



TECHNICAL AND COMPLIANCE COMMITTEE
Fifteenth Regular Session
25 September – 1 October 2019
Pohnpei, Federated States of Micronesia

**LIST OF CAPACITY ASSISTANCE REQUESTS
AND CAPACITY DEVELOPMENT PLANS**

WCPFC-TCC15-2019-8B
17 September 2019

Paper prepared by the Secretariat

Purpose

1. This paper summarizes Capacity Assistance Needs identified by CCMs, based on this year's Annual Report Part 2 reporting and/or draft Compliance Monitoring Report (draft CMR) replies. The summary provided also indicates where CCMs have provided submissions related to Capacity Development Plans (CMM 2018-07 paragraphs 14 – 15) as part of their replies to this year's draft CMR. The information in this summary is as at 10th September 2019.

Background

2. As in previous years, and in accordance with CMM 2018-07, CCMs may provide in their Annual Report Part 2 and in replies to draft CMRs, indications of areas where assistance is needed.

3. CMM 2018-07 paragraph 28 states:

28. Upon receipt of its dCMR, each CCM may, where appropriate, reply to the Executive Director no later than 28 days prior to TCC each year to:

- (i) provide additional information, clarifications, amendments or corrections to information contained in its dCMR;*
- (ii) identify any particular difficulties with respect to implementation of any obligations; or*
- (iii) identify technical assistance or capacity building needed to assist the CCM with implementation of any obligations.*

4. Also CMM 2018-07 paragraph 14 and 15 states:

14. Notwithstanding paragraph 4, where a SIDS or Participating Territory, or Indonesia or the Philippines cannot meet a particular obligation that is being assessed, due to a lack of capacity, that CCM shall provide a Capacity Development Plan to the Secretariat with their draft Compliance Monitoring Report (dCMR), that:

- (i) clearly identifies and explains what is preventing that CCM from meeting that obligation;*

- (ii) *identifies the capacity assistance needed to allow that CCM to meet that obligation;*
- (iii) *estimates the costs and/or technical resources associated with such assistance, including, if possible, funding and technical assistance sources where necessary;*
- (iv) *sets out an anticipated timeframe in which, if the identified assistance needs are provided, that CCM will be able to meet that obligation.*

15. *The CCM may work together with the Secretariat to draft the Capacity Development Plan. This plan shall be attached to that CCM's comments to the dCMR.*

5. In respect of Capacity Development Plans, Circular No.:2019-41 dated 2nd August, 2019 announced the availability online for each CCM of their draft CMR and included a Quick Guide on how to submit Capacity Development Plan with CCM's draft CMR response. The Circular also included a weblink link to the Capacity Development Plan template on the WCPFC website. The Secretariat has provided guidance to CCMs on request.

Link to the Strategic Investment Plan

6. The Commission at its meeting in December 2018 agreed to several decisions that formalized the linkage between the Strategic Investment Plan and CCM requested Capacity Assistance Needs and Capacity Development Plans once referenced in the provisional CMR. The relevant agreed decisions from WCPFC15 Summary Report were:

154. *The Commission approved the 2018 Strategic Investment Plan as a means to target investment to address the priority needs as identified by developing states, including effective participation. (Attachment F). The Commission agreed that this Plan would be updated annually by the Secretariat for approval by the Commission and that the Secretariat would report to the Commission on implementation of the Strategic Investment Plan each year.*

155. *The Commission agreed to make any capacity assistance needs identified in the provisional Compliance Monitoring Report and in Part 2 reports (as may be agreed by TCC each year to be contained in the Executive Summary of the Provisional Compliance Monitoring Report), public domain data that will inform annual updates of the Strategic Investment Plan.*

156. *The Commission agreed to make the reports against implementation of 2013-07 (or its successor) contained in Part 2 Annual Reports public domain data.*

157. *The Commission directed the Secretariat to develop a webpage dedicated to the Implementation of Article 30 of the Convention. The Commission considered that the publicly available website would include the following information:*

- *Current and historical Strategic Investment Plans*
- *Funding options, eligibility and application processes*
- *Special Requirements Fund proposals and project completion reports for projects >\$10,000*
- *2013-06 template and assessments to date*
- *Reports by CCMs on implementation of 2013-07*

7. The Secretariat confirms that the *Implementation of Article 30 of the Convention* webpage was established earlier in the year at this link:- <https://www.wcpfc.int/implementation-article-30-convention>. It presently contains most of the above listed information. A copy of the CMM 2013-07 reports from CCMs has been posted to this page, as well as to TCC15 meeting page as **WCPFC-TCC15-2019-11**.

Obligations where assistance needs were identified

8. The 2018 Strategic Investment Plan includes a list of Capacity Assistance Need areas from the Final Compliance Monitoring Report covering 2017 activities (see Table 1 below). The Secretariat confirms that all three CCMs provided replies in Annual Report Part 2 to these Capacity Assistance Needed score from the Final Compliance Monitoring Report (refer to **WCPFC-TCC15-2019-11**).

Table 1. List of Capacity Assistance Needs from the 2018 Final Compliance Monitoring Report covering 2017 activities

Kiribati for 5% ROP observer Coverage	Capacity Development Plan (CDP) submitted to TCC14	Assistance and funding is being sought from SPC
New Caledonia for VMS assistance	CDP submitted to TCC14	WCPFC technical assistance in country will be provided to New Caledonia in first quarter of 2019. New Caledonia has requested to attend a FFA VMS training, this has not been arranged as of yet.
Indonesia for Scientific data provision	CDP submitted to TCC13	Assistance and funding is being sought from SPC

9. The 2018 Strategic Investment Plan also includes a list of additional areas of capacity assistance identified by CCMs in their Annual Report Part 2 covering 2017 reporting year (see Table 2 below).

Table 2. List of additional areas of capacity assistance identified by CCMs in their Annual Report Part 2 covering 2017 reporting year

CMM	Notes about types of assistance requested	CCM
CMM 2006-08 - High Seas Boarding and Inspection CMM 2017-02 - Port Inspections	Some assistance has been received in work attachments, and supply of boarding gear. Additional assistance is needed in 1) information system (licensing and permitting database); 2) boarding and inspection database; 3) centralized database; 4) more staff for monitoring and data collection.	Fiji
CMM 2013-07 04-05 - Capacity development for personnel	Some assistance has been received in investigation training. Additional training is needed, including in work attachments, for database and data analysis. Assistance is needed in understanding CMMs. Need additional observer training and coordination Funding for international meetings	Fiji Solomon Islands Vanuatu

CMM	Notes about types of assistance requested	CCM
CMM 2013-07 10-11 - Capacity development for MCS activities	Some assistance has been received in investigation training and attachments. Additional resources needed for purchase of additional patrol assets (helicopters/aircraft and vessels). Assistance is needed to conduct international compliance inspections Assistance and support is needed to recognize the efforts that small islands with limited capacity make to comply with all CMMs and to investigate any alleged infringements by their vessels or occurring in their waters.	Fiji Kiribati
CMM 2017-06 - Seabird mitigation	Assistance in developing of seabird mitigation plan	Vanuatu

10. Table 3 below provides the additional obligation (CMM paragraphs) where one CCM has submitted a “Capacity Development Plan” within their reply to this year’s draft Compliance Monitoring Report covering 2018 activities.

Table 3. List of Capacity Assistance Needs from the draft Compliance Monitoring Report replies covering 2018 activities

for 100% PS observer Coverage for vessels fishing exclusively in areas under national jurisdiction (CMM 2017-01 35)	Capacity Development Plan (CDP) submitted to TCC15	An extended period is requested to implement the observer coverage requirement based on a proposed schedule set out in the CDP.
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11. Table 4 below provides the additional obligation (CMM paragraphs) where at least one CCM has indicated a capacity assistance need in Annual Report Part 2 covering 2018 reporting year (see Table 4).

Table 4. List of additional areas of capacity assistance identified by CCMs in their Annual Report Part 2 covering 2018 reporting year

CMM or CMM paragraph	Notes and types of assistance requested
CMM 2017-03 03-06, 11, 12 Observer Safety CMM	Assistance from FFA with this and other measures that require legislation changes
CMM 2013-07 04-05 Capacity development for personnel	Additional training is needed in the following areas: 1. Prosecution 2. Data analysis 3. MCS
CMM 2013-07 10-11 Capacity development for MCS activities	Assistance from developed partners to assist in both aerial and surface surveillance coverage

12. Authorised users of CCMs may view individual CCMs indications of assistance needs by:
 - a. reviewing the full draft Compliance Monitoring Report on the Intranet or in MS Excel export format and filtering by the appropriate column to show the CCMs and CMM paragraphs where CCMs had indicated they had an assistance need (“Capacity Development Plan = Applicable” or “Capacity Building requested = YES”); and
 - b. reviewing the Annual Report Part 2 on the Intranet or in MS Excel export format and reviewing individual CCMs reports for relevant obligations listed in Table 4.

13. Copies of new Capacity Development Plans submissions and reports in response to Capacity Assistance Needed scores from WCPFC15 are viewable by authorized users of CCMs through the secure side of the WCPFC website as **TCC15-2019-dCMR01-CDP**, **TCC15-2019-dCMR01**, and **WCPFC-TCC15-2019-AR Pt2** respectively.

Recommendation

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| <ol style="list-style-type: none">14. TCC15 is invited to consider the capacity assistance needs listed in Tables 1 to 4 and any other needs as may be identified by CCMs during TCC15’s review of draft CMRs when developing the Provisional Compliance Report and to note the task set out in paragraph 37 (ii) of CMM 2018-07. |
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