



TECHNICAL AND COMPLIANCE COMMITTEE

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Majuro, Republic of Marshall Islands

LIST OF CAPACITY ASSISTANCE REQUESTS AND CAPACITY DEVELOPMENT PLANS

WCPFC-TCC14-2018-8B
13 September 2018

Paper prepared by the Secretariat

Purpose

1. This paper summarizes Capacity Assistance needs identified by CCMs, based on this year's Annual Report Part 2 reporting and/or draft Compliance Monitoring Report (draft CMR) replies. The summary information provided also indicates where CCMs have provided submissions related to Capacity Development Plans (CMM 2017-07 paragraphs 5 – 7) as part of replies to this year's draft CMR. The information is as at 10th September 2018.

Background

2. As in previous years, and in accordance with CMM 2017-07, CCMs may provide in their Annual Report Part 2 and in replies to draft CMRs, indications of areas where assistance is needed.
3. CMM 2017-07 paragraph 24 states:
24. Upon receipt of its dCMR, each CCM may, where appropriate, reply to the Executive Director no later than 28 days prior to TCC each year to:
 - (i) provide additional information, clarifications, amendments or corrections to information contained in its dCMR;
 - (ii) identify any particular difficulties with respect to implementation of any obligations; or
 - (iii) identify technical assistance or capacity building needed to assist the CCM with implementation of any obligations.
4. CMM 2017-07 paragraph 5 also states:
5. Notwithstanding paragraph 3, where a SIDS or Participating Territory, or Indonesia or the Philippines cannot meet a particular obligation that is being assessed, due to a lack of capacity², that CCM shall provide a Capacity Development Plan to the Secretariat with their draft Compliance Monitoring Report (dCMR), that:
 - (i) clearly identifies and explains what is preventing that CCM from meeting that obligation;
 - (ii) identifies the capacity building assistance needed to allow that CCM to meet that obligation;

- (iii) estimates the costs and/or technical resources associated with such assistance, including, if possible, funding and technical assistance sources where necessary;
- (iv) sets out an anticipated timeframe in which, if the identified assistance needs are provided, that CCM will be able to meet that obligation.

The CCM may work together with the Secretariat to draft the Capacity Development Plan. This plan shall be attached to that CCM's comments to the dCMR.

Footnote 2: Any CCM may identify a capacity assistance need through the CMS process; however, the application of paragraphs 5 - 7 is limited to those CCMs identified in the paragraph.

5. In respect of Capacity Development Plans, Circular No.:2018/44 dated 2nd August, 2018 announcing the availability online for each CCM of their draft CMR included a Quick Guide on how to submit Capacity Development Plan with CCM's draft CMR response. The Secretariat throughout August also reached out to CCMs drawing attention to this aspect of the draft CMR and offering assistance if needed.

Obligations where assistance needs were identified

6. A summary of the CMM paragraphs and topics where CCMs have indicated a capacity assistance need is provided in **Table 1**. The list provided in Table 1 includes obligations (CMM paragraphs) where at least one CCM has included some sort of response within the part of the draft CMR template that was titled "Capacity Development Plan" or had indicated a capacity assistance need within their Annual Report Part 2 reporting. Summary details relevant to the WCPFC14 adopted Final Compliance Monitoring Report score of **Capacity Assistance Needed** are also included in **Table 1**. In addition, **Table 2** provides a list of obligations (CMM Paragraphs) where a CCM indicated in their 2018 Annual Report Part 2 covering 2017 activities, some sort of request for assistance related to obligations or topics that are outside the scope of the approved list of obligations for CMRs.
7. Authorised CCMs users may view individual CCMs indications of assistance needs by:
 - a. reviewing the full draft Compliance Monitoring Report on the Intranet and filtering by the appropriate column to show the CCMs and CMM paragraphs where CCMs had indicated they had an assistance need; or
 - b. reviewing the full draft CMR MS Excel export, which can be filtered, to show the CCMs and CMM paragraphs where CCMs had indicated they had an assistance need.
8. Copies of Capacity Development Plans submissions, requests for assistance in draft CMR, additional requests for assistance in Annual Report Part 2, and reports in response to Capacity Assistance Needed scores from WCPFC14 are viewable by authorized CCMs users through the secure side of the WCPFC website on 13 September 2018 as **TCC14-2018-dCMR01-CDP**, **TCC14-2018-dCMR01**, **TCC14-2018-dCMR-assistance** and **WCPFC-TCC14-2018-AR Pt2** respectively.

Recommendation

9. TCC14 is invited to consider the capacity assistance needs listed in Table 1 and Table 2 and any other areas identified by CCMs during TCC14's review of draft CMRs when developing the Provisional Compliance Report and noting the task set out in paragraph 30 (ii) of CMM 2017-07.

Table 1: List of CMM paragraphs from 2018 full draft CMR covering 2017 activities where CCMs identified a capacity assistance need and/or submitted information in the draft CMR reply that was titled “Capacity Development Plan”

| CMM paragraph | Topic | CMR section ¹ | CDP response in draft CMR reply? | WCPFC14 fCMR Capacity Assistance Needed score? |
|--------------------------------------|--|--------------------------|----------------------------------|--|
| CMM 2007-01 Att K Ann C 06 | 5% coverage of the effort in each fishery under the jurisdiction of the Commission | v | ** | |
| CMM 2011-04 03 | Annual report on estimated number of releases and status upon release of oceanic whitetip shark (Part 1 report) | iii | ** | |
| CMM 2013-08 03 | Annual report on estimated number of releases and status upon release of silky shark (Part 1 report) | iii | ** | |
| CMM 2014-02 9a | Fishing vessels comply with the Commission standards for WCPFC VMS including being fitted with ALC/MTU that meet Commission requirements | v | ** | |
| CMM 2014-02 9a VMS SSPs 2.8 | Provision of ALC/MTU ‘VTAF’ data | v | ** | |
| CMM 2014-02 9a VMS SSPs 7.2.2 | CCMs to conduct periodic audits of ALC/MTUs of its vessels and report results to the Commission (AR Pt 2) | v | | YES ² |
| SciData 03 | Provision of operational Level Catch and Effort data | vi | ** | YES ³ |

¹ CMM 2017-07 paragraph 3: (i) catch and effort limits for target species; (ii) catch and effort reporting for target species; (iii) reporting including with respect to implementation of measures for non-target species; (iv) spatial and temporal closures, and restrictions on the use of fish aggregating devices; (v) authorizations to fish and the Record of Fishing Vessels, observer, VMS coverage, transshipment and the High Seas Boarding and Inspection Scheme; (vi) provision of scientific data through the Part 1 Annual Report (and its addendum) and the Scientific Data to be provided to the Commission; and (vii) submission of the Part II Annual Report, including compliance with the obligations in paragraph 36, and compliance with other Commission reporting deadlines.

² For RY 2015 and RY 2016 the following CCM received a score of **Capacity Assistance Needed** for this obligation in the Final CMR adopted by WCPFC13 & 14:- Tuvalu

³ For RY 2016 the following CCM received a score of **Capacity Assistance Needed** for this obligation in the Final CMR adopted by WCPFC14:- Indonesia (RY 2016)

Table 2: Additional list of Capacity Assistance Needs identified in AR Pt 2 covering 2017 activities in CMM paragraphs outside the scope of the list of obligations to be assessed in CMS in 2018

| CMM or CMM paragraph | Notes about types of assistance requested |
|---|---|
| CMM 2011-03 - Protecting Cetaceans | Assistance in developing national regulations to address the CMM requirements |
| CMM 2006-08 - High Seas Boarding and Inspection CMM 2017-02 - Port Inspections | Some assistance has been received in work attachments, and supply of boarding gear. Additional assistance is needed in 1) information system (licensing and permitting database); 2) boarding and inspection database; 3) centralized database; 4) more staff for monitoring and data collection. |
| CMM 2013-07 04-05 - Capacity development for personnel | <p>Some assistance has been received in investigation training. Additional training is needed, including in work attachments, for database and data analysis.</p> <p>Assistance is needed in understanding CMMs.</p> <p>Need additional observer training and coordination</p> <p>Funding for international meetings</p> |
| CMM 2013-07 10-11 - Capacity development for MCS activities | <p>Some assistance has been received in investigation training and attachments. Additional resources needed for purchase of additional patrol assets (helicopters/aircraft and vessels).</p> <p>Assistance is needed to conduct international compliance inspections</p> <p>Assistance and support is needed to recognize the efforts that small islands with limited capacity make to comply with all CMMs and to investigate any alleged infringements by their vessels or occurring in their waters.</p> |
| CMM 2017-06 - Seabird mitigation | Assistance in developing of seabird mitigation plan |