



TECHNICAL AND COMPLIANCE COMMITTEE
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Majuro, Republic of the Marshall Islands

OVERVIEW OF COMPLIANCE MONITORING SCHEME MATTERS FOR TCC14

WCPFC-TCC14-2018-08A
17 September 2018

Paper prepared by the Secretariat

Purpose

1. The paper provides an overview of the WCPFC online reporting systems used to support the Compliance Monitoring Scheme and includes some observations from the Secretariat on this year's draft CMR. There are three accompanying papers that cover the specific topics of:
 - a. List of Capacity Assistance Requests and Capacity Development Plan (WCPFC-TCC14-2018-08B);
 - b. Trial Summary Tables of Flag CCM responses to alleged infringements notified in the WCPFC online compliance case file system - revision 1 (WCPFC-TCC14-2018-dCMR02); and
 - c. Update on submission of Annual Report Part 1 and Part 2 (WCPFC-TCC14-2018-IP01).
2. TCC14 is invited to consider this paper together with the three accompanying papers on Compliance Monitoring Scheme matters and as appropriate, make recommendations to WCPFC15.

Background

3. The Commission has been implementing the WCPFC Compliance Monitoring Scheme since 2011. Its current purpose is described in paragraph 1 of CMM 2017-07, as:

“to ensure that Members, Cooperating Non-Members and Participating Territories (CCMs) implement and comply with obligations arising under the Convention and conservation and management measures (CMMs) adopted by the Commission. The CMS is designed to:

 - (i) assess CCMs' compliance with their obligations;*
 - (ii) identify areas in which technical assistance or capacity building may be needed to assist CCMs to attain compliance;*
 - (iii) identify aspects of conservation and management measures which may require refinement or amendment for effective implementation;*

(iv) respond to non-compliance through remedial options that include a range of possible responses that take account of the reason for and degree of non-compliance, and include cooperative capacity-building initiatives and, in case of serious non-compliance, such penalties and other actions as may be necessary and appropriate to promote compliance with CMMs and other Commission obligations;¹ and

(v) monitor and resolve outstanding instances of non-compliance.

Footnote 1: In accordance with the process for identifying responses to non-compliance adopted by the Commission to complement the Scheme, as provided for in paragraph 38 of this measure.

4. The Compliance Monitoring Scheme is presently under Review by Members.¹ In April 2017 an Independent Panel was appointed comprised of Don MacKay (Chair), Andrew Wright and Christopher Rogers. The objective of the review was to assist CCMs to improve compliance with the Convention and CMMs and to this end, the review will be forward looking and provide clear recommendations on how best to implement the CMS. At WCPFC14 (2017), a substantive report was presented by the Independent Panel (WCPFC14-2017-25B) and a short paper by the Secretariat was also tabled WCPFC14-2017-25A. The final report from the Panel was issued on 7 March 2018 for consideration by Members. On 19 March 2018 the final report was reissued, with the only change being the inclusion of an Executive Summary that was inadvertently omitted (TCC14-2018-10C).
5. At WCPFC14 (2017), the Commission established an Intersessional Working Group on the Review of the Compliance Monitoring Scheme (CMS IWG). The tasks of the CMS IWG are to facilitate consideration of the Report from the Independent Review of the CMS and develop a proposed CMM for the Compliance Monitoring Scheme for consideration at WCPFC15 (2018). The Commission agreed that the Republic of Marshall Islands would lead the Intersessional Working Group (WCPFC14 Summary Report, paragraph 461). The CMS IWG has been working virtually through 2018, a face-to-face meeting of the CMS IWG was approved, through an intersessional decision, and will be held on Tuesday 25th September in Majuro, Republic of Marshall Islands immediately prior to TCC14. CMM 2017-07 paragraph 41 says that the measure will be effective for 2018 only.

2018 CCM Annual Reports and draft CMR submissions

6. Key dates for CCM annual reporting, draft CMRs and the associated WCPFC online systems in 2018 were:
 - i. **27 February:** *2018 Suggested Checklist for Reporting Requirements and Annual Report Part 1 updated template* was published to WCPFC website;
 - ii. **7 March:** The Secretariat revised the template for *WCPFC Investigation Status Report for draft CMR for draft CMR* that responds to WCPFC14 outcomes. (Notification to CCMs was via *WCPFC Circular 2018/17*);

¹ A background note about the Review of the CMS can be found at this link: <https://www.wcpfc.int/node/31880>

- iii. **15 March:** Annual Report Part 2 online system was made available for CCMs use, which is four (4) months in advance of the reporting deadline of 1 July. (Notification to CCMs was via *WCPFC Circular 2018/17* and this included a 22-page quick guide to assist CCMs with completing Annual Report Part 2, Managing Compliance Cases and introduced a new initiative this year to support and assist flag CCMs in tracking and reporting on the status of the individual case investigations, that relate to specific 2015 and 2016 Flag State Investigation scores in past years final CMR reports);
 - iv. **22 March:** Fish/did not fish template (CMM 2017-05 09) sent out to CCMs, which is four (4) months in advance of the reporting deadline of 1 July;
 - v. **2 May:** CCMs were advised of additional 2016 and new 2017 alleged infringements based on ROP observer data that had been included in the online Compliance Case file system; work had commenced to upload supporting documentation for this year's draft CMR on the secure side of the WCPFC website CCM portals; and files posted include issuance for each flag CCM supporting files of 2018 monthly WCPFC VMS reporting status for each of their vessels (Notification to CCMs was via *WCPFC Circular 2018/28*);
 - vi. **1 July:** Deadline for Annual Report Part 2 and Fish/did not fish template submissions (CMM 2017-05 09);
 - vii. **9 July:** Deadline for Annual Report Part 1 submissions;
 - viii. **2 August:** The Secretariat issued draft CMRs for thirty-seven (37) CCMs and one collective group of Members for individual CCM review. The online system for CMR was updated by the Secretariat considering the WCPFC14 agreed list of obligations and the requirements of CMM 2017-07. CCMs were also advised that Trial summary tables of flag CCM responses to alleged infringements notified in the WCPFC online Compliance Case file system had also been issued with the draft CMR (TCC14-2018-dCMR02). The paper summarises the range of cases and information held by the Secretariat and is for the information of CCMs, and while it was based on CMM 2017-07, it is without prejudice to any decisions following the Review of the Compliance Monitoring Scheme. (Notification to CCMs was via *WCPFC Circular 2018/44* - which included a 19-page quick guide to assist CCMs with submitting replies to the draft CMR online and Managing Compliance Cases);
 - ix. **29 August:** cut-off date for CCM replies to their draft CMR, if the CCM would like their responses to be considered in the full draft CMR (refer to *CMM 2017-07 para 24*); and
 - x. **12 September:** The full draft CMR was made available for all CCMs review, in advance of TCC14. (Notification to CCMs was via *WCPFC Circular 2018/53* and a revision 1 of the paper indicating the range of cases and information held by the Secretariat and recording of the responses from flag CCMs was also issued for the information of CCMs on the secure pages of the WCPFC website (TCC14-2018-dCMR02_rev1)). The Secretariat will separately post any additional CCM replies received on the draft CMR prior to the start of TCC14 (refer to *CMM 2017-07 para 26*).
7. One of the key inputs to the Compliance Monitoring Scheme since its implementation has been the draft CMR which the Secretariat is tasked to prepare and issue around late

July/early August each year. In the early years of CMS implementation (2011 -2013), the scope of the draft CMR was as a compilation of "...information received through Part 1 and 2 Annual Reports, other reporting obligations, the transshipment program, the regional observer program, the Vessel Monitoring System and any other data collection programs of the Commission."

8. Since 2014, the scope of the draft CMR has been expanded to what is contained in paragraph 22 of CMM 2017-07:

"22. Prior to the annual meeting of the TCC, the Executive Director shall prepare a Draft Compliance Monitoring Report (the Draft Report) that consists of individual draft Compliance Monitoring Reports (dCMRs) concerning each CCM and a section concerning collective obligations arising from the Convention or CMMs related to fishing activities managed under the Convention. Each dCMR shall reflect information relating to the relevant CCM's implementation of obligations as reflected in paragraph 3 or modified by paragraph 15, as well as any potential compliance issues, where appropriate. Such information shall be sourced from reports submitted by CCMs as required in CMMs and other Commission obligations, such as Parts 1 and 2 of the Annual Reports as well as information available to the Commission through other data collection programs, including but not limited to, high seas transshipment reports, regional observer program data and information, Vessel Monitoring System information, High Seas Boarding and Inspection Scheme reports, and charter notifications; and where appropriate, any additional suitably documented information regarding compliance during the previous calendar year. The Draft Report shall present all available information relating to each CCM's implementation of obligations for compliance review by TCC."

9. In response to the scope of the draft CMR, the breadth and depth of analysis undertaken by the Secretariat in preparation of draft CMRs has been expected to incrementally expand over the years of implementing the Compliance Monitoring Scheme. The Secretariat's response to the draft CMR task, has included careful work planning and efforts to build and enhance WCPFC IMS hosted databases for centralizing records of CMM reporting and development of integrated MCS analytical tools at the Secretariat.
10. Table 1 below provides as a comparison, the key statistics related to each year's draft CMR report. Annex IIIA and Annex IIIB to this paper provides the list of the CMM paragraphs and Convention provisions which were agreed by WCPFC14 to be included in the full draft CMR, grouped by section and by CMM.

Table 1. Summary of key statistics for the draft CMRs prepared by WCPFC Secretariat 2011 - 2018

Date of CMR review	CMS CMM ref.	Covering year	# of draft CMR sections	Number of CMMs which were covered by the draft CMR	# CCMs	Rows per CCM in draft CMR	Total number of rows for full draft CMR
2011	2010-03	2010	5	14 + Scientific Data to be Provided <i>(list was determined by Secretariat and guided by para 3 of CMM 2010-03)</i>	37	75	2775
2012	2011-06	2011	5	14 + Scientific Data to be Provided <i>(list was determined by Secretariat and guided by para 3 of CMM 2011-06)</i>	33	74	2442
2013	2012-02	2012	5	17 + Scientific Data to be Provided <i>(list was determined by Secretariat and guided by para 3 of CMM 2012-02)</i>	39	62	2418 (1048 were "applicable")
2014	2013-02	2013	8	25 CMMs, Convention and Scientific Data to be Provided <i>(list was determined by Secretariat and guided by para 3 of CMM 2013-02)</i>	38	132	5019 (2300 were "applicable")
2015	2014-07	2014	7	13 CMMs, Convention and Scientific Data to be Provided <i>(list based on Commission agreed 3-year multi-year list of obligations to be assessed in CMR)</i>	36	107 -111	3861 (1671 were "applicable")
2016	2015-07	2015	8	19 CMMs, Convention (4) and Scientific Data to be Provided (4) <i>(list based on Commission agreed 3-year multi-year list of obligations to be assessed in CMR)</i>	37	120	4442 (1879 were "applicable")
2017	2015-07	2016	8	23 CMMs, Convention (6) and Scientific Data to be Provided (4) <i>(list based on Commission agreed 3-year multi-year list of obligations to be assessed in CMR)</i>	37	124	4590 (2017 were "applicable")
2018	2017-07	2017	8	12 CMMs and Scientific Data to be Provided (4) <i>(list based on WCPFC14 agreed list of obligations to be assessed in 2018 CMR)</i>	37	91	3369 (1422 were "applicable" of which 29% were section vii issues)

WCPFC Online Reporting Systems used to support the Compliance Monitoring Scheme

11. As has been the case since 2013, the WCPFC Compliance Monitoring Scheme continues to be supported by the WCPFC online reporting systems.
12. In 2018, the WCPFC online reporting systems used to support the Compliance Monitoring Scheme was comprised of seven (7) lists that are hosted on the WCPFC Intranet using a Microsoft Sharepoint web application platform:
 - i. **Annual Reports Part 2 (RY 2017):** All CCMs are required to submit an Annual Report Part 2 to the Commission (177 questions);
 - ii. **Addressing CMR-2016 Issues:** This list is part of the 2017 Annual Report Part 2 and was relevant to only those CCMs who had a score of “Non-Compliant”, “Priority Non-Compliant”, or “Capacity Assistance Needed” in the Final CMR Report adopted by WCPFC14. CMM 2017-07 paragraph 36 says: *“Each CCM shall include, in its Part 2 Annual Report, any actions it has taken to address non-compliance identified in the Compliance Monitoring Report from previous years.*
 - iii. **Flag State Investigation Issues:** This list is part of the 2017 Annual Report Part 2 facility to assist Flag CCMs in providing responses to scores of “Flag State Investigation” in the Final CMR Report adopted by WCPFC14. This facility allowed CCMs to provide reports on the status of investigations that are ongoing related to 2015 and 2016 alleged infringements.
 - iv. **MTU Audit Inspections:** This list is relevant to CMM 2014-02 9a VMS SSPs 7.2.2 and CMM 2014-02 9a VMS SSPs 7.2.4 (Annual Report (RY 2017) Q-101 and/or Q-102). All CCMs that answered “YES” to one or both of these questions in Annual Report Part 2 should have completed this section. This list can be accessed by CCMs throughout the year, and is not time-limited;
 - v. **01-July-2017 Reporting Deadline Checklist:** designed as a tool to allow CCMs to confirm to the Secretariat that they have completed one or more of the relevant annual reporting online lists for Annual Report Part 2. It also reminded CCMs about CMM 2017-05 paragraph 9 reporting requirement (Fished/Did Not Fish report) which was also due on 1 July 2018, and which is an important report for draft CMR reviews;
 - vi. **WCPFC Online Compliance Case File system:** to track individual alleged violations (for further details please refer to WCPFC-TCC14-2018-dCMR02_rev1);
and
 - vii. **Compliance Monitoring Reports (2017):** the template was based on the WCPFC11 agreed template and CMM 2017-07 (Annex I provides the template for 2017 draft Compliance Monitoring Report). CCM reported information that is completed in the online reporting system for Annual Report Part 2 (YES/NO/not applicable and any explanations) and online reporting system for submitting replies to the draft CMR, assists the Secretariat with accurately transferring the CCM reported information into the full Compliance Monitoring Report (2017). The Compliance Monitoring Report template (2017) also collates information CCMs have submitted as part of draft CMR replies of Investigation Status Reports, as stipulated under CMM 2017-07 paragraph 8 and of Capacity Development Plans as stipulated under CMM 2017-07 paragraph 5.

13. Annex I to this paper provides the template for 2017 draft Compliance Monitoring Report. Annexes II – III to this paper provide the list of CMM paragraphs that were included in the 2018 draft CMR and Annex IV provides the list of CMM paragraphs and supporting notes that were included in Annual Report Part 2 list covering 2017 activities.
14. In 2018, the Secretariat has also continued the practice of using the individual CCM portal page on the secure side of the WCPFC website (each CCM has access to their own CCM portal, and not that of others) to facilitate the dissemination of additional supporting information relevant to draft CMRs. As in past years, the Secretariat commenced posting supporting information relevant to draft CMR in February 2018 (WCPFC Circular 2018/28).
15. The successful delivery of the WCPFC online systems continue to be a result of the combined efforts of the WCPFC Secretariat Compliance and IT staff, IMS contractor Taz-E P/L and website contractor Eighty Options. The Secretariat also acknowledges the contribution of SPC-OFP colleagues in 2017/2018 who continued to provide considerable assistance to the Secretariat so that ROP-data could continue to be used to support the online Compliance Case File system.

WCPFC Compliance Monitoring Scheme outcomes by applicable CCMs under the Compliance Monitoring Scheme (CMS) 2013-2017

16. In 2018, the Secretariat gave some consideration to alternative means of conveying the outcomes from the Compliance Monitoring Scheme. The ‘spider chart’ presented in Figure 1 below, which is based on a similar graphic used in IOTC, is one such initiative that was explored by the Secretariat. The chart summarises the result of evaluations of the obligations under the CMS since 2013 (covering reporting year 2012 (RY2012) to RY 2016)).² This spider chart represents the proportion of applicable CCMs which were scored as having fully implemented an obligation in the final CMR for each of the relevant obligations included in the category. “Fully Implemented” means the score for a CCM for the obligation that was not Flag State Investigation, Capacity Assistance Needed, Non-Compliant nor Priority Non-compliant. Figure 1 shows a general trend of improvements in implementation by applicable CCMs with the various groups of obligations included in the categories of obligations that were evaluated by the Commission in the Compliance Monitoring Scheme. For example, there were general improvements over time by applicable CCMs in the outcomes from the Compliance Monitoring Scheme for transshipment-related obligations, Record of Fishing Vessels and Scientific Data decision. There has been some improvement, but applicable CCMs are facing ongoing challenges in meeting other reporting obligations including deadlines.
17. In each of this year’s regular Annual Report on MCS programmes (WCPFC-TCC14-2018-RP01 – RP06 and IP01), the Secretariat has included topic specific spider charts to demonstrate trends in the outcomes from the Compliance Monitoring Scheme.

² This graphic and notes was shared with the Independent Review Panel

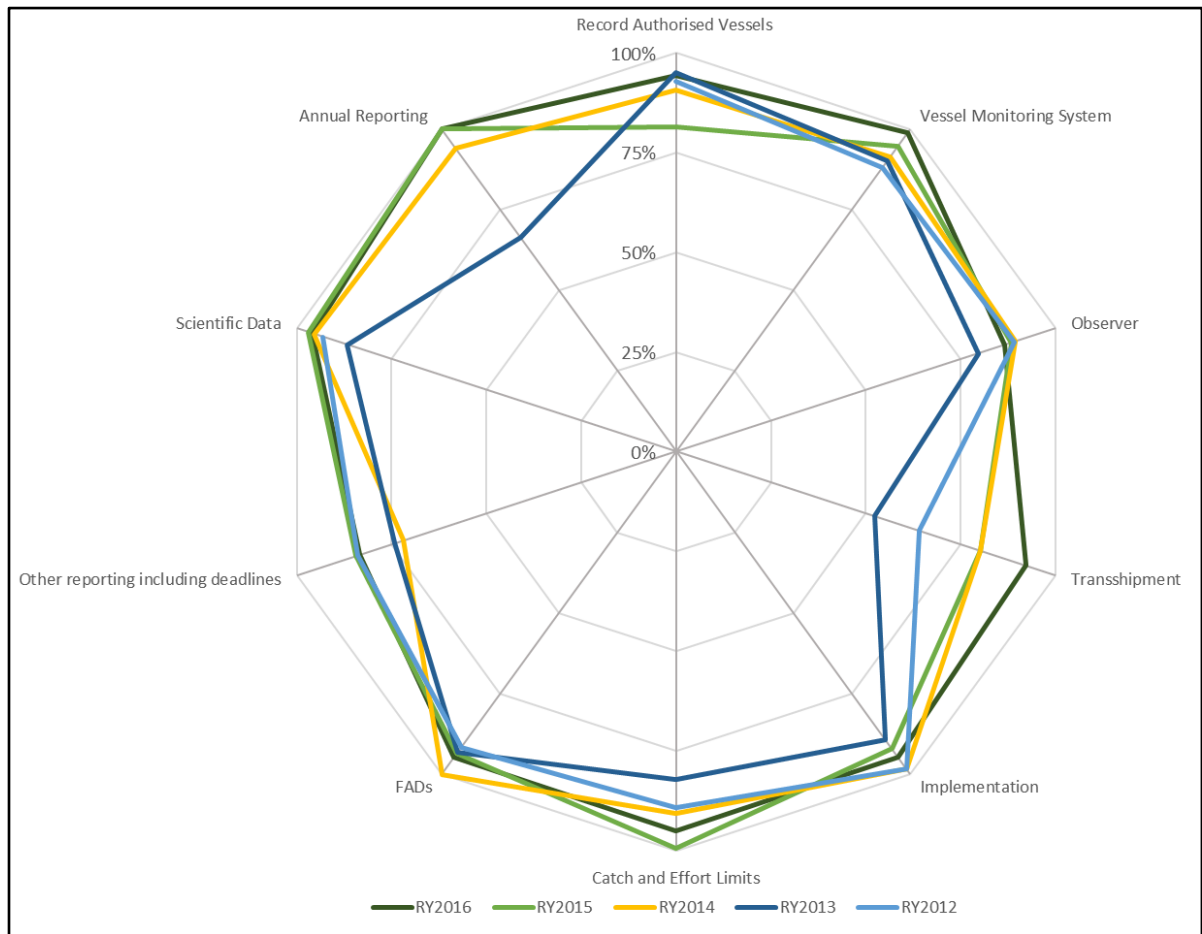


Figure 1 Summary of WCPFC Compliance Monitoring Report Outcomes 2013-2017**

****The % value shown is an average of the proportion of applicable CCMs which were assessed to have “fully implemented” the group of obligations within that category across the final CMR scores e.g. 100% = in that reporting year, all CCMs to whom the relevant group of obligations within that category were applicable, were assessed by the Compliance Monitoring Scheme to have fully implemented the obligations. The proportion of these scores amongst the applicable CCMs may differ amongst the various obligations within that category e.g. some obligations could have 100% compliant scores for applicable CCMs and others could include 30%). The obligations were for: Record of Authorized Vessels: CMM 2009-01, CMM 2014-03, CMM 2013-10 and CMM 2013-03; Vessel Monitoring System: CMM 2007-02 and CMM 2014-02; Observers: includes coverage requirements in CMM 2007-01 and other CMMs; Transshipment: 2009-06; Implementation: Various obligations that involve CCMs undertaking implementation (CMM 2013-05, CMM 2006-08) Catch and effort limits: includes most CMR section (i) requirements where there is a clear catch, effort and/or capacity limit; FAD: FAD provisions - generally from the tropical tuna CMM and includes both reporting and FAD use restrictions; Annual Reporting: Submission of Annual Report Part 1 and Annual Report Part 2 (not included in RY 2012); Scientific data: Decision on scientific data submission obligations; Other: All reporting (CMR section vii) and other reporting requirements in various CMMs.**

Secretariat Observations

18. The Secretariat makes the following observations on the Compliance Monitoring Scheme:
- a. In 2018, the compliance case file system has continued to expand with additional Article 25(2) and updated ROP data covering 2016/18 periods;
 - b. The preparation and posting to CCM portals of WCPFC VMS reporting supporting files related to 2017/18 has improved the communications between the Secretariat and relevant flag CCMs regarding the VMS reporting status for their vessels;
 - c. The content and depth of analysis undertaken by the Secretariat in preparation of draft CMRs has been maintained and was supported by previous years and ongoing investments by the Commission in the development and enhancement of the WCPFC IMS hosted databases for CMM reporting at the Secretariat and the development of the WCPFC online reporting systems;
 - d. In response to the tasks in CMM 2017-07, and its earlier iterations, the Secretariat MCS/compliance staff carefully structure and manage their work program and timelines during the period of late April through the end of September, to meet the specified draft CMR deadlines and TCC annual meeting commitments and deadlines;
 - e. The Commission continuing its practice of approving a list of obligations to be assessed under CMR (WCPFC14 Summary Report Attachment V) assisted tremendously the Secretariat with: (i) early issuance of the online Annual Report Part 2 system for CCMs (4 months in advance of the deadline); (ii) providing notes to remind CCMs of the obligations that will be reviewed in the draft CMR in a particular year in AR Pt 2 template; (iii), its own internal preparations of the online systems for Compliance Monitoring Report development; and (iv) forward planning the investigation of analytical approaches and scheduling the development of IT tools needed to support draft CMR preparations;
 - f. The additional efforts that many CCMs have made to make earlier annual report submissions has been appreciated by the Secretariat and in 2018 did assist the Secretariat with meeting the draft CMR issuance deadline in CMM 2017-07 (see *WCPFC-TCC14-2018-IP01*);
 - g. Looking towards 2018 and onward, the Compliance Monitoring Scheme-related tasks for the Secretariat will be dependent on what the new CMS CMM will look like because CMM 2017-07 paragraph 41 says that the measure will be effective for 2018 only; and
 - h. All general Annual Report Part 2 and draft CMR inquiries and requests for assistance should be copied to the email address: contact.ar@wcpfc.int.

Recommendation

19. TCC14 is invited to note the paper.

Annex I – Updated template for 2017 draft Compliance Monitoring Report (based on CMM 2017-07 and WCPFC11 agreed template (Attachment W of WCPFC11 Summary Report))

CCM	
CMR Section	<i>The equivalent of para 3 of CMM 17-07</i>
CMM Paragraph	<i>The list of CMM paragraphs as agreed by WCPFC14 (WCPFC14 Summary Report Attachment V)</i>
CCM Assessment	<p><i>CCM advice in Annual Report part 2:</i></p> <p><i>* YES (fully implemented),</i></p> <p><i>* NO (not fully implemented)</i></p> <p><i>*N/A (not applicable)</i></p>
CCM Implementation	<p><i>May include as appropriate:</i></p> <p><i>CCM explanation from Annual Report Part 2</i></p>
Potential Issue	<p>choice of:</p> <p><i>*no issues detected;</i></p> <p><i>*additional information;</i></p> <p><i>*potential compliance issue.</i></p>
Secretariat Explanation	<i>text explanation as the basis for the identification of a potential issue by WCPFC Secretariat</i>
CCM Additional Information	<i>The equivalent of para 27 of CMM 17-07</i>
Capacity Building Requested	<i>The equivalent of para 24 (iii) of CMM 17-07</i>
Capacity Development Plan	<i>Applicable if CCM submitted a reply to draft CMR under heading of “Capacity Development Plan” (CMM 17-07 para 05)</i>
Investigation Status Report	<i>Applicable if CCM submitted a reply to draft CMR under heading of “Investigation Status Report” and/or included replies to relevant cases in Compliance Case File online system (CMM 17-07 para 08)</i>
Potential Issue – TCC Assessment	Completed by TCC based on the equivalent of Annex I in CMM 2017-07
TCC Explanation	Completed by TCC

Annex II - Explanatory notes on columns in full draft CMR covering 2017 activities, prepared by the WCPFC Secretariat and in accordance with WCPFC11 agreed template (Attachment W of WCPFC11 Summary Report)

CMR Section: The sections are intended to reflect the relevant bullet points listed in paragraph 3 and also paragraph 4 of CMM 2017-07, and they are:

- (i) Catch and effort limits for target species;
 - (ii) Catch and effort reporting for target species;
 - (iii) Reporting including with respect to implementation of measures for non-target species;
 - (iv) Spatial and temporal closures and restrictions on the use of fish aggregating devices (FADs);
 - (v) Authorisation to fish and RFV, observer, VMS coverage and HSBI scheme;
 - (vi) Provision of scientific data; and
 - (vii) Submission of AR Part 2 and compliance with other reporting deadlines, including compliance with CMM 2017-07 para 36.
- Collective obligations as per para 4 of CMM 2017-07

CMM paragraph -

refers to the specific paragraph within a Conservation and Management Measure (CMM), or the Article and subparagraph of the Convention. The list of obligations is based on the list of obligations adopted at WCPFC 14 (WCPFC 14 para 477, Attachment V)

CCM Assessment – this was transferred from the same paragraph which was in AR Pt 2 covering 2017 activities – choice of YES (fully implemented), NO (not fully implemented) or N/A]. Note that this will be "blank" where a CCM had not completed this field in AR Pt 2, prior to the full draft CMR being completed.

Applicability checked – populated by Secretariat.

In some cases, this might differ from a CCMs assessment in AR Pt 2, and was made based on the Secretariats understanding of the nature of the requirement and the characteristics of a particular CCMs fishery, eg whether a requirement applies to flag CCMs, or coastal CCMs.

CCM Implementation - transferred from free-text box AR Pt 2 covering 2017 activities

Any text explanations that CCMs have provided in AR Part 2 for their YES, NO or N/A answer in AR Pt 2 for the same CMM or Convention reporting requirement.

Potential Issue - populated by Secretariat

choice of: no issues detected; need additional information; potential compliance issue.

Secretariat Explanation - populated by Secretariat

– text explanation for the choice made by the Secretariat of potential issue. As applicable, there may be notes on supplementary information, including as applicable:

- quotes or notes from AR Pt1 reported information,
- notes on relevant information from WCPFC records and information from WCPFC databases,
- notes on updated information and advice from SPC, and
- a short reference (CMR issue (RY 2012 and/or RY 2013 and/or RY2014)) to highlight that the CCM had a previous year CMR issue for this CMM requirement or for a similar provision under a CMM which was replaced in 2014.

Summary of Issue - populated by Secretariat

– this column is closely related to the Secretariat explanation, and is a short title that seeks to summarize the Secretariat Explanation where “need additional information” or “potential compliance issue” has been chosen (this field is left blank where the potential issue choice was “no issues detected”).

CCM Additional information – populated from any replies that WCPFC received as a reply to the draft CMR report– these include those provided in the online draft CMR system to a potential issue and any received by email or through the CCM portal. In some instances the Secretariat has included as notes some replies to CCMs comments and/or notes on additional information received. A cell will be blank either because the Secretariat had chosen “no issues detected” in the draft CMR report sent to each CCM, or because the CCM chose not to provide a reply to the draft CMR before August 29 (paragraph 12 of CMM 2017-07).

Capacity building requested - populated by the Secretariat, includes "YES" when CCM has noted in information that they require assistance. May include requests to subregional agencies

TCC Assessment/Potential Issue-TCC – to be populated by TCC/CMS working group

As a suggestion there could be a choice of: "no issues detected"; "potential compliance issue" and "not assessed".

TCC Explanation – to be populated by TCC/CMS working group

Text field which as a suggestion might be used by TCC for including

- Notes on corrective actions that the CCM is to undertake or capacity building that a CCM needs; and/or
- Notes on TCC’s assessment based on the compliance status and next steps table, in Annex I to CMM 2017-07.

Annex IIIA: List of CMM paragraphs reviewed in 2017 draft CMR, grouped by eight draft CMR sections

(i) Catch and effort limits for target species

CMM 2005-03 02
CMM 2009-06 29
CMM 2009-06 34
CMM 2010-01 05
CMM 2010-07 09
CMM 2013-08 01
CMM 2015-02 01
CMM 2016-01 16
CMM 2016-01 18
CMM 2016-01 22
CMM 2016-01 23
CMM 2016-01 25
CMM 2016-01 40
CMM 2016-01 41
CMM 2016-01 47
CMM 2016-01 49
CMM 2016-01 51
CMM 2016-01 52
CMM 2016-01 Att C 10
CMM 2016-04 03 (1)
CMM 2016-04 03 (2)
CMM 2016-04 04

(ii) Catch and effort reporting for target species

CMM 2005-03 03
CMM 2005-03 04
CMM 2009-06 11

CMM 2009-06 35 a (ii)
CMM 2009-06 35 a (iii)
CMM 2009-06 35 a (iv)
CMM 2010-01 08
CMM 2015-02 04
CMM 2016-01 19
CMM 2016-01 24
CMM 2016-01 44
CMM 2016-01 48
CMM 2016-01 Att C 03
CMM 2016-01 Att C 08
CMM 2016-04 06
CMM 2016-04 12

(iii) Reporting including with respect to implementation of measures for non-target species

CMM 2011-04 03
CMM 2013-08 03
CMM 2016-01 30
CMM 2016-01 50
CMM 2016-01 57
CMM 2016-01 59

(iv) Spatial and temporal closures and restrictions on the use of FADs

CMM 2016-01 14
CMM 2016-01 16
CMM 2016-01 18
CMM 2016-01 37

(v) Authorizations to fish and RFV, Observer, VMS coverage, Transshipment and HSBI Scheme

CMM 2007-01 10
CMM 2007-01 14 (vii)
CMM 2007-01 Attachment K Annex C 06
CMM 2009-06 13
CMM 2013-10 02
CMM 2013-10 03
CMM 2013-10 04
CMM 2013-10 07
CMM 2013-10 09
CMM 2013-10 17
CMM 2014-02 04
CMM 2014-02 9a
CMM 2014-02 9a VMS SSPs 2.8
CMM 2014-02 9a VMS SSPs 7.2.2
CMM 2016-01 33
CMM 2016-01 34
CMM 2016-01 Att C 05-06

(vi) Provision of Scientific Data

SciData 01
SciData 02
SciData 03
SciData 05

(vii) Submission of AR Part 2 and compliance with other reporting deadlines

CMM 2005-03 03
CMM 2007-01 Attachment K Annex C 06
CMM 2009-06 11

CMM 2009-06 35 a (iii)
CMM 2009-06 35 a (iv)
CMM 2010-07 12
CMM 2011-04 03
CMM 2013-08 03
CMM 2013-10 07
CMM 2013-10 09
CMM 2014-02 9a VMS SSPs 7.2.2
CMM 2016-01 16
CMM 2016-01 16 footnote 3
CMM 2016-01 23
CMM 2016-01 24
CMM 2016-01 37
CMM 2016-01 44
CMM 2016-01 Att C 03
SciData 01
SciData 02
SciData 03
SciData 05

Collective obligations as per para 4 of CMM 2017-07

CMM 2016-01 20
CMM 2016-01 24

Annex IIIB: List of CMM paragraphs reviewed in 2017 draft CMR, grouped by CMM

CMM 2005-03 NP Albacore	
CMM 2005-03 02	cmr(i)
CMM 2005-03 03	cmr(ii)
CMM 2005-03 04	cmr(ii)
CMM 2007-01 ROP	
CMM 2007-01 10	cmr(v)
CMM 2007-01 14 (vii)	cmr(v)
CMM 2007-01 Attachment K Annex C 06	cmr(v, vii)
CMM 2009-06 Transshipment	
CMM 2009-06 11	cmr(ii, vii)
CMM 2009-06 13	cmr(v)
CMM 2009-06 29	cmr(i)
CMM 2009-06 34	cmr(i)
CMM 2009-06 35 a (ii)	cmr(ii)
CMM 2009-06 35 a (iii)	cmr(ii, vii)
CMM 2009-06 35 a (iv)	cmr(ii, vii)
CMM 2010-01 Striped Marlin	
CMM 2010-01 05	cmr(i)
CMM 2010-01 08	cmr(ii)
CMM 2010-07 Sharks	
CMM 2010-07 09	cmr(i)
CMM 2010-07 12	cmr(vii)
CMM 2011-04 Oceanic Whitetip sharks	
CMM 2011-04 03	cmr(iii, vii)
CMM 2013-08 Silky sharks	
CMM 2013-08 01	cmr(i)
CMM 2013-08 03	cmr(iii, vii)

CMM 2013-10 RFV

CMM 2013-10 02	cmr(v)
CMM 2013-10 03	cmr(v)
CMM 2013-10 04	cmr(v)
CMM 2013-10 07	cmr(v)
CMM 2013-10 09	cmr(v, vii)
CMM 2013-10 17	cmr(v)

CMM 2014-02 VMS

CMM 2014-02 04	cmr(v)
CMM 2014-02 9a	cmr(v)
CMM 2014-02 9a VMS SSPs 2.8	cmr(v)
CMM 2014-02 9a VMS SSPs 7.2.2	cmr(v, vii)

CMM 2015-02 SP Albacore

CMM 2015-02 01	cmr(i)
CMM 2015-02 04	cmr(ii)

CMM 2016-01 Tropical tuna fisheries

CMM 2016-01 14	cmr(iv)
CMM 2016-01 16	cmr(i, iv, vii)
CMM 2016-01 16 footnote 3	cmr(vii)
CMM 2016-01 18	cmr(i, iv)
CMM 2016-01 19	cmr(ii)
CMM 2016-01 20	cmr(collective)
CMM 2016-01 22	cmr(i)
CMM 2016-01 23	cmr(i, vii)
CMM 2016-01 24	cmr(ii, vii, collective)
CMM 2016-01 25	cmr(i)
CMM 2016-01 30	cmr(iii)
CMM 2016-01 33	cmr(v)
CMM 2016-01 34	cmr(v)
CMM 2016-01 37	cmr(vii)
CMM 2016-01 40	cmr(i)

CMM 2016-01 41	cmr(i)
CMM 2016-01 44	cmr(ii, vii)
CMM 2016-01 47	cmr(i)
CMM 2016-01 48	cmr(ii)
CMM 2016-01 49-50	cmr(i)
CMM 2016-01 50	cmr(iii)
CMM 2016-01 51	cmr(i)
CMM 2016-01 52	cmr(i)
CMM 2016-01 57	cmr(iii)
CMM 2016-01 59	cmr(iii)
CMM 2016-01 Att C 03	cmr(ii, vii)
CMM 2016-01 Att C 05-06	cmr(v)
CMM 2016-01 Att C 08	cmr(ii)
CMM 2016-01 Att C 10	cmr(i)

CMM 2016-04 Pacific Bluefin

CMM 2016-04 03 (1)	cmr(i)
CMM 2016-04 03 (2)	cmr(i)
CMM 2016-04 04	cmr(i)
CMM 2016-04 06	cmr(ii)
CMM 2016-04 12	cmr(ii)

SciData

SciData 01	cmr(vi, vii)
SciData 02	cmr(vi, vii)
SciData 03	cmr(vi, vii)
SciData 05	cmr(vi, vii)

Annex IV: List of questions in Annual Report Part 2 covering 2017 activities (177 questions + MTU audit list+ prev year CMR issues list + FSI list)

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-001	CMM 2004-03 02	Fishing vessel marking and technical specifications
Q-002	CMM 2004-03 03	Record of WCPFC identification number (WIN)
Q-003	CMM 2005-03 02	CCMs take measures to ensure level of fishing effort by vessels fishing for NP ALB is not increased
Q-004	CMM 2005-03 03	Catch report of NP ALB due every six months
Q-005	CMM 2005-03 04	Annual report of catches and fishing effort north of the equator directed at ALB
Q-006	CMM 2006-04 01	Limit number of fishing vessels fishing for MLS S 15 S, to 2000 – 2004.
Q-007	CMM 2006-04 04	Annual catches of MLS (bycatch), and annual numbers of vessels fishing for MLS S15S and their catch levels.
Q-008	CMM 2006-08 07	Fishing vessels to accept HSBI boardings by duly authorised inspectors, and as applicable Members to ensure compliance of its authorised inspectors with the HSBI procedures
Q-009	CMM 2006-08 30	Provide Full Report on HSBI
Q-010	CMM 2006-08 32	Notification of serious violation(s) detected during HSBI

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-011	CMM 2006-08 33 and 36	Response by authorities of the fishing vessel to a serious violation notification
Q-012	CMM 2006-08 40	Annual reporting by members on the HSBI carried out by its authorized inspection vessels.
Q-013	CMM 2006-08 41	Annual reporting by members on their actions in response to HSBI of their fishing vessels that resulted in observation of alleged violations.
Q-014	CMM 2007-01 07	Vessels to be prepared to accept an observer from the ROP, if required
Q-015	CMM 2007-01 08	CCM shall be responsible for meeting the level of observer coverage as set by the Commission.
Q-016	CMM 2007-01 09	CCMs shall source observers for their vessels as determined by the Commission.
Q-017	CMM 2007-01 10	CCMs shall explain to the vessel captain, observer duties relevant to appropriate measures adopted by the Commission.
Q-018	CMM 2007-01 13	CCM shall nominate a WCPFC National Observer Coordinator
Q-019	CMM 2007-01 14 (vii)	CCMs to ensure vessel operators comply with the Guidelines for the Rights and Responsibilities of Vessel Operators, Captains and Crew
Q-020	CMM 2007-01 Attachment K Annex C 04	ROP data submission

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-021	CMM 2007-01 Attachment K Annex C 06	CCMs shall achieve 5% coverage of the effort in each fishery under the jurisdiction of the Commission
Q-022	CMM 2007-01 Attachment K Annex C 08	CCMs shall meet any additional ROP observer obligations as specified in CMMs
Q-023	CMM 2008-03 01	Implement FAO Guidelines to Reduce Sea Turtle Mortality in Fishing Operations
Q-024	CMM 2008-03 02	Report on Implementation of FAO Guidelines and this CMM
Q-025	CMM 2008-03 04	CCMs to ensure fishermen use proper mitigation and handling techniques and foster the recovery of any turtles that are incidentally captured
Q-026	CMM 2008-03 05a	Sea Turtle mitigation requirements for purse seine vessels
Q-027	CMM 2008-03 05b	Purse seine sea turtle incident reporting requirements
Q-028	CMM 2008-03 06	Requirements for sea turtle mitigation in longline fisheries
Q-029	CMM 2008-03 07a	Sea turtle mitigation requirements for shallow-set longline vessels that fish for swordfish
Q-030	CMM 2008-03 07c	Reporting requirement for operational definitions of shallow set swordfish fisheries, and sea turtle mitigation requirements including large circle hooks
Q-031	CMM 2008-03 07d	Reporting requirement for incidents involving sea turtles in shallow-set longline fisheries for swordfish

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-032	CMM 2008-03 08	Research trials of circle hooks and other mitigation methods in shallow-set longline fisheries for swordfish, and report to SC and TCC
Q-033	CMM 2008-04 02	Measures necessary to prohibit use by their vessels of large-scale driftnets in the high seas
Q-034	CMM 2008-04 05	Reporting requirement for MCS actions related to large-scale driftnet fishing on the high seas
Q-035	CMM 2009-02 03-07	FAD Closure Rules - high seas
Q-036	CMM 2009-02 08-13	Rules for Purse seine catch retention, including reporting - high seas
Q-037	CMM 2009-02 12	Submit purse seine Catch Discard Report within 48 hours after any discard - high seas
Q-038	CMM 2009-03 01	Limit number of vessels fishing for SWO S20S to the number in any one year between 2000-2005
Q-039	CMM 2009-03 02	Limit the catch of SWO by its vessels in area S20S to the amount in any one year during 2000-2006
Q-040	CMM 2009-03 03	CCMs shall not shift their fishing effort for SWO to the area north of 20°S
Q-041	CMM 2009-03 08	Report annually the number of vessels that fished for SWO and total catch of SWO
Q-042	CMM 2009-05 01	CCMs shall prohibit their fishing vessels from fishing within 1 nautical mile of or interacting with a data buoy in the high seas

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-043	CMM 2009-05 03	CCMs shall prohibit their fishing vessels from taking on board a data buoy unless specifically authorized or requested to do so by the Member or owner responsible for that buoy.
Q-044	CMM 2009-05 05	Report any entanglements with a data buoy and remove the entangled fishing gear with as little damage to the data buoy as possible
Q-045	CMM 2009-06 11	Annual report on all transshipment activities covered by this Measure (including transshipment activities that occur in ports or EEZs) in accordance with the specified guidelines (Annex II)
Q-046	CMM 2009-06 13	CCM shall ensure that vessels they are responsible for carry observers from the WCPFC ROP to observe transshipments at sea.
Q-047	CMM 2009-06 29	Limit on purse seine vessels transshipment outside of port to vessels that have received an exemption from the Commission. Where applicable, flag CCM authorisation should be vessel-specific and address any specific conditions identified by the Commission.
Q-048	CMM 2009-06 34	Ban on high seas transshipment, unless a CCM has determined impracticability in accordance with para 37 guidelines, and has advised the Commission of such.
Q-049	CMM 2009-06 35 a (ii)	Flag State's notification to the Secretariat on its flag vessels that are authorised to transship on the high seas

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-050	CMM 2009-06 35 a (iii)	WCPFC Transshipment Advance Notification (including fields in Annex III)
Q-051	CMM 2009-06 35 a (iv)	WCPFC Transshipment Declaration (including information in Annex I)
Q-052	CMM 2009-06 35 a (v)	If high seas transshipment is authorised, plan is to be submitted detailing steps taken to encourage transshipment to occur in port in the future
Q-053	CMM 2010-01 05	Catch limits applicable to CCMs with vessels fishing in the Convention Area north of the equator: commencing 2011
Q-054	CMM 2010-01 08	Report on implementation including report against limits of the CMM (in AR Pt 2)
Q-055	CMM 2010-06 22	CCMs shall take all necessary non-discriminatory measures, including under their applicable legislation, to take certain actions in respect of vessels listed on the WCPFC IUU Vessel List
Q-056	CMM 2010-07 06	Full utilization of any catches of sharks retained
Q-057	CMM 2010-07 07	Vessels implement 5% fin to weight ratio or an alternative
Q-058	CMM 2010-07 09	Take measures to prohibit their vessels from retaining, transshipping, landing or trading in any fins harvested in contravention of this CMM
Q-059	CMM 2010-07 10	Requirement to take measures to encourage release of live sharks

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-060	CMM 2010-07 12	Report on implementation of this CMM, and any alternative measures that are applied (para 11)
Q-061	CMM 2011-03 01	Prohibit purse seine setting on cetaceans, if animal is sighted prior to commencement of the set
Q-062	CMM 2011-03 02	Requirement in the event of unintentional encircling of cetaceans in the purse seine net
Q-063	CMM 2011-03 03	Ensuring safe release of cetacean, unintentionally encircled in purse seine nets
Q-064	CMM 2011-03 05	Report of any instances in which cetaceans have been encircled by the purse seine nets of flagged vessels (AR Pt 1)
Q-065	CMM 2011-04 01	Prohibit vessels from retaining on board, transshipping, storing or landing any oceanic whitetip sharks, in whole or in part
Q-066	CMM 2011-04 02	Requirement to release oceanic whitetip sharks, as soon as possible
Q-067	CMM 2011-04 03	Annual report on estimated number of releases and status upon release of oceanic whitetip shark (Part 1 report)
Q-068	CMM 2012-03 02	CCMs shall achieve 5% coverage of the effort of each fishery fishing for fresh fish beyond the national jurisdiction in area N 20N
Q-069	CMM 2012-04 01	Prohibit purse seine setting on whale sharks, if animal is sighted prior to commencement of the set

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-070	CMM 2012-04 03	Part 2 report - advice on compatible measures adopted for mitigating impacts of purse seine fisheries on whale sharks
Q-071	CMM 2012-04 04	Requirement in the event of non-deliberate encircling of whale shark in the purse seine net, including safe release
Q-072	CMM 2012-04 06	Advice in Part 1 Annual Report of any instances in which whale sharks have been encircled by the purse seine nets of their flagged vessels, including the details required under paragraph 4(b)
Q-073	CMM 2013-05 01	Requirement to ensure the master of each vessel completes an accurate written or electronic log of every day that it spends at sea on the high seas of the Convention Area as specified.
Q-074	CMM 2013-05 02	Requirement that information recorded by the master of each vessel each day with fishing operations shall, at a minimum include the information as specified
Q-075	CMM 2013-05 03	Requirement that the master of each vessel fishing in the Convention Area provides an accurate and unaltered original or copy of the required information to its national authority within 15 days of the end of a trip or transshipment, or within the period

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-076	CMM 2013-05 04	Requirement that the master of each vessel fishing in the Convention Area provides an accurate and unaltered original or copy of the required information pertaining to the current trip on board the vessel at all times during the course of a trip
Q-077	CMM 2013-06 01	Requirement to cooperate, either directly or through the Commission, to enhance the ability of developing States, particularly the least developed among them and SIDS and Territories, to develop their own fisheries for hmfs
Q-078	CMM 2013-07 01-03	General provisions of CMM on SPECIAL REQUIREMENTS OF SMALL ISLAND DEVELOPING STATES AND TERRITORIES
Q-079	CMM 2013-07 04-05	Requirements to assist with Capacity Development of Personnel of SIDS and Territories
Q-080	CMM 2013-07 06-07	Requirements to assist with technology transfers to SIDS and Territories
Q-081	CMM 2013-07 08-09	Requirements to assist SIDS and Territories in the areas of fisheries conservation and management
Q-082	CMM 2013-07 10-11	Requirements to assist SIDS and Territories in the areas of Monitoring, Control and surveillance
Q-083	CMM 2013-07 12-18	Requirements to support for the Domestic Fisheries Sector and Tuna-fisheries related businesses and market access

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-084	CMM 2013-07 19	Annual report on implementation of CMM on SPECIAL REQUIREMENTS OF SMALL ISLAND DEVELOPING STATES AND TERRITORIES
Q-085	CMM 2013-08 01	Prohibit vessels from retaining on board, transshipping, storing or landing any silky sharks, in whole or in part (applied after 1 July 2014)
Q-086	CMM 2013-08 02	Requirement that vessels release silky sharks, as soon as possible. (applied after 1 July 2014)
Q-087	CMM 2013-08 03	Annual report on estimated number of releases of silky shark caught in the Convention Area, including status upon release (Pt 1)
Q-088	CMM 2013-10 02	CCMs to ensure its fishing vessels only transship to/from, and provide bunkering for/ are bunkered by or otherwise supported by vessels on the RFV
Q-089	CMM 2013-10 03	CCMs should only allow its fishing vessels to be used for fishing, if properly authorised
Q-090	CMM 2013-10 04	Vessels authorization requirement
Q-091	CMM 2014-03 02	Requirements and specifications to implement CMM 2013-10 paragraph 6 (submit completed vessel record data for vessels authorised fish beyond its flag CCMs areas under national jurisdiction)

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-092	CMM 2013-10 07	Requirement to notify any additions, modifications and deletions of Vessels from the record, including for each vessel all details as set out in paragraph 6 of this CMM
Q-093	CMM 2013-10 09	Submission by Member to ED a list of all vessels on national record in previous year, noting "fished" or "did not fish" for each vessel.
Q-094	CMM 2013-10 16	CCMs to advise of the results of their annual review of implementation of paragraph 1
Q-095	CMM 2013-10 17	Flag CCM to ensure its FVs have been placed on the RFV in accordance with this CMM. Vessels not on RFV shall be deemed not to be authorized to fish for, retain on board, transship or land HMFS in the CA beyond the national jurisdiction of its flag State
Q-096	CMM 2013-10 18	CCMs to prohibit landings in ports or transshipment to vessels not on RFV
Q-097	CMM 2014-02 04	Vessels shall continue to report to Commission VMS after moving into Northern Quadrant
Q-098	CMM 2014-02 9a	Fishing vessels comply with the Commission standards for WCPFC VMS including being fitted with ALC/MTU that meet Commission requirements
Q-099	CMM 2014-02 9a VMS SSPs 2.8	Provision of ALC/MTU 'VTAF' data

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-100	CMM 2014-02 9a VMS SSPs 5.4 - 5.5	VMS Manual Reporting procedures - applies 1 March 2013 - 1 March 2017
Q-101	CMM 2014-02 9a VMS SSPs 7.2.2	CCMs to conduct periodic audits of ALC/MTUs of its vessels and report results to the Commission (AR Pt 2)
Q-102	CMM 2014-02 9a VMS SSPs 7.2.4	Protocol for inspecting States to inspect ALCs/MTU of other CCMs vessels at sea, includes reporting requirements for inspecting States
Q-103	CMM 2014-02 9a VMS SSPs 7.2.5	Report to Secretariat any ALC/MTU, and associated details, that appear to not be in compliance with applicable CMMs related to VMS reporting
Q-104	CMM 2014-05 01	Shark mitigation measures to be applied to fisheries targetting tuna and billfish
Q-105	CMM 2014-05 02	Submit management Plans for fisheries that target sharks in association with WCPFC fisheries
Q-106	CMM 2015-02 01	Limit on number of vessels actively fishing for SP ALB in area S 20 S above 2005 or 2000-2004 levels
Q-107	CMM 2015-02 04	Annual report of SP ALB by vessel by species
Q-108	CMM 2015-03 01	Required longline mitigation measures to be used by vessels fishing S 30 S (weighted branch lines, night setting and tori lines: choose at least two of the three) (applied from 1 July 2014)

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-109	CMM 2015-03 02	Required longline mitigation measures to be used by longline vessels 24m or more fishing N 23 N (choose 2 from Table 1 including at least 1 from Column A) and longline vessels less than 24m fishing N 23 N (at least 1 measure from Column A in Table 1)
Q-110	CMM 2015-03 04	Report on which mitigation measures are used N 23 N or S 30 S, as well as technical specifications. Subsequent years include advice on any changes.
Q-111	CMM 2017-06 09	Report all available information on interactions with seabirds throughout Convention Area, as reported or collected by observers in Annual Report Part 1 (guidelines for reporting in Annex 2)
Q-112	CMM 2015-07 36 (7, 11)	Report on actions taken to address previous year non-compliance in AR Pt 2, as well as report of progress for "Flag State Investigation" and "Capacity Assistance Needed" CMR scores in AR Pt 2
Q-113	CMM 2016-01 09	Limits on transfer of purse seine effort from tropical fishery (20N - 20S) to areas N 20 N and S 20 S
Q-114	CMM 2016-01 10	Limits on transfer of longline effort or capacity to other areas
Q-115	CMM 2016-01 14	Purse seine 3 months FAD closure (July, August, September 2017)
Q-116	CMM 2016-01 16	Advice on additional FAD set reduction choice during 2017

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-117	CMM 2016-01 16 footnote 3	Advice of purse seine vessels to be exempted or managed outside the FAD set limits
Q-118	CMM 2016-01 18	High seas FAD prohibition for certain fleets as a further additional FAD reduction in 2017
Q-119	CMM 2016-01 19	Reporting requirements when a CCM chooses the "limit on the number of FAD sets" additional FAD set reduction option (para 16 b and para 17 b)
Q-120	CMM 2016-01 20	PNA shall limit purse seine effort in their EEZs to 2010 levels under PNA VDS
Q-121	CMM 2016-01 21	CCMs shall support the ongoing development and strengthening of the PNA VDS including implementation and compliance with the requirements of the VDS as appropriate
Q-122	CMM 2016-01 22	Limit on certain other (non-PNA) coastal States with effort in their EEZs exceeding 1,500 days 2006 - 2010 annually, to limit to 2001-2004 levels average or 2010 levels.
Q-123	CMM 2016-01 23	Certain other coastal States to establish, notify and implement limit for purse seine fishing in their waters
Q-124	CMM 2016-01 24	Reporting against EEZ purse seine fishery limits
Q-125	CMM 2016-01 25	2017 Limits on high seas purse seine effort
Q-126	CMM 2016-01 Att C 03	Philippines vessels Entry/Exit reports for HSP-1 SMA

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-127	CMM 2016-01 Att C 04	Philippines to ensure its flagged vessels report sightings of any fishing vessel to the Commission Secretariat (vessel type, date, time, position, markings, heading and speed)
Q-128	CMM 2016-01 Att C 05-06	Specific requirements for deploying observers on Philippines vessels fishing in HSP-1
Q-129	CMM 2016-01 Att C 08	Philippines to monitor landings by vessels operating in HSP1-SMA and collect reliable catch data by species
Q-130	CMM 2016-01 Att C 10	Effort limit for PH flag vessels operating in the HSP-1
Q-131	CMM 2016-01 30	Purse seine catch retention requirements (20N - 20S)
Q-132	CMM 2016-01 32	Purse seine vessels are not to operate under manual reporting during FAD closure period
Q-133	CMM 2016-01 33	Requirement for purse seine vessels to carry a ROP observer
Q-134	CMM 2016-01 34	100% purse seine coverage: specific rules for vessels fishing exclusively in areas under its national jurisdiction
Q-135	CMM 2016-01 37	Submit FAD Management Plan
Q-136	CMM 2016-01 40	Bigeye longline catch limits by flag, as specified in Attachment F. Any overage to be deducted from the catch limit for the following year.
Q-137	CMM 2016-01 41	Bigeye longline catch limits by flag for certain other members which caught less than 2000t in 2004.

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-138	CMM 2016-01 44	Monthly reporting of bigeye longline catches
Q-139	CMM 2016-01 47	Limit on total effort and capacity of certain other commercial tuna fisheries (that take >2000Mt of BET, YFT and SKJ)
Q-140	CMM 2016-01 48	Estimates of fishing effort for other fisheries for bigeye, yellowfin or skipjack, or proposals for provision of effort data for 2013 and beyond
Q-141	CMM 2016-01 49	Limit by flag on number of purse seine vessels >24m with freezing capacity between 20N and 20S
Q-142	CMM 2016-01 50	Notifications of new purse vessels for which building approval has already been granted which will be considered in the setting of the capacity limit in CMM 2016-01
Q-143	CMM 2016-01 51	Limit by flag on number of longline vessels with freezing capacity targeting bigeye above the current level
Q-144	CMM 2016-01 52	Limit by flag on number of ice-chilled longline vessels targeting bigeye and landing exclusively fresh fish above the current level or above the number of current licenses under established limited entry programmes

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-145	CMM 2016-01 57	Requirement to provide operational level catch and effort data for China, Indonesia, Japan, Korea, Philippines and Chinese Taipei, although subject to a 3 year grace period based on a national plan that is to be submitted to the Commission.
Q-146	CMM 2016-01 59	Requirement to provide 1 x 1 aggregate data for China, Indonesia, Japan, Korea, Philippines and Chinese Taipei vessels fishing in EEZs and high seas N 20 N, as well as to cooperate in providing operational data to SPC for stock assessment
Q-147	CMM 2016-01 60	Requirement to report any agreement made under para 59 (with SPC for stock assessment purposes) to the Commission
Q-148	CMM 2016-04 01	General commitment to implement rebuilding plan
Q-149	CMM 2016-04 03 (1)	Total effort by vessels for Pacific Bluefin limited to 02-04 level N20N
Q-150	CMM 2016-04 03 (2)	Catches of Pacific bluefin tuna less than 30kg shall be reduced to 50% of 2002-04 level. Overage to be deducted from following year.
Q-151	CMM 2016-04 04	Every possible measure to be taken not to increase catches of BFT >30kg from 2002-04 levels
Q-152	CMM 2016-04 06	reporting of baseline and recent fishing effort and catch for Pacific Bluefin

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-153	CMM 2016-04 07	Requirement to cooperate for effective implementation of CMM, including juvenile catch reduction
Q-154	CMM 2016-04 08	Requirement to monitor and obtain prompt results of recruitment of juveniles each year
Q-155	CMM 2016-04 09	Requirement to take measures necessary to prevent commercial transaction of Pacific bluefin tuna and its products that undermine the effectiveness of this CMM
Q-156	CMM 2016-04 12	Report on implementation
Q-157	CMM 2016-05 02	Notification of charter arrangements made to the ED
Q-158	CMM 2016-05 03	Notifications of charter arrangements made to the flag State and ED, including updated information
Q-159	Data RaP MCS 44	Statement of compliance with Data RaP
Q-160	Convention Article 5 (j)	Reports on surveillance activities (sea patrols and aerial surveillance) conducted in areas beyond national jurisdiction. Summary to be provided in Part 2
Q-161	Convention Article 22 (4)	Cooperation with IATTC, including implementation of the WCPFC9 decision (para 80 of WCPFC9 Summary Report)
Q-162	Convention Article 23 2 (b)	Report by CCMs on fishing activities in the Convention Area ("Annual Report Part 1")

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-163	Convention Article 23 2 (c)	Report on CCM steps to implement conservation and management measures in the Convention area ("Annual Report Part 2")
Q-164	Convention Article 23 (3)	Advice from members on its measures for areas under their national jurisdiction, including conservation and management measures, inspections and surveillance activities. Summary to be provided in Annual Report Part 2
Q-165	Convention Article 23 (4)	Measures CCM adopted for regulating activities of fishing vessels flying its flag. Summary to be provided in Annual Report Part 2
Q-166	Convention Article 23 (5)	Report from CCM on outcome of an investigation, conducted at the request of another Member related to alleged violations of CMMs by its nationals. Summary to be provided in Part 2
Q-167	Convention Article 24 (3)	Requirement that condition of every authorization issued by flag CCM that the fishing vessel operates (i) in the high seas in accordance with Annex III and (ii) in areas under national jurisdiction only if it has required license/permits from coastal CCM
Q-168	Convention Article 25 (2)	Report of outcome of an investigation conducted, at the request of another Member of its vessels alleged violation of the Convention or CMMs. Summary to be provided in Part 2

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-169	Convention Article 25 (8)	Annual Statement of compliance measures, including imposition of sanctions (to be provided in Annual Report Part 2)
Q-170	Convention Article 25 (11)	Report on mechanisms for monitoring international trade and domestic distribution of HMFS. Summary to be provided in Annual Report Part 2
Q-171	Convention Article 27	Reports of outcomes of Port State Inspections. Summary to be provided in Part 2
Q-172	SciData 01	Estimates of Annual Catches
Q-173	SciData 02	Number of vessels active
Q-174	SciData 03	Operational Level Catch and Effort Data
Q-175	SciData 04	Catch and effort data aggregated by time period and geographic area
Q-176	SciData 05	Size composition data
Q-177	SciData 07	Time periods covered and schedule for the provision of data