



**TECHNICAL AND COMPLIANCE COMMITTEE**

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**STREAMLINING WCPFC REPORTING REQUIREMENTS**

**DISCUSSION PAPER**

**- A TCC Workplan 2019 – 2021 project related to the WCPFC Compliance Monitoring Scheme**

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**WCPFC-TCC15-2019-10<sup>1</sup>**

**20 September 2019**

**Prepared by the Secretariat**

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<sup>1</sup> On 22 July 2019, the Secretariat circulated an initial version of this Discussion Paper for CCM and Observer input (WCPFC Circular 2019/36). The initial version was also tabled at SC15 for input from SC participants and particularly on the science-related issues within the paper. The Discussion Paper was revised considering the inputs received by Wednesday 28<sup>th</sup> August 2019. The revised Discussion Paper is now submitted to TCC15 for decision on the recommended way forward to WCPFC16.



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## Purpose

1. The purpose of this paper is to present a Discussion Paper that reviews all the annual reporting requirements of the Commission with the view to streamline them and avoid duplicative reporting consistent with efforts to enhance the efficiency and effectiveness of the Compliance Monitoring Scheme (as required by CMM 2018-07 paragraph 45 (ii) and TCC Workplan 2019 - 2021 priority project specific task).<sup>2</sup>

2. An initial version of this Discussion Paper was prepared by the Secretariat drawing on discussions with colleagues from the FFA Secretariat, PNA Office and SPC-OFP, including discussions during a Scientific Committee (SC) Project 93 meeting held in Noumea, New Caledonia on 15 - 16 April 2019. The initial version was circulated to CCMs and Observers as WCPFC Circular 2019/36 on 22 July 2019. It was also tabled at SC15 for input from SC participants (SC15-2019-ST-WP-06). The paper has been revised considering the inputs received by Wednesday 28th August 2019. This final version of the paper is now presented to TCC15 for consideration with the view to TCC proposing appropriate recommendations to WCPFC16 on the best approach to streamline Commission reporting requirements.

## Background

3. In December 2016, the Commission approved the review of the WCPFC Compliance Monitoring Scheme. An Independent Panel was appointed in April 2017 and was comprised of Don MacKay (Chair), Andrew Wright and Christopher Rogers. The objective of the review was to assist CCMs to improve compliance with the Convention and Conservation and Management Measures (CMMs) and to this end the review was intended to be forward looking and provide clear recommendations on how best to operationalize the WCPFC Compliance Monitoring Scheme. A substantive report was presented by the Independent Panel to WCPFC14 ([WCPFC14-2017-25B](#)) and the final report from the Panel was issued on 7 March 2018 ([WCPFC-TCC14-2018-10C](#)).

4. In presenting their report the Independent Panel gave consideration, amongst others, to this question: *Is all of the required information necessary/cost effective?* In response, the Panel provided the following comments related to Annual Report Part 1 reported information...

Fishery data, particularly operational level data, is submitted by CCMs directly to the Science Provider. Several respondents to the survey and others who were interviewed noted that this requirement is duplicative of the reporting obligations of Part 1 of the Annual Report. In fact, a number of CCMs noted that they extract data previously submitted to the Science Provider in order to resubmit the data to WCPFC via the Part 1 Report. It was noted that such a process is time consuming and an inefficient use of limited resources.

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It was suggested that the Secretariat consult with the Science Provider to explore opportunities to reduce the reporting burden on CCMs that are associated with duplicating data previously

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<sup>2</sup> CMM 2018-07 paragraph 45 confirms the commitment of the Commission to a multi-year workplan of tasks to enhance the CMS, with the aim of making it more efficient and effective by streamlining processes. This workplan should include *inter alia*... “(ii) a comprehensive review of all the Commission’s reporting requirements, with recommendations to remove duplicative reporting as well as ensure the Commission’s data and information needs are met”. The same language was included as a priority project specific task for 2019 within the TCC workplan 2019 – 2021.

submitted for the purpose of meeting the obligations associated with completing the Part 1 Annual Report.

(Final Report from Independent Panel, Section 4.5)

5. The Independent Panel also noted with concern the volume of material both required and supplied through the WCPFC Compliance Monitoring Scheme, and recommended that the volume of material should be reduced. In the words of the Panel:

The large number of obligations being assessed against, and the huge volume of material both required and supplied, places considerable burdens on CCMs, many of which have quite small administrations. Often, effective participation by States is dependent upon a few key personnel who have other demanding responsibilities as well. The system itself is dependent upon the dedication and commitment of key Secretariat personnel to make it work. This raises many concerns and issues, not least that of “key person risk”. (Final Report from Independent Panel, Executive Summary Paragraph 4)

The Panel also considers it essential to reduce the volume of material that is considered by the TCC, a meeting of all of the Parties that spans seven days and also deals with other matters additional to the CMS.

(Final Report from Independent Panel, Executive Summary Paragraph 9)

6. The Independent Panel proposed several recommendations that were intended to make the WCPFC Compliance Monitoring Scheme less onerous for CCMs and the Secretariat. Of note was the recommendation that the Secretariat “Consult with SPC to develop procedures to remove the requirement for duplicate data submissions” (Final Report from Independent Panel, 4, paragraph 28). The Panel also took note that some participants had suggested that not all data elements of the Annual Report Part 2 are necessary and perhaps it was timely for a working group of TCC to evaluate individual report elements for relevance (Final Report from Independent Panel, Section 4.5). The Panel also encouraged that the Commission give consideration to removing the need to provide duplicative and unnecessary information, and to finding ways to make information requirements more manageable overall (Final Report from Independent Panel, Executive Summary Paragraph 8).

7. At WCPFC14 (December 2017) the Commission noted that in submitting [WCPFC14-2017-DP29](#), FFA member CCMs had provided an initial analysis of Annual Report Part 1 report considering the related recommendations from the substantive report from the Independent Panel that was presented to WCPFC14. At WCPFC14 FFA member CCMs reiterated their view expressed during TCC13 that the essential scientific information already provided as operational level catch and effort data submissions earlier in the year must be recognised by the Commission as meeting their obligations to provide a number of required reporting obligations that are specified to be included in Annual Report Part 1. It was also expressed that rather than have CCMs submit duplicative information there must be improvements made to facilitate access to data that is already made available to the Commission (WCPFC14 Summary Report paragraph 478). The Commission further tasked the Secretariat, in consultation with SPC, to review the Commission’s reporting requirements to minimise duplicate reporting by CCMs, with a particular focus on streamlining the provision and accessibility of scientific data to the Commission, as well as to prioritise the enhancement of the Commission’s information management system in response to that review, and report back to WCPFC15 (WCPFC14 Summary Report paragraph 479).

8. WCPFC14 also established an Intersessional Working Group on the Review of the Compliance Monitoring Scheme (CMS IWG). The tasks of the CMS IWG were to facilitate consideration of the Report from the Independent Review of the CMS and develop a proposed CMM for the Compliance Monitoring Scheme for consideration at WCPFC15 (December 2018).

9. At TCC14 (September 2018), the Secretariat provided a progress report on the WCPFC14 task, as *Update on consideration of minimizing duplicative reporting and enhancing accessibility of scientific data by the Secretariat* ([WCPFC-TCC14-2018-17](#)). The paper documented the ways that the Secretariat and SPC-OFP presently collaborate to ensure access by the Secretariat to a range of scientific data products in support of compliance-related work for the Commission, specifically draft Compliance Monitoring Reports and TCC meeting papers. The paper also observed that the revised list of obligations approved by WCPFC14 included fewer Annual Report Part 1-related reporting obligations compared to previous years, and omitted the requirement for timely provision of Annual Report Part 1. The paper also noted that there presently were a number of intersessional discussions, which seem to provide opportunity to further consider reducing duplicative reporting by CCMs and to enhancing access to scientific data for Commission work.

10. Following the presentation of the paper at TCC14, PNA and FFA CCMs provided the following views:

- the intention of the WCPFC14 task was not seeking to reduce the quantity or quality of data available to the Commission, but to streamline how it is provided;
- as far as possible, data provision should be contained in a single source/reference document, that is the *“Scientific Data to be Provided”* decision;
- if there was a need for specific additional information to support new or existing conservation and management measures, this should be added into the data rules rather than built into the measure;
- where a specific report could be derived from data already submitted by CCMs, an automated report should be developed rather than requiring CCMs to submit the derived report;
- WCPFC should focus on generating data summaries that could be made publicly available, rather than relying on specific reports such as Part 1 Annual Reports;
- Annual Report Part 1 should no longer be used in the CMS because the relevant data could be provided elsewhere;
- The Secretariat’s efforts to assist with prepopulating some not applicable answers for SIDS and non-flag CCMs in this year’s Annual Part 2 report were noted, and illustrates what needs to be done to streamline existing reporting requirements; and
- encouraged the Secretariat to continue to identify ways in which efficiency gains could be made.

During TCC14, no other CCMs sought to provide comments under this agenda item.

11. At WCPFC15 (December 2018) FFA members submitted comments on the working draft text for the Compliance Monitoring Scheme that was prepared through the CMS IWG ([WCPFC15-2018-DP11\\_rev1](#)). FFA comments recognized that some work recommended by the Independent Panel would not be finalized before a new measure is adopted at WCPFC15. The FFA paper also suggested that the purpose of the comprehensive review of reporting requirements task could be *“to identify inefficiencies among existing reporting requirements. The independent review panel for the CMS identified that duplicative reporting within the existing reporting requirements created unnecessary burdens and unfair compliance assessment for CCMs, in particular for small fisheries*

administrations.” The paper also included some suggested outputs from the comprehensive review task.

12. In December 2018, the Commission considered a draft CMM proposed developed through the CMS IWG, and adopted [CMM 2018-07 Conservation and Management Measure for Compliance Monitoring Scheme](#). This includes a commitment by the Commission to a multi-year workplan of tasks to enhance the CMS, with the aim of making it more efficient and effective by streamlining processes. One of the tasks set out for 2019, is “*a comprehensive review of all the Commission’s reporting requirements, with recommendations to remove duplicative reporting as well as ensure the Commission’s data and information needs are met*” (CMM 2018-07 paragraph 45 (ii)). The same language was included as a priority project specific task for 2019 within the TCC workplan 2019 – 2021 that was also adopted by the Commission in December 2018. Beyond confirming the title for this task, the terms of reference were not specified by the Commission.

### Overview of WCPFC Reporting Requirements, related Secretariat support and IT tools

13. The WCPF Convention Articles 23 and 25 requires each CCM to annually provide information to the Commission on fishing activities by their flagged vessels. Since WCPFC2 (2005), the *Scientific Data to be Provided to the Commission* decision has specified the requirements for the provision by flag CCMs of annual catch estimates, the number of vessels active, operational level catch and effort data, aggregated catch and effort data, and size composition data to the Commission. The Commission has also implemented a two-part reporting format for CCMs to report on their fishing activities and the implementation of conservation and management measures for the preceding year. Annual Report Part 1 is intended to provide a summary of each CCMs fisheries, research and statistics information for the preceding calendar year and recently includes specific CMM-related reporting requirements for the same period. Annual Report Part 2 is a report on management and compliance measures taken by CCMs in the previous year. Since 2012, the Secretariat has provided an online facility for CCMs to directly enter their Annual Report Part 2 responses. The templates for Annual Report Part 1 and Annual Report Part 2 are based on previous years’ reports and specific decisions of the Commission, including adopted CMMs. The dates for submission and the formats for reporting have become standardized over recent years. The length of both Annual Report Part 1 and Annual Report Part 2 has expanded over recent years, as additional reporting requirements have been agreed by the Commission, including as specified in adopted CMMs.

14. With the introduction of the Compliance Monitoring Scheme in 2011, the *Scientific Data to be Provided to the Commission* decision, Annual Reports Part 1 and 2 are considered important sources of information for the preparation of the draft Compliance Monitoring Report by the Secretariat. The data each CCM reports in Annual Report Part 2 (YES/NO/not applicable and any explanations) for the subset of Commission-approved obligations to be assessed through the CMS, can be copied into the draft Compliance Monitoring Report. In addition, the Secretariat reviews and may reference certain information and data from each CCMs Annual Report Part 1 as part of preparing the draft Compliance Monitoring Report, these include required reports under CMMs and/or descriptions of annual fishing activity during the previous years. Prior to 2019, the scope of the draft Compliance Monitoring Report in the various iterations of the Compliance Monitoring Scheme measure was prescriptive and had a broad description. Consequently, over the years the Secretariat has made best efforts to meet the expected scope for the draft Compliance Monitoring Report. Through careful work planning and efforts to build and enhance WCPFC IMS hosted databases, the



Secretariat has incrementally expanded the breadth of data considered and the depth of analysis used to prepare the draft Compliance Monitoring Reports.

15. The Secretariat has regularly assisted CCMs, particularly SIDS CCMs with meeting their annual reporting requirements, through:

- a. routinely updating an addendum to Annual Report Part 1 to ensure the list of reporting requirements as specified in CMMs is current;
- b. routinely updating the [Suggested Checklist for Reporting Requirements](#) that contains a comprehensive list of all required reports from CMMs and other decisions;
- c. regularly participating at the FFA MCS Working Group (FFA MCSWG) meeting, where in collaboration with the FFA Secretariat FFA Member CCMs receive assistance with developing their Annual Report Part 2;
- d. regularly participating at the SPC Tuna Data Workshop, where in collaboration with SPC and FFA Secretariats many Pacific Island CCMs, Indonesia, Philippines and Vietnam are assisted with their Annual Reports Part 1; and
- e. working with and assisting many CCMs where required including electronically, via email and skype, with questions of clarification on the reporting obligations, in particular the Annual Report Part 2 and occasional requests for in-country assistance.

16. In 2019, the Secretariat established the “Significant Dates” IT tool as part of the WCPFC website [calendar](#), and does include the list of all required reports from CMMs and other decisions, and other reporting deadlines such as responses to Circulars. The Calendar is exportable in CSV and iCal format.

17. In 2018 and 2019, the Secretariat pre-populated some parts of certain CCMs Annual Report Part 2 with “N/A” (Not Applicable) answers. This approach was applied for Small Island Development States (SIDS) CCMs in respect of certain obligations that the Secretariat understands to not have applied to SIDS in the relevant reporting year. The approach was also applied for those CCMs who in the relevant reporting year did not have any vessels listed on the Record of Fishing Vessels to obligations only applying to flag CCMs. The Secretariat also ensured that these pre-populated answers in each CCMs Annual Report Part 2 did remain editable so that CCMs could review and correct the report as needed. Consequently, for SIDS CCMs the list of questions to answer in Annual Report Part 2 was fewer (eg in 2019 it is 50 – 160 out of a total of 187 questions).

18. In 2019, the Secretariat has introduced a new structure for the Annual Report Part 2, considering that paragraph 7 and Annex I of CMM 2018-07 set out expectations for the types of information that CCMs might be expected to report and how CMR assessments will be determined. This year the various reporting requirements have been grouped into three major categories: “**implementation**”, “**quantitative limits**” and “**report**”. Within the implementation category there are some subcategories, eg distinguish new CMM paragraphs that were new obligations to be implemented in 2018 for the first time, those “implementation” type obligations that are unchanged from previous years, and those that are flag State responsibilities. The “report” category also includes subcategories based on how the report is to be submitted (eg. Direct to the Secretariat, or in Annual Report Part 1). The explanatory notes provided in Annual Report Part 2 have also been updated considering the Secretariats understanding of CMM 2018-07, in particular paragraph 7 and Annex I. The “report” related criterion in Annex I indicates that a CCM will be deemed **Compliant** with an obligation if all mandatory information or data required, in the agreed format, as applicable were submitted. For ease of reference, CMM 2018-07 paragraph 7 states:



“7. The Commission shall undertake an annual assessment of compliance by CCMs during the previous calendar year with the priority obligations identified under paragraph 6. Such assessment shall be determined based on the following criteria:

(i) For a CCM-level quantitative limit or collective CCM quantitative limit, such as a limit on fishing capacity, fishing effort, or catch, verifiable data indicating that the limit has not been exceeded.

(ii) For other obligations:

a. Implementation – where an obligation applies, the CCM is required to provide information showing that it has adopted, in accordance with its own national policies and procedures, binding measures that implement that obligation; and

b. Monitor and ensure compliance – the CCM is required to provide information showing that it has a system or procedures to monitor compliance of vessels and persons with these binding measures, a system or procedures to respond to instances of non-compliance and has taken action in relation to potential infringements.”

## **Review of WCPFC Reporting requirements**

19. The ensuing analysis reviews current WCPFC reporting requirements and is divided into four sections which refers to a category within this year’s Annual Report Part 2 template as follows:

- Review of reporting requirements that are related to a CCM-level or collective quantitative limit;
- Review of reporting related to the steps a CCM has taken to implement obligations;
- Review of reporting requirements related to Scientific data submissions; and
- Review of other WCPFC annual reporting requirements.

20. In April 2019, immediately following the Tuna Data Workshop, the Compliance Manager and Assistant Compliance Manager met with FFA Secretariat, PNA Office and SPC-OFP colleagues in Noumea, New Caledonia to discuss two items, one of which was the “*comprehensive review of reporting requirements, with an emphasis on discussing ways to remove duplicative reporting requirements from Annual Report Part 1 and Part 2*”. The discussions during this meeting did assist the Secretariat when preparing this paper, and the Secretariat is grateful for the suggestions and consideration that fellow colleagues gave to this topic during the Noumea meeting. The Final Report from the Independent Panel, the FFA prepared draft terms of reference for the CMS future tasks contained in [WCPFC15-2018-DP11\\_rev1](#) and FFA members’ views on duplicate reporting [WCPFC14-2017-DP29](#) amongst others, were also considered in the preparation of this paper.

21. The analysis by category includes a review of the basis for the various reporting requirements and considers the types of information that CCMs are presently asked to provide in Annual Report Part 2, and as applicable through other reports. Some suggestions and options are presented that give consideration as to how annual reporting could be streamlined to remove duplicative reporting and how annual reporting could be made more manageable overall. Noting that this paper is part of a collective effort to enhance both the efficiency and effectiveness of the Scheme, some consideration is given as to whether current reporting adequately achieve their desired objective. At the end of each section a 1-2-page summary in table format is included, which provides the list of the relevant obligations within this year’s Annual Report Part 2 and includes some notes on the key streamlining opportunities identified for CCMs consideration.

## Review of reporting requirements that are related to a CCM-level or collective quantitative limit

22. The Commission has adopted CMMs that specify for certain CCMs the quantity of catch of a species or a stock which may be caught, and/or the levels of fishing effort that are permitted within the Convention Area or subparts thereof. There are nineteen (19) obligations that have been classified within the grouping of “Quantitative Limit: CCM-level or collective” in this year’s Annual Report Part 2 report covering 2018 activities.

23. CMM 2018-07 paragraph 7 states that through the Compliance Monitoring Scheme the annual assessment of compliance for quantitative limits, shall be based on verifiable data indicating that a limit has not been exceeded. In 2019, the Commission agreed that sixteen (16) of the nineteen (19) obligations will be reviewed through the Compliance Monitoring Scheme (the limits in CMM 2006-04 south-west Pacific striped marlin and CMM 2009-03 Swordfish were not included in the list of obligations to be assessed by the CMS in 2019). Each CCM to whom a quantitative limit applies, is expected to provide an annual statement of YES/NO/not applicable and provide additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded.

24. Some measures that specify quantitative limits also include required reports, that were intended to ensure a flow of data and information as part that might support reviews of the measure, including an individual CCMs compliance with the applicable quantitative limits. Eleven (11) quantitative limit obligations have related CMM-required reports and some individual reports provide data and information that could be related to more than one specified quantitative limits (eg different paragraphs within the same CMM). The pairing of the nine (9) CMM-required reports to their corresponding quantitative limit is shown in Table 1 (pg 9).

25. The inclusion of CMM-required reporting requirements was common in conservation and management measures with limits that were adopted in the earlier years of the Commission. In part this was because at that time most major flag CCMs were recognized as having domestic legal constraints that prevented them from submitting operational level catch and effort data to the Commission. In other instances, such additional reporting requirements were included in a conservation and management measure because a limit was phrased as “fishing for a species or stock” and it was recognized that there were some limitations on the use of operational catch and effort data as the sole verification tool used to review CCMs compliance with the applicable quantitative limits.

26. In practice, some such required reports in CMMs have become stand-alone requirements for flag CCMs with vessels that are involved in relevant fisheries, irrespective of whether a CCM is subject to a quantitative limit and irrespective of whether equivalent scientific data have been submitted. Additionally, for each of the CMM required reports all CCMs are expected to also respond annually to nine questions linked to these obligations in the Annual Report Part 2 report by providing a YES/NO/not applicable response to confirm that the report was submitted. There is potential for duplicative information being provided.

27. *Should certain CCMs be exempted from submitting CMM required reports intended to relate to implementation of quantitative limits?* For the last two years, it has become an accepted practice that the Secretariat has pre-populated Small Island Development States (SIDS) CCMs Annual Report Part 2 with “N/A” (Not Applicable) answers, for certain obligations, including most flag-based quantitative

limits, that the Secretariat understands were not applicable to SIDS in the relevant reporting year. However, the same approach is not currently applied to dedicated required reports that relate to implementation by a CCM of a quantitative limit. Given that most CCMs are now regularly submitting operational level catch and effort data to the Commission, the requirement to submit an additional report against a quantitative limit could be substantially duplicative for those CCMs to whom the quantitative limit is not applicable. This would be particularly the case when such CCMs are also fully meeting annual WCPFC requirements to submit operational level catch and effort data. CCMs might consider deciding to exempt those CCMs who are fully meeting WCPFC requirements to submit operational-level catch and effort data to the Commission and to whom a quantitative limit is not applicable from the requirement to submit certain CMM required reports.

28. *How could the submission of some dedicated CMM reporting requirements with relevance to quantitative limits be streamlined?* Most of the CMM required reports related to implementation of quantitative limits are to be submitted to the Secretariat, one is to be submitted in Annual Report Part 1 and one in Annual Report Part 2. It would perhaps be more efficient for such reports to be included as the supporting details that each CCM is expected to provide in Annual Report Part 2 as confirmation that the applicable limit was or was not exceeded, rather than continue to have them submitted as stand-alone reporting requirements. For example, rather than send a report as a separate submission to the Secretariat, the CMM 2005-03 04 data could be entered into Annual Report Part 2 answer the CCM provides for CMM 2005-03 02.

29. For the only CMM-required report that is to be submitted in Annual Report Part 1, CMM 2009-03 08, CCMs should consider if this is necessary.<sup>3</sup> Within Annual Report Part 2 all CCMs should also not be asked to provide a YES/NO/not applicable response on whether the reports were submitted. Instead, the annual report on the CMM 2009-03 01 and CMM 2009-03 02 “limits” by the relevant CCMs should declare in summary form the annual number of vessels and annual catches respectively. Currently the [Rules and Procedures for the Protection, Access to and Dissemination of Data compiled by the Commission](#) classifies the entire Annual Report Part 1 as “public domain data”. Noting that other reports against quantitative limits are provided to the Secretariat or in Annual Report Part 2, CCMs might consider whether the CMM 2009-03 08 report should remain classified as public domain data or be reclassified as non-public domain data.

30. Table 1 (below) provides the list of CMM required reports that are intended to contribute data to support reviews of compliance with quantitative limits-related questions in Annual Report Part 2. The table also includes notation on how the above suggested streamlined approach may be applied to individual CMM-required reports.

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<sup>3</sup> Note the suggested approach to streamline Annual Report Part 1 annual fisheries information tables through Scientific tasking the Services Provider SPC-OFP to prepare summary tables, might be a better way to respond to the intention of the CMM 2009-03 08 report being published in the “public domain” (refer pgs 15-18 and Table 4)

**Table 1. List of CMM-required reports that are related to CCM-level or collective quantitative limits and notes on where there could be opportunities to streamline future annual reporting.**

Sub-category within AR Pt 2 (2019)	REQUIRED REPORTS ON IMPLEMENTATION OF A QUANTITATIVE LIMIT	Notes on options to streamline
<p><i>REPORT: SUBMISSION TO THE SECRETARIAT (7)</i></p>	<p><b>CMM 2005-03 03</b> Catch report of NP ALB due every six months</p>	<p>CMM 2005-03 04 data could be considered part of expected reporting requirements included in AR Pt 2 response for this limit: <b>CMM 2005-03 02</b></p>
	<p><b>CMM 2005-03 04</b> Annual report of catches and fishing effort north of the equator directed at ALB</p>	<p>Consider whether <u>CMM 2005-03 03</u> is a potentially duplicative report if the annually submitted data is enough for this limit: <b>CMM 2005-03 02</b></p>
	<p><b>CMM 2006-04 04</b> Annual catches of MLS (bycatch), and annual numbers of vessels fishing for MLS S15S and their catch levels.</p>	<p>CMM 2006-04 04 data could be considered part of expected reporting requirements included in AR Pt 2 response for limit: <b>CMM 2006-04-01</b></p>
	<p><b>CMM 2015-02 04</b> Annual report of SP ALB by vessel by species</p>	<p>An annual summary of CMM 2015-02 04 data could be considered part of expected reporting requirements included in AR Pt 2 response for this limit: <b>CMM 2015-02 01</b></p>
	<p><b>CMM 2017-01 41</b> Monthly reporting of bigeye longline catches</p>	<p>An annual summary of CMM 2017-01 41 data could be considered part of expected reporting requirements included in AR Pt 2 response for this limit: <b>CMM 2017-01 39</b></p>
	<p><b>CMM 2017-08 04</b> reporting of baseline and recent fishing effort and catch for Pacific Bluefin</p>	<p>Applicable CMM 2017-08 04 data could be considered part of expected reporting requirements included in AR Pt 2 response for these limits: <b>CMM 2017-08 01 CMM 2017-08 02 CMM 2017-08 03</b></p>
	<p><b>CMM 2017-08 10</b> Annual report on measures for Pacific Bluefin, including monitoring of international trade</p>	<p>CMM 2017-08 10 applicable data and information could be considered part of expected reporting requirements included in AR Pt 2 response for this limit: <b>CMM 2017-08 03</b></p>
<p><i>REPORT: SUBMISSION IS REQUIRED IN AR PT1 (1)</i></p>	<p><b>CMM 2009-03 08</b> Report annually the number of vessels that fished for SWO and total catch of SWO</p>	<p>Applicable data could be considered part of expected reporting requirements included in AR Pt 2 response for these limits: <b>CMM 2009-03 01 CMM 2009-03 02</b> Also consider whether to decide that submission in AR Pt 1 is no longer required, and whether should remain classified as public domain data.</p>
<p><i>REPORT: SUBMISSION IS REQUIRED IN AR PT2 (1)</i></p>	<p><b>CMM 2010-01 08</b> Report on implementation including report against limits of the CMM</p>	<p>Applicable data and information could be considered part of expected reporting requirements included in AR Pt 2 response for this limit: <b>CMM 2010-01 05</b></p>

31. *Should additional reporting or revised formulations of quantitative limits be considered so that WCPFC has access to data to verify a CCMs implementation of a quantitative limit?* Presently, for some quantitative limits the primary mechanism used to verify a CCMs implementation of a quantitative limit, is the CCMs own report of implementation.

32. In some cases, the Commission has decided that dedicated submissions of additional detailed data are necessary to more fully support verifiable reviews of a limit. In these instances, and for practical reasons it would be preferable that such detailed reports continue to be submitted directly to WCPFC. In these instances, within Annual Report Part 2 all CCMs should not need to provide a YES/NO/not applicable response to the reporting requirement. However, CCMs to whom the limit applies would be expected to both meet the corresponding CMM reporting requirement and to declare a summary of their reporting submissions, as the report on the applicable limit to confirm that the applicable limit was or was not exceeded. For example, the annual report for CMM 2015-02 01 “limit” by relevant CCMs should include confirmation that the CMM 2015-02 04 data had either been submitted as part of *Scientific Data to be submitted to the Commission* submissions and/or directly to the Scientific Services Provider SPC-OFP, and declare the total number of vessels that actively fished for SP albacore in the relevant reporting year. Similarly the annual report for CMM 2017-01 39 “limit” by relevant CCMs should confirm that the CMM 2017-01 41 monthly bigeye longline reports were duly submitted and declare the total annual bigeye longline catches by its longline vessels in the relevant reporting year.

33. Table 2 (below on pgs 11 - 12) provides a summary of this year’s Annual Report Part 2 quantitative limit-related questions and includes notes on the present availability of data that can be used to verify a CCM’s report on implementation of a quantitative limit. There are presently eight quantitative limits where there are limited or no additional data presently available to WCPFC to verify the CCM’s report on their implementation against the limit. CCMs might consider whether additional reporting or revised formulations of quantitative limits should be considered so that WCPFC has more ready access to data that can be used to verify a CCMs implementation of a quantitative limit.

### Review of reporting related to the steps a CCM has taken to implement WCPFC obligations

34. The basis for regular reporting by a CCM on their implementation of measures can be found in Convention Article 23 subparagraph 2 (c) which states “Each member of the Commission shall: ... (c) provide to the Commission at such intervals as may be required information on steps taken to implement the conservation and management measures adopted by the Commission.” In addition, Convention Article 23 subparagraph 3 and 4 also specify that members shall keep the Commission informed of measures they have adopted for the conservation and management in areas under their national jurisdiction and for regulating the activities of fishing vessels flying its flag which fish in the Convention Area. Such information is to be circulated periodically to all members.

35. There are 107 obligations classified within the grouping of “Implementation” in this year’s Annual Report Part 2 report covering 2018 activities. The majority of these obligations stem from the various CMMs that the Commission has adopted, as well as the Convention requirements and other decisions of the Commission. A list of this year’s questions is provided in Table 3 (pg 14).

**Table 2. Summary of reporting requirements that are related to each CCM-level or collective quantitative limit and notes on the present availability of data for verifying the CCMs report on implementation against the limit**

Quantitative Limit	Brief Description {ARPt2 SeqNo}	Annual report expected in ARPt2?	Dedicated required report on implementation of a limit?	Does WCPFC routinely use other data to verify the report?	Notes
CMM 2005-03 02	CCMs take measures to ensure level of fishing effort by vessels fishing for North Pacific albacore tuna is not increased {Q-003(L)}	✓	CMM 2005-03 03 CMM 2005-03 04 SUBMISSION TO SECRETARIAT	✗	I. a.
CMM 2006-04 01	Limit number of fishing vessels fishing for striped marlin S 15 S, to 2000 – 2004. {Q-006(L)}	✓	CMM 2006-04 04 SUBMISSION TO SECRETARIAT	✗	I. a.
CMM 2009-03 01	Limit number of vessels fishing for Swordfish S20S to the number in any one year between 2000-2005 {Q-038(L)}	✓	CMM 2009-03 08 SUBMISSION REQUIRED IN ARPt1	✗	I. a.
CMM 2009-03 02	Limit the catch of Swordfish by its vessels in area S20S to the amount in any one year during 2000-2006 {Q-039(L)}	✓	CMM 2009-03 08 SUBMISSION REQUIRED IN ARPt1	✗	I. b.
CMM 2009-06 29	Limit on purse seine vessels transshipment outside of port to vessels that have received an exemption from the Commission. Where applicable, flag CCM authorisation should be vessel-specific and address any specific conditions identified by the Commission. {Q-047(L)}	✓	✗	✓	III(ii) e.
CMM 2009-06 34	Ban on high seas transshipment, unless a CCM has determined impracticability in accordance with para 37 guidelines, and has advised the Commission of such. {Q-048(L)}	✓	✗	✓	III(ii). e.
CMM 2010-01 05	Catch limits applicable to CCMs with vessels fishing in the Convention Area north of the equator: commencing 2011{Q-054(L)}	✓	CMM 2010-01 08 SUBMISSION REQUIRED IN ARPt2	✗	I. b.
CMM 2015-02 01	Limit on number of vessels actively fishing for SP ALB in area S 20 S above 2005 or 2000-2004 levels {Q-100(L)}	✓	CMM 2015-02 04 SUBMISSION TO SECRETARIAT And/or Operational data submitted to SPC-OFP	✓	III(i)
CMM 2017-01 25	Purse seine EEZ limits (for skipjack, yellowfin and bigeye tuna) and advice from other coastal CCMs of EEZ limits to be applied{Q-108(L)}	✓	✗	✓	III(i)
CMM 2017-01 26	High seas purse seine effort limits applying 20N to 20S{Q-109(L)} includes CMM 2017-01 Att 2 10 Effort limit for PH flag vessels operating in the HSP-1 {Q-114(L)}	✓	✗	✓	III(i) III(ii)b. d.
CMM 2017-01 39	Bigeye longline catch limits for 2018 and adjustment to be made for any overage{Q-121(L)}	✓	CMM 2017-01 41 (monthly report) SUBMISSION TO SECRETARIAT	✓	III(i)

Quantitative Limit	Brief Description {ARPt2 SeqNo}	Annual report expected in ARPt2?	Dedicated required report on implementation of a limit?	Does WCPFC routinely use other data to verify the report?	Notes
CMM 2017-01 43	Bigeye longline catch limits by flag for certain other members which caught less than 2000t in 2004 {Q-122(L)}	✓	x	✓	III(i)
CMM 2017-01 45	Limit by flag on number of purse seine vessels >24m with freezing capacity between 20N and 20S{Q-123(L)}	✓	x	∅	II. c.
CMM 2017-01 47	Limit by flag on number of longline vessels with freezing capacity targetting bigeye above the current level (applying domestic quotas are exempt) {Q-125(L)}	✓	x	∅	II. c.
CMM 2017-01 48	Limit by flag on number of ice-chilled longline vessels targetting bigeye and landing exclusively fresh fish above the current level or above the number of current licenses under established limited entry programmes (applying domestic quotas are exempt) {Q-126(L)}	✓	x	∅	II. c.
CMM 2017-01 51	Limit on total catch of certain other commercial tuna fisheries (that take >2000Mt of BET, YFT and SKJ) {Q-126(L)}	✓	x	✓	II.
CMM 2017-08 02 (1)	Total effort by vessels for Pacific Bluefin limited to 02-04 level N20N {Q-157(L)}	✓	CMM 2017-08 04 SUBMISSION TO SECRETARIAT	x	I. a.
CMM 2017-08 02 (2)	Catches of Pacific bluefin tuna less than 30kg shall be reduced to 50% of 2002-04 level. Overage to be deducted from following year {Q-158(L)}	✓	CMM 2017-08 04 SUBMISSION TO SECRETARIAT	x	I.
CMM 2017-08 03	Every possible measure to be taken not to increase catches of BFT >30kg from 2002-04 levels{Q-159(L)}	✓	CMM 2017-08 04 & 10 SUBMISSION TO SECRETARIAT	x	I.

**Tier score related to the present availability of data that can be used to verify the CCMs report on implementation against the limit**

- I. Limited or no data is presently available to WCPFC to verify the report on implementation against the limit
- II. Partial data is available to WCPFC to verify the report on implementation against the limit
- III(i). Operational data held by **SPC-OFP** and that is regularly compiled into a report for **WCPFC**, is used to verify the report on implementation against the limit
- III(ii). Some data based on WCPFC held records is available to verify the report on implementation against the limit

**Notes on any data/information needs and reporting formats for verifying the report**

- a. The limit refers to “vessel fishing for”, so there are limitations on use of operational data or VMS data as a verification tool
- b. Operational level catch and effort data held by **SPC-OFP** could be compiled into a report for **WCPFC** as additional verification of the CCMs report
- c. Partial information from **WCPFC records**, specifically RFV records, but there are limitations on their use as verification of the CCMs report
- d. Some information from **WCPFC records**, specifically CMM 2017-01 Att 2 03 entry and exit reports and high seas VMS records
- e. Some information from **WCPFC records**, specifically RFV & high seas transshipment reports



36. Currently the Annual Report Part 2 formulation for implementation-type questions expects each CCM to whom an obligation applies to provide an annual statement of YES/NO/not applicable and also to provide additional information / details that confirms the adoption, in accordance with its own national policies and procedures, of binding measures that implement the obligation and its actions to monitor and ensure compliance (CMM 2018-07 paragraph 7).

37. *How could reporting on implementation-type reporting requirements be streamlined?* For the 2018 reporting year, a total of 88 of 107 obligations that expect “implementation” by CCMs also applied in prior years. For these types of obligations, it is expected that once a CCM has adopted binding measures to implement an obligation, these measures and their systems to monitor and ensure compliance of their vessels with these obligations, will rarely change from year to year. With a view to assist CCMs in preparing their annual report each year, some CCMs have previously proposed that the Secretariat consider auto-populating each CCMs Annual Report Part 2 with the previous year answers. However, this approach still contains the potential for duplicative information being provided.

38. An alternative suggestion, that might both assist CCMs and make the reporting requirements more manageable overall, could be for the Secretariat to be tasked to “hold on file” and publish online a combined report that provides all CCMs responses to implementation-type obligations that applied in prior years. The Secretariat should also provide CCMs with an online IT tool to facilitate the submission of updates to their report on obligations that applied in prior years. In respect of annual reporting on implementation obligations, each CCM could be asked to provide annually to the WCPFC, via the WCPFC online Annual Reporting system:

- i. Confirmation that their report on obligations that applied in prior years had been checked, and as appropriate edits and updates were made to their statement of implementation of prior years. For example, each CCM would be expected to provide an answer of YES/NO/not applicable to the following question: “CCM confirms that it has checked, and has as needed updated their statement of implementation of prior year obligations, and confirms that the information therein is current for this reporting year?”; and
- ii. A reduced list of implementation-type obligations would be included in Annual Report Part 2 that are only the new obligations that applied for the first time in the relevant reporting year. Reporting by each CCM would be unchanged. Each CCM to whom a new obligation applies would be expected to provide a confirmation of YES/NO/not applicable and additional information / details that confirms the adoption, in accordance with its own national policies and procedures, of binding measures that implement the obligation and its actions to monitor and ensure compliance.

39. The [Rules and Procedures for the Protection, Access to and Dissemination of Data compiled by the Commission](#) classify the entire Annual Report Part 2 as “Non-public domain data”, although the Commission recently took a decision for part of Annual Report Part 2 to be reclassified as public domain data, specifically the Annual Report from all CCMs against CMM 2013-07 obligations.<sup>4</sup> CCMs might consider whether the new “combined report providing all CCMs responses to implementation-type obligations that applied in prior years” should remain classified as non-public domain data. Table 3 (next page) provides a summary of this year’s Annual Report Part 2 implementation-type questions and includes notation on how the above suggested streamlined approach may be applied.

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<sup>4</sup> WCPFC15 Summary Report paragraph 156

**Table 3. List of reporting requirements that are related to the steps a CCM has taken to implement obligations in CMMs or the Convention and the associated sub-category in AR Pt2 (RY2018), with notes on potential opportunities to streamline future annual reporting.**

Sub-category	Obligations in AR Pt2 (2019)		Notes on options to streamline
<p><i>NEW OBLIGATIONS INTRODUCED IN 2018</i> <b>(19)</b></p>	<p>CMM 2017-01 17 CMM 2017-01 23 CMM 2017-02 05 CMM 2017-02 08 CMM 2017-02 09-10 CMM 2017-02 13-14 CMM 2017-02 15 CMM 2017-02 17 CMM 2017-02 19 CMM 2017-02 21</p>	<p>CMM 2017-02 26 CMM 2017-03 03-06 CMM 2017-03 07-08 CMM 2017-03 09 CMM 2017-03 10 CMM 2017-03 11 CMM 2017-03 12 CMM 2017-06 01 CMM 2017-06 02</p>	<p>CCM will continue to provide for each new obligation a statement of implementation that confirms adoption, in accordance with its own national policies and procedures, of binding measures that implement the obligation and its actions to monitor and ensure compliance with the new obligations.</p>
<p><i>OTHER OBLIGATIONS THAT ALSO APPLIED PRE-2018</i> <b>(12)</b></p>	<p>CMM 2006-08 30 CMM 2006-08 32 CMM 2007-01 13 CMM 2007-01 Att K Anx C 04 CMM 2008-03 01 CMM 2010-06 22</p>	<p>CMM 2013-06 01 CMM 2014-02 9a VMS SSPs 7.2.4 CMM 2014-02 9a VMS SSPs 7.2.5 CMM 2016-05 02 CMM 2016-05 03 CMM 2017-05 18</p>	<p>Secretariat could “hold on file” a copy of each CCMs previous statements of implementation for all prior year obligations that continue to apply in the current reporting year (eg for RY2018 there would be a list of <b>88 obligations</b>).</p>
<p><i>OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs</i> <b>(76)</b></p>	<p>CMM 2004-03 02 CMM 2004-03 03 CMM 2006-08 07 CMM 2006-08 33 and 36 CMM 2007-01 07 CMM 2007-01 08 CMM 2007-01 09 CMM 2007-01 10 CMM 2007-01 14 (vii) CMM 2007-01 Att K Anx C 06 CMM 2007-01 Att K Anx C 08 CMM 2008-03 04 CMM 2008-03 05a CMM 2008-03 05b CMM 2008-03 06 CMM 2008-03 07a CMM 2008-03 07c CMM 2008-03 07d CMM 2008-04 02 CMM 2009-02 03-07 CMM 2009-02 08-13 CMM 2009-02 12 CMM 2009-03 03 CMM 2009-05 01 CMM 2009-05 03 CMM 2009-05 05 CMM 2009-06 13 CMM 2010-07 06 CMM 2010-07 09 CMM 2010-07 10 CMM 2011-03 01 CMM 2011-03 02 CMM 2011-03 03 CMM 2011-04 01 CMM 2011-04 02 CMM 2012-03 02 CMM 2012-04 01 CMM 2012-04 04</p>	<p>CMM 2013-05 01 CMM 2013-05 02 CMM 2013-05 03 CMM 2013-05 04 CMM 2013-08 01 CMM 2013-08 02 CMM 2014-02 04 CMM 2014-02 9a CMM 2014-02 9a VMSSSPs 2.8 CMM 2014-02 9a VMSSSPs 5.4-5.5 CMM 2014-03 02 CMM 2014-05 01 CMM 2014-05 02 CMM 2017-01 04 CMM 2017-01 16 CMM 2017-01 Att 2 03 CMM 2017-01 Att 2 04 CMM 2017-01 Att 2 05-06 CMM 2017-01 Att 2 08 CMM 2017-01 27 CMM 2017-01 31 CMM 2017-01 33 CMM 2017-01 34 CMM 2017-01 35 CMM 2017-05 02 CMM 2017-05 03 CMM 2017-05 04 CMM 2017-05 07 CMM 2017-05 17 CMM 2017-08 05 CMM 2017-08 06 CMM 2017-08 07 CMM 2017-08 09 Convention Article 22 (4) Convention Article 23 (3) Convention Article 23 (3) Convention Article 24 (3)</p>	<p>Secretariat is tasked to include an online IT tool facility to allow each CCM to make updates to their statements of implementation for any or all prior year obligations.</p> <p>Within each future Annual Report Part 2 each CCM would instead be asked to complete <u>one question</u> related to obligations in this reporting year that also applied in prior years:  “CCM confirms that it has checked, and has as needed updated their statement of implementation of prior year obligations, and confirms that the information therein is current for this reporting year?”  <u>YES/NO/not applicable</u></p> <p>Secretariat is tasked to publish a collation of all CCMs statements of implementation for all prior year obligations that are applicable to the current reporting year – could also consider whether this should remain as non-public domain data</p>

## Review of reporting related to scientific data submissions

40. There is a clear basis for regular reporting of certain specified data and information by a member to the Commission in the Convention. Article 23 subparagraph 2 states: “Each member of the Commission shall: ... (a) provide annually to the Commission statistical, biological and other data and information in accordance with Annex I of the (UN Fish Stocks) Agreement and, in addition, such data and information as the Commission may require; (b) provide to the Commission in the manner and at such intervals as may be required by the Commission, information concerning its fishing activities in the Convention Area, including fishing areas and fishing vessels in order to facilitate the compilation of reliable catch and effort statistics.

41. The primary reference document that specifying the data to be submitted to the Commission annually is the [“Scientific Data to be Provided”](#) decision. The first version was adopted in 2006, and there have been some revisions since, for example to include key shark species in annual reporting requirements. More recently and recognizing that some major flag CCMs continue to have domestic legal constraints preventing them from submitting operational level catch and effort data to the Commission, recent versions of the Tropical Tuna conservation and management measures (eg CMM 2017-01) have included some language related to scientific data submissions to the Commission.

42. There were eight (8) obligations classified within the broad category of “Report: Scientific Data Submission” in this year’s Annual Report Part 2 report covering 2018 activities. Currently the Annual Report Part 2 expects each CCM to provide in respect of each report-type question an annual statement of **YES/NO/not applicable**. In addition, the Annual Report Part 1 template specifies that each CCMs submission should also contain certain catch and effort data in tabular form. The [Rules and Procedures for the Protection, Access to and Dissemination of Data compiled by the Commission](#) classify the entire Annual Report Part 1 as “Public domain data”.

43. In the FFA delegation paper that was submitted to WCPFC14 (2017), FFA reiterated as follows: “the essential scientific information already provided earlier in the year must be recognized as meeting the obligation to provide such data. In addition, there must be improved access to data already made available to the Commission. Submitting duplicative information is unnecessary, as well as burdensome on small SIDS administrations.” (*FFA Members views on Duplicative Reporting WCPFC14-2017-DP29* 6 December 2017)

Additionally, FFA with input from SPC provided an initial analysis of Annual Report Part 1 and provided some suggestions on how Annual Report Part 1 could be streamlined. An excerpt of the suggestions is provided in Box 1 (next page). The proposal was that the key Annual Catch and Effort (ACE) tables that each CCM was asked to include in Annual Report Part 1 that are based on Scientific data submissions (Essential Annual Fisheries Information Tables I – IV and Tabular Annual Fisheries Information Tables 1-5 and Figures 1-3) could instead be presented to the Scientific Committee by the WCPFC Scientific Services Provider (SPC-OFP).

**Box 1. Proposal by FFA, with input from SPC, that the following tables in Annual Report Part 1 will be not be expected to be submitted by CCMs, and that they would instead be presented to the SC by the WCPFC Scientific Services provider (EXCERPT FROM FFA Members views on Duplicative Reporting WCPFC14-2017-DP29 6 December 2017)**

Essential information		
I	Annual catch and effort by primary species and gear in the WCPFC Convention Area	Previous calendar year (x-1) and previous 4 years (x-2 to x-5)
II	Number of vessels by gear type and size (fleet structure)	Previous calendar year (x-1) and previous 4 years (x-2 to x-5)
III	Fishing patterns (catch by time/area)	Previous year; comparisons with earlier years
IV	Estimated total catches of non-target, associated and dependent species (if available)	Previous calendar year; other years if available

**Tabular Annual Fisheries Information - [national fleet], for years [x-5] to [x-1]**

The following table shows the suggested captions for the tables and figures required in Annual Report Part 1 covering Annual Fisheries Information.

Suggested Table / Figure caption	
Table 1.	Annual catch and effort estimates for the <b>[National fleet]</b> , by gear and primary species, for the WCPFC Convention Area and [other broad ocean area], for years [x5] to [x-1].
Figure 1	Historical annual catch for the <b>[National fleet]</b> , by gear and primary species, for the WCPFC Convention Area presented as a line graph.
Figure 2	Historical annual vessel numbers for the <b>[National fleet]</b> , by gear for the WCPFC Convention Area presented as a line graph
Table 2.	Number of <b>[National fleet]</b> vessels, by gear and size category, active in the WCPFC Convention Area, for years [x-5] to [x-1].
Figure 3	Annual distribution of target species catch and effort by the <b>[National fleet]</b> active in the WCPFC Convention Area, for years [x-5] to [x-1].
Table 3.	Observed annual estimated catches of species of special interest (seabird, turtle and marine mammals) by gear for the <b>[National fleet]</b> , in the WCPFC Convention Area, for years [x-5] to [x-1] to the extent available.
Table 4.	Annual estimated catches of non-target, associated and dependent species, including sharks, by the <b>[National fleet]</b> , by gear and species, in the WCPFC Convention Area, for years [x-5] to [x-1] to the extent available.
Table 5.	Estimated annual coverage of operational catch/ effort, port sampling and observer data for the <b>[National fleet]</b> , by gear, active in the WCPFC Convention Area, by gear, for years [x-5] to [x-1].

**Notes**

1. The **[national fleet]** data must be broken down by gear. Gear includes, but is not limited to, longline, purse seine, pole-and-line, troll, handline, ringnet and driftnet, where relevant. Tables and Figures can be labelled with (a), (b), (c), etc. for each gear.
2. The “primary species” catch covered in Table 1 should include, but not limited to, the following species, by gear type:

<b>longline</b>	albacore, yellowfin, bigeye, pacific bluefin and skipjack tuna. black, blue and striped marlin. swordfish
<b>purse seine</b>	skipjack, yellowfin, bigeye, albacore and pacific bluefin tuna
<b>pole-and-line</b>	skipjack, yellowfin, bigeye, albacore and pacific bluefin tuna
<b>troll</b>	albacore, skipjack, yellowfin, bigeye and pacific bluefin tuna
<b>handline</b>	yellowfin, bigeye, skipjack and albacore tuna
<b>[others]</b>	albacore, skipjack, yellowfin and bigeye tuna

3. The catch estimates in Table 1 should be broken down by WCPFC Convention Area, depending on species, according to the section on “Annual Catch Estimates” contained in the document “Scientific Data to be provided to the Commission”. 4. The vessel size classes by gear to be reported in Table 2 are defined in the section on “Number of vessels active” contained in the document “Scientific Data to be provided to the Commission”. 5. Table 4 should cover all species not included in Tables 1 and 3.

44. SPC-OFP has advised the Secretariat that most annual catch estimates submitted in the April 30 scientific data submissions are provisional estimates. To obtain the final catch estimates, SPC-OFP staff cross-check certain CCMs Annual Report Part 1 submissions to determine if any annual catch estimates listed there, differ from the provisional estimates that were submitted by April 30. So, in further considering the above proposal, it would seem useful to ensure that SPC-OFP continues to have a process for receiving advice from relevant CCMs in advance of the Scientific Committee meeting on their finalized previous year/s annual catch estimates. One option could be for the Secretariat to collaborate with SPC-OFP to “publish” to the WCPFC website a provisional set of ACE tables based on the April 30 Scientific Data to be Provided submissions. Each CCM would then be notified that the provisional version of the ACE tables had been published on the WCPFC website, and CCMs can liaise with WCPFC/SPC as required.

45. SPC-OFP has also advised that in practice some provisional annual catch estimates are needed during June as input to their preparations of Scientific Committee papers. So, in further considering this point, a latest version of ACE tables may need to be published in late June to the WCPFC website that is consistent with data used in SPC papers to Scientific Committee. Noting that not all CCMs are able to finalise their annual catch estimates by June, CCMs could continue to liaise with WCPFC/SPC as required. Prior to the Technical and Compliance Committee, SPC-OFP could reissue to the WCPFC website the latest version of the ACE tables that considers any updates, including additional advice of annual catch estimates from relevant CCMs. A draft possible annual timeline for the publishing and feedback on the ACE tables based on these suggestions is set out in Box 2 (below).

**Box 2. Possible annual timeline for generation of proposed summary tables Annual Catch and Effort (ACE) tables that each CCM was asked to include in Annual Report Part 1 that are based on Scientific data submissions (Essential Annual Fisheries Information Tables I – IV and Tabular Annual Fisheries Information Tables 1-5 and Figures 1-3)**

Key Dates	Action
30 April	Deadline for “Scientific Data to be Provided to the Commission” submissions
15 May	SPC publishes provisional ACE tables on WCPFC website. [Annual catch estimates (ACE) by gear and flag for most recent 5 years]
<i>(16 May – 20 June)</i>	<i>(CCMs can review published ACE tables and provide revisions to WCPFC/SPC as required)</i>
22 June	SPC publishes latest version of ACE tables on WCPFC website in preparation for Scientific Committee. [Tables are consistent with data used in SC papers].
<i>(23 June – 15 Sept)</i>	<i>(CCMs continue to review published ACE tables and provide revisions to WCPFC/SPC as required)</i>
20 Sept	SPC publishes latest version of ACE tables on WCPFC website in preparation for Technical and Compliance Committee.
<i>(21 Sept – 20 Oct)</i>	<i>(CCMs continue to review published ACE tables and provide revisions to WCPFC/SPC as required)</i>
November	SPC publishes WCPFC Tuna Fishery Yearbook on WCPFC website [Final estimates of ACE tables in preparation for regular session of the WCPFC] [Annual catch estimates are by gear and flag for ALL years]

46. *How could annual reporting related to scientific data submissions be streamlined?* The FFA proposal is intended to both reduce duplicative submissions of scientific data provided to the Commission by all CCMs and improve access to data that is already made available to the

Commission. CCMs might consider this proposal from FFA to streamline Annual Report Part 1 (Box 1) together with the additional processes proposed above, as a way of also ensuring that SPC-OFP as the Scientific Services Provider receives updated advice on final annual catch and effort estimates needed for their Scientific Committee paper preparations. The additional processes, possibly based on the suggested steps and timeframes in Box 2, should provide CCMs with time to liaise with WCPFC/SPC on the finalization of the ACE tables.

47. Within Annual Report Part 2 all CCMs should also not be asked to provide a YES/NO/not applicable response on whether the Scientific Data submissions had been made by individual paragraph were submitted. Instead, CCMs would be asked in their Annual Report to confirm that they have reviewed the scientific data submission summary tables and have liaised with the SPC-OFP to resolve any issues or differences, particularly for the current reporting year.

48. Table 4 (below) provides the list of reporting in Annual Report Part 1 and Part 2 that relate to Scientific Data submissions. The table also includes notation on how the above suggested streamlined approach may be applied to reporting related to submission of scientific data.

**Table 4. List of reporting related to Scientific Data submissions and notes on where there could be opportunities to streamline future annual reporting.**

Sub-category	Obligations in AR Pt2 (2019)	Notes on options to streamline
ANNUAL REPORT PT2 REPORT: SCIENTIFIC DATA SUBMISSION (8)	CMM 2017-01 52 CMM 2017-01 54	<b>Relevant CCMs will continue to be asked to confirm that they have duly submitted the completed data to WCPFC/SPC</b>
ANNUAL REPORT PART 1: SCIENTIFIC DATA SUMMARY TABLES	SciData 01 – 05 SciData 07  Essential Annual Fisheries Information: Tables I – IV  Tabular Annual Fisheries Information: Tables 1-5 and Figures 1-3	<p><b>The Secretariat could be tasked to collaborate with SPC-OFP, to publish on the WCPFC website, based on April 30 scientific data submissions Annual Catch and Effort tables that are based on Scientific data submissions from Annual Report Part 1 (Essential Annual Fisheries Information Tables I – IV and Tabular Annual Fisheries Information Tables 1-5 and Figures 1-3)</b></p> <p>A suggested process and timeline for the publishing of these tables is provided in <b>Box 2</b></p> <p><b>CCMs would then be asked in their Annual Report to confirm:</b></p> <ol style="list-style-type: none"> <li><b>1. that they have duly submitted the completed data to the Secretariat/SPC-OFP by April 30?</b></li> <li><b>2. that they have reviewed the scientific data submission summary tables and where applicable have liaised with the SPC-OFP to resolve any issues or differences, particularly for the current reporting year.</b></li> </ol> <p><b>Prior to the Scientific Committee, and where necessary prior to the Technical and Compliance Committee, SPC-OFP could reissue to the WCPFC website a more final version of the tables for reference of SC and TCC participants</b></p>



## Review of other WCPFC annual reporting requirements

49. This section considers the remaining required reports for CCMs to submit certain additional data and/or information each year as part of their annual reports to the Commission. It has been established in previous sections that Article 23 subparagraph 2 provides a clear basis for regular reporting of scientific data, information on fishing activities and information on steps taken to implement conservation and management measures adopted by the Commission. Convention Article 25 (8) states “Each member shall transmit to the Commission an annual statement of compliance measures, including imposition of sanctions for any violations it has taken in accordance with this (Article 25) article.” In addition, Convention Article 23 subparagraph 3 and 4 also specifies that members shall keep the Commission informed of measures they have adopted for the conservation and management in areas under their national jurisdiction and for regulating the activities of fishing vessels flying its flag which fish in the Convention Area. Such information is to be circulated periodically to members.

50. There were 48 obligations classified within the broad category of “Report” in this year’s Annual Report Part 2 report covering 2018 activities, that have not otherwise been reviewed (a further seventeen (17) report-type obligations were reviewed in prior sections related to reporting on implementation of quantitative limits and scientific data provision so are not covered in this section). A list of this year’s Annual Report Part 2 remaining report-type questions is provided in Table 5 (pgs 26-27). Most of the report-type obligations stem from the various CMMs that the Commission has adopted and Convention requirements. The Commission has also taken decisions that are recorded in the WCPFC Annual Meeting Summary Reports, that relevant CCMs will annually submit certain additional data or information in Annual Report Part 1 or Part 2. Often the intent of such decisions by the Commission is to clarify and enhance the flow of data and information to the Secretariat in support of their reviews of implementation of certain obligations eg WCPFC11 decision on the template for ROP observer coverage achieved annual for longline fisheries. To assist CCMs with keeping track of the various reporting requirements, the Secretariat regularly updates the [Suggested Checklist for Reporting Requirements](#) document, includes guideline notes in Annual Report Part 2 template and recently has also integrated key date reminders into the WCPFC website meeting calendar.

51. This year’s Annual Report Part 2 includes four sub-categories to distinguish how the required report is expected to be submitted to the Commission. Two sub-categories contain the list of reporting obligations where it seems that some specific data or information is expected to be submitted as part of Annual Report Part 2:

- *REPORT: SUBMISSION IS REQUIRED IN AR PT2 (24)*
- *REPORT: CMM 2013-07 SPECIAL REQUIREMENTS OF SIDS + T (7)*

The other two sub-categories provide a list of reporting obligations where some specific data or information is expected to be submitted to the Secretariat, directly into the appropriate online system, or in Annual Report Part 1. In Annual Report Part 2, applicable CCMs are expected to provide a statement confirming that the required report was submitted through Annual Report Part 1 or using the appropriate online system:

- *REPORT: SUBMISSION IS REQUIRED IN AR PT1 (7)*
- *REPORT: SUBMISSION TO SECRETARIAT (13)*

The main difference between a reports inclusion in Annual Report Part 1 versus Annual Report Part 2 usually relates to whether the report is intended to be “public domain” or “non-public domain data.



This is because the [Rules and Procedures for the Protection, Access to and Dissemination of Data compiled by the Commission](#) generally classifies Annual Report Part 1 as “public domain data” and Annual Report Part 2 as “Non-public domain data”. Irrespective of the category currently each CCM is expected to include in Annual Report Part 2 in respect of each report-type question an annual statement of YES/NO/not applicable, and where applicable to also submit all mandatory information or data required, in the agreed format (CMM 2018-07 Annex I).

52. The following analysis considers the potential opportunities to streamline “other annual required reports by the following groups: CMM 2013-07 implementation; Species of Special Interest reporting; and MCS/Compliance related reporting : A list of this year’s Annual Report Part 2 questions, notes on how the report is to be submitted to the Commission, and the subject grouping where each required report is considered are shown in Table 5 (pgs 26-27). A list of current annual reporting requirements is identified where the intent is unclear and where removal from future Annual Reports could be considered.

Annual Reporting related to Implementation of CMM on Special Requirements of Small Island Developing States and Territories (CMM 2013-07 19)

53. Currently the Annual Report Part 2 includes seven questions consisting of the CMM 2013-07 paragraph 19 reporting requirement, and the six categories of special requirements of small island developing States and Territories. The requirement for CCMs to provide a report under each of the six categories was decided by the Commission at WCPFC11 and was first implemented in 2015 (WCPFC11 Summary Report paragraph 198). The decision was taken with a view to facilitate the analysis of the types of assistance provided by developed CCMs to implement CMM 2013-07 across the breadth of special requirements set out in CMM 2013-07 and to highlight any gaps in such assistance. At the most recent regular annual session (December 2018), the Commission furthermore agreed to make the reports against implementation of CMM 2013-07 (or its successor) contained in Part 2 Annual Reports public domain data and for the Secretariat to include past and future reports on the Implementation of Article 30 webpage on the WCPFC website (WCPFC15 Summary Report paragraph 156). This has been implemented and can be found at the bottom of the page at this link: <https://www.wcpfc.int/implementation-article-30-convention>.

54. *How could reporting of CMM 2013-07 19 required reports be streamlined?* The only change suggested is for CCMs to consider if the *CMM 2013-07 paragraph 19* question itself can be omitted as an individual report question, noting that the *CMM 2013-07 paragraph 19* report is now made up of the six questions that each reflect parts of this report (see Table 5 on pgs 26-27).

Annual Reporting related to Implementation of CMMs intended to minimize impacts of fishing on species of special interest, including non-target species

55. Several CMMs intended to mitigate or minimize impacts of fishing on species of special interest, also include some form of additional reporting requirements. The ten (10) additional reporting requirements may be grouped into two categories as follows:

- \* relevant flag CCMs are required to submit in Annual Report Part 1 additional data and/or summary tables of annual estimates of discards/releases and/or captures related to certain species of special interest; and

- \* relevant flag CCMs are required to report in Annual Report Part 2 additional details or information related to their annual implementation of required mitigation measures for their vessels and/or about any compatible measures that may have been implemented.

A list of the relevant Annual Report Part 2 questions is provided in Table 5 (pgs 26-27).

56. The five (5) Annual Report Part 1-related reporting requirements include seabird bycatch rates (CMM 2018-03 13), annual estimates of releases of oceanic white-tip and silky sharks (CMM 2011-04 03 and CMM 2013-08 03), and reporting on unintentional encircling in purse seine nets of cetaceans or whale sharks (CMM 2011-03 05 and CMM 2012-04 06), and species-specific data related to life status for each release. Currently there are data related to these species of special interest, as well as others, that are being collected through the Regional Observer Programme (ROP). However, there are some limitations because although there is a requirement for 100% observer coverage in the tropical purse seine fishery, in all other fleets within the Convention Area the required minimum level of ROP observer coverage is an annual minimum of 5% ROP coverage rate.<sup>5</sup> There are also requirements for purse seine vessel operators to report data to their flag CCM on encircling events involving whale sharks or cetaceans.

57. The intent of including additional Annual Report Part 1 reporting on species of special interest was to ensure a flow of data and information that would inform broader reviews of the impacts of fishing on the relevant species of special interest and to provide data on the efficacy of required mitigation measures or actions. The inclusion of these required reports in Annual Report Part 1 also means the information reported by CCMs is in a publicly available report that is tabled annually at the Scientific Committee and that is also able to be referenced at subsequent WCPFC meetings.

58. FFA members have expressed that “as far as possible, data provision should be contained in a single source/reference document, that is the *Scientific Data to be Provided to the Commission* decision.” FFA members have also urged that if there was a need for specific additional information to support new or existing conservation and management measures, these should be added to the *Scientific Data to be Provided to the Commission* decision, rather than include this requirement in a Conservation and Management Measure. The *Scientific Data to be Provided to the Commission* decision currently only requires annual catch estimates, annual discard/release estimates, and operational level catch and effort reporting of oceanic white-tip shark, silky shark and whale sharks.

59. During 2019, a Shark Intersessional Working Group was tasked to prepare a draft Conservation and Management Measure that consolidates the various Shark measures into a single proposed CMM. The outcome from WCPFC15 was to task TCC15 to consider the outputs of the IWG. In February 2019, the Chair of the Sharks Intersessional Working Group circulated the latest draft CMM proposal that reflected the discussions in the margins of WCPFC15 (WCPFC Circular 2019/03) and an updated draft CMM proposal has been tabled at TCC15 (WCPFC-TCC15-2019-15\_rev1). The outcomes of the IWG to date, although not agreed are nonetheless useful to inform the current review of these reporting requirements. The latest draft CMM proposal includes two report requirements, and relevant excerpts are reproduced in Box 3 (below).

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<sup>5</sup> For example, see Figures 2 -4 in WCPFC-TCC15-2019-IP04\_rev1 *Status of Observer Data Management* (pages 11-13)

**Box 3. Excerpts from draft CMM for Sharks for 2019 discussion circulated by Chair of SWG on Shark CMMs (WCPFC Circular 2019/03 1 February 2019)**

**VII. Reporting requirements**

20. Each CCM shall submit data on the WCPFC Key Shark Species<sup>6</sup> for Data Provision in accordance with Scientific Data to be Provided to the Commission (WCPFC Key Document Data-01).
21. CCMs shall advise the Commission (in Part 2 of their Annual Report) on implementation of this CMM in accordance with Annex 2.

**Annex 2: Template for reporting implementation of this CMM**

Each CCM shall include the following information in Part 2 of its annual report:

1. Description of alternative measures in para 5, if applicable
2. Results of their assessment of the need for a National Plan of Action and/or the status of their National Plans of Action for the Conservation and Management of Sharks
3. Details of National Plan of Action, as appropriate, for implementation of IPOA Sharks in para 6 that includes:
  - (i) details of NPOA objectives; and
  - (ii) species and fleet covered by NPOA as well as catches thereby
  - (iii) measures to minimize waste and discards from shark catches and encourage the live release of incidental catches of sharks;
  - (vi) work plan and a review process for NPOA implementation
4. Annual retained and discarded catches of the key shark species
- [5. With respect to para 8 (Alt 2):
  - (1) Whether sharks or shark parts are retained on board their flag vessels, and if so, how they are handled and stored
  - (2) In case that CCMs retain sharks and choose to apply a requirement for fins to be naturally attached to carcasses
    - Their monitoring and enforcement systems relating to this requirement
  - (3) In case that CCMs retain sharks and choose to apply measures other than a requirement for fins to be naturally attached to carcasses
    - Their monitoring and enforcement systems relating to this requirement
    - A detailed explanation of why the fleet has adopted its fin-handling practice;]
6. The management plan in para 13 that includes:
  - (1) specific authorizations to fish such as a license and a TAC or other measure to limit the catch of shark to acceptable levels;
  - (2) measures to avoid or reduce catch and maximize live release of species whose retention is prohibited by the Commission;
7. A report on sampling programs for oceanic whitetip sharks and silky shark as a CCM project as referred to in para 17.(4)
8. Estimated number of releases of oceanic whitetip shark and silky shark caught in the Convention Area, including the status upon release (dead or alive), through data collected from observer programs and other means.
9. Description of compatible measures as referred to in para 18.(4)
10. Any instances in which whale sharks have been encircled by purse seine nets of their flagged vessels, including the details required under para 18.(5)(b).

60. The first reporting requirement in the Shark-IWG draft CMM reaffirms the present reporting requirement that CCMs submit annual catch estimates, annual discard/release estimates, and operational level catch and effort data on key shark species in accordance with *Scientific Data to be*

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<sup>6</sup> The WCPFC Key Shark Species for Data Provision are designated per the Process for Designating WCPFC Key Shark Species for Data Provision and Assessment (WCPFC Key Document SC-08) and are listed in Scientific Data to be Provided to the Commission (WCPFC Key Document Data-01).

*Provided to the Commission* decision. The second set of reporting requirement is an Annex containing ten items that are to be reported annually by each CCM in Annual Report Part 2. Three of the items (4, 8 and 10) are the additional shark-related reporting requirements that are presently in Annual Report Part 1, related to annual estimates of releases of oceanic white-tip and silky sharks, and reporting on unintentional encircling in purse seine nets of whale sharks, and details related to life status for each release of these shark species. The Shark-IWG draft CMM proposes that these reports would be submitted in Annual Report Part 2 as part of a report on implementation of the CMM, and this may also suggest the intention to reclassify such reports as “non-public domain data”. The remaining seven items in the Shark-IWG draft CMM (1, 2, 3, 5, 6, 7 and 9) are some new additional reporting requirements and some modifications of the three shark-related additional reporting requirements that are presently required in Annual Report Part 2.

61. *How could reporting of annually required additional data and/or summary tables of annual estimates of discards/releases and/or captures related to certain species of special interest be streamlined?* In reflecting on the outcomes from the Shark IWG to date and considering FFA members views on duplicative reporting, there appear to be two options for streamlining the each of the five (5) Annual Report Part 1-related additional reporting requirements related to species of special interest (CMM 2018-03 13, CMM 2011-03 05, CMM 2011-04 03, CMM 2012-04 06 and CMM 2013-08 03):

Option 1: Amend *Scientific Data to be Provided to the Commission* to include these reporting requirements and have the Commission agreed that these required reports would be fulfilled through Scientific data submissions. In this case, the annual reporting would instead be completed as part of the annual reporting related to scientific data submissions (pgs 15-18).

Option 2: All CCMs will continue to be asked to confirm in Annual Report Part 2 (YES/NO/not applicable) that they have duly submitted the report, and where applicable using the required template. In this case, because they are reports related to implementation there is also an outstanding question about whether these reporting requirements should instead be reported in Annual Report Part 2? If so, there is also a further question of whether the report should remain classified as public domain data or be reclassified as non-public domain data.

62. *How could reporting of additional details or information related to their annual implementation of required mitigation measures for their vessels and/or about any compatible measures that may have been implemented related to species of special interest be streamlined?* The five (5) Annual Report Part 2-related reporting requirements for species of special interest include information that relates to implementation of FAO Guidelines or International Plans of Action (CMM 2008-03 02), annual advice on the choice of measures implemented (CMM 2018-03 08) or if alternative measures were implemented (CMM 2010-07 12 and CMM 2012-04 03) and updates on a CCMs implementation of CMM 2010-07 07. Also recalling that the earlier suggestion that the Secretariat could “hold on file” a copy of each CCMs previous statements of implementation for all prior year obligations that continue to apply in the current reporting year, because CCMs binding measures and systems to monitor and ensure compliance rarely change (refer pgs 13 – 14).

63. It is suggested that CCMs should first confirm if there is necessity for CCMs to annually report on each of these obligations in Annual Report Part 2? For example, annual reporting might be considered necessary in the earlier stages of implementation of required bycatch mitigation measures when implementation by all applicable CCMs is not 100% implemented and/or the full efficacy of

mitigation measures was yet to be fully ascertained. In such circumstances, then CCMs should also consider developing standards and templates to better clarify the specific additional data and information necessary for CCMs to provide annually.

64. However, if it is not necessary for annually updated information to be submitted by all CCMs, then CCMs might consider reclassifying the reporting requirement to be a report on steps taken to implement an obligation, that the Secretariat should also “hold on file” with other statements of implementation of prior year obligations.

65. Finally, and in relation to the shark-related CMM reporting, the outcomes to date in the Shark IWG draft CMM proposal should also be considered.

Annual Reporting related to MCS activities, Compliance Monitoring Scheme, Authorisation to Fish, Transshipment activities and Data Access Rules and Procedures

66. There are eighteen (18) obligations specifying that additional information is required to be annually reported related to MCS activities, Compliance Monitoring Scheme, Authorisation to Fish, Transshipment activities and Data Access Rules and Procedures. Sixteen (16) of these reporting requirements specify that certain additional data and information should be submitted in Annual Report Part 2. Two (2) of these reporting requirements seek confirmation in Annual Report Part 2 that the necessary table/s and data were included in Annual Report Part 1 and in accordance with the agreed reporting template. A list of the relevant Annual Report Part 2 questions is provided in Table 5 (pg 26-27).

67. *How could reporting of annual required reports related to MCS, Compliance Monitoring Scheme, Authorisation to Fish, Transshipment activities and Data Access Rules and Procedures be streamlined?* For the five (5) required reports that are to be submitted in Annual Report Part 2 the Commission has already established templates and standards and online processes (CMM 2014-02 9a VMS SSPs 7.2.2, CMM 2017-05 09, CMM 2018-07 (17, 44) or its revision, Convention Article 23 (5) and Convention Article 25 (2)). So, there is no change suggested: CCMs will continue to be asked to confirm that they have duly submitted the report, and where applicable using the required template.

68. For the two (2) required reports to be submitted in Annual Report Part 1 the Commission has also established templates and standards for these (CMM 2007-01 Attachment K Annex C 06 and CMM 2009-06 11). So, it is suggested that CCMs might consider the approach recommended in the Shark-IWG draft CMM and to consider reclassifying the reports as annual reports on the implementation of CMM, with submission in Annual Report Part 2. There would also be a decision to be made as to whether the reports remain classified as public domain data.

69. For at least five (5) required reports (CMM 2006-08 40, CMM 2006-08 41, CMM 2009-06 35 a (iii), CMM 2009-06 35 a (iv), Data RaP MCS 44) there is potential for streamlined annual reporting by the Commission building upon the Secretariats established WCPFC IT infrastructure and procedures that are used to support the publishing of routine data summaries on the WCPFC website. Once fully established by the Secretariat, CCMs could be asked in Annual Report Part 2 to check and work with the Secretariat to ensure that the website published reports do provide a current and accurate record of their reporting, particularly for the applicable reporting year.

70. For the remaining six (6) required reports (CMM 2008-04 05, CMM 2009-06 35 a (v), CMM 2009-09 05, CMM 2017-05 11, CMM 2017-05 16, Convention Article 25 (8)), it is suggested that CCMs should first confirm if there is necessity for CCMs to annually report on each of the obligations? If so, CCMs should consider developing standards and templates to better clarify the specific additional data and information that are necessary for CCMs to report annually.

List of obligations that need further clarification of their intent, or otherwise consider removing from the list for future Annual Reports

71. There are thirteen (13) Annual Report Part 2 required reports where it is unclear what specific information CCMs are expected to report annually. They are as follows:

- CMM 2018-03 (resolves 02)
- CMM 2009-06 35 a (ii)
- CMM 2017-01 04
- CMM 2017-01 29
- CMM 2017-01 46
- CMM 2017-01 Att 2 04
- Convention Article 23 2(b)
- Convention Article 23 2 (c)
- Convention Article 23 (3)
- Convention Article 23 (4)
- Convention Article 25 (11)
- Convention Article 27
- Convention Article 5 (j)

For each of these obligations, it is suggested that CCMs should first confirm if there is necessity for CCMs to annually report on each of these? If not, then consider removing them from future annual reporting. If yes, then CCMs should also consider developing standards and templates to better clarify the specific additional data and information necessary for CCMs to provide annually, and how the information is intended to be used.

72. Table 5 (below) provides the list of remaining annual required-reports. The table also includes notation on how the various suggestions to streamline may be applied to future reporting in Annual Report Part 2.

**Table 5. List of other WCPFC annual reporting requirements currently included in AR Pt2 (RY2018) and notes on where there could be opportunities to streamline future annual reporting**

Topic	ARPt2 Sub-category	Obligations in AR Pt2 (2019)	Notes on options to streamline
<p><b>Reporting related to the implementation of CMM on special requirements of SIDS and territories (CMM 2013-07 19)</b></p>	<p>REPORT: SUBMISSION IS REQUIRED IN AR PT2 (7)</p>	<p><i>Commission has established templates and standards</i> CMM 2013-07 01-03 CMM 2013-07 04-05 CMM 2013-07 06-07 CMM 2013-07 08-09 CMM 2013-07 10-11 CMM 2013-07 12-18 CMM 2013-07 19</p>	<p>As agreed at WCPFC11 CCMs will continue to be asked to provide responses for each of the six AR Pt2 questions that comprise the annual report for CMM 2013-07 19 SPECIAL REQUIREMENTS OF SMALL ISLAND DEVELOPING STATES AND TERRITORIES. As agreed at WCPFC15 these reports are classified as public domain information and to be included on WCPFC website – implementation of Article 30 webpage. Also consider whether CMM 2013-07 19 question is necessary</p>
<p><b>Reporting to monitor the implementation of CMMs intended to minimize impacts of fishing on species of special interest, including non-target species</b></p>	<p>REPORT: SUBMISSION IS REQUIRED IN AR PT1 (1)</p>	<p><i>Commission has established templates and standards</i> CMM 2018-03 13</p>	<p>CCMs will continue to be asked to confirm that they have duly submitted the report using the required template <u>OR</u> Amend Scientific Data to be Provided to the Commission to include these reporting requirements.</p>
	<p>REPORT: SUBMISSION IS REQUIRED IN AR PT1 (4)</p>	<p><i>Relevant data is collected through the Regional Observer Programme, where there is coverage. There are also requirements for purse seine vessel operators to report data to their flag CCM on encircling events with whale sharks and cetaceans</i> CMM 2011-03 05 CMM 2011-04 03 CMM 2012-04 06 CMM 2013-08 03</p>	<p>CCMs will continue to duly submit the report <u>OR</u> Amend Scientific Data to be Provided to the Commission to include these reporting requirements.  Also consider whether report should remain classified as public domain data.</p>
	<p>REPORT: SUBMISSION IS REQUIRED IN AR PT2 (5)</p>	<p><i>Expected standards for reporting are less clear</i> CMM 2008-03 02 CMM 2010-07 07 CMM 2010-07 12 CMM 2012-04 03 CMM 2018-03 08</p>	<p>If these reporting requirements are to be retained as annual report requirements, the development and implementation of standards and templates would be beneficial for CCMs and for the Secretariat</p>



Topic	ARPt2 Sub-category	Obligations in AR Pt2 (2019)		Notes on options to streamline
Reporting related to MCS activities, Compliance Monitoring Scheme, Authorisation to Fish, Transshipment activities and Data Access Rules and Procedures	REPORT: SUBMISSION IS REQUIRED IN AR PT2 (5)	Commission has established templates and standards CMM 2014-02 9a VMS SSPs 7.2.2 CMM 2017-05 09 CMM 2018-07 (17, 44) Convention Article 23 (5) Convention Article 25 (2)		CCMs will continue to be asked to confirm that they have duly completed their report online or have submitted the completed template to the Secretariat
	REPORT: SUBMISSION IS REQUIRED IN AR PT1 (2)	Commission has established templates and standards CMM 2007-01 Attachment K Annex C 06 CMM 2009-06 11		CCMs will continue to be asked to confirm that they have duly submitted the report using the required template  Consider reclassifying as annual report on implementation of CMM that are to be submitted in Annual Report Part 2, but that remains classified as public domain data.
	REPORT: SUBMISSION IS REQUIRED IN AR PT2 (5)	Secretariat has already established mechanisms for routinely publishing summaries of data received on the WCPFC website (including secure CCM only pages). CMM 2006-08 40 CMM 2006-08 41 CMM 2009-06 35 a (iii)* CMM 2009-06 35 a (iv)* Data RaP MCS 44		Potential to streamline by CCMs only being asked in AR Pt 2 to check and work with the Secretariat to ensure that the website published data and/or summaries (*once available) do provide a current and accurate record of their reporting, particularly for the applicable reporting year.
	REPORT: SUBMISSION IS REQUIRED IN AR PT2 (6)	Expected standards for reporting are less clear CMM 2008-04 05 CMM 2009-06 35 a (v) CMM 2009-09 05 CMM 2017-05 11 CMM 2017-05 16 Convention Article 25 (8)		If these reporting requirements are to be retained as annual report requirements, the development and implementation of standards and templates would be beneficial for CCMs and for the Secretariat
Others that need further clarification	REPORT: SUBMISSION IS REQUIRED IN AR PT2 (13)	CMM 2018-03 (resolves 02) CMM 2009-06 35 a (ii) CMM 2017-01 04 CMM 2017-01 29 CMM 2017-01 46 CMM 2017-01 Att 2 04	Convention Article 23 2(b) Convention Article 23 2 (c) Convention Article 23 (3) Convention Article 23 (4) Convention Article 25 (11) Convention Article 27 Convention Article 5 (j)	Reconsider whether these obligations should be included in future Annual Reports

## Next steps towards making annual reporting more manageable overall

73. This paper has reviewed the current list of Annual Report Part 1 and Annual Report Part 2 reporting requirements (covering 2018 activities) and considered the objectives of these reporting requirements. As a consequence of the review and analysis of the reporting requirements some suggestions and options were presented to streamline in order to avoid or minimize duplicative reporting. The suggestions and options provided in this paper were based on the following principles:

- a. CCMs are required and expected to report some information and data to the Commission annually as required by the Convention and CMMs;
- b. If CCMs are subject to an applicable quantitative limit, they are required and expected to provide in Annual Report Part 2 the supporting details that confirm an applicable quantitative limit was not exceeded. Such supporting details should include any additional and related reporting requirements in that CMM or annual summaries thereof (rather than the report be submitted as a stand-alone report);
- c. If CCMs are fully meeting the obligation to provide operational catch and effort data and are not subject to a quantitative limit, it is substantially duplicative for these CCMs to provide additional reports that are related to monitoring implementation with that quantitative limit;
- d. If CCMs have already provided in a prior year Annual Report a statement that details the steps taken to implement and to monitor and ensure compliance of their vessels with an obligation, and this statement remains current for the reporting year, then this statement should be able to be reconfirmed in future Annual Report Part 2 (rather than repeated verbatim each year);
- e. If CCMs are fully meeting the obligation to provide operational catch and effort data to SPC-OFP, it should be possible for SPC-OFP with input from the relevant CCMs to prepare and publish summary tables of essential fisheries information and annual catch estimates based on these scientific data submissions (rather than have additional and duplicative reporting requirements for CCMs to include these tables in their Annual Report Part 1);
- f. In circumstances where it is necessary for CCMs to report certain data and information on an annual basis, including annual reports supporting their implementation of Convention obligations and CMMs, the necessary steps should be taken to ensure there are established templates and standards for such reports and where available online direct-entry and/or electronic reporting IT solutions should be used to assist CCMs and the Secretariat in managing these reports; and
- g. It is important to promote transparency in the work of the Commission for information and data to continue to be accessible in the public domain and that they comply with the Rules and Procedures for the Protection, Access to and Dissemination of Data compiled by the Commission.

74. As a summary of the various suggestions made throughout this paper, **ANNEX I** provide the list of all Annual Report Part 2 questions (covering reporting year 2018), and includes brief notes related to the key streamlining options.

75. Furthermore, it is suggested that the above principles could form the basis of enhancements to future CCM Annual reporting processes, so that they are more manageable overall for both CCMs and for the Secretariat. **ANNEX II** provides an example of a streamlined Annual Report 2 for 2020, covering 2019 activities that is based on the suggestions made throughout the paper.

76. The Secretariat expects that the current “Annual Report Part 2” online reporting system, including the Annual Report Part 2 template form consisting of YES/NO/not applicable and comment box + attachments (see example shown in right inset), could continue to be used as the basis for the example streamlined Annual Report 2 provided in **ANNEX II**. In addition, the Secretariat would maintain and update as applicable the “MTU Audit Inspections”, “Addressing CMR-2018 Issues” and “Managing Compliance Cases” online lists on the WCPFC Intranet. A new online list “CCMs report on implementation of obligations that applied in prior years” would also need to be created which would be based on relevant Annual Report Part 2 2019 answers related to 2018 activities. An example list of questions for CCMs “Report on implementation for obligations in RY 2019 that also applied in prior years” is provided in **ANNEX III**.

77. This year headings were introduced into the Annual Report Part 2 template, as a way of providing some additional guidance to CCMs on the different types of data information were expected to be reported in Annual Report Part 2. The example streamlined Annual Report 2 provided in **ANNEX II** could include a new set of section headings. Table 6 below provides a matching between this year’s Annual Report Part 2 and the proposed list of new headings:

**Table 6. Comparison of section headings in Annual report Part 2 2019 (RY2018) and the proposed headings in the example streamlined Annual Report 2 provided in ANNEX II**

AR Pt 2 2019 covering 2018 activities headings	Suggested new headings in example streamlined Annual Report (ANNEX II)
<i>QUANTITATIVE LIMIT: CCM LEVEL OR COLLECTIVE</i>	(i) Reporting to monitor the implementation of CCM-level or collective quantitative limit;
<i>REPORT: SUBMISSION IS REQUIRED IN AR PT1 / REPORT: SUBMISSION IS REQUIRED IN AR PT 2 / REPORT: SUBMISSION TO SECRETARIAT</i>	ii) Reporting to monitor the implementation of CMMs intended to minimize impacts of fishing on species of special interest, including non-target species
<i>REPORT: CMM 2013-07 SPECIAL REQUIREMENTS OF SIDS + T</i>	(iii) Reporting related to the implementation of CMM on special requirements of SIDS and territories (CMM 2013-07 19)
<i>REPORT: SCIENTIFIC DATA SUBMISSION</i>	(iv) Reporting related to scientific data submissions to the Commission
<i>REPORT: SUBMISSION IS REQUIRED IN AR PT1 / REPORT: SUBMISSION IS REQUIRED IN AR PT 2</i>	(v) Reporting related to MCS activities, Compliance Monitoring Scheme, Authorisation to Fish, Transshipment activities and Data Access Rules and Procedures

AR Pt 2 2019 covering 2018 activities headings	Suggested new headings in example streamlined Annual Report (ANNEX II)
<p style="text-align: center;"><i>IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018 / IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs / IMPLEMENTATION: OTHER OBLIGATIONS THAT ALSO APPLIED PRE-2018</i></p>	<p style="text-align: center;">(vi) Report on steps taken to implement CMMs and Convention Obligations in the current reporting year</p>

Other considerations

78. It will be important for the Secretariat to have the necessary staffing and resourcing to be able to fully support the suggested streamlined approach to Annual Reporting. This includes continuing to expand the coverage of WCPFC website published summary tables and annual reporting content, noting that these will further usefully support the suggested streamlined approach to Annual Reporting (e.g. by asking that CCMs check and work with the Secretariat/SPC-OFP to ensure that the published data sets are complete and accurate, rather than have them resubmit duplicate reporting). In addition, the Commission would also need to provide the necessary resourcing and tasking to the Scientific Services Provider, as appropriate, and particularly to implement the suggestions to streamline Annual Report Part 1 and summaries of the Scientific Data to be Provided data. The Secretariat in presenting the various suggestions and options expects some initial commitment by the Secretariat, its IT contractors and as applicable SPC-OFP to implement. Substantial increases to the WCPFC budget are not expected to be necessary. The bigger challenge for implementation may be considering the scheduling of necessary work alongside other competing priorities and other tasks from the Commission. In any case and considering the feedback from TCC on the various suggestions, the Secretariat can undertake to provide more detailed information on costs and resource implications as part of the annual budget paper that is prepared for this year’s FAC and regular annual session.

79. There remain several decisions that the Commission will need to make, including whether the *Scientific Data to be Provided to the Commission* decision will be amended to integrate certain other Annual Report Part 1 required reports. A decision could also possibly be considered for the former version of Annual Report Part 1 to no longer be an obligation, although interested CCMs could choose to voluntarily submit these reports for the information of the Scientific Committee. Any decisions to amend CMMs would of course first need to be explicitly decided by the Commission at the regular annual session.

80. As per the Rules and Procedures for the Protection, Access to and Dissemination of Data compiled by the Commission and based on past practice, the example streamlined CCM Annual Report 2, will generally be considered non-public domain data. However, the Commission has already taken a past decision for part of Annual Report Part 2, specifically the CMM 2013-07 reporting, to be reclassified as public domain data. There are additional suggestions made in this paper of other areas where the Commission might consider maintaining classifications of certain annual reporting as public domain data. The reclassification of information from public domain to non-public domain and vice versa, are matters for the Commission and perhaps to be considered on a case by case basis. For example, in some cases, the Commission could decide to maintain the public domain classification of a report that is now being proposed for submission in Annual Report Part 2 as part of the streamlining exercise, because it used to be submitted in Annual Report Part 1 and for reasons of maintaining

transparency in the work of the Commission. In other instances, if alternative ways are found that maintains transparency and improves public access to data, the Commission could decide that former Annual Report Part 1 submissions are not necessary. In other circumstances, as reports on implementation there could be merit in considering reclassification of some formerly Annual Report Part 1 requirements as non-public domain data, for example based on the recommendations in the Shark IWG latest draft proposal.

81. There are presently some quantitative limits where there are limited or no additional data presently available to WCPFC to verify the CCM’s report on their implementation against the limit, and the primary mechanism used to verify a CCM’s implementation of a quantitative limit, is the CCM’s own report of implementation. In some but not all cases, the Commission has decided that dedicated submissions of additional detailed data are necessary to more fully support verifiable reviews of a limit. It is recommended that additional reporting or revised formulations of quantitative limits should be considered so that WCPFC has more ready access to data that can be used to verify a CCM’s implementation of a quantitative limit. The further consideration of these matters should also take into consideration the outcomes of the Scientific Committee Project 93<sup>7</sup>.

82. There are expected to be some cross-linkages between this paper and the other Compliance Monitoring Scheme future work tasks that are presently being progressed in 2019. It is expected that the decisions by the Commission on Audit points for the CMS would lead to additional updates and changes to the “Notes and Suggested Guidelines” in the Annual Report Part 2. It is also possible that through the other Compliance Monitoring Scheme future work tasks there could be recommendations to omit or add additional obligations for potential review by the CMS and/or for CCM’s to provide additional reporting as well.

## Recommendations

83. TCC15 is invited to:

- a. Review and discuss the paper;
- b. Consider the list of principles set out in paragraph 73 (page 28) that are suggested to guide efforts to streamline the Commission’s reporting requirements with the objective to make annual reporting more manageable and less burdensome;
- c. Consider the example streamlined Annual Report Part 2 for 2020, covering 2019 activities as provided in **ANNEX II** and the corresponding example “Report on implementation for obligations in RY 2019 that also applied in prior years” as provided in **ANNEX III**;
- d. Consider which suggestions in the paper should be supported and recommended for approval by the Commission, subject to available budget; and
- e. Task the Secretariat to provide to FAC and WCPFC16 the full cost and resource implications of the recommendations agreed by TCC in sub-paragraph **d.** above.

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<sup>7</sup> An updated version of SC15-ST-WP06 will be submitted to TCC15 as WCPFC-TCC15-2019-14

**ANNEX I: List of questions in Annual Report Part 2 2019 (covering 2018 activities) with notes on potential opportunities to streamline**

Requirement #	Brief Description	Requirement Type
Q-001 <b>CMM 2004-03 02</b>	Fishing vessel marking and technical specifications	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-002 <b>CMM 2004-03 03</b>	Record of WCPFC identification number (WIN)	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-003(L) <b>CMM 2005-03 02</b>	CCMs take measures to ensure level of fishing effort by vessels fishing for NP ALB is not increased	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE
<b>CMM 2005-03 03</b>	Catch report of NP ALB due every six months	REPORT: SUBMISSION TO SECRETARIAT
Q-005(R) <b>CMM 2005-03 04</b>	Annual report of catches and fishing effort north of the equator directed at ALB	REPORT: SUBMISSION TO SECRETARIAT
Q-006(L) <b>CMM 2006-04 01</b>	Limit number of fishing vessels fishing for MLS S 15 S, to 2000 – 2004.	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE
Q-007(R) <b>CMM 2006-04 04</b>	Annual catches of MLS (bycatch), and annual numbers of vessels fishing for MLS S15S and their catch levels.	REPORT: SUBMISSION TO SECRETARIAT

Hold report on file

Hold report on file

Data to verify?

Duplicative?

Potential to Streamline

Data to verify?

Potential to Streamline

Include in report against limit

Include in report against limit

Requirement #	Brief Description	Requirement Type
Q-010 <b>CMM 2006-08 07</b>	Fishing vessels to accept HSBI boardings by duly authorised inspectors, and as applicable Members to ensure compliance of its authorised inspectors with the HSBI procedures	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
<b>CMM 2006-08 30</b>	Requirement to provide Full Report on HSBI	IMPLEMENTATION: OTHER OBLIGATIONS THAT ALSO APPLIED PRE-2018
Q-010 <b>CMM 2006-08 32</b>	Notification of serious violation(s) detected during HSBI	IMPLEMENTATION: OTHER OBLIGATIONS THAT ALSO APPLIED PRE-2018
Q-011 <b>CMM 2006-08 33 and 36</b>	Response by authorities of the fishing vessel to a serious violation notification	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-012(R) <b>CMM 2006-08 40</b>	Annual reporting by members on the HSBI carried out by its authorized inspection vessels.	REPORT: REQUIREMENT

Hold report on file

Hold report on file

Hold report on file

Hold report on file

Potential to Streamline

Check and complete report in Article 25(2) online system

Check and confirm Secretariat website published summary?

	Requirement #	Brief Description	Requirement Type
Potential to Streamline	Q-013(R) <b>CMM 2006-08 41</b>	Annual reporting by members on their actions in response to HSBI of their fishing vessels that resulted in observation of alleged violations.	REPORT: SUBMISSION IS Check and complete report in Article 25(2) online system Check and confirm Secretariat website published summary?
Hold report on file	Q-014 <b>CMM 2007-01 07</b>	Vessels to be prepared to accept an observer from the ROP, if required	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file	Q-015 <b>CMM 2007-01 08</b>	CCM shall be responsible for meeting the level of observer coverage as set by the Commission.	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file	Q-016 <b>CMM 2007-01 09</b>	CCMs shall source observers for their vessels as determined by the Commission.	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file	Q-017 <b>CMM 2007-01 10</b>	CCMs shall explain to the vessel captain, observer duties relevant to appropriate measures adopted by the Commission.	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file	Q-018 <b>CMM 2007-01 13</b>	CCM shall nominate a WCPFC National Observer Coordinator	IMPLEMENTATION: OTHER OBLIGATIONS THAT ALSO APPLIED PRE-2018

	Requirement #	Brief Description	Requirement Type
Hold report on file	Q-019 <b>CMM 2007-01 14 (vii)</b>	CCMs to ensure vessel operators comply with the Guidelines for the Rights and Responsibilities of Vessel Operators, Captains and Crew	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file	<b>CMM 2007-01 Attachment K Annex C 04</b>	ROP data submission	IMPLEMENTATION: OTHER OBLIGATIONS THAT ALSO APPLIED PRE-2018
Hold report on file	Q-021 <b>CMM 2007-01 Attachment K Annex C 06</b>	CCMs shall achieve 5% coverage of the effort in each fishery under the jurisdiction of the Commission	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs Annual report requirement
Hold report on file	Q-022 <b>CMM 2007-01 Attachment K Annex C 08</b>	CCMs shall meet any additional ROP observer obligations as specified in CMMs	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file	Q-023 <b>CMM 2008-03 01</b>	Implement FAO Guidelines to Reduce Sea Turtle Mortality in Fishing Operations	IMPLEMENTATION: OTHER OBLIGATIONS THAT ALSO APPLIED PRE-2018
Review intent?	Q-024 <b>CMM 2008-03 02</b>	Report on Implementation of FAO Guidelines and this CMM	If kept as Annual report requirement a template should be developed
Hold report on file	Q-025 <b>CMM 2008-03 04</b>	CCMs to ensure fishermen use proper mitigation and handling techniques and foster the recovery of any turtles that are incidentally captured	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs



	Requirement #	Brief Description	Requirement Type
Hold report on file	Q-026 <b>CMM 2008-03 05a</b>	Sea Turtle mitigation requirements for purse seine vessels	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file	Q-027 <b>CMM 2008-03 05b</b>	Purse seine sea turtle incident reporting requirements	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file	Q-028 <b>CMM 2008-03 06</b>	Requirements for sea turtle mitigation in longline fisheries	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file	Q-029 <b>CMM 2008-03 07a</b>	Sea turtle mitigation requirements for shallow-set longline vessels that fish for swordfish	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file	Q-030 <b>CMM 2008-03 07c</b>	Reporting requirement for operational definitions of shallow set swordfish fisheries, and sea turtle mitigation requirements including large circle hooks	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file	Q-031 <b>CMM 2008-03 07d</b>	Reporting requirement for incidents involving sea turtles in shallow-set longline fisheries for swordfish	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs

	Requirement #	Brief Description	Requirement Type
Potential to Streamline	Q-032 <b>CMM 2008-03 08</b>	Research trials of circle hooks and other mitigation methods in shallow-set longline fisheries for swordfish, and report to SC and TCC	REPORT: SUBMISSION TO SECRETARIAT  Where applicable, submit to research SC and TCC
Hold report on file	Q-033 <b>CMM 2008-04 02</b>	Measures necessary to prohibit use by their vessels of large-scale driftnets in the high seas	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Review intent?	Q-034(R) <b>CMM 2008-04 05</b>	Reporting requirement for MCS actions related to large-scale driftnet fishing on the high seas	REPORT: SUBMISSION IS  If kept as Annual report requirement a template should be developed
Hold report on file	Q-035 <b>CMM 2009-02 03-07</b>	FAD Closure Rules - high seas	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file	Q-036 <b>CMM 2009-02 08-13</b>	Rules for Purse seine catch retention, including reporting - high seas	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file	Q-037 <b>CMM 2009-02 12</b>	Submit purse seine Catch Discard Report within 48 hours after any discard - high seas	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Data to verify?	Q-038(L) <b>CMM 2009-03 01</b>	Limit number of vessels fishing for SWO S20S to the number in any one year between 2000-2005	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE

Requirement #	Brief Description	Requirement Type
Q-039(L) <b>CMM 2009-03 02</b> Data to verify?	Limit the catch of SWO by its vessels in area S20S to the amount in any one year during 2000-2006	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE
Q-040 Hold report on file <b>CMM 2009-03</b>	CCMs shall not shift their fishing effort for SWO to the area north of 20°S	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-041 Potential to Streamline <b>CMM 2009-03 08</b>	Report annually the number of vessels that fished for SWO and total catch of SWO	REPORT: SUBMISSION IS REQUIRED IN AR PT1 Include in report against limit
Q-042 Hold report on file <b>CMM 2009-05 01</b>	CCMs shall prohibit their fishing vessels from fishing within 1 nautical mile of or interacting with a data buoy in the high seas	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-043 Hold report on file <b>CMM 2009-05 03</b>	CCMs shall prohibit their fishing vessels from taking on board a data buoy unless specifically authorized or requested to do so by the Member or owner responsible for that buoy.	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-044 Hold report on file <b>CMM 2009-05 05</b>	Requirement for flag CCM in event a vessel becomes entangled with a data buoy	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs

Requirement #	Brief Description	Requirement Type
Q-045(R) <b>CMM 2009-06 11</b>	Annual report on all transshipment activities covered by this Measure (including transshipment activities that occur in ports or EEZs) in accordance with the specified guidelines (Annex II)	REPORT: SUBMISSION IS REQUIRED IN AR PT1 Annual report requirement
Q-046 Hold report on file <b>CMM 2009-06 13</b>	CCM shall ensure that vessels they are responsible for carry observers from the WCPFC ROP to observe transshipments at sea.	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-047(L) <b>CMM 2009-06 29</b>	Limit on purse seine vessels transshipment outside of port to vessels that have received an exemption from the Commission. Where applicable, flag CCM authorisation should be vessel-specific and address any specific conditions identified by the Commission.	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE

	Requirement #	Brief Description	Requirement Type
Q-048(L)	<b>CMM 2009-06 34</b>	Ban on high seas transshipment, unless a CCM has determined impracticability in accordance with para 37 guidelines, and has advised the Commission of such.	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE
Q-049(R)	<b>CMM 2009-06 35 a (ii)</b>	Flag State's notification to the Secretariat on its flag vessels that are authorised to transship on the high seas	REPORT: SUBMISSION TO SECRETARIAT
Review intent?			If kept as Annual report requirement a template should be developed
Q-	<b>CMM 2009-06 35 a (iii)</b>	WCPFC Transshipment Advance Notification (including fields in Annex III)	REPORT: SUBMISSION TO SECRETARIAT
Potential to Streamline			Check and confirm Secretariat website published summary?
Q-	<b>CMM 2009-06 35 a (iv)</b>	WCPFC Transshipment Declaration (including information in Annex I)	REPORT: SUBMISSION TO SECRETARIAT
Potential to Streamline			Check and confirm Secretariat website published summary?
Q-052(R)	<b>CMM 2009-06 35 a (v)</b>	If high seas transshipment is authorised, plan is to be submitted detailing steps taken to encourage transshipment to occur in port in the future	REPORT: SUBMISSION TO SECRETARIAT
Review intent?			If kept as Annual report requirement a template should be developed

	Requirement #	Brief Description	Requirement Type
Q-053(R)	<b>CMM 2009-09 05</b>	Report by CCM on any sightings of fishing vessels without nationality fishing in high seas of CA for WCPFC species.	REPORT: SUBMISSION TO SECRETARIAT
Review intent?			If kept as Annual report requirement a template should be developed
Q-054(L)	<b>CMM 2010-01 05</b>	Catch limits applicable to CCMs with vessels fishing in the Convention Area north of the equator: commencing 2011	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE
Data to verify?			
Q-055(R)	<b>CMM 2010-01 08</b>	Report on implementation including report against limits of the CMM (in AR Pt 2)	REPORT: SUBMISSION REQUIRED IN AR PT 2
Potential to Streamline			Include in report against limit
Q-056	<b>CMM 2010-06 22</b>	CCMs shall take all necessary non-discriminatory measures, including under their applicable legislation, to take certain actions in respect of vessels listed on the WCPFC IUU Vessel List	IMPLEMENTATION: OTHER OBLIGATIONS THAT ALSO APPLIED PRE-2018
Hold report on file			
Q-057	<b>CMM 2010-07 06</b>	Full utilization of any catches of sharks retained	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file			
Q-058	<b>CMM 2010-07 07</b>	Vessels implement 5% fin to weight ratio or an alternative	REPORT: SUBMISSION TO SECRETARIAT
Review intent?			If kept as Annual report requirement a template should be developed

Requirement #	Brief Description	Requirement Type
Q-059 Hold report on file	<b>CMM 2010-07 09</b> Take measures to prohibit their vessels from retaining, transshipping, landing or trading in any fins harvested in contravention of this CMM	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-060 Hold report on file	<b>CMM 2010-07 10</b> Requirement to take measures to encourage release of live sharks	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-061(R) Review intent?	<b>CMM 2010-07 12</b> Report on implementation of this CMM, and any alternative measures that are applied (para 11)	REPORT: SUBMISSION IS REQUIRED IN AR Pt 2 If kept as Annual report requirement a template should be developed
Q-062 Hold report on file	<b>CMM 2011-03 01</b> Prohibit purse seine setting on cetaceans, if animal is sighted prior to commencement of the set	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-063 Hold report on file	<b>CMM 2011-03 02</b> Requirement in the event of unintentional encircling of cetaceans in the purse seine net	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-064 Hold report on file	<b>CMM 2011-03 03</b> Ensuring safe release of cetacean, unintentionally encircled in purse seine nets	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs

Requirement #	Brief Description	Requirement Type
Q-065(R) Potential to Streamline	<b>CMM 2011-03 05</b> Report of any instances in which cetaceans have been encircled by the purse seine nets of flagged vessels (AR Pt 1)	REPORT: REQUIRE Amend Sci data decision as needed OR Annual report requirement and reclassify as AR Pt 2?
Q-066 Hold report on file	<b>CMM 2011-04 01</b> Prohibit vessels from retaining on board, transshipping, storing or landing any oceanic whitetip sharks, in whole or in part	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-067 Hold report on file	<b>CMM 2011-04 02</b> Requirement to release oceanic whitetip sharks, as soon as possible	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-068(R) Potential to Streamline	<b>CMM 2011-04 03</b> Annual report on estimated number of releases and status upon release of oceanic whitetip shark (Part 1 report)	REPORT: SUBMISSION IS REQUIRED IN AR Pt 2 Amend Sci data decision as needed OR Annual report requirement and reclassify as AR Pt 2?
Q-069 Hold report on file	<b>CMM 2012-03 02</b> CCMs shall achieve 5% coverage of the effort of each fishery fishing for fresh fish beyond the national jurisdiction in area N 20N	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-070 Hold report on file	<b>CMM 2012-04 01</b> Prohibit purse seine setting on whale sharks, if animal is sighted prior to commencement of the set	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs

	Requirement #	Brief Description	Requirement Type
Review intent?	Q-071(R) <b>CMM 2012-04 03</b>	Part 2 report - advice on compatible measures adopted for mitigating impacts of purse seine fisheries on whale sharks	REPORT: SUBMISSION IS REQUIRED IN AR PT2  If kept as Annual report requirement a template should be developed
Hold report on file	Q-072 <b>CMM 2012-04 04</b>	Requirement in the event of non-deliberate encircling of whale shark in the purse seine net, including safe release	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Potential to Streamline	Q-073(R) <b>CMM 2012-04 06</b>	Advice in Part 1 Annual Report of any instances in which whale sharks have been encircled by the purse seine nets of their flagged vessels, including the details required under paragraph 4(b)	REPORT: SUBMISSION IS REQUIRED IN AR PT1  Amend Sci data decision as needed  OR Annual report requirement and reclassify as AR Pt 2?
Hold report on file	Q-074 <b>CMM 2013-05 01</b>	Requirement to ensure the master of each vessel completes an accurate written or electronic log of every day that it spends at sea on the high seas of the Convention Area as specified.	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs

	Requirement #	Brief Description	Requirement Type
Hold report on file	Q-075 <b>CMM 2013-05 02</b>	Requirement that information recorded by the master of each vessel each day with fishing operations shall, at a minimum include the information as specified	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file	Q-076 <b>CMM 2013-05 03</b>	Requirement that the master of each vessel fishing in the Convention Area provides an accurate and unaltered original or copy of the required information to its national authority within 15 days of the end of a trip or transshipment, or within the period	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file	Q-077 <b>CMM 2013-05 04</b>	Requirement that the master of each vessel fishing in the Convention Area provides an accurate and unaltered original or copy of the required information pertaining to the current trip on board the vessel at all times during the course of a trip	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs

Requirement #	Brief Description	Requirement Type
Q-078 <b>CMM 2013-06 01</b>  Hold report on file	Requirement to cooperate, either directly or through the Commission, to enhance the ability of developing States, particularly the least developed among them and SIDS and Territories, to develop their own fisheries for hmfs	IMPLEMENTATION: OTHER OBLIGATIONS THAT ALSO APPLIED PRE-2018
Q-079(R) <b>CMM 2013-07 01-03</b>	General provisions of CMM on SPECIAL REQUIREMENTS OF SMALL ISLAND DEVELOPING STATES AND TERRITORIES	REPORT: CMM 2013-07 SPECIAL REQUIREMENTS OF SIDS + T  Annual report requirement
Q-080(R) <b>CMM 2013-07 04-05</b>	Requirements to assist with Capacity Development of Personnel of SIDS and Territories	REPORT: CMM 2013-07 SPECIAL REQUIREMENTS OF SIDS + T  Annual report requirement
Q-081(R) <b>CMM 2013-07 06-07</b>	Requirements to assist with technology transfers to SIDS and Territories	REPORT: CMM 2013-07 SPECIAL REQUIREMENTS OF SIDS + T  Annual report requirement
Q-082(R) <b>CMM 2013-07 08-09</b>	Requirements to assist SIDS and Territories in the areas of fisheries conservation and management	REPORT: CMM 2013-07 SPECIAL REQUIREMENTS OF SIDS + T  Annual report requirement

Requirement #	Brief Description	Requirement Type
Q-083(R) <b>CMM 2013-07 10-11</b>	Requirements to assist SIDS and Territories in the areas of Monitoring, Control and surveillance	REPORT: CMM 2013-07 SPECIAL REQUIREMENTS OF SIDS + T  Annual report requirement
Q-084(R) <b>CMM 2013-07 12-18</b>	Requirements to support for the Domestic Fisheries Sector and Tuna-fisheries related businesses and market access	REPORT: CMM 2013-07 SPECIAL REQUIREMENTS OF SIDS + T  Annual report requirement
Q-085(R) <b>CMM 2013-07 19</b>	Annual report on implementation of CMM on SPECIAL REQUIREMENTS OF SMALL ISLAND DEVELOPING STATES AND TERRITORIES	REPORT: CMM 2013-07 SPECIAL REQUIREMENTS OF SIDS + T
Q-086 <b>CMM 2013-08 01</b>  Hold report on file	Prohibit vessels from retaining on board, transshipping, storing or landing any silky sharks, in whole or in part (applied after 1 July 2014)	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMS
Q-087 <b>CMM 2013-08 02</b>  Hold report on file	Requirement that vessels release silky sharks, as soon as possible. (applied after 1 July 2014)	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMS

Requirement #	Brief Description	Requirement Type
Q-088(R)	<b>CMM 2013-08 03</b> Annual report on estimated number of releases of silky shark caught in the Convention Area, including status upon release (Pt 1)	REPORT: SUBMISSION IS REQUIRED Amend Sci data decision as needed OR Annual report requirement and reclassify as AR Pt 2?
Q-089	<b>CMM 2014-02 04</b> Vessels shall continue to report to Commission VMS after moving into Northern Quadrant	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-091	<b>CMM 2014-02 9a</b> Fishing vessels comply with the Commission standards for WCPFC VMS including being fitted with ALC/MTU that meet Commission requirements	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-092	<b>CMM 2014-02 9a VMS SSPs 2.8</b> Provision of ALC/MTU 'VTAF' data	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-093	<b>CMM 2014-02 9a VMS SSPs 5.4 - 5.5</b> VMS Manual Reporting procedures - applies until 1 March 2021	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-094(R)	<b>CMM 2014-02 9a VMS SSPs 7.2.2</b> CCMs to conduct periodic audits of ALC/MTUs of its vessels and report results to the Commission (AR Pt 2)	Annual report requirement Check and complete report in MTU Audit Inspection online list

Requirement #	Brief Description	Requirement Type
Q-095	<b>CMM 2014-02 9a VMS SSPs 7.2.4</b> Protocol for inspecting States to inspect ALCs/MTU of other CCMs vessels at sea, includes reporting requirements for inspecting States	IMPLEMENTATION: OTHER OBLIGATIONS THAT ALSO APPLIED PRE-2018
Q-096	<b>CMM 2014-02 9a VMS SSPs 7.2.5</b> Report to Secretariat any ALC/MTU, and associated details, that appear to not be in compliance with applicable CMMs related to VMS reporting	IMPLEMENTATION: OTHER OBLIGATIONS THAT ALSO APPLIED PRE-2018
Q-097	<b>CMM 2014-03 02</b> Requirements and specifications to implement CMM 2017-05 paragraph 6 (submit completed vessel record data for vessels authorised to fish beyond its flag CCMs areas under national jurisdiction)	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-098	<b>CMM 2014-05 01</b> Shark mitigation measures to be applied to fisheries targetting tuna and billfish	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-099	<b>CMM 2014-05 02</b> Submit management Plans for fisheries that target sharks in association with WCPFC fisheries	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs



Requirement #	Brief Description	Requirement Type
Q-100(L)	<b>CMM 2015-02 01</b> Limit on number of vessels actively fishing for SP ALB in area S 20 S above 2005 or 2000-2004 levels	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE
Potential to Streamline	<b>CMM 2015-02 04</b> Annual report of SP ALB by vessel by species	REPORT: SUBMISSION TO SECRETARIAT Include annual summary in report against limit
Q-102	<b>CMM 2016-05 02</b> Notification of charter arrangements made to the ED	IMPLEMENTATION: OTHER OBLIGATIONS THAT ALSO APPLIED PRE-2018
Q-103	<b>CMM 2016-05 03</b> Notifications of charter arrangements made to the flag State and ED, including updated information	IMPLEMENTATION: OTHER OBLIGATIONS THAT ALSO APPLIED PRE-2018
Q-104(R)	<b>CMM 2017-01 04</b> Any notification by Coastal States of measures applied in archipelagic waters and territorial seas related to the tropical tuna CMM	REPORT: SUBMISSION TO SECRETARIAT If kept as Annual report requirement a template should be developed
Q-105	<b>CMM 2017-01 16</b> Purse seine 3 month FAD closure (1 July - 30 September 2018)	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-106	<b>CMM 2017-01 17</b> Advice on choice and implementation of two additional month high seas FAD closure (April-May 2018 or Nov-Dec 2018)	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018

Requirement #	Brief Description	Requirement Type
Q-107	<b>CMM 2017-01 23</b> Each purse seine vessel is limited to no more than 350 FADs with activated instrumented buoys	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018
Q-108(L)	<b>CMM 2017-01 25</b> Purse seine EEZ limits (for skipjack, yellowfin and bigeye tuna) and advice from other coastal CCMs of EEZ limits to be applied	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE
Q-109(L)	<b>CMM 2017-01 26</b> High seas purse seine effort limits applying 20N to 20S Includes <b>CMM 2017-01 Att 2 10</b> (Q-114) Effort limit for PH flag vessels operating in the HSP-1	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE
Q-110	<b>CMM 2017-01 Att 2 03</b> Philippines vessels Entry/Exit reports for HSP1-SMA	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-111	<b>CMM 2017-01 Att 2 04</b> Philippines to ensure its flagged vessels report sightings of any fishing vessel to the Commission Secretariat (vessel type, date, time, position, markings, heading and speed)	REPORT: SUBMISSION TO SECRETARIAT If kept as Annual report requirement a template should be developed
Q-112	<b>CMM 2017-01 Att 2 05-06</b> Specific requirements for deploying observers on Philippines vessels fishing in HSP-1	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs



Requirement #	Brief Description	Requirement Type
Q-113 <b>CMM 2017-01 Att 2 08</b>	Philippines to monitor landings by vessels operating in HSP1-SMA and collect reliable catch data by species	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file		
Q-115 <b>CMM 2017-01 27</b>	CCMs not to transfer fishing effort in days fished in the purse seine fishery to areas N20N and S20S	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file		
Q-116(R) <b>CMM 2017-01 29</b>	2018 transfer of 100 vessel days from EEZ to high seas for United States and quarterly reporting against EEZ limit	REPORT: SUBMISSION TO SECRETARIAT
		One year provision applied in 2018 only
Q-117 <b>CMM 2017-01 31</b>	Purse seine catch retention requirements (20N - 20S)	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file		
Q-118 <b>CMM 2017-01 33</b>	Purse seine vessels are not to operate under manual reporting during FAD closure period	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file		
Q-119 <b>CMM 2017-01 34</b>	Requirement for purse seine vessels to carry a ROP observer	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file		
Q-120 <b>CMM 2017-01 35</b>	100% purse seine coverage: specific rules for vessels fishing exclusively in areas under its national jurisdiction	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file		

Requirement #	Brief Description	Requirement Type
Q-121(L) <b>CMM 2017-01 39</b>	Bigeye longline catch limits for 2018 and adjustment to be made for any overage	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE
Q-121(R) <b>CMM 2017-01 41</b>	Monthly reporting of bigeye longline catches	R S Include annual summary in report against limit
Q-122(L) <b>CMM 2017-01 43</b>	Bigeye longline catch limits by flag for certain other members which caught less than 2000t in 2004	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE
Q-123(L) <b>CMM 2017-01 45</b>	Limit by flag on number of purse seine vessels >24m with freezing capacity between 20N and 20S	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE
Data to verify?		
Q-124(R) <b>CMM 2017-01 46</b>	Notifications of new purse vessels for which building approval has already been granted which will be considered in the setting of the capacity limit in CMM 2016-01 and CMM 2017-01	REPORT: SUBMISSION TO SECRETARIAT
Review intent?		If kept as Annual report requirement a template should be developed
Q-125(L) <b>CMM 2017-01 47</b>	Limit by flag on number of longline vessels with freezing capacity targetting bigeye above the current level (applying domestic quotas are exempt)	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE
Data to verify?		

	Requirement #	Brief Description	Requirement Type
Q-126(L)	<b>CMM 2017-01 48</b>  Data to verify?	Limit by flag on number of ice-chilled longline vessels targeting bigeye and landing exclusively fresh fish above the current level or above the number of current licenses under established limited entry programmes (applying domestic quotas are exempt)	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE
Q-126(L)	<b>CMM 2017-01 51</b>  Data to verify?	Limit on total catch of certain other commercial tuna fisheries (that take >2000Mt of BET, YFT and SKJ)	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE
Q-127(R)	<b>CMM 2017-01 52</b>	Requirement to provide operational level catch and effort data for EEZ and high seas S20N	REPORT: SCIENTIFIC DATA SUBMISSION  Annual report requirement
Q-128(R)	<b>CMM 2017-01 54</b>	Requirement to provide 1 x 1 aggregate data for vessels fishing in EEZs and high seas N 20 N, as well as to cooperate in providing operational data to SPC for stock assessment	REPORT: SCIENTIFIC DATA SUBMISSION  Annual report requirement
Q-129	<b>CMM 2017-02 05</b>  Hold report on file	Flag CCM to require its flagged vessels to cooperate with any port CCM	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018

	Requirement #	Brief Description	Requirement Type
Q-130	<b>CMM 2017-02 08</b>  Hold report on file	Port CCMs to ensure fisheries inspections are conducted by Government Authorised Inspectors	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018
Q-132	<b>CMM 2017-02 09-10</b>  Hold report on file	Minimum requirement for vessels to be inspected by Port CCMs	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018
Q-133	<b>CMM 2017-02 13-14</b>  Hold report on file	Requirement for Inspection report to be provided if inspection is undertaken by Port CCM	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018
Q-134	<b>CMM 2017-02 15</b>  Hold report on file	Requirement that a flag CCM investigate any alleged IUU fishing, if reported in a Port inspection report	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018
Q-135	<b>CMM 2017-02 17</b>  Hold report on file	Expected actions by Port CCMs where there is sufficient evidence of IUU fishing	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018
Q-136	<b>CMM 2017-02 19</b>  Hold report on file	Requirement to notify and maintain current Port CCM contacts with WCPFC	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018
Q-137	<b>CMM 2017-02 21</b>  Hold report on file	Advice of Port State measures applying in designated ports	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018

	Requirement #	Brief Description	Requirement Type
Hold report on file	Q-138 <b>CMM 2017-02 26</b>	Requirement to encourage use of ports of SIDS to the extent practicable	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018
Hold report on file	Q-139 <b>CMM 2017-03 03-06</b>	Requirements that flag CCMs are to ensure their fishing vessels follow if an observer safety incident occurs while the observer is on the vessel (observer dies, is missing or presumed fallen overboard, observer suffers from a serious illness or injury)	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018
Hold report on file	Q-140 <b>CMM 2017-03 07-08</b>	Required actions by a flag CCM where there are reasonable grounds to believe a WCPFC ROP Observers safety incident occurred	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018
Hold report on file	Q-141 <b>CMM 2017-03 09</b>	Port CCM to facilitate entry of a fishing vessel where observe safety incident has been reported	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018
Hold report on file	Q-143 <b>CMM 2017-03 10</b>	Required actions if an observer safety incident is reported after the observer has disembarked the vessel	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018

	Requirement #	Brief Description	Requirement Type
Hold report on file	Q-144 <b>CMM 2017-03 11</b>	Requirement that CCMs are to ensure their national Observer Providers take certain actions to support the implementation of CMM 2017-03	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018
Hold report on file	Q-145 <b>CMM 2017-03 12</b>	Requirement to ensure that available HSBI Inspection Vessels and Fishing Vessels participate to the extent possible in any search and rescue operations	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018
Hold report on file	Q-146 <b>CMM 2017-05 02</b>	CCMs to ensure its fishing vessels only transship to/from, and provide bunkering for/ are bunkered by or otherwise supported by vessels on the RFV	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file	Q-147 <b>CMM 2017-05 03</b>	CCMs should only allow its fishing vessels to be used for fishing, if properly authorised	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Hold report on file	Q-148 <b>CMM 2017-05 04</b>	Vessels authorization requirement	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs

Requirement #	Brief Description	Requirement Type
Q-149 <b>CMM 2017-05 07</b>	Requirement to notify any additions, modifications and deletions of Vessels from the record, including for each vessel all details as set out in paragraph 6 of this CMM	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-150(R) <b>CMM 2017-05 09</b>	Submission by Member to ED a list of all vessels on national record in previous year, noting "fished" or "did not fish" for each vessel.	REPORT: SUBMISSION TO SECRETARIAT Annual report requirement
Q-151(R) <b>CMM 2017-05 11</b>	Requirement to report extraordinary circumstances as to why IMO or LR number is not able to be obtained	REPORT: SUBMISSION IS If kept as Annual report requirement a template should be developed
Q-152(R) <b>CMM 2017-05 16</b>	CCMs to advise of the results of their annual review of implementation of paragraph 1	REPORT: SUBMISSION IS If kept as Annual report requirement a template should be developed

Hold report on file

Annual report requirement

Review intent?

Review intent?

Requirement #	Brief Description	Requirement Type
Q-153 <b>CMM 2017-05 17</b>	Flag CCM to ensure its FVs have been placed on the RFV is accordance with this CMM. Vessels not on RFV shall be deemed not to be authorized to fish for, retain on board, transship or land HMFS in the CA beyond the national jurisdiction of its flag State	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-154 <b>CMM 2017-05 18</b>	CCMs to prohibit landings in ports or transshipment to vessels not on RFV	IMPLEMENTATION: OTHER OBLIGATIONS THAT ALSO APPLIED PRE-2018
Q-155 <b>CMM 2017-06 01</b>	Required longline mitigation measures to be used by vessels fishing S 30 S (weighted branch lines, night setting and tori lines: choose at least two of the three)	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018

Hold report on file

Hold report on file

Hold report on file

Requirement #	Brief Description	Requirement Type
Q-156 <b>CMM 2017-06 02</b>	Required longline mitigation measures to be used by longline vessels 24m or more fishing N 23 N (choose 2 from Table 1 including at least 1 from Column A) and longline vessels less than 24m fishing N 23 N (at least 1 measure from Column A in Table 1)	IMPLEMENTATION: NEW OBLIGATIONS INTRODUCED IN 2018
Q-157(L) <b>CMM 2017-08 02 (1)</b>	Total effort by vessels for Pacific Bluefin limited to 02-04 level N20N <i>Data to verify?</i>	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE
Q-158(L) <b>CMM 2017-08 02 (2)</b>	Catches of Pacific bluefin tuna less than 30kg shall be reduced to 50% of 2002-04 level. Overage to be deducted from following year <i>Data to verify?</i>	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE
Q-159(L) <b>CMM 2017-08 03</b>	Every possible measure to be taken not to increase catches of BFT >30kg from 2002-04 levels <i>Data to verify?</i>	QUANTITATIVE LIMIT: CCM-LEVEL OR COLLECTIVE
<b>CMM 2017-08 04</b>	reporting of baseline and recent fishing effort and catch for Pacific Bluefin	REPORT: SUBMISSION TO SECRETARIAT

Hold report on file

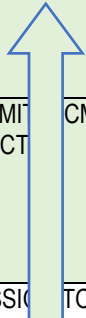
Data to verify?

Data to verify?

Data to verify?

Potential to Streamline

Include in report against limit



Requirement #	Brief Description	Requirement Type
Q-161 <b>CMM 2017-08 05</b>	Requirement to cooperate for effective implementation of CMM, including juvenile catch reduction	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-162 <b>CMM 2017-08 06</b>	Requirement to monitor and obtain prompt results of recruitment of juveniles each year	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-163 <b>CMM 2017-08 07</b>	Requirement to take measures necessary to prevent commercial transaction of Pacific bluefin tuna and its products that undermine the effectiveness of this CMM	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-164 <b>CMM 2017-08 09</b>	Requirement take measures to strengthen monitoring and data collection	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-165(R) <b>CMM 2017-08 10</b>	Annual report on measures for Pacific Bluefin, including monitoring of international trade	REPORT: SUBMISSION TO SECRETARIAT <i>Include in report against limit (CMM 2017-08 03)</i>
Q-166(R) <b>CMM 2018-03 (resolves 02)</b>	Report on implementation of IPOA Seabirds, including NPOAs for reducing incidental catch of seabirds in longline fisheries	REPORT: SUBMISSION IS REQUIRED IN AR PT2

Hold report on file

Hold report on file

Hold report on file

Hold report on file

Voluntary report

	Requirement #	Brief Description	Requirement Type
Review intent?	Q-167(R) <b>CMM 2018-03 08</b>	Report on which mitigation measures are used N 23 N or S 30 S, as well as technical specifications. Subsequent years include advice on any changes	REPORT: SUBMISSION IS REQUIRED IN AR PT2 Possible Annual report requirement? If so, a template should be developed
	Q-168(R) <b>CMM 2018-03 13</b>	Report all available information on interactions with seabirds throughout Convention Area, as reported or collected by observers in Annual Report Part 1 (guidelines for reporting in Annex 2)	REPORT: SUBMISSION IS REQUIRED IN AR PT1 Amend Sci data decision as needed OR Annual report requirement and reclassify as AR Pt 2?
	Q-169(R) <b>CMM 2018-07 (17, 44)</b>	Report on actions taken to address previous year non-compliance in AR Pt 2, as well as report of progress for "Flag State Investigation" and "Capacity Assistance Needed" CMR scores in AR Pt 2	REPORT: SUBMISSION IS REQUIRED IN AR PT2 Annual report requirement Complete report in "Previous CMR issues" online system
Hold report on file	Q-170 <b>Convention Article 22 (4)</b>	Cooperation with IATTC, including implementation of the WCPFC9 decision (para 80 of WCPFC9 Summary Report)	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs

	Requirement #	Brief Description	Requirement Type
Hold report on file	Q-171(R) <b>Convention Article 23 (3)</b>	Advice from members on its measures for areas under their national jurisdiction, including conservation and management measures, inspections and surveillance activities. Summary to be provided in Annual Report Part 2	REPORT: SUBMISSION IS REQUIRED IN AR PT2 If kept as Annual report requirement a template should be developed
	Q-172(R) <b>Convention Article 23 (4)</b>	Measures CCM adopted for regulating activities of fishing vessels flying its flag. Summary to be provided in Annual Report Part 2	REPORT: SUBMISSION IS REQUIRED IN AR PT2 If kept as Annual report requirement a template should be developed
	Q-173(R) <b>Convention Article 23 (5)</b>	Report from CCM on outcome of an investigation, conducted at the request of another Member related to alleged violations of CMMs by its nationals. Summary to be provided in Part 2	REPORT: SUBMISSION IS REQUIRED IN AR PT2 Check and complete report in Article 25(2) online system Annual report requirement
	Q-174(R) <b>Convention Article 23 2 (b)</b>	Report by CCMs on fishing activities in the Convention Area ("Annual Report Part 1")	REPORT: SUBMISSION IS REQUIRED IN AR PT2 If kept as Annual report requirement a template should be developed

Requirement #	Brief Description	Requirement Type
Q-175(R) <b>Convention Article 23 2 (c)</b>	Report on CCM steps to implement conservation and management measures in the Convention area ("Annual Report Part 2")	REPORT: SUBMISSION IS REQUIRED IN AR PT2  If kept as Annual report requirement a template should be developed
Q-176 <b>Convention Article 24 (3)</b>	Requirement that condition of every authorization issued by flag CCM that the fishing vessel operates (i) in the high seas in accordance with Annex III and (ii) in areas under national jurisdiction only if it has required license/permits from coastal CCM	IMPLEMENTATION: OBLIGATIONS THAT ALSO APPLIED PRE-2018 FOR FLAG CCMs
Q-177(R) <b>Convention Article 25 (11)</b>	Report on mechanisms for monitoring international trade and domestic distribution of HMFS. Summary to be provided in Annual Report Part 2	REPORT: SUBMISSION IS REQUIRED IN AR PT2  If kept as Annual report requirement a template should be developed

Review intent?

Hold report on file

Review intent?

Requirement #	Brief Description	Requirement Type
Q-178(R) <b>Convention Article 25 (2)</b>	Report of outcome of an investigation conducted, at the request of another Member of its vessels alleged violation of the Convention or CMMs. Summary to be provided in Part 2	REPORT: SUBMISSION IS REQUIRED IN AR PT2  Check and complete report in Article 25(2) online system  Annual report requirement
Q-179(R) <b>Convention Article 25 (8)</b>	Annual Statement of compliance measures, including imposition of sanctions (to be provided in Annual Report Part 2)	REPORT: SUBMISSION IS  If kept as Annual report requirement a template should be developed
Q-180(R) <b>Convention Article 27</b>	Reports of outcomes of Port State Inspections. Summary to be provided in Part 2	REPORT: SUBMISSION IS  If kept as Annual report requirement a template should be developed
Q-181(R) <b>Convention Article 5 (j)</b>	Reports on surveillance activities (sea patrols and aerial surveillance) conducted in areas beyond national jurisdiction. Summary to be provided in Part 2	REPORT: SUBMISSION IS REQUIRED IN AR PT2  If kept as Annual report requirement a template should be developed
<b>Data RaP MCS 44</b>	Statement of compliance with Data RaP	Check and confirm Secretariat website published summary?
<b>SciData 01</b>	Estimates of Annual Catches	REPORT: SCIENTIFIC DATA
<b>SciData 02</b>	Number of vessels active	Annual report requirement SUBMISSION

Review intent?

Review intent?

Review intent?

Potential to Streamline

Potential to Streamline

Requirement #	Brief Description	Requirement Type
Q- SciData 03	Operational Level Catch and Effort Data	REPORT: SCIENTIFIC DATA Annual report requirement
SciData 04	Catch and effort data aggregated by time period and geographic area	REPORT: SCIENTIFIC DATA SUBMISSION

Potential to Streamline

Requirement #	Brief Description	Requirement Type
Q- SciData 05	Size composition data	REPORT: SCIENTIFIC DATA Annual report requirement
SciData 07	Time periods covered and schedule for the provision of data	SUBMISSION

Potential to Streamline



**ANNEX II:**

**ANNEW II: Example streamlined CCM Annual Report Part 2 2020 (covering 2019 activities)**

A streamlined CCM Annual Report 2 could be comprised of six (6) online lists, with each list containing a set of individual obligations:

- (i) Reporting to monitor the implementation of CCM-level or collective quantitative limit;
- (ii) Reporting to monitor the implementation of CMMs intended to minimize impacts of fishing on species of special interest, including non-target species;
- (iii) Reporting related to the implementation of CMM on special requirements of SIDS and territories (CMM 2013-07 19);
- (iv) Reporting related to scientific data submissions to the Commission;
- (v) Checklist to confirm that various online summaries have been checked, or for reporting of other CMM and Convention required reports and data; and
- (vi) Report on steps taken to implement CMMs and Convention Obligations in the current reporting year.

In addition, there would be some supplemental online IT tools and publishing of annual summary tables on the website, that once fully developed by the Secretariat are expected to further usefully support the streamline approach to Annual Reporting. WCPFC would also maintain the “Flag State Investigation Issues”, “MTU Audit Inspections” and “Addressing CMR-2018 Issues” and “Managing Compliance Cases” lists as part of the CCM Annual Report.

The former version of Annual Report Part 1 reporting would not be required, although interested CCMs could choose to voluntarily submit these reports for the information of the Scientific Committee.

**(i) ANNUAL REPORTING FOR CCM-LEVEL OR COLLECTIVE QUANTITATIVE LIMIT – *Applicable only to CCMs to whom a CCM-level or collective quantitative limit applies***

CCM	Limit	Brief Description	Notes
Flag only	<b>CMM 2005-03 02</b>	CCMs take measures to ensure level of fishing effort by vessels fishing for NP ALB is not increased	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded:- level of fishing effort by vessels fishing for NP ALB was not increased. Be sure to also include <b>CMM 2005-03 04</b> report as part of response
Flag only	<b>CMM 2006-04 01</b>	Limit number of fishing vessels fishing for MLS S 15 S, to 2000 – 2004.	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded:- limit number of fishing vessels for MLS S15S to 2000 - 2004 levels as per CMM 2006-04 STRIPED MARLIN IN THE SOUTHWEST PACIFIC. Be sure to also include <b>CMM 2006-04 04</b> report as part of response.
Flag only	<b>CMM 2009-03 01</b>	Limit number of vessels fishing for SWO S20S to the number in any one year between 2000-2005	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded:- number of vessels fishing for swordfish S20S to the number in any one year 2000 - 2005 as per CMM 2009-03 SWORDFISH. Be sure to also include relevant data from <b>CMM 2009-03 08</b> report as part of response.

CCM	Limit	Brief Description	Notes
Flag only	<b>CMM 2009-03 02</b>	Limit the catch of SWO by its vessels in area S20S to the amount in any one year during 2000-2006	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded:- limit on catches of swordfish by its vessels in area S20S to amount in any one year 2000-2006 as per CMM 2009-03 SWORDFISH. Be sure to also include relevant data from <b>CMM 2009-03 08</b> report as part of response.
Flag only	<b>CMM 2009-06 29</b>	Limit on purse seine vessels transshipment outside of port to vessels that have received an exemption from the Commission. Where applicable, flag CCM authorisation should be vessel-specific and address any specific conditions identified by the Commission.	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded:- any authorisations of purse seine vessels to engage in transshipment in the Convention Area outside of port as per CMM 2009-06 REGULATION OF TRANSHIPMENT
Flag only	<b>CMM 2009-06 34</b>	Ban on high seas transshipment, unless a CCM has determined impracticability in accordance with para 37 guidelines, and has advised the Commission of such.	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded:- ban on high seas transshipment, unless the CCM has determined impracticability in accordance with (CMM 2009-06) REGULATION OF TRANSHIPMENT para 37 guidelines, and has advised the Commission of such Note WCPFC14 accepted TCC13 recommendation CCMs comply with the existing data and reporting requirements under the measure. In particular, where CCMs have made a determination of impracticability, those CCMs responsible for reporting against both the offloading and receiving vessels shall 'submit to the Commission a plan detailing what steps it is taking to encourage transshipment to occur in port in the future', as specified under paragraph 35(a)(v) of the transshipment measure(TCC13 Summary Report Para 199)
Flag only	<b>CMM 2010-01 05</b>	Catch limits applicable to CCMs with vessels fishing in the Convention Area north of the equator: commencing 2011	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable catch limit was not exceeded. Be sure to also include <b>CMM 2010-01 08</b> report as part of response.
Flag only	<b>CMM 2015-02 01</b>	Limit on number of vessels actively fishing for SP ALB in area S 20 S above 2005 or 2000-2004 levels	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded:- limit the number of its vessels that can actively fish for SP ALB in area S of 20S to 2005 or 2000 - 2004 levels as per CMM 2015-02 SOUTH PACIFIC ALBACORE. Be sure to also include summary of <b>CMM 2015-02 04</b> report as part of response.

CCM	Limit	Brief Description	Notes
Oth	<b>CMM 2018-01 25</b>	Purse seine EEZ limits (for skipjack, yellowfin and bigeye tuna) and advice from other coastal CCMs of EEZ limits to be applied	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded:- limit on purse seine effort in their EEZs is specified in Table 1 of Attachment 1 of CMM 2017-01 Note those coastal CCMs who had not notified limits prior to development of Table 1 of Attachment 1 of CMM 2017-01 should have notified limits by 31 December 2018
Flag only	<b>CMM 2018-01 26</b>	High seas purse seine effort limits applying 20N to 20S Includes <b>CMM 2017-01 Att 2 10</b> Effort limit for PH flag vessels operating in the HSP-1	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded:- fishing days on the high seas were limited to that specified in Attachment 1 Table 2 of CMM 2017-01 The limit for the Philippines is in Attachment 2 paragraph 10 of CMM 2017-01 The limits for CNMs are as agreed by the previous WCPFC annual meeting
Flag only	<b>CMM 2018-01 39</b>	Bigeye longline catch limits for 2018 and adjustment to be made for any overage	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Applies to:- China, Indonesia, Japan, Republic of Korea, Chinese Taipei, United States Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded:- longline catch limits for bigeye tuna set out in Attachment 1 Table 3 of CMM 2017-01. Be sure to also include annual summary of <b>CMM 2017-01 41</b> report as part of response.
Flag only	<b>CMM 2018-01 43</b>	Bigeye longline catch limits by flag for certain other members which caught less than 2000t in 2004	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Applies to:- Australia, European Union, New Zealand and Philippines Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable longline catch limits for bigeye tuna during previous calendar year (2,000mt annually) was not exceeded.
Flag only	<b>CMM 2018-01 45</b>	Limit by flag on number of purse seine vessels >24m with freezing capacity between 20N and 20S	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded in the relevant reporting year.
Flag only	<b>CMM 2018-01 47</b>	Limit by flag on number of longline vessels with freezing capacity targetting bigeye above the current level (applying domestic quotas are exempt)	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded in the relevant reporting year.
Flag only	<b>CMM 2018-01 48</b>	Limit by flag on number of ice-chilled longline vessels targetting bigeye and landing exclusively fresh fish above the current level or above the number of current licenses under established limited entry programmes (applying domestic quotas are exempt)	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded in the relevant reporting year

CCM	Limit	Brief Description	Notes
Flag only	<b>CMM 2018-01 51</b>	Limit on total catch of certain other commercial tuna fisheries (that take >2000Mt of BET, YFT and SKJ)	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Applies to:- Indonesia, Japan and Philippines Additional information / details providing verifiable data applicable to the reporting year that confirms a CCM has taken necessary measures to ensure that the total catch of their respective other commercial tuna fisheries (that take > 2000 Mt of BET, YFT and SKJ) did not exceed the average level for the period 2001-2004 or 2004
Flag only	<b>CMM 2018-02 02 (1)</b>	Total effort by vessels for Pacific Bluefin limited to 02-04 level N20N	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded:- total fishing effort by their vessel fishing for Pacific bluefin tuna in the area north of the 20° N stayed below the 2002–2004 annual average levels. Be sure to also include relevant data from <b>CMM 2018-02 04</b> report as part of response.
Flag only	<b>CMM 2018-02 02 (2)</b>	Catches of Pacific bluefin tuna less than 30kg shall be reduced to 50% of 2002-04 level. Overage to be deducted from following year	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded:- All catches of Pacific bluefin tuna less than 30 kg shall be reduced to 50% of the 2002–2004 annual average levels Any overage of the catch limit shall be deducted from the catch limit for the following year. Be sure to also include relevant data from <b>CMM 2018-02 04</b> report as part of response.
Flag only	<b>CMM 2018-03 03</b>	Every possible measure to be taken not to increase catches of BFT >30kg from 2002-04 levels	Applicable CCMs are to confirm that the quantitative limit was not exceeded in current reporting year? <b>Yes / No / not applicable</b> Additional information / details providing verifiable data applicable to the reporting year that confirms the applicable limit was not exceeded:- every possible measure taken not to increase catches of Pacific bluefin tuna 30 kg or larger from the 2002–2004 annual average levels. Be sure to also include <b>CMM 2018-02 04</b> and <b>CMM 2018-02 10</b> report as part of response.

**(ii) ANNUAL REQUIRED REPORTING RELATED TO MONITORING THE IMPLEMENTATION BY FLAG CCMs OF CMMs INTENDED TO MINIMISE IMPACTS OF FISHING ON SPECIES OF SPECIAL INTEREST, INCLUDING NON-TARGET SPECIES**

**Part A: Required Reports that could be met through amendment to Scientific Data to be Provided decision**

CCM	Requirement	Brief Description	Notes
Flag only	<b>CMM 2011-03 05</b>	Report of any instances in which cetaceans have been encircled by the purse seine nets of flagged vessels (AR Pt 1)	Consider amending the <i>Scientific Data to be Provided decision</i> to include this report. If so, reporting would be as met through the suggested approach for scientific data submissions (and this standalone annual required report question is not needed).  Otherwise, based on the comprehensive shark CMM discussions, consider reclassifying this as an annual required report on implementation of this CMM that is to be submitted in Annual Report Part 2. Also consider whether this report should remain as public domain information?

CCM	Requirement	Brief Description	Notes
Flag only	<b>CMM 2011-04 03</b>	Annual report on estimated number of releases and status upon release of oceanic whitetip shark (Part 1 report)	Consider amending the <i>Scientific Data to be Provided decision</i> to include these reports. If so, reporting would be as met through the suggested approach for scientific data submissions (and this standalone annual required report question is not needed).  Otherwise, these obligations were considered in the comprehensive shark CMM discussions, which reclassified this as an annual required report related to the implementation of CMM that is to be submitted in Annual Report Part 2. Also consider whether this report should remain as public domain information?
Flag only	<b>CMM 2012-04 06</b>	Advice in Part 1 Annual Report of any instances in which whale sharks have been encircled by the purse seine nets of their flagged vessels, including the details required under paragraph 4(b)	
Flag only	<b>CMM 2013-08 03</b>	Annual report on estimated number of releases of silky shark caught in the Convention Area, including status upon release (Pt 1)	
Flag only	<b>CMM 2018-03 13</b>	Report all available information on interactions with seabirds throughout Convention Area, as reported or collected by observers in Annual Report Part 1 (guidelines for reporting in Annex 2)	Consider amending the <i>Scientific Data to be Provided decision</i> to include this report. If so, reporting would be as met through the suggested approach for scientific data submissions (and this standalone annual required report question is not needed).  Otherwise, Flag CCMs are to annually confirm, the "Annual Report on seabird interactions" has been submitted using the required template (CMM 2018-03 Annex 2) to the Secretariat for the current reporting year? <b>Yes / No / not applicable</b> Based on the comprehensive shark CMM discussions, consider reclassifying this annual report on implementation of this CMM that is to be submitted in Annual Report Part 2. Also consider whether this report should remain as public domain information?

#### Part B: Other Required Reports where Templates and Standards are not specified

*If these reporting requirements are to be retained as annual report requirements, the development and implementation of standards and templates would be beneficial for CCMs and for the Secretariat*

CCM	Requirement	Brief Description	Notes
Flag only	<b>CMM 2008-03 02</b>	Report on Implementation of FAO Guidelines and this CMM	Required in annual report Part 2, and consider whether this should be reclassified as public domain information
Flag only	<b>CMM 2010-07 07</b>	Vessels implement 5% fin to weight ratio or an alternative	Please provide a statement confirming what was implemented for (CMM 2010-07) SHARKS SC10 report para 625 d) SC10 recommends the Commission request that for CCMs that apply fin-to-carcass weight ratios, these CCMs report to the Commission the details of the methods used to estimate the ratio of shark fin-to-carcass weight and CCMs should encourage its purse seine and longline observers to collect data related to shark fin-to-carcass ratios. This information should be included in Part 2 of the Annual Reports to WCPFC
Flag only	<b>CMM 2010-07 12</b>	Report on implementation of this CMM, and any alternative measures that are applied (para 11)	CCMs shall advise the Commission in Part 2 of the annual report on the implementation of CMM 2010-07 and any alternative measures adopted under paragraph 11  The report on implementation of IPOA sharks can also be reported here (paragraph 2 in resolves part of CMM)

Flag only	<b>CMM 2012-04 03</b>	Part 2 report - advice on compatible measures adopted for mitigating impacts of purse seine fisheries on whale sharks	CCMs with EEZs located in WCPF Convention Area north of 30N shall report in Part 2 on implementation of these measures or compatible measures taken to (CMM 2012-04) PROTECTION OF WHALE SHARKS FROM PURSE SEINE FISHING
Flag only	<b>CMM 2018-03 08</b>	Report on which mitigation measures are used N 23 N or S 30 S, as well as technical specifications. Subsequent years include advice on any changes	Required in annual report Part 2 and is related to the implementation of CMM.

**(iii) ANNUAL REPORT ON IMPLEMENTATION OF CMM ON SPECIAL REQUIREMENTS OF SMALL ISLAND DEVELOPING STATES AND TERRITORIES (CMM 2013-07 19)**

*As agreed at WCPFC11, the AR Pt2 questions that comprise the annual report for (CMM 2013-07) SPECIAL REQUIREMENTS OF SMALL ISLAND DEVELOPING STATES AND TERRITORIES has been divided into the six categories equivalent to the sections of the CMM*

CCM	Requirement	Brief Description	Notes
All	<b>CMM 2013-07 01-03</b>	General provisions of CMM on SPECIAL REQUIREMENTS OF SMALL ISLAND DEVELOPING STATES AND TERRITORIES	Non-SIDS CCMs should provide information / details of types of assistance provided to SIDS related to this category of provisions, with an emphasis on the reporting year SIDS CCMs may provide details on assistance needs that are specific to this category of provisions
All	<b>CMM 2013-07 04-05</b>	Requirements to assist with Capacity Development of Personnel of SIDS and Territories	Non-SIDS CCMs should provide information / details of types of assistance provided to SIDS related to this category of provisions, with an emphasis on the reporting year SIDS CCMs may provide details on assistance needs that are specific to this category of provisions
All	<b>CMM 2013-07 06-07</b>	Requirements to assist with technology transfers to SIDS and Territories	Non-SIDS CCMs should provide information / details of types of assistance provided to SIDS related to this category of provisions, with an emphasis on the reporting year SIDS CCMs may provide details on assistance needs that are specific to this category of provisions
All	<b>CMM 2013-07 08-09</b>	Requirements to assist SIDS and Territories in the areas of fisheries conservation and management	Non-SIDS CCMs should provide information / details of types of assistance provided to SIDS related to this category of provisions, with an emphasis on the reporting year SIDS CCMs may provide details on assistance needs that are specific to this category of provisions This part of the report can be provided in "Further Information" box or as an Attachment.
All	<b>CMM 2013-07 10-11</b>	Requirements to assist SIDS and Territories in the areas of Monitoring, Control and surveillance	Non-SIDS CCMs should provide information / details of types of assistance provided to SIDS related to this category of provisions, with an emphasis on the reporting year SIDS CCMs may provide details on assistance needs that are specific to this category of provisions
All	<b>CMM 2013-07 12-18</b>	Requirements to support for the Domestic Fisheries Sector and Tuna-fisheries related businesses and market access	Non-SIDS CCMs should provide information / details of types of assistance provided to SIDS related to this category of provisions, with an emphasis on the reporting year SIDS CCMs may provide details on assistance needs that are specific to this category of provisions



**(iv) ANNUAL REPORTING RELATED TO SCIENTIFIC DATA SUBMISSIONS TO THE COMMISSION**

CCM	Requirement	Brief Description	Annual Report Part 2 questions
Flag only	<b>SciData 01 – 05</b> <b>SciData 07</b>	Scientific Data to be Provided Decision	Flag CCMs are to confirm that “Scientific data was provided to the Commission in accordance with the decision relating to the provision of scientific data by 30 April? <b>Yes / No / not applicable</b>
			Flag CCMs are to annually confirm, particularly for the current reporting year, the “Secretariat published Scientific Data Provision summaries” have been checked and where needed CCM has liaised with the SPC-OFP to resolve any issues, particularly for the current reporting year? <b>Yes / No / not applicable</b>
Flag only	<b>CMM 2018-01 52</b>	Requirement to provide operational level catch and effort data for EEZ and high seas S20N	Applicable flag CCMs are also to annually confirm, particularly for the current reporting year, the “Secretariat published Scientific Data Provision summary” has been checked and where needed CCM has liaised with the SPC-OFP to resolve any issues, particularly for the current reporting year? <b>Yes / No / not applicable</b>
Flag only	<b>CMM 2018-01 54</b>	Requirement to provide 1 x 1 aggregate data for vessels fishing in EEZs and high seas N 20 N, as well as to cooperate in providing operational data to SPC for stock assessment	Applicable flag CCMs are to also annually confirm, particularly for the current reporting year, the “Secretariat published Scientific Data Provision summary” has been checked and where needed CCM has liaised with the SPC-OFP to resolve any issues, particularly for the current reporting year? <b>Yes / No / not applicable</b>

**(v) REPORTING RELATED TO MCS, COMPLIANCE MONITORING SCHEME, AUTHORISATION TO FISH, TRANSHIPMENT ACTIVITIES AND DATA ACCESS RULES AND PROCEDURES**

*Checklist to confirm that various online summaries have been checked, or for reporting of other CMM and Convention required reports and data*

**Part A: Required Reports with Established Templates and Standards**

CCM	Requirement	Brief Description	Annual Report Part 2 question
Oth	<b>CMM 2006-08 40</b>	Annual reporting by members on the HSBI carried out by its authorized inspection vessels.	Applicable CCMs are to annually confirm, particularly for the current reporting year, the “Secretariat published HSBI reporting website summary” has been checked and where needed CCM has liaised with the Secretariat to resolve any issues, particularly for the current reporting year? <b>Yes / No / not applicable</b>
Flag only	<b>CMM 2006-08 41</b>	Annual reporting by members on their actions in response to HSBI of their fishing vessels that resulted in observation of alleged violations.	Applicable flag CCMs are to annually confirm, particularly for the current reporting year, that the “Article 25(2) online compliance case file system” has been checked and where needed CCM has made appropriate updates directly online? <b>Yes / No / not applicable</b>
Flag only	<b>CMM 2018-05 Attachment K Annex C 06</b>	CCMs shall achieve 5% coverage of the effort in each fishery under the jurisdiction of the Commission	Flag CCMs are to annually confirm, the “Report on observer coverage for their longline fleet activity for the reporting year” has been submitted using the required template (WCPFC11 template) to the Secretariat for the current reporting year? <b>Yes / No / not applicable</b>
			Consider reclassifying this as an annual report on implementation of this CMM that is to be submitted in Annual Report Part 2 but that it remains classified as public domain data

CCM	Requirement	Brief Description	Annual Report Part 2 question
Flag only	<b>CMM 2009-06 11</b>	Annual report on all transshipment activities covered by this Measure (including transshipment activities that occur in ports or EEZs) in accordance with the specified guidelines (Annex II)	Flag CCMs are to annually confirm, the "Annual Report on transshipment" has been submitted using the required template (WCPFC15 template) to the Secretariat for the current reporting year? <b>Yes / No / not applicable</b>  **Consider reclassifying this as an annual report on implementation of this CMM that is to be submitted in Annual Report Part 2 but that it remains classified as public domain data
Flag only	<b>CMM 2009-06 35 a (iii)</b>	WCPFC Transshipment Advance Notification (including fields in Annex III)	Applicable flag CCMs are to annually confirm, particularly for the current reporting year, the "Secretariat published high seas Transshipment reporting website summary" ( <b>once developed</b> ) has been checked and where needed CCM has liaised with the Secretariat to resolve any issues, particularly for the current reporting year? <b>Yes / No / not applicable</b>
Flag only	<b>CMM 2009-06 35 a (iv)</b>	WCPFC Transshipment Declaration (including information in Annex I)	Applicable flag CCMs are to annually confirm, particularly for the current reporting year, the "Secretariat published high seas Transshipment reporting website summary" ( <b>once developed</b> ) has been checked and where needed CCM has liaised with the Secretariat to resolve any issues, particularly for the current reporting year? <b>Yes / No / not applicable</b>
Flag only	<b>CMM 2014-02 9a VMS SSPs 7.2.2</b>	CCMs to conduct periodic audits of ALC/MTUs of its vessels and report results to the Commission (AR Pt 2)	Applicable flag CCMs are to annually confirm, particularly for the current reporting year, that the "MTU Audit Inspections Online List" has been updated directly online? <b>Yes / No / not applicable</b>
Flag only	<b>CMM 2018-06 09</b>	Submission by Member to ED a list of all vessels on national record in previous year, noting "fished" or "did not fish" for each vessel.	Flag CCMs are to annually confirm, the "Fished and did not fish report" has been submitted using the required template to the Secretariat for the current reporting year? <b>Yes / No / not applicable</b>
All	<b>CMM 2018-07 (17, 44) (refer to the updated CMM)</b>	Report on actions taken to address previous year non-compliance in AR Pt 2, as well as report of progress for "Flag State Investigation" and "Capacity Assistance Needed" CMR scores in AR Pt 2	Applicable CCMs are to annually confirm, particularly for the current reporting year, that the "Previous year CMR issues list" and "Flag State Investigation List" has been updated directly online? <b>Yes / No / not applicable</b>
Oth	<b>Data RaP MCS 44</b>	Statement of compliance with Data RaP	Applicable CCMs are to annually confirm, particularly for the current reporting year, that the "Secretariat published Administration of Data Rules and Procedures website summary" has been checked and where needed CCM has liaised with the Secretariat to resolve any issues, particularly for the current reporting year? <b>Yes / No / not applicable</b>
Oth	<b>Convention Article 23 (5)</b>	Report from CCM on outcome of an investigation, conducted at the request of another Member related to alleged violations of CMMs by its nationals. Summary to be provided in Part 2	Applicable CCMs are to annually confirm, particularly for the current reporting year, that the "Article 25(2) online compliance case file system" has been checked and where needed CCM has made appropriate updates directly online related to cases involving alleged violations by its nationals? <b>Yes / No / not applicable</b>



CCM	Requirement	Brief Description	Annual Report Part 2 question
Flag only	<b>Convention Article 25 (2)</b>	Report of outcome of an investigation conducted, at the request of another Member of its vessels alleged violation of the Convention or CMMs. Summary to be provided in Part 2	Applicable flag CCMs are to annually confirm, particularly for the current reporting year, that the "Article 25(2) online compliance case file system" has been checked and where needed CCM has made appropriate updates directly online? <b>Yes / No / not applicable</b>

### Part B: Other Required Reports where Templates and Standards are not specified

*If these reporting requirements are to be retained as annual report requirements, the development and implementation of standards and templates would be beneficial for CCMs and for the Secretariat*

CCM	Requirement	Brief Description	Notes
Flag only	<b>CMM 2008-04 05</b>	Reporting requirement for MCS actions related to large-scale driftnet fishing on the high seas	CCMs shall include in Part 2 of their Annual Reports a summary of monitoring, control, and surveillance actions related to large-scale driftnet fishing on the high seas in the Convention Area CMM 2008-04 PROHIBIT THE USE OF LARGE SCALE DRIFTNETS ON THE HIGH SEAS OF THE CONVENTION AREA
Flag only	<b>CMM 2009-06 35 a (v)</b>	If high seas transshipment is authorised, plan is to be submitted detailing steps taken to encourage transshipment to occur in port in the future	Note WCPFC14 accepted TCC13 recommendation CCMs comply with the existing data and reporting requirements under the measure. In particular, where CCMs have made a determination of impracticability, those CCMs responsible for reporting against both the offloading and receiving vessels shall 'submit to the Commission a plan detailing what steps it is taking to encourage transshipment to occur in port in the future', as specified under paragraph 35(a)(v) of the transshipment measure. (TCC13 Summary Report Para 199)
Flag only	<b>CMM 2009-09 05</b>	Report by CCM on any sightings of fishing vessels without nationality fishing in high seas of CA for WCPFC species.	Flag CCMs shall report any sightings of fishing vessels without nationality fishing in high seas of CA for WCPFC species.
Flag only	<b>CMM 2018-06 11</b>	Requirement to report extraordinary circumstances as to why IMO or LR number is not able to be obtained	Flag CCMs shall report any extraordinary situations, in which a vessel owner is not able to obtain an IMO or LR number despite following the appropriate procedures
Flag only	<b>CMM 2018-06 16</b>	CCMs to advise of the results of their annual review of implementation of paragraph 1	CCMs shall report annually on the results of their review of their internal actions and measures taken pursuant to CMM 17-05 paragraph 1, including sanctions and punitive actions
Flag only	<b>Convention Article 25 (8)</b>	Annual Statement of compliance measures, including imposition of sanctions (to be provided in Annual Report Part 2)	Flag CCMs are to provide an Annual Statement of Compliance Measures including imposition of sanctions.

**(vi) ANNUAL REPORT ON STEPS TAKEN TO IMPLEMENT CONSERVATION AND MANAGEMENT MEASURES AND CONVENTION OBLIGATIONS IN REPORTING YEAR**

<b>CCM</b>	<b>Requirement</b>	<b>Annual Report Part 2 question</b>	<b>Notes</b>
All	<b>Report on obligations that applied in prior years</b>	CCM has checked, and has as needed updated their statement of implementation of prior year obligations, and confirms that the information therein is current for this reporting year? <b>Yes / No / not applicable</b>	CCM is to annually confirm their “report on implementation of obligations that applied in prior years” has been checked and where needed appropriate updates have been made for the relevant reporting year. <b>(refer to Annex III)</b>
Flag only	<b>CMM 2018-03 01</b>	CCM to confirm whether obligation was implemented? <b>Yes / No / not applicable</b>	CCM is to provide an inaugural report detailing the steps taken to implement each new obligation in the applicable reporting year
Flag only	<b>CMM 2018-03 06</b>		
Flag only	<b>CMM 2018-03 08</b>	CCM is also to provide additional information / details that confirms the adoption, in accordance with its own national policies and procedures of binding measures that implement the obligation	
All	<b>CMM 2018-05 11</b>	and its actions to monitor and ensure compliance	
Flag only	<b>CMM 2018-05 15 (vii)</b>		

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**ANNEX III: Example template for CCMs “Report on implementation for obligations in RY 2019 that also applied in prior years”**

CCM	Requirement	Brief Description
Flag only	<b>CMM 2004-03 02</b>	Fishing vessel marking and technical specifications
Flag only	<b>CMM 2004-03 03</b>	Record of WCPFC identification number (WIN)
Flag only	<b>CMM 2006-08 07</b>	Fishing vessels to accept HSBI boardings by duly authorised inspectors, and as applicable Members to ensure compliance of its authorised inspectors with the HSBI procedures
Oth	<b>CMM 2006-08 30</b>	Requirement to provide Full Report on HSBI
Oth	<b>CMM 2006-08 32</b>	Notification of serious violation(s) detected during HSBI
Flag only	<b>CMM 2006-08 33 and 36</b>	Response by authorities of the fishing vessel to a serious violation notification
Oth	<b>CMM 2008-03 01</b>	Implement FAO Guidelines to Reduce Sea Turtle Mortality in Fishing Operations
Flag only	<b>CMM 2008-03 04</b>	CCMs to ensure fishermen use proper mitigation and handling techniques and foster the recovery of any turtles that are incidentally captured
Flag only	<b>CMM 2008-03 05a</b>	Sea Turtle mitigation requirements for purse seine vessels
Flag only	<b>CMM 2008-03 05b</b>	Purse seine sea turtle incident reporting requirements
Flag only	<b>CMM 2008-03 06</b>	Requirements for sea turtle mitigation in longline fisheries
Flag only	<b>CMM 2008-03 07a</b>	Sea turtle mitigation requirements for shallow-set longline vessels that fish for swordfish
Flag only	<b>CMM 2008-03 07c</b>	Reporting requirement for operational definitions of shallow set swordfish fisheries, and sea turtle mitigation requirements including large circle hooks
Flag only	<b>CMM 2008-03 07d</b>	Reporting requirement for incidents involving sea turtles in shallow-set longline fisheries for swordfish
Flag only	<b>CMM 2008-04 02</b>	Measures necessary to prohibit use by their vessels of large-scale driftnets in the high seas

CCM	Requirement	Brief Description
Flag only	<b>CMM 2009-02 03-07</b>	FAD Closure Rules - high seas
Flag only	<b>CMM 2009-02 08-13</b>	Rules for Purse seine catch retention, including reporting - high seas
Flag only	<b>CMM 2009-02 12</b>	Submit purse seine Catch Discard Report within 48 hours after any discard - high seas
Flag only	<b>CMM 2009-03 03</b>	CCMs shall not shift their fishing effort for SWO to the area north of 20°S
Flag only	<b>CMM 2009-05 01</b>	CCMs shall prohibit their fishing vessels from fishing within 1 nautical mile of or interacting with a data buoy in the high seas
Flag only	<b>CMM 2009-05 03</b>	CCMs shall prohibit their fishing vessels from taking on board a data buoy unless specifically authorized or requested to do so by the Member or owner responsible for that buoy.
Flag only	<b>CMM 2009-05 05</b>	Requirement for flag CCM in event a vessel becomes entangled with a data buoy
Flag only	<b>CMM 2009-06 13</b>	CCM shall ensure that vessels they are responsible for carry observers from the WCPFC ROP to observe transshipments at sea.
Oth	<b>CMM 2010-06 22</b>	CCMs shall take all necessary non-discriminatory measures, including under their applicable legislation, to take certain actions in respect of vessels listed on the WCPFC IUU Vessel List
Flag only	<b>CMM 2010-07 06</b>	Full utilization of any catches of sharks retained
Flag only	<b>CMM 2010-07 09</b>	Take measures to prohibit their vessels from retaining, transshipping, landing or trading in any fins harvested in contravention of this CMM
Flag only	<b>CMM 2010-07 10</b>	Requirement to take measures to encourage release of live sharks
Flag only	<b>CMM 2011-03 01</b>	Prohibit purse seine setting on cetaceans, if animal is sighted prior to commencement of the set

CCM	Requirement	Brief Description
Flag only	<b>CMM 2011-03 02</b>	Requirement in the event of unintentional encircling of cetaceans in the purse seine net
Flag only	<b>CMM 2011-03 03</b>	Ensuring safe release of cetacean, unintentionally encircled in purse seine nets
Flag only	<b>CMM 2011-04 01</b>	Prohibit vessels from retaining on board, transshipping, storing or landing any oceanic whitetip sharks, in whole or in part
Flag only	<b>CMM 2011-04 02</b>	Requirement to release oceanic whitetip sharks, as soon as possible
Flag only	<b>CMM 2012-03 02</b>	CCMs shall achieve 5% coverage of the effort of each fishery fishing for fresh fish beyond the national jurisdiction in area N 20N
Flag only	<b>CMM 2012-04 01</b>	Prohibit purse seine setting on whale sharks, if animal is sighted prior to commencement of the set
Flag only	<b>CMM 2012-04 04</b>	Requirement in the event of non-deliberate encircling of whale shark in the purse seine net, including safe release
Flag only	<b>CMM 2013-05 01</b>	Requirement to ensure the master of each vessel completes an accurate written or electronic log of every day that it spends at sea on the high seas of the Convention Area as specified.
Flag only	<b>CMM 2013-05 02</b>	Requirement that information recorded by the master of each vessel each day with fishing operations shall, at a minimum include the information as specified
Flag only	<b>CMM 2013-05 03</b>	Requirement that the master of each vessel fishing in the Convention Area provides an accurate and unaltered original or copy of the required information to its national authority within 15 days of the end of a trip or transshipment, or within the period
Flag only	<b>CMM 2013-05 04</b>	Requirement that the master of each vessel fishing in the Convention Area provides an accurate and unaltered original or copy of the required information pertaining to the current trip on board the vessel at all times during the course of a trip
Oth	<b>CMM 2013-06 01</b>	Requirement to cooperate, either directly or through the Commission, to enhance the ability of developing States, particularly the least developed among them and SIDS and Territories, to develop their own fisheries for hmfs

CCM	Requirement	Brief Description
Flag only	<b>CMM 2013-08 01</b>	Prohibit vessels from retaining on board, transshipping, storing or landing any silky sharks, in whole or in part (applied after 1 July 2014)
Flag only	<b>CMM 2013-08 02</b>	Requirement that vessels release silky sharks, as soon as possible. (applied after 1 July 2014)
Flag only	<b>CMM 2014-02 04</b>	Vessels shall continue to report to Commission VMS after moving into Northern Quadrant
Flag only	<b>CMM 2014-02 9a</b>	Fishing vessels comply with the Commission standards for WCPFC VMS including being fitted with ALC/MTU that meet Commission requirements
Flag only	<b>CMM 2014-02 9a VMS SSPs 2.8</b>	Provision of ALC/MTU 'VTAF' data
Flag only	<b>CMM 2014-02 9a VMS SSPs 5.4 - 5.5</b>	VMS Manual Reporting procedures - applies until 1 March 2021
Oth	<b>CMM 2014-02 9a VMS SSPs 7.2.4</b>	Protocol for inspecting States to inspect ALCs/MTU of other CCMs vessels at sea, includes reporting requirements for inspecting States
Oth	<b>CMM 2014-02 9a VMS SSPs 7.2.5</b>	Report to Secretariat any ALC/MTU, and associated details, that appear to not be in compliance with applicable CMMs related to VMS reporting
Flag only	<b>CMM 2014-03 02</b>	Requirements and specifications to implement CMM 2017-05 paragraph 6 (submit completed vessel record data for vessels authorised to fish beyond its flag CCMs areas under national jurisdiction)
Flag only	<b>CMM 2014-05 01</b>	Shark mitigation measures to be applied to fisheries targetting tuna and billfish
Flag only	<b>CMM 2014-05 02</b>	Submit management Plans for fisheries that target sharks in association with WCPFC fisheries
Oth	<b>CMM 2016-05 02</b>	Notification of charter arrangements made to the ED
Oth	<b>CMM 2016-05 03</b>	Notifications of charter arrangements made to the flag State and ED, including updated information
Flag only	<b>CMM 2017-02 05</b>	Flag CCM to require its flagged vessels to cooperate with any port CCM
Oth	<b>CMM 2017-02 08</b>	Port CCMs to ensure fisheries inspections are conducted by Government Authorised Inspectors
Oth	<b>CMM 2017-02 09-10</b>	Minimum requirement for vessels to be inspected by Port CCMs

CCM	Requirement	Brief Description
Oth	<b>CMM 2017-02 13-14</b>	Requirement for Inspection report to be provided if inspection is undertaken by Port CCM
Flag only	<b>CMM 2017-02 15</b>	Requirement that a flag CCM investigate any alleged IUU fishing, if reported in a Port inspection report
Oth	<b>CMM 2017-02 17</b>	Expected actions by Port CCMs where there is sufficient evidence of IUU fishing
Oth	<b>CMM 2017-02 19</b>	Requirement to notify and maintain current Port CCM contacts with WCPFC
Oth	<b>CMM 2017-02 21</b>	Advice of Port State measures applying in designated ports
Oth	<b>CMM 2017-02 26</b>	Requirement to encourage use of ports of SIDS to the extent practicable
Flag	<b>CMM 2017-03 03-06</b>	Requirements that flag CCMs are to ensure their fishing vessels follow if an observer safety incident occurs while the observer is on the vessel (observer dies, is missing or presumed fallen overboard, observer suffers from a serious illness or injury)
Flag only	<b>CMM 2017-03 07-08</b>	Required actions by a flag CCM where there are reasonable grounds to believe a WCPFC ROP Observers safety incident occurred
Flag only	<b>CMM 2017-03 09</b>	Port CCM to facilitate entry of a fishing vessel where observe safety incident has been reported
Flag only	<b>CMM 2017-03 10</b>	Required actions if an observer safety incident is reported after the observer has disembarked the vessel
Flag only	<b>CMM 2017-03 11</b>	Requirement that CCMs are to ensure their national Observer Providers take certain actions to support the implementation of CMM 2017-03
Flag only	<b>CMM 2017-03 12</b>	Requirement to ensure that available HSBI Inspection Vessels and Fishing Vessels participate to the extent possible in any search and rescue operations
Oth	<b>CMM 2018-01 04</b>	Any notification by Coastal States of measures applied in archipelagic waters and territorial seas related to the tropical tuna CMM
Flag only	<b>CMM 2018-01 16</b>	Purse seine 3 month FAD closure (1 July - 30 September 2018)
Flag only	<b>CMM 2018-01 17</b>	Advice on choice and implementation of two additional month high seas FAD closure (April-May 2018 or Nov-Dec 2018)

CCM	Requirement	Brief Description
Flag only	<b>CMM 2018-01 23</b>	Each purse seine vessel is limited to no more than 350 FADs with activated instrumented buoys
Flag only	<b>CMM 2018-01 Att 2 03</b>	Philippines vessels Entry/Exit reports for HSP1-SMA
Flag only	<b>CMM 2018-01 Att 2 04</b>	Philippines to ensure its flagged vessels report sightings of any fishing vessel to the Commission Secretariat (vessel type, date, time, position, markings, heading and speed)
Flag only	<b>CMM 2018-01 Att 2 05-06</b>	Specific requirements for deploying observers on Philippines vessels fishing in HSP-1
Flag only	<b>CMM 2018-01 Att 2 08</b>	Philippines to monitor landings by vessels operating in HSP1-SMA and collect reliable catch data by species
Flag only	<b>CMM 2018-01 27</b>	CCMs not to transfer fishing effort in days fished in the purse seine fishery to areas N20N and S20S
Flag only	<b>CMM 2018-01 31</b>	Purse seine catch retention requirements (20N - 20S)
Flag only	<b>CMM 2018-01 33</b>	Purse seine vessels are not to operate under manual reporting during FAD closure period
Flag only	<b>CMM 2018-01 34</b>	Requirement for purse seine vessels to carry a ROP observer
Flag only	<b>CMM 2018-01 35</b>	100% purse seine coverage: specific rules for vessels fishing exclusively in areas under its national jurisdiction
Flag only	<b>CMM 2018-02 05</b>	Requirement to cooperate for effective implementation of CMM, including juvenile catch reduction
Flag only	<b>CMM 2017-08 06</b>	Requirement to monitor and obtain prompt results of recruitment of juveniles each year
Flag only	<b>CMM 2017-08 07</b>	Requirement to take measures necessary to prevent commercial transaction of Pacific bluefin tuna and its products that undermine the effectiveness of this CMM
Flag only	<b>CMM 2017-08 09</b>	Requirement take measures to strengthen monitoring and data collection
Flag only	<b>CMM 2018-05 07</b>	Vessels to be prepared to accept an observer from the ROP, if required
Flag only	<b>CMM 2018-05 08</b>	CCM shall be responsible for meeting the level of observer coverage as set by the Commission.

CCM	Requirement	Brief Description
Flag only	<b>CMM 2018-05 09</b>	CCMs shall source observers for their vessels as determined by the Commission.
Flag only	<b>CMM 2018-05 10</b>	CCMs shall explain to the vessel captain, observer duties relevant to appropriate measures adopted by the Commission.
Oth	<b>CMM 2018-05 14</b>	CCM shall nominate a WCPFC National Observer Coordinator
Oth	<b>CMM 2018-05 Annex C 04</b>	ROP data submission
Flag only	<b>CMM 2018-05 Annex C 06</b>	CCMs shall achieve 5% coverage of the effort in each fishery under the jurisdiction of the Commission
Flag only	<b>CMM 2018-05 Annex C 08</b>	CCMs shall meet any additional ROP observer obligations as specified in CMMs
Flag only	<b>CMM 2018-06 02</b>	CCMs to ensure its fishing vessels only transship to/from, and provide bunkering for/ are bunkered by or otherwise supported by vessels on the RFV
Flag only	<b>CMM 2018-06 03</b>	CCMs should only allow its fishing vessels to be used for fishing, if properly authorised
Flag only	<b>CMM 2018-06 04</b>	Vessels authorization requirement

CCM	Requirement	Brief Description
Flag only	<b>CMM 2018-06 07</b>	Requirement to notify any additions, modifications and deletions of Vessels from the record, including for each vessel all details as set out in paragraph 6 of this CMM
Flag only	<b>CMM 2018-06 17</b>	Flag CCM to ensure its FVs have been placed on the RFV in accordance with this CMM. Vessels not on RFV shall be deemed not to be authorized to fish for, retain on board, transship or land HMFS in the CA beyond the national jurisdiction of its flag State
Oth	<b>CMM 2018-06 18</b>	CCMs to prohibit landings in ports or transshipment to vessels not on RFV
Flag only	<b>Convention Article 22 (4)</b>	Cooperation with IATTC, including implementation of the WCPFC9 decision (para 80 of WCPFC9 Summary Report)
Oth	<b>Convention Article 23 (3)</b>	Advice from members on its measures for areas under their national jurisdiction in the Convention Area
Flag only	<b>Convention Article 23 (4)</b>	Measures CCM adopted for regulating activities of fishing vessels flying its flag in Convention Area
Flag only	<b>Convention Article 24 (3)</b>	Requirement that condition of every authorization issued by flag CCM that the fishing vessel operates (i) in the high seas in accordance with Annex III and (ii) in areas under national jurisdiction only if it has required license/permits from coastal CCM

