



**TECHNICAL AND COMPLIANCE COMMITTEE**  
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**COMPILATION OF IUU VESSEL CROSS-LISTING PROCEDURES OF TUNA RFMOs**

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**WCPFC-TCC15-2019-06A**  
**28 August 2019**

**Paper by the Secretariat**

**Purpose**

1. The paper presents for the information of TCC15 the current procedures used by tuna RFMOs (t-RFMO) to recognise the IUU Vessel Lists of other RFMOs (referred to in this paper as “cross-listing”).

**Background**

2. A paper was presented to TCC8 in 2012 which compiled IUU vessel listing procedures from t-RFMOs (**WCPFC-TCC8-2012-10**). The current paper updates that earlier paper with regard to the procedures used by t-RFMOs to recognise (cross-list) the IUU Vessel Lists of other RFMOs. It is generally accepted that sharing information between RFMOs is important to prevent vessels listed as engaging in IUU fishing in one RFMO from operating in other RFMOs.

**Cross Listing Procedures in t-RFMOs**

3. Annex 1 sets out the procedures of each of the t-RFMO to cross-list the IUU Vessel Lists of other RFMOs. In summary, all the t-RFMOs, except WCPFC, provide for cross-listing of the IUU Vessels Lists of other t-RFMOs. In some t-RFMOs (IATTC and IOTC) this extends to the IUU Vessel Lists of other RFMOs. CCSBT provides for the possibility of cross-listing, but these procedures have not been used. CCSBT does not have any vessels listed on its IUU Vessel List.

**Recommendation**

4. TCC15 is invited to note this paper.
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**Annex 1: Cross-Listing Procedures in t-RFMOs**

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<i>RFMO and Measure</i>	<i>Summary of Procedures</i>
<b>WCPFC</b> CMM 2010-06 (paragraph 23)	<b>No specific provision for cross-listing</b> Requires the Executive Director to take measures necessary to ensure publicity of the WCPFC IUU Vessel List, including placing it on the WCPFC website, and transmitting it to the FAO and to other RFMOs.
<b>IATTC</b> Resolution C-19-02 (paragraphs 19-24)	<b>Cross-listing</b> Upon receipt of the Final IUU Vessel Lists established by CCAMLR, CCSBST, ICCAT, IOTC, GFCM, NAFO, NEAFC, NPFC, SEAFO, SIOFA, SPRFMO and WCPFC, the Director circulates the lists to CPCs. Vessels that have been added to or deleted from the respective organizations' Final IUU Vessel Lists shall be incorporated into or deleted from, as appropriate, the IATTC IUU Vessel List, unless any CPC formally objects in writing within 30 days of the date of transmittal by the Director. Where there is an objection, the case is reviewed by the relevant Committee. Vessels removed from the IUU Vessel List of an RFMO are removed from the IATTC IUU Vessel List.
<b>ICCAT</b> Rec GEN 11-18 (paragraph 11) Rec GEN 14-11	<b>Cross-listing</b> Upon receipt of the final IUU vessel list established by another t-RFMO and supporting information, the Executive Secretary circulates this information to CPCs. Vessels that have been included on or deleted from the respective lists shall be included on or deleted from the ICCAT IUU Vessel List as appropriate, unless any Contracting Party objects within 30 days of the date of transmittal by the Executive Secretary on the grounds that: i) there is satisfactory information to establish that: the vessel did not engage in the IUU fishing activities identified by the other RFMO, or that effective action has been taken in response to the IUU fishing activities, or ii) there is insufficient supporting information and other information regarding the listing determination to establish that none of the conditions in i) have been met. In the event of an objection to a vessel listed by another t-RFMO being included on the final ICCAT IUU Vessel List, such vessel is placed on the Draft IUU Vessel List and considered by the relevant Committee. Rec 14-11 contains additional detail on the process for cross-listing.
<b>IOTC</b> Resolution 18/03 (paragraphs 31-38)	<b>Cross-listing</b> Upon receipt of IUU Vessel Lists and relevant information from CCAMLR, CCSBT, ICCAT, SEAFO, SIOFA, SPRFMO and WCPFC, the IOTC Executive Secretary shall promptly circulate it to all CPCs. Vessels that have been included in the IUU vessel lists of the RFMOs shall be included in the IOTC IUU Vessel List, unless any CPC objects to the inclusion in writing within 30 days of the date of transmittal by the Executive Secretary. The objecting CPC shall explain the reason for the objection. In the event of an objection, the case is brought to the Compliance Committee for examination. Vessels removed from the IUU Vessel List of an RFMO are removed from the IOTC IUU Vessel List.
<b>CCSBT</b> Resolution 2017 on IUU vessel list (paragraph 20)	<b>Cross-listing on a case by case basis</b> The Extended Commission may consider cross-listing IUU vessel lists with all other tuna Regional Fisheries Management Organisations and relevant organisations on a case by case basis as agreed by the Extended Commission.