of cases in the online compliance case file system, TCC will not assign a status of "Non-Compliant" for a particular obligation/score based on information found in the aggregate tables.

18. Other reminders on process, consistent with the practice of past years:

- i. Review will be on an obligation-by-obligation basis, not by CCM.
- ii. TCC16 will limit the practice of allowing CCMs to provide additional information verbally to situations of clarification only.
- iii. Decisions on provisional assessments are to be by consensus (refer CMM 2019-06 para 35)
- iv. CCMs cannot block their own compliance assessment if other CCMs have reached consensus (refer CMM 2019-06 para 36); and
- v. In cases where CCMs were late with a reporting deadline, TCC16 will accept the assessment of the Secretariat unless there was additional relevant information provided by the CCM (refer CMM 2019-06 para 37).

Process to develop and finalise the Provisional Compliance Monitoring Report and Executive Summary

19. This year the Provisional Compliance Monitoring Report will consist of four parts:

- Executive Summary
- Appendix I – Traffic Light pCMR matrix
- Appendix 2 – Tabular version of pCMR outcomes
- Appendix 3 – Aggregate tables based on templates in Annex III of CMM 2019-06

The Secretariat has confirmed that Appendix 3 can be prepared in advance of the start of the TCC16 meeting and will be based on the September version of the aggregate summary tables. Appendix 1 and 2 would be prepared once TCC has completed the review of the draft CMR.

20. The Acting TCC Chair will work with the Secretariat to develop an initial draft of the Provisional CMR Executive Summary for consideration by TCC participants. It will be based on the previous year CMR Executive Summary, with appropriate updates to reflect this year's evaluation process and new features of CMM 2019-06, eg the Flag

State Investigation (FSI) section will be omitted from this year's version. The timing for the circulation of a draft of the Executive Summary will most likely to be once TCC has completed the review of the draft CMR. Best efforts will be made to provide time in the schedule to allow for a period of review and time for delegations to submit comments through email correspondence.

21. A TCC HoD agenda is being considered that would have HoD meet for a short period on 22nd September 2020 (Pohnpei time). The agenda would confirm the draft CMR approach, provide a short update on the discussion forum, and in particular progress on Cooperating Non-Members, and consideration of election of officers. This would be envisioned as a more traditional TCC HoD with participation limited to HoD plus 1 (two connections) per CCM, plus the SWG/IWG/WG leads and PNAO, SPC, FFA. CCMs may also provide their comments to the Acting-TCC Chair in advance at Robert.Day@dfo-mpo.gc.ca.

Annex 1: Background

The Commission has been implementing the WCPFC Compliance Monitoring Scheme since 2011 and WCPFC16 adopted a revised CMM (2019-06) to apply for two years. Its current purpose is described in paragraphs 1 and 2 of CMM 2019-06 *Conservation and Management Measure for the Compliance Monitoring Scheme*, as follows:

1. *The purpose of the WCPFC Compliance Monitoring Scheme (CMS) is to ensure that Members, Cooperating Non-Members and Participating Territories (CCMs) implement and comply with obligations arising under the Convention and conservation and management measures (CMMs) adopted by the Commission. The purpose of the CMS is also to assess flag CCM action in relation to alleged violations by its vessels, not to assess compliance by individual vessels.*

2. *The CMS is designed to:*

- (i) assess CCMs' compliance with their WCPFC obligations;*
- (ii) identify areas in which technical assistance or capacity building may be needed to assist CCMs to attain compliance;*
- (iii) identify aspects of CMMs which may require refinement or amendment for effective implementation;*
- (iv) respond to non-compliance by CCMs through remedial and/or preventative options that include a range of possible responses that take account of the reason for and degree, the severity, consequences and frequency of non-compliance, as may be necessary and appropriate to promote compliance with CMMs and other Commission obligations¹; and*
- (v) monitor and resolve outstanding instances of non-compliance by CCMs with their WCPFC obligations.*

Footnote 1: In accordance with the process for identifying corrective action, as provided for in paragraph 45(vi).

Key features of the revised CMM include the removal of “Flag State Investigation” Compliance Status from the Table in Annex I of CMM 2019-06, and in the development of the Provisional Report, TCC shall not assess compliance by individual vessels (paragraph 32 (ii) of CMM 2019-06). The revised CMM includes clarifiers about how the aggregated report drawing from the online compliance case file system should be structured and what is its intended purpose (see paragraph 26(ii) and Annex II of CMM 2019-06) and direction to TCC stating how the aggregated report described in paragraph 26(ii) is to be considered by TCC alongside the draft Compliance Monitoring Report (see paragraph 33 and 34 of CMM 2019-06). The revised CMM also directs that a new section to provide aggregated data based on the online compliance case file system will be included in the Provisional Compliance Monitoring Report (see paragraph 38 and Annex III of CMM 2019-06).

For ease of reference below are the relevant excerpts from CMM 2019-06 *Conservation and Management Measure for the Compliance Monitoring Scheme*

26. *At the same time, the Executive Director shall draw from the online case file system and transmit to:*

(ii) all CCMs, aggregated information across all fleets based on the information reported by CCMs pursuant to paragraph 10, for the previous 5 years. The templates attached as Annex II will serve as the basis for the data fields that will be included. This will be used to provide an indicator of potential anomalies in the implementation of obligations by a CCM, with a view towards identifying implementation challenges for that CCM and identifying systemic failures to take flag state action in relation to alleged violations. This information shall be considered by TCC alongside the Draft Compliance Monitoring Report.

28. Relevant CCMs may continue to provide additional information or clarification into the online compliance case file system. Where such additional information or clarification is provided, at least fifteen days in advance of the TCC meeting, the Executive Director shall circulate an updated version of the documents referred to under paragraph 26.

33. When considering the aggregated report described in paragraph 26(ii), alongside the Draft Report, and where an implementation challenge has been identified by a CCM, the TCC shall, in consultation with the CCM:

- a. Identify any targeted assistance that might be required to address the challenge;*
- b. Determine a timeframe for the resolution of the challenge;*
- c. Report to the Commission on how that CCM will be able to satisfactorily meet its obligations; and*
- d. Where the CCM is a SIDS or Participating Territory or Indonesia or the Philippines, Section V of this measure shall apply.*

34. When considering the aggregated report described in 26(ii), alongside the Draft Report, and where cases have been in the compliance case file system for two or more years, remains open, and are not subject to paragraph 33, TCC shall, in consultation with the CCM:

- a. Identify what is needed to progress or resolve these cases;*
- b. Determine a timeframe for resolution of the cases; and*
- c. Report to the Commission on how that CCM will be able to satisfactorily meet its obligation.*

**LIST OF POTENTIAL COMPLIANCE ISSUES IDENTIFIED IN THE FULL dCMR
(and list of obligations in the full draft CMR with no potential issues
identified by the Secretariat) as at 8th September 2020**

COUNT BY CATEGORY

Quantitative Limits (QL):-	6
Implementation (IM):	16
Report (RP):	16
Deadline (DL):	31
TOTAL:	69 (for 21 CCMs)

**COUNT BY CMM AND BY OBLIGATION (DL issues are shown as a count by
CMM only)**

CMM 2005-03 – NP albacore (1 potential issue)

- **QL: CMM 2005-03 02** CCMs take measures to ensure level of fishing effort by vessels fishing for NP albacore is not increased.....(0)
- **RP: CMM 2005-03 04** NP albacore required report (1)

CMM 2009-06 – Transshipment (22 potential issues)

- **RP: CMM 2009-06 11** Annual report on all transshipment activities covered by this Measure (including transshipment activities that occur in ports or EEZs) in accordance with the specified guidelines (Annex II) (5)
- **IM: CMM 2009-06 13** CCM shall ensure that vessels they are responsible for carry observers from the WCPFC ROP to observe transshipments at sea.....(0)
- **QL: CMM 2009-06 29** Limit on purse seine vessels transshipment outside of port to vessels that have received an exemption from the Commission. Where applicable, flag CCM authorisation should be vessel-specific and address any specific conditions identified by the Commission.(0)
- **QL: CMM 2009-06 34** Ban on high seas transshipment, unless a CCM has determined impracticability in accordance with para 37 guidelines, and has advised the Commission of such.....(0)
- **RP: CMM 2009-06 35 a (ii)** Flag CCM's notification to the Secretariat on its flag vessels that are authorised to transship on the high seas.....(0)
- **RP: CMM 2009-06 35 a (iii)** WCPFC Transshipment Advance Notification (including fields in Annex III) (3)
- **RP: CMM 2009-06 35 a (iv)** WCPFC Transshipment Declaration (including information in Annex I) (1)
- **DL: (13)**

CMM 2010-01 –NP striped marlin (0 potential issues)

- **QL: CMM 2010-01 05** *NP striped marlin catch limits applicable to CCMs with vessels fishing in the Convention Area north of the equator: commencing 2011.....(0)*
- **RP: CMM 2010-01 08** *NP striped marlin required report (0)*

CMM 2010-07 –Sharks (0 potential issues)

- **IM: CMM 2010-07 09** *Take measures to prohibit their vessels from retaining, transshipping, landing or trading in any fins harvested in contravention of this CMM (0)*
- **DL: (0)**

CMM 2011-04 – Oceanic whitetip sharks (3 potential issues)

- **IM: CMM 2011-04 01** *Prohibit vessels from retaining on board, transshipping, storing or landing any oceanic whitetip sharks, in whole or in part (1)*
- **RP: CMM 2011-04 03** *Annual report on estimated number of releases and status upon release of oceanic whitetip sharks (AR Pt 1) (1)*
- **DL: (1)**

CMM 2013-08 – Silky shark (3 potential issues)

- **IM: CMM 2013-08 01** *Prohibit vessels from retaining on board, transshipping, storing or landing any silky sharks, in whole or in part (applied after 1 July 2014) (1)*
- **RP: CMM 2013-08 03** *Annual report on estimated number of releases of silky sharks caught in the Convention Area, including status upon release (AR Pt 1) (1)*
- **DL: (1)**

CMM 2014-02 – VMS (9 potential issues)

- **IM: CMM 2014-02 9a** *Fishing vessels comply with the Commission standards for WCPFC VMS including being fitted with ALC/MTU that meet Commission requirements (4)*
- **IM: CMM 2014-02 VMS SSPs 2.8** *Provision of ALC/MTU 'VTAF' data (3)*
- **IM: CMM 2014-02 VMS SSPs 7.2.2** *CCMs to conduct periodic audits of ALC/MTUs of its vessels and report results to the Commission (AR Pt 2) (1)*
- **DL: (1)**

CMM 2015-02 – SP albacore (1 potential issue)

- **QL: CMM 2015-02 01** *.... Limit on number of vessels actively fishing for SP albacore south of 20S above 2005 or 2000-2004 levels(0)*
- **RP: CMM 2015-02 04** *SP albacore required report (1)*

CMM 2016-05 – Charter Notification (7 potential issues)

- **RP: CMM 2016-05 02**... *Charter notification report*.....(0)
- **RP: CMM 2016-05 03**... *Charter notification report*.....(0)
- **RP: CMM 2016-05 07**... *Charter notification report*.....(0)
- **DL:** (7)

CMM 2018-01 – Tropical tuna (19 potential issues)

- **IM: CMM 2018-01 16** *Purse seine 3 month FAD closure (1 July - 30 September)* (2)
- **IM: CMM 2018-01 17** *Advice on choice and implementation of two additional month high seas FAD closure (April-May or Nov-Dec) ...*(0)
- **IM: CMM 2018-01 23** *Each purse seine vessel is limited to no more than 350 FADs with activated instrumented buoys*.....(0)
- **QL: CMM 2018-01 25** *Purse seine EEZ limits (for skipjack, yellowfin and bigeye tuna) and advice from other coastal CCMs of EEZ limits to be applied* (2)
- **QL: CMM 2018-01 26** *High seas purse seine effort limits applying 20N to 20S* (2)
- **IM: CMM 2018-01 27** *CCMs not to transfer fishing effort in days fished in the purse seine fishery to areas N20N and S20S*.....(0)
- **IM: CMM 2018-01 31** *Purse seine catch retention requirements (20N - 20S)*.....(0)
- **IM: CMM 2018-01 33** *Purse seine vessels are not to operate under manual reporting during FAD closure period*.....(0)
- **IM: CMM 2018-01 34** *Requirement for purse seine vessels to carry a ROP observer*.....(0)
- **IM: CMM 2018-01 35** *100% purse seine coverage: specific rules for vessels fishing exclusively in areas under its national jurisdiction* (2)
- **QL: CMM 2018-01 39** *Bigeye longline catch limits for 2019 and 2020, with adjustment to be made for any overage*.....(0)
- **RP: CMM 2018-01 41**... *Bigeye longline catch required report*...(0)
- **QL: CMM 2018-01 43** *Bigeye longline catch limits by flag for certain other members which caught less than 2000t in 2004*.....(0)
- **QL: CMM 2018-01 45** *Limit by flag on number of purse seine vessels >24m with freezing capacity between 20N and 20S* (1)
- **QL: CMM 2018-01 47** *Limit by flag on number of longline vessels with freezing capacity targeting bigeye above the current level (applying domestic quotas are exempt)*(0)
- **QL: CMM 2018-01 48** *Limit by flag on number of ice-chilled longline vessels targeting bigeye and landing exclusively fresh fish above the current level or above the number of current licenses under established limited entry programmes (applying domestic quotas are exempt)* ...(0)
- **QL: CMM 2018-01 51** *Limit on total catch of certain other commercial tuna fisheries (that take >2000Mt of BET, YFT and SKJ)* (1)

- **RP: CMM 2018-01 Att 2 03** *Philippines vessels Entry/Exit reports for HSP1-SMA* (1)
- **IM: CMM 2018-01 Att 2 05 -06** *Specific requirements for deploying observers on Philippines vessels fishing in HSP1-SMA ...* (0)
- **IM: CMM 2018-01 Att 2 08** *Philippines to monitor landings by vessels operating in HSP1-SMA and collect reliable catch data by species...* (0)
- **DL:** (8)

CMM 2018-02 – Pacific bluefin tuna (0 potential issues)

- **QL: CMM 2018-02 02 (1)** *Total effort by vessels for Pacific bluefin limited to 2002 - 2004 levels in Area north of 20N ...* (0)
- **QL: CMM 2018-02 02 (2)** *Catches of Pacific bluefin tuna less than 30kg shall be reduced to 50% of 2002-04 level. Overage or underage may be used in following year* (0)
- **QL: CMM 2018-02 03** *Every possible measure to be taken not to increase catches of Pacific bluefin >30kg from 2002-04 levels with some exceptions* (0)
- **RP: CMM 2018-02 04** *Pacific bluefin required report* (0)
- **RP: CMM 2018-02 04** *Pacific bluefin required report* (0)

CMM 2018-05 – Regional Observer Programme (2 potential issues)

- **IM: CMM 2018-05 10** *CCMs shall explain to the vessel captain, observer duties relevant to appropriate measures adopted by the Commission ...* (0)
- **IM: CMM 2018-05 15(g)** *CCMs to ensure vessel operators comply with the Guidelines for the Rights and Responsibilities of Vessel Operators, Captains and Crew* (1)
- **IM: CMM 2018-05 Annex C 06** *CCMs shall achieve 5% coverage of the effort in each fishery under the jurisdiction of the Commission ...* (0)
- **DL:** ... (0)

CMM 2018-06 – Record of Fishing Vessels (2 potential issues)

- **IM: CMM 2018-06 02** *CCMs to ensure its fishing vessels only transship to/from, and provide bunkering for/ are bunkered by or otherwise supported by vessels on the RFV ...* (0)
- **IM: CMM 2018-06 03** *...CCMs should only allow its fishing vessels to be used for fishing, if properly authorised* (0)
- **IM: CMM 2018-06 04** *Vessels authorization requirement* (0)
- **IM: CMM 2018-06 07** *Requirement to notify any additions, modifications and deletions of Vessels from the record, including for each vessel all details as set out in paragraph 6 of this CMM* (1)
- **IM: CMM 2018-06 09** *Submission by Member to ED a list of all vessels on national record in previous year, noting "fished" or "did not fish" for each vessel* (0)

- **IM CMM 2018-06 17** *Flag CCM to ensure fishing vessels are on RFV in accordance with this CMM. Vessels not on RFV shall be deemed not authorized to fish for, retain on board, transship or land HMFS in Convention Area beyond the national jurisdiction of its flag State* (1)
- **DL:** ... (0)

Scientific data (1 potential issue)

- **RP Scidata 01** *Scientific Data–annual catch estimates* (0)
- **RP Scidata 02** *Scientific Data–active vessels* (0)
- **RP Scidata 03** *Scientific Data–operational level catch and effort data* (1)
- **RP Scidata 05** *Scientific Data–size composition data* (0)
- **DL:** (0)