



TECHNICAL AND COMPLIANCE COMMITTEE
Thirteenth Regular Session
27 September – 3 October 2017
Pohnpei, Federated States of Micronesia

**SUMMARY AND UPDATES ON DECISIONS AND ISSUES FROM TCC12,
WCPFC13 AND SC13 RELEVANT TO TCC13 PROCEEDINGS**

WCPFC-TCC13-2017-IP03
19 September 2017

Purpose

1. The purpose of this paper is to provide for the information and reference of TCC13 the relevant decisions of TCC12, WCPFC13 and SC13 to TCC13 deliberations.

Introduction

1. Table 1 of this paper list the key decisions and issues arising out of TCC12, WCPFC13 and SC13 that are relevant to the issues to be considered by TCC13. It also provides brief updates on those decisions and issues, and cross-references to the relevant TCC13 agenda items and papers, where appropriate.
2. Table 2 of this paper provides information on WCPFC13 decisions regarding Cooperating Non-Members and their Participatory Rights. It further provides cross-references to the relevant paragraphs from the WCPFC13 Summary report, relevant to the WCPFC/IATTC Overlap Area.

Table 1: Notes on Issues arising from TCC12, WCPFC13 and SC13 as at 19 September 2017

TCC13 AGENDA ITEM	TCC12 and WCPFC13 RECOMMENDATIONS AND REPORT REFERENCES	TCC Workplan 2016-2018	SECRETARIAT COMMENTS AND NOTES ON SC13 OUTCOMES REFERRED TO TCC	Paper WCPFC-TCC13-2017-
2. ED Annual Report		Priority core business task (standing agenda item) “1 e) Review Annual report(s) of the WCPFC Secretariat, which should address relevant technical and compliance issues, which may include HSBI, ROP, VMS, RFV, Data Rules, and transshipment and note the Executive Director’s report on these matters and other issues as appropriate.		07
3. IUU List	<p>WCPFC13 Summary Report para 224. The Commission adopted the 2017 WCPFC IUU Vessel List (Attachment L).</p> <p>225. The Commission tasked the Secretariat with including the names of the vessel masters, if available, into the WCPFC IUU Vessel List.</p> <p>226. The Commission tasked TCC with investigating options to address the circumstances of vessels remaining on the WCPFC IUU Vessel List for many years.</p> <p>227. The Commission agreed that: the master’s nationality is included alongside the master’s name into the WCPFC IUU Vessel List; and where the vessel is not listed on the Record of Fishing Vessels and the master’s name</p>	Priority core business task (standing agenda item) “1 b) Assessment of IUU vessel nominations and review of vessels currently on the IUU list”	<p>On 7 February 2017, the WCPFC IUU list for 2017 became effective. A column was included in the WCPFC IUU list to capture available information on the master’s nationality and master’s name.</p> <p>Letters to relevant flag CCMs and other tuna RFMOs regarding the WCPFC IUU list and in response to the WCPFC13 decisions were sent in March 2017.</p> <p>On 31 July 2017 the draft IUU list and supporting information was circulated to all CCMs and other relevant flag CCMs (WCPFC Circular 2017/54). A copy of the WCPFC IUU list for 2017 was also circulated.</p>	08

Documentation relating to the draft IUU list and WCPFC IUU list can be accessed by CCMs on the WCPFC secure CCM side of the website

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	and nationality are not known, the flag State is responsible for providing the name and nationality of the master, so that these details can be included into the WCPFC IUU Vessel List.			
4 Cooperating Non-Member requests	<p><i>See Table 2 of this paper</i></p> <p>WCPFC13 Summary Report para 77. WCPFC13 agreed, as originally recommended by TCC12, to include a column in the CNM application template where CNM applicants could indicate their interest in becoming a member of the Commission. The Secretariat was tasked with making the necessary amendment to the CNM request template.</p>	Priority core business task (standing agenda item) “1c) Review of cooperating non-member applications	In March 2017, the Secretariat updated the CNM request template form as tasked by WCPFC13, to include a section 4 indicate interest in WCPFC membership. The form can be accessed from this link https://www.wcpfc.int/doc/commission-07b/cooperating-non-member-request-template	<p>09</p> <p><i>Documentation relating to the CNM requests can be accessed by CCMs on the WCPFC secure CCM side of the website</i></p>
5. Compliance Monitoring Scheme 5.1 Independent audit or review of the CMS	WCPFC13 Summary Report para 142. The Commission approved the Terms for the Independent Review of the Compliance Monitoring Scheme which is to occur in 2017 (Attachment H)	Priority project specific task “2 f) Independent audit or review of the Compliance Monitoring Scheme	Panel was appointed 5 April (Circular 2017/25) Questionnaire sent out on 12 June (Circular 2017/41)	10
5. Compliance Monitoring Scheme 5.2 CMS Process 5.2(a) Review of draft CMR 5.2 (b) Review Capacity Assistance	<p>WCPFC13 Summary Report para 624. In respect of TCC12 recommendation paragraph 27, WCPFC13 discussed and agreed to maintain the due dates for Annual Report Part 1 and Annual Report Part 2 in 2017.</p> <p>Final CMR 2016: 10.TCC12 reminds CCMs of the requirements</p>	Priority core business task (standing agenda item) “1a) Monitor and review compliance with conservation and management measures adopted by the Commission (Compliance Monitoring Scheme).”	<p>Template for CDP and ISP were posted to WCPFC website for CCMs reference in March 2017. They can be accessed from this link: https://www.wcpfc.int/compliance-monitoring</p> <p>Refer WCPFC13 Summary Report Attachment I (for list for 2017 – 2018)</p>	<p>11a</p> <p>11b</p> <p>11c</p> <p>11d</p>

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<p>Needed statuses assessed in prior years, including through annual consideration of implementation plan (TCC Workplan 2016-2018)</p> <p>5.2 (c) Review Flag State Investigation statuses assessed in prior years</p> <p>5.2 (d) Review any capacity assistance requests (other than Capacity Assistance Needed statuses) identified in prior years (TCC Workplan 2016-2018)</p> <p>5.2 (e) Identify and provide advice on CMMs that need revision to improve compliance and monitoring, including those for which interpretation issues have been identified through</p>	<p>in CMM 2015-07 paragraphs 5 and to submit Capacity Development Plans or Investigation Status Reports, where appropriate, 28 days prior to TCC in their dCMR responses, and recommends that this information be provided in writing to improve TCC’s ability to make informed assessments.</p> <p>11. TCC12 noted that CCMs should provide information on each alleged violation or investigation, so that TCC can fully understand the status of each investigation, rather than providing summary information related to all alleged violations. Some CCMs also noted that when closing investigations, CCMs should provide the reason(s) those investigations were closed.</p> <p>12. TCC12 agreed that for paragraphs 5 and 8 a paper template might assist CCMs in providing the required information and recommends that such a template be developed by the Secretariat.</p> <p>WCPFC13 Summary Report para 157. The Commission also agreed that at its annual session in 2017, it would review paragraph 3 of CMM 2013-06 and consider possible amendments to facilitate assessment of its implementation in</p>	<p>Priority project specific task “2b) develop a multi-year program of obligations to be assessed under the CMS, which ensures that all obligations are assessed over time.</p> <p>Priority project specific task “2c) Provide advice on CMMs that need revision to improve compliance and monitoring, including those for which interpretation issues have been identified through the CMS process</p> <p>Priority project specific task “2d) Respond to capacity assistance needs identified through the CMS process, including through annual consideration of implementation plans.</p>		<p><i>Documentations relating to the dCMR can be accessed by CCMs on the WCPFC secure CCM side of the website and Intranet</i></p>

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the CMS process (TCC Workplan 2016-2018)	<p>the CMS.</p> <p>WCPFC13 Summary Report para 158. The Commission adopted the updated list of obligations to be assessed by the Compliance Monitoring Scheme (Attachment I).</p>			
5.2 (c) Review Flag State Investigation statuses assessed in prior years			<p>WCPFC12 Summary Report para 569.</p> <p>The Commission adopted the pre-notification process from observer providers to flag CCMs of possible alleged infringements by their vessels and put forward by the IWG-ROP, as amended to include data being provided to the coastal state when an alleged infringement takes place in a coastal state's waters (Attachment U).</p> <p>In the first quarter of 2016, the Secretariat advised CCMs of the online Compliance Case File system which includes among others ROP observer noted alleged infringements based on the full ROP data set (Circular 2016/14 on 20 Apr 2016, and update in Circular 2016/42 29 Jul 2016).</p> <p>The Secretariat advised CCMs on 1 May 2017 that the necessary joint work with SPC-OPF, in their capacity as data services provider, had been completed to support GEN-3 pre-notification process.</p>	11c
7. Special Requirements of Developing States		Priority core business task (standing agenda item) "1d) Monitor obligations relating to Small Island Developing States and		11b 13

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		<p>territories.”</p> <p>Priority project specific task “2e) Support building the capacity of SIDS, which may include:</p> <ul style="list-style-type: none"> i. implement observer programs, including training and data management ii. develop and implement the MCS Information Management System at a national level iii. improve bycatch reporting, in particular sharks iv. Assist SIDS with setting up a system or process for reports on transshipment activities and MTU inspections 		<p>ARPt2</p> <p><i>Documentation relating to ARPt2 information is available to CCMs on the WCPFC secure CCM side of the website</i></p>
8.1 Vessel Monitoring System (VMS)		<p>Priority core business task: 1 e. Review Annual report(s) of the WCPFC Secretariat, which should address relevant technical and compliance issues, which may include HSBI, ROP, VMS, RFV, Data Rules, and transshipment and note the Executive Director’s report on these matters and other issues as appropriate</p>	<p>WCPFC12 Summary report, paragraph 488. The Commission agreed that by June 30 2016 the Secretariat should publish and maintain through secure CCM WCPFC online systems, that are accessible by authorised CCM users, a list of all RFV vessels WCPFC VMS reporting status. The list will be updated a least once a week, and will be based on the details of vessels as contained in the RFV. The list will confirm those vessels which VTAF details are held by WCPFC and where applicable the date of receipt, date of last update of the list, and date of first VMS report to WCPFC (VTAF activation date), the current VMS reporting</p>	<p>RP01</p>

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			<p>channel to the WCPFC VMS (for example WCPFC direct; through FFA; manual reporting or not reporting) (WCPFC12 Summary Report Attachment P).</p> <p>212. TCC11 recommends that WCPFC Secretariat is tasked to work with the FFA Secretariat in developing a technical solution to ensure that the WCPFC Secretariat has access to the up to date and accurate information on the VMS reporting status of RFV vessels.</p> <p>213. TCC11 recommends that flag CCMs that report directly to WCPFC VMS are encouraged to regularly review their respective lists and work with the WCPFC Secretariat to resolve any discrepancies in the list.</p> <p>CCMs were advised of the delivery of the “List of vessels WCPFC VMS reporting status” on 27 June 2017 (WCPFC Circular 2017/42). The list may be accessed by authorized CCM users from the CCM portal on the secure section of the WCPFC website: https://www.wcpfc.int/ccm/wcpfc-vms-report</p> <p>An updated VTAF form was issued by the Secretariat in March 2017. It can be accessed from this link: https://www.wcpfc.int/vessel-monitoring-system</p> <p>WCPFC website was updated in early 2017 with the latest version of the WCPFC VMS SSPs (as approved by WCPFC13).</p>	

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(a) Update of Standard Operating Procedures (VMS SSPs Section 6.9)			<p>SOPs were developed in 2009, and they haven't been updated since. The Secretariat is working on an updated version, for consideration by TCC14.</p> <p>VMS SSPs 6.9 says: "A set of Standard Operating Procedures, elaborated by the Secretariat, and subject to approval by the Commission on the recommendation of the TCC, will be developed to deal with all operational anomalies of the VMS, such as interruption of position reports, downloading of DNIDs and their equivalent and responding to reports providing incoherent data (e.g. vessel on land, excessive speed, etc.)."</p>	
8.2 Regional Observer Programme		<p>Priority project specific task: 1 e. Review Annual report(s) of the WCPFC Secretariat, which should address relevant technical and compliance issues, which may include HSBI, ROP, VMS, RFV, Data Rules, and transshipment and note the Executive Director's report on these matters and other issues as appropriate</p>	<p>ROP-specific CMM booklet was printed and distributed to national observer programme early this year. An e-copy can be accessed from this link: https://www.wcpfc.int/regional-observer-programme</p> <p>A booklet containing a complete set of current CMMs is available on the website at http://www.wcpfc.int/conservation-and-management-measures</p> <p>WCPFC website was updated in early 2017 with the latest version of the ROP Minimum Data Standards and Fields</p>	RP02
(a) Development, improvement and implementation of the Commission's measures for observer safety and related issues (TCC	<p>WCPFC13 Summary Report paragraph 107. The Commission agreed to adopt CMM 2016-03 Conservation and Management Measure for the Protection of WCPFC Regional Observer Programme Observers</p>	<p>Priority project specific task: 2 m. Development, improvement and implementation of the Commission's measures for observer safety and related issues [TCC task]</p>		RP02

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Workplan 2016-2018)	(Attachment F).			
Draft e-reporting observer data standards	WCPFC13 Summary Report paragraph 584. The Commission adopted the standards, specifications and procedures for Electronic Reporting, which presently include E-reporting standards for operational catch and effort data (Attachment T).	<p>Priority core business task f. Review the ongoing work of the Intercessional Working Groups (IWG) noted in Section 3. EM and ER IWG: Continue the development of standards, specifications and procedures for e-technologies</p> <p>Priority project specific task: 2 n. Further development of protocols, observer data forms including electronic forms and the database, as needed, to better monitor transshipments at sea, particularly in the high seas [TCC task, Secretariat task] [5]</p>	<p>At WCPFC13 the Commission adopted the standards, specifications and procedures for Electronic Reporting, which presently include E-reporting standards for operational catch and effort data (WCPFC13 Summary Report paragraph 584 and Attachment T). It was intended that as additional E-reporting standards are adopted by the Commission, they would be incorporated as new attachments within the E-reporting standard, specifications and procedures. Appropriate amendments would subsequently be made to the cover document for the E-Reporting SSPs in WCPFC13 Summary Report Attachment T. The Commission also agreed that the draft E-reporting standards for observer data should continue to be revised based on comments provided by CCMs in 2017.</p> <p>Draft SC13 Summary report para 139: SC13 recommended that the latest draft version of the WCPFC E-Reporting observer data standards be forwarded to WCPFC14 for adoption.</p>	14
8.3 High Seas Transshipment Monitoring	492. WCPFC13 noted the following issues would also be progressed as part of or alongside the development of the bridging measure: purse seine capacity management and high seas transshipment controls.	Priority core business task: 1 e. Review Annual report(s) of the WCPFC Secretariat, which should address relevant technical and compliance issues, which may include HSBI, ROP, VMS, RFV, Data Rules, and transshipment and note the Executive Director's report on these		RP03

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		matters and other issues as appropriate		
(a) Further development of protocols, observer data forms including electronic forms and the database, as needed, to better monitor transshipments at sea, particularly in the high seas (TCC Workplan 2016-2018)	<p>WCPFC13 Summary Report paragraph 584. The Commission adopted the standards, specifications and procedures for Electronic Reporting, which presently include E-reporting standards for operational catch and effort data (Attachment T).</p> <p>ERandEM WG2 recommends the WCPFC Secretariat develop draft E-Reporting standards for transshipment reporting requirements (particularly declarations, notification and observer) required under CMM 2009-06.</p> <p>274. TCC12 recommended that WCPFC13 task the Secretariat to work closely with ROP observer programmes to better support the activities, including training and safety, of high seas transshipment observers, including through the use of electronic tools.</p>	<p>Priority project specific task commencing in 2017: n. Further development of protocols, observer data forms including electronic forms and the database, as needed, to better monitor transshipments at sea, particularly in the high seas [TCC task, Secretariat task] [S]</p> <p>Priority core business task f. Review the ongoing work of the Intercessional Working Groups (IWG) noted in Section 3. EM and ER IWG: Continue the development of standards, specifications and procedures for e-technologies</p>	<p>For the 2017 budget, WCPFC13 made provision to provide interim support for an ER-EM technical coordinator position at the Secretariat on the basis of the acknowledged need for the Secretariat to undertake critical work in this area.</p> <p>In August 2017, the Secretariat engaged Mr Kim Duckworth on a consultancy basis primarily to assist the WCPFC Secretariat with scoping and developing a work plan for implementation of MCS/compliance E-reporting at the Secretariat. The scope of this work will be for CMM reporting that are presently received and data entered at the WCPFC Secretariat offices, particularly high seas transshipment notices and declarations, reporting in the event of VMS malfunction and high seas pocket reporting.</p> <p>WCPFC13 563. USA noted that TCC12 made two recommendations relating to transshipment (paragraphs 273 and 274 of the TCC12 Summary Report) and supported the recommendations. However, USA considered that the transshipment aspect of the ROP should be further developed, taking what IATTC has done as a model. USA considered it an appropriate task for the IWG-ROP to consider.</p>	14
(b) Operationalising 2017 WCPFC-CCSBT Memorandum of Cooperation on	<p>WCPFC13 Summary Report para 665. The Commission agreed that the Memorandum of Cooperation on the Endorsement of WCPFC ROP Observers for</p>		<p>The formal signing of the two Memorandum of Cooperation with CCSBT was completed on 5 June 2017.</p> <p>Update on work with CCSBT to</p>	RP03

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Monitoring high seas transshipments of southern Bluefin tuna (WCPFC13, paragraph 665)	Observing Transshipments of Southern Bluefin Tuna on the High Seas of the WCPFC Convention Area with CCSBT should be signed (Attachment Y).		operationalize observing transshipments of SBT is provided in the Transshipment Annual Report.	
8.4 High Seas Boarding and Inspection (HSBI)	WCPFC12 Summary Report para 495. The Commission tasked the Secretariat to develop an online technical solution to make available to authorized CCM MCS personnel, through secure login, a list of vessels that have been previously inspected under the HSBI scheme specifically, the VID, Vessel Name, IRCS, date of boarding and Name of inspecting member.	Priority core business task: 1 e. Review Annual report(s) of the WCPFC Secretariat, which should address relevant technical and compliance issues, which may include HSBI, ROP, VMS, RFV, Data Rules, and transshipment and note the Executive Director's report on these matters and other issues as appropriate	CCMs were advised of the delivery of the online technical solution on 13 April 2017 (Circular 2017-25)	RP04
8.5 Record of Fishing Vessels (RFV)	.	Priority core business task: 1 e. Review Annual report(s) of the WCPFC Secretariat, which should address relevant technical and compliance issues, which may include HSBI, ROP, VMS, RFV, Data Rules, and transshipment and note the Executive Director's report on these matters and other issues as appropriate.		RP05
8.6 Eastern High Seas Pocket SMA	201. The Commission agreed to adopt CMM 2016-02 Conservation and Management Measure for the Eastern High Seas Pocket (Attachment K), which will replace CMM 2010-02	Priority core business task: 1 e. Review Annual report(s) of the WCPFC Secretariat, which should address relevant technical and compliance issues,		RP06

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		which may include HSBI, ROP, VMS, RFV, Data Rules, and transshipment and note the Executive Director's report on these matters and other issues as appropriate.		
Agenda 9 Data Provision and Data Gaps 9.1 Review information about scientific data provision (TCC Workplan 2016-2018)		Priority project specific task 2 i) Review information about scientific data provision. [TCC task, Secretariat task to prepare papers]		IP04 <i>(scientific data)</i>
10.1 Intersessional activity report on FAD-IWG	601. The Commission adopted the Report of the second meeting of the FADMgmtOptions-IWG (WCPFC13-2016-FADMgmtOptions-IWG02_rev2), and agreed that the outcomes therein should be further considered at SC13 and TCC13.	Priority core business task: 1 f: Review the ongoing work of the Intersessional Working Groups (IWG) noted in Section 3.	<i>For SC13 outcomes please refer to WCPFC-TCC13-2017-16A</i> <i>For FADMgmtOptions-IWG Report please refer to WCPFC-TCC13-2017-16B for the list of FADMgmtOptions-IWG recommendations please refer to WCPFC-TCC13-2017-16A</i>	16A 16B 16C
10.2 Intersessional activity report from ERandEM-IWG Chair	WCPFC13 Summary Report para 583. The Commission adopted the Summary Report of the ERandEM Working Group second meeting (WCPFC-TCC12-2016-17) and noted the update on progressing the development of draft standards, specifications and procedures for Electronic Reporting (WCPFC13-2016-28). 584. The Commission adopted the standards, specifications and	Priority core business task: 1 f: Review the ongoing work of the Intersessional Working Groups (IWG) noted in Section 3.	The ERandEMWG2 met 1 – 2 August, Bali, Indonesia. Draft SC13 Summary Report paragraph 138. SC13 recommended that the WCPFC ERandEM Working Group convene prior to SC14 to continue work in this area, including consideration of how observer data obtained through E-Monitoring is to be dealt with in the WCPFC context.	

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	<p>procedures for Electronic Reporting, which presently include E-reporting standards for operational catch and effort data (Attachment T).</p> <p>585. The draft E-reporting standards for observer data, high seas pocket reporting and reporting in the event of VMS malfunction should continue to be revised based on comments provided by CCMs in 2017.</p> <p>586. The Commission supported TCC12 recommendation (TCC12 paragraph 330) that the ERandEMWG continue its work under the same terms of reference for another two years.</p> <p>587. The Commission noted that FAC had allocated a budget for a meeting of the ERandEMWG in 2017, but agreed that a budget for a formal meeting of the ERandEMWG in 2017 was not necessary.</p>		<p>IX. ERandEM WG2 acknowledged that there would be benefit in preparing a concept paper that explored what an E-Monitoring Program would look like and what may be needed to ensure that data collected under an E-Monitoring Program could be considered equivalent to the WCPFC ROP. The Chair agreed to take this on as a task with input from ERandEM WG participants. The ERandEM WG2 recommended that the Chair progress the concept paper.</p>	
10.3 Intersessional activity report from CDS-IWG Chair	<p>WCPFC13 Summary Report para 573. The Commission adopted the Summary Report of CDS-IWG03 (WCPFC-TCC12-2016-24_rev1), and noted the update from the FFA Secretariat on progressing the development of draft CDS standards (WCPFC13-2016-29).</p> <p>574. The Commission noted that FAC had not allocated budget for a meeting of the CDS-IWG in</p>	Priority core business task: 1 f: Review the ongoing work of the Intersessional Working Groups (IWG) noted in Section 3.	In Sept 2017, the FFA Secretariat advised the Secretariat and the CDS-IWG Chair that they plan to develop a draft CDS standard proposal before TCC14. The CDS-IWG Chair has suggested that if agreeable by members, the Commission should plan for a one-day workshop before TCC14 (2018).	

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	2017.			
10.4 Consideration of SC and NC outcomes related to TCC's work			<p><i>Key SC13 outcomes that were referred to TCC have been referenced elsewhere within this table, see:</i></p> <ul style="list-style-type: none"> • <i>TCC13 8.2 Regional Observer Programme – draft E-reporting observer data standards</i> • <i>TCC13 10.1 Intersessional activity report on FAD-IWG</i> • <i>TCC13 10.2 Intersessional activity report from ERandEM-IWG Chair</i> • <i>TCC13 11.3 Sharks (a) Annual review of information reported by CCMs pursuant to these measures</i> • <i>TCC13 11.3 (b) Development of a comprehensive approach to shark and ray conservation and management (WCPFC13, paragraph 507)</i> <ul style="list-style-type: none"> ○ <i>Outcomes from SC13 including Manta and Mobulid data collection matters and draft best practice handling guidelines</i> • <i>TCC13 11.4 Sea Turtles (CMM 2008-03)</i> • <i>TCC13 11.5 Seabirds (CMM 2012-07/CMM 2015-03)</i> • <i>TCC13 12.1 Bridging CMM to replace CMM 2016-01</i> • <i>TCC13 13.2 Other matters requiring TCC advice</i> <ul style="list-style-type: none"> ○ <i>Development of guidelines for voluntary provision of economic data.</i> 	

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<p>11.1 Bigeye, Yellowfin and Skipjack (CMM 2015-01 and CMM 2009-02) (a) provide technical and compliance related advice to address BET overfishing (TCC workplan 2016-2018)</p>		<p>Priority core business task: 1 g: Review information about technical and compliance matters arising under existing CMMs.</p> <p>Priority project specific task 2 g) Technical and compliance-related advice to address BET overfishing. [TCC task]</p> <p>Priority project specific task 2 k. Technical and compliance-related advice to support the development of harvest strategies, including consideration of the implications of harvest control rules. [TCC task]</p>		<p style="text-align: center;">IP07</p> <p style="text-align: center;">IP08</p>
<p>11.2 South Pacific Albacore (CMM 2015-02) (a) Annual review of CMM on the basis of advice from SC (para 5 of CMM 2015-02)</p>		<p>Priority core business task: 1 g: Review information about technical and compliance matters arising under existing CMMs.</p> <p>Priority project specific task 2 k. Technical and compliance-related advice to support the development of harvest strategies, including consideration of the</p>	<p>Draft SC13 Summary Report 585. SC13 considered an update of trends in South Pacific albacore fisheries (SC13-WCPFC-2017/SA-WP-08) and noted that there had been reductions in longline effort in the WCPF Convention south of 10o South through the 2014-2016 (-SPC to do: longline effort in the WCPF Convention south of 10o South through the 2013-2015 (by approximately 15%) and that effort distributions vary a little and show an area of highly concentrated fishing effort. SC13 noted an issue of transshipment that needs to be clarified at TCC13. Status quo projections were calculated, assuming</p>	<p style="text-align: center;">IP11</p> <p style="text-align: center;">IP13</p>

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		implications of harvest control rules. [TCC task]	current southern longline and troll fishery effort would continue into the future at levels equal to those seen in 2015 (Figure SPA1). If 2015 fishing effort levels continue into the future, the stock is predicted to continue to decline on average, falling to $SB_{current}/SBF=0 = 0.35$ in 2033 with a 7% predicted probability of being below the LRP. Overall vulnerable biomass (a CPUE proxy) in longline fisheries is estimated to decrease by 7% from 2013-2033.	
11.3 Sharks (a) Annual review of information reported by CCMs pursuant to these measures		<p>Priority core business task: 1 g: Review information about technical and compliance matters arising under existing CMMs.</p> <p>Priority project specific task 2 k. Technical and compliance-related advice to support the development of harvest strategies, including consideration of the implications of harvest control rules. [TCC task]</p> <p>Priority project specific task 2 l) Consider alternatives to current fins to carcass ratio to ensure effective monitoring of CMM 2010-07 [TCC task]</p>	<p>Draft SC13 Summary Report 585. In relation to Paragraphs 4, 8, and 13 of CMM 2010-07 with reference to data provision, fin to carcass ratios, and the need for a revised or new CMM, SC13 notes that no new information was submitted to SC13 to review the ratio of fin weight to shark carcass weight. Since the adoption of this CMM, SC was unable to confirm the validity of using a 5% fin to carcass ratio and forwards this concern to TCC, noting that an evaluation of the 5% ratio is not currently possible due to insufficient or inconclusive information for all but one of the major fleets implementing these ratios (SC12, para 117).</p> <p>586. SC13 recommends that: a) TCC13 and WCPFC14 note that no new information was submitted to SC13 to review the ratio of fin weight to shark carcass weight. b) TCC13 and WCPFC14 elaborate a mechanism for generating the data</p>	17

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			<p>necessary to review the fins to carcass ratio if such a ratio is to be used as a tool for promoting the full utilization of sharks in the WCPFC</p>	
<p>(b) Development of a comprehensive approach to shark and ray conservation and management (WCPFC13, paragraph 507)</p>	<p>WCPFC13 Summary Report para 507. WCPFC13 requested that SC13 and TCC13, with support from the Secretariat, work towards the development of a comprehensive approach to shark and ray conservation and management with a view to adopting a new CMM at the Commission’s annual meeting in 2018. The new CMM should seek to i) unify the WCPFC’s existing shark CMMs; ii) take account of relevant national and international policies and measures; and iii) provide a framework for adopting new components as needs and datasets evolve. Elements that could be considered for the new CMM include:</p> <ul style="list-style-type: none"> - policies on full utilization/prohibition on finning; - no retention policies; - safe release and handling practices; - gear mitigation, size limits or closures; - management plans/catch limits; - key species and their assessment schedules; - species-specific limit reference points; and - any data reporting requirements 	<p>Priority project specific task 2 j) Develop guidelines for the elaboration of management plans for sharks caught in the WCPFC Convention area. [TCC task with assistance from SPC/Secretariat]</p> <p>Priority project specific task 2 k. Technical and compliance-related advice to support the development of harvest strategies, including consideration of the implications of harvest control rules. [TCC task]</p>	<p>SC13-EB-WP-06 <i>Development of a comprehensive shark conservation and management measure for the WCPFC</i> Draft SC13 Summary Report para 593. SC13 adopted the report of ISG-6 on the comprehensive shark CMM (Attachment G).</p> <p>SC13-ST-WP-07 <i>Clarification of WCPFC Shark Designations in Response to WCPFC13 Decisions regarding Manta and Mobulid (Devil) Rays</i> Draft SC13 Summary Report para 126. SC13 recommends that the Commission adopt a formal definition of SSIs, e.g. “species of special interest are those species for which the Commission has requested additional data collection under the ROP, either because they are protected under one or more WCPFC conservation and management measures, or for other reasons articulated by the Commission”. SC13 notes that at present under this definition SSIs would comprise manta and mobulid (devil) rays on the basis of a Commission decision requiring a greater degree of observer data collection, and silky, oceanic whitetip and whale shark on the basis of no-retention conservation and management measures (CMMs 2011-04, 2012-04 and 2013-08). In responding to WCPFC13’s specific request, SC13 confirms that as SSIs, manta and devil</p>	<p>17</p>

TCC13 AGENDA ITEM	TCC12 and WCPFC13 RECOMMENDATIONS AND REPORT REFERENCES	TCC Workplan 2016-2018	SECRETARIAT COMMENTS AND NOTES ON SC13 OUTCOMES REFERRED TO TCC	Paper WCPFC-TCC13-2017-
	<p>beyond those contained in “Scientific Data to be Provided to the Commission.”</p> <p>WCPFC13 Summary Report para 550. WCPFC13 adopted the following:</p> <ol style="list-style-type: none"> 1. CCMs shall record where possible, through observer programs, the number of discards and releases of Manta and Mobula rays with indication of species (to the best extent possible), length, sex, status (dead or alive) and location caught 2. Manta and Mobula rays shall be considered WCPFC key shark species for assessment and thus listed under the Shark Research Plan, noting that data gaps may preclude a traditional stock assessment approach. 3. SC13 shall review, as appropriate, a revision of the ROP minimum standards data fields and develop safe release guidelines for Manta and Mobula rays, with a view to their adoption by WCPFC14. 		<p>rays will have all required data collected under the Regional Observer Programme Minimum Standard Data Fields.</p> <p>Draft SC13 Summary Report para 133. SC13 recommended that the Scientific Services Provider, CCMs and the WCPFC Secretariat through the ROP provide guidance to improve observer training related to visual estimation of bycatch numbers and weight, and that the Scientific Services Provider and CCM observer programmes improve the observer debriefing process related to bycatch1, including the Scientific Services Provider incorporating appropriate data quality flags within the ROP master database to facilitate use in analyses. This recommendation applies to both purse seine and longline fisheries.</p> <p>SC13-EB-IP-08 <i>Developing best handling practice guidelines for the safe release of mantas and mobulids captured in commercial fisheries</i></p> <p>Draft SC13 Summary Report para 598. SC13 adopted the report of ISG-5 on the safe release guidelines for manta and mobulid rays (Attachment H)</p> <p>Draft SC13 Summary Report para 599. SC13 recommends TCC13 and WCPFC14 note that SC has not yet adopted guidelines for safe release for silky and oceanic whitetip sharks.</p>	

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11.4 Sea Turtles (CMM 2008-03)	<p>WCPFC13 Attachment U – Final CMR covering 2015 activities.</p> <p>17. For CMM 2008-03, para 7, there were extensive discussions on the specific requirement of this paragraph and several issues were raised that would benefit from further discussion and/or proposals for consideration at WCPFC13:</p> <p>a. What is the difference, if any, between to “fish for” and to “target” a species?</p> <p>b. There was discussion regarding the broad definition for “shallow-setting” contained in the measure.</p> <p>c. It was noted that this measure is fairly old and suggested that consideration should be given as to whether the measure should be updated.</p> <p>18. For our assessment this year, TCC12 applied a strict application of the language in CMM 2008-03, para 7, and found the obligation not applicable to CCMs if they confirmed that they did not have “longline vessels that fish for swordfish in a shallow-set manner” based on the exact language in the measure.</p>	<p>Priority core business task: 1 g: Review information about technical and compliance matters arising under existing CMMs.</p> <p>Priority project specific task 2 k. Technical and compliance-related advice to support the development of harvest strategies, including consideration of the implications of harvest control rules. [TCC task]</p>	<p>SC13-EB-WP-10 <i>ABNJ Joint Analysis of Sea Turtle Mitigation Effectiveness – Final Report</i></p> <p>Draft SC13 Summary Report para 626.</p> <p>SC13 recommends that TCC and the Commission note the following findings of the Workshop when discussing sea turtle mitigation in the WCPF Convention Area:</p> <p>a. The WCPFC does not hold sufficient information to quantify the severity of the threat posed by longline fisheries to sea turtle populations;</p> <p>b. The effect of large circle hooks (size 16/0 or larger) in reducing interactions is generally greater than the effect of fish bait;</p> <p>c. The effect of fish bait in reducing both interactions and mortality is generally similar to that of removal of the first hook position closest to each float;</p> <p>d. The effect of large circle hooks (size 16/0 or larger) in reducing both interactions and mortality is generally similar to that of removal of the first two hook positions closest to each float;</p> <p>e. While approximately 20% of the WCPO longline effort is in shallow sets, analysis suggests that <1% of WCPO longline effort is currently subject to mitigation;</p> <p>f. Noting that the workshop separated shallow and deep sets at 10 hooks per basket, it found that although interaction rates are higher in shallow-set longlines, introducing mitigation to deep-</p>	

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			<p>set longlines would deliver greater reductions in total interactions as compared to shallow-set longlines due to the four-times greater effort in deep-set longline fisheries;</p> <p>g. Similarly, introducing mitigation to deep-set longlines would deliver greater reductions in at-vessel mortality as compared to shallow-set mitigation because sea turtles have a higher probability of asphyxiation in deep sets;</p> <p>h. The effects of these and other combinations of mitigation measures are quantified and discussed in the final workshop report “Joint Analysis of Sea Turtle Mitigation Effectiveness” which can serve as a reference for the Commission’s further consideration of CMM 2008-03.</p> <p>i. It be determined if sufficient data exist to conduct further analyses to evaluate the impacts of various mitigation measures on fisheries operations in WCPO and on populations of sea turtle species.</p>	
11.5 Seabirds (CMM 2012-07/CMM 2015-03)		Priority core business task: 1 g: Review information about technical and compliance matters arising under existing CMMs.	<p>Draft SC13 Summary Report para 615.</p> <p>SC13 noted from a number of CCM Part 1 Reports that high bycatch rates of seabirds, and in particular albatross, continue to be reported by some CCMs fishing in waters south of 30°S. Therefore, SC13, taking note that SPC is about to initiate a project to assess seabird interactions with WCPFC fisheries and will report the results to SC14, recommends that TCC and the Commission review both observer coverage rates (used to estimate total</p>	

TCC13 AGENDA ITEM	TCC12 and WCPFC13 RECOMMENDATIONS AND REPORT REFERENCES	TCC Workplan 2016-2018	SECRETARIAT COMMENTS AND NOTES ON SC13 OUTCOMES REFERRED TO TCC	Paper WCPFC-TCC13-2017-
			seabird interactions) and the application of mitigation by fleets operating in this area, to inform what further action, if any, may be required by the Commission to address this issue	
12.1 Bridging CMM to replace CMM 2016-01		<p>Priority core business task: h. Make technical comments on proposed CMMs</p> <p>Priority project specific task: g. Technical and compliance-related advice to address BET overfishing. [TCC task]</p> <p>Priority project specific task: k. Technical and compliance-related advice to support the development of harvest strategies, including consideration of the implications of harvest control rules. [TCC task]</p>	<p>Draft SC13 Summary Report para 554.</p> <p>SC13 reviewed the draft final report from Project 77: Development of potential measures to reduce interactions with bigeye tuna in the purse seine fishery in the western and central Pacific Ocean ('bigeye hotspots analysis') (WCPFC-SC13-2017/MI-WP-07) funded by the EU. The aims of this study were i) to identify factors linked to high purse seine bigeye catch; ii) to identify top bigeye tuna catching purse seiners; and iii) to examine spatial management considerations. As highlighted in previous studies it is clear that many factors influence bigeye catch by the purse seine fishery, which therefore makes it challenging to gauge the effect of each factor separately. SC13 noted several factors influencing bigeye catches, such as vessel size and thermocline depth, and that top vessel lists were different between areas, likely linked to the fact that many fleets mostly operate in one of the three areas analysed during a specific year. SC13 also noted the presence of two types of 'bigeye hotspot' areas: i) an area of high overall bigeye tuna catch, with small bigeye catch per set but high effort on associated sets; and ii) an area of high bigeye CPUE, but with lower average catches. SC13 made a number of suggestions to clarify aspects of the report</p>	19

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			<p>(e.g. enumerating the proportion of the identified hot-spots within each national jurisdiction, providing similar estimates based on those used in the stock assessment to check for potential biases in the observers' visual estimations, noting the concerns expressed by some CCMs regarding potential difficulties of applying time/area closures in areas of national jurisdiction) and these will be incorporated into the final report to be provided to the WCPFC Secretariat, and subsequently to be made generally available. SC13 also noted the need for improved information on FAD designs, deployments and type of buoy use within the WCPO, together with the importance of detailed information on the characteristics of vessels fishing in the WCPO, to improve future analyses. SC13 recommends that the upcoming Intersessional Meeting to progress the Draft Bridging CCM on Tropical Tuna and both TCC13 and WCPFC14 takes note, among other elements, of the preliminary results contained in this report when framing the 'bridging' CMM to replace CMM-2016-01 and that mechanisms be considered to help facilitate further analyses as indicated above.</p>	
<p>13.1 Further develop port-based initiatives as part of a suite of MCS tools (TCC Workplan 2016-2018)</p>	<p>WCPFC13 Summary Report para 197. The Commission noted the report of the WCPFC Port Coordinators Programme Trial 2015–2016 (WCPFC13-2016-26) and tasked TCC13 to further</p>	<p>Priority project specific task 2 h. Further develop port-based initiatives as part of the suite of MCS tools, including a summary of port state</p>	<p>The trial programme commenced in 2015 following its establishment by WCPFC11 decision, and was in its second and final year, pending a decision on continuation by the Commission. TCC12 Summary Report para 179 – 181:</p>	<p>18</p>

TCC13 AGENDA ITEM	TCC12 and WCPFC13 RECOMMENDATIONS AND REPORT REFERENCES	TCC Workplan 2016-2018	SECRETARIAT COMMENTS AND NOTES ON SC13 OUTCOMES REFERRED TO TCC	Paper WCPFC-TCC13-2017-
(a) Further consider TCC12 recommendation to extend WCPFC Port Coordinators Programme (WCPFC13, paragraph 197)	consider the recommendations to extend the Port Coordinators Programme.	measures adopted by other RFMOs [Secretariat task] and a summary of port state measures undertaken by members. [TCC task]	<p>TCC12 noted the utility of the trial port coordinators programme and recommends to WCPFC13 the extension of the programme and the expansion to other ports in the WCPO where appropriate.</p> <p>TCC12 encouraged participating CCMs in the port coordinators programme to include in their annual reports the impact of the programme.</p> <p>TCC12 recommended that WCPFC13 identify an appropriate funding source for the continuation of this programme.</p> <p>WCPFC13 Summary Report para 181. The Chair commented that there was no consensus to adopt port state measures at WCPFC13 but noted the discussions and the latest thinking which was contained in the FFA proposal in its revised form (WCPFC13-2016-DP15_rev1).</p>	
<p>13.2 Other matters requiring TCC advice</p> <p>Development of guidelines for voluntary provision of economic data.</p>			<p>Draft SC13 Summary Report para 151.</p> <p>SC13 considered the development of guidelines for the voluntary provision of economic data to the Commission by CCMs and recommended that intersessional work be undertaken on the principles that will inform the development of such guidelines. CCMs wishing to participate in this intersessional work should provide a contact point for inclusion in this intersessional working group which will be facilitated by Fiji and the FFA Secretariat.</p> <p>Draft SC13 Summary Report para 152. SC13 further recommended that</p>	

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			<p>the outcomes of this intersessional work be considered by TCC13.</p> <p>WCPFC Circular 2017/59 sought nominations from CCMs for the virtual intersessional working group on the development of guidelines for the voluntary submission of economic data to the Commission (30 August 2017)</p>	
14.1 TCC Workplan for 2016-2018	WCPFC13 Summary Report para 568. In respect of TCC12 recommendation paragraphs 275 and 448, the updated TCC Workplan 2016-2018 was approved (Attachment S).		The TCC13 agenda was developed considering the updated TCC Workplan 2016 - 2018	IP10
14.3 Report on Secretariat IMS and website development and online reporting systems			<p><i>Covered in ED's report to TCC</i></p> <p>WCPFC11 524. The Commission agreed to maintain commitments that will ensure the continued development and enhancement of the Commission Secretariat IMS over the next 2-3 years.</p>	07

Table 2: Cooperating Non-Member and Participatory Rights decision from WCPFC13 (paragraph numbers refer to WCPFC13 Summary Report)

57. For 2017, seven CNM requests were submitted to the Secretariat using the agreed electronic format within the required timeframe. Those requests were from: Ecuador, El Salvador, Liberia, Mexico, Panama, Thailand, and Vietnam.

58. WCPFC13 approved CNM status to Ecuador, El Salvador, Liberia, Panama, Thailand, and Vietnam for 2017.
59. WCPFC13 also approved CNM status to Mexico for 2017, subject to the payment of Mexico's 2016 assessed financial contribution by June 30 2017 (payment in the first semester) and its 2017 assessed contribution by December 31 2017 (payment in the second semester).

60. At the Chair's direction, a small working group (SWG) was established to assess associated participatory rights of each CNM applicant whose CNM status was approved at the plenary. Framework documents for the working group were CMM 2009-11 and Secretariat paper WCPFC13-2016-08. The group also referred to TCC12's recommendations in the CNM section of the TCC12 Summary Report.

61. The SWG noted with appreciation the attendance and participation of Ecuador, El Salvador, Liberia, Mexico, Panama, Thailand, and Vietnam in the Group's discussions.

62. In addition to the discussions regarding participatory rights, some of the attending CNMs also raised the question as to how the Commission invites a non-member to become a full member, noting that Ecuador, El Salvador, and Vietnam had expressed their interest in becoming a full member of the Commission in their CNM applications.

63. Participants supported the recommendation of TCC12 to include a column in the template where CNM applicants could indicate their interest in becoming a member of the commission but felt that further discussion was not warranted on the membership process as the issue was outside the mandate of the SWG.

64. It was confirmed that applications were complete and outstanding data addressed for the seven applicants.

General decision on participatory rights

66. WCPFC13 agreed to the following limits to be applied to the participatory rights of CNMs (Convention / CMM 2009-11):

a. In accordance with the WCPF Convention and its conservation and management measures and resolutions, the following participatory rights apply to Cooperating Non- Members (CNMs) for fisheries in the high seas within the WCPFC Convention Area.

b. In addition, unless otherwise specified below, CNMs may fish in waters under their national jurisdiction or other CCMs' national jurisdiction, in accordance with appropriate bilateral arrangements.

c. CNMs shall ensure vessels flying their flags comply with all provisions of the WCPFC Convention and the WCPFC conservation and management measures. In addition, CNM vessels will be placed on the WCPFC Record of Fishing Vessels (WCPFC RFV).

d. CCMs shall ensure that CNM fishing activities that are conducted in waters under their national jurisdiction in accordance with bilateral arrangements are consistent with all relevant conservation and management measures and provisions of the WCPF Convention.

e. Renewal of CNM status by the Commission will take into account compliance with the national laws and regulations of any licensing CCM, and all conservation and management measures and provisions of the WCPFC Convention. CCMs shall identify any violations by vessels flagged to a CNM and report on any investigations of such violations to the Secretariat for attention by TCC.

CNM	WCPFC13 specific decisions regarding Participatory Rights in 2017
Ecuador	67. The participatory rights for fishing in the WCPO are limited to purse seine fishing, with no participatory rights for fishing on the high seas for highly migratory fish stocks in the Convention Area. Any introduction of purse seine fishing capacity is to be in accordance with paragraph 12 of CMM 2009-11 and CMM 2015-01 or its replacement measure.
El Salvador	68. The participatory rights of El Salvador for fishing in the WCPO are limited to purse seine fishing only. The total level of effort by purse seine vessels of El Salvador on the high seas shall not exceed 29 days in the Convention Area. Any introduction of purse seine fishing capacity is to be in accordance with paragraph 12 of CMM 2009-11 and CMM 2015-01 or its replacement measure.
Liberia	69. The participatory rights of Liberia are limited to reefer vessels to engage in transshipment activities, and bunker and supply vessels to support fishing vessels in the Convention area.
Mexico	70. It was noted that Mexico had participated in the work of the Northern Committee (NC) at NC 8, 9, 10, 11 and 12 and, noting the need for cooperation with the work of the NC particularly in regard to Pacific bluefin tuna, encouraged Mexico to continue to participate in the NC. Mexico does not intend to have a vessel presence in 2017. Any future introduction of purse seine fishing capacity is to be in accordance with paragraph 12 of CMM 2009-11 and CMM 2015-01 or its replacement measure.
Panama	71. The participatory rights of Panama in the WCPO are limited to the provision of carrier and bunker vessels. Panama's participatory rights also apply to vessels that supply food, water and spare parts to carrier vessels that engage in transshipment activities, provided that these vessels do not engage in activities supporting fishing vessels, including providing and/or servicing FADs. The SWG also noted that this does not result in any additional participatory rights in 2017 to the participatory rights granted to Panama in 2016.
Thailand	72. The participatory rights of Thailand in the WCPO are limited to the provision of carrier and bunker vessels only.
Vietnam	73. The participatory rights of Vietnam in the WCPO are limited to the provision of carrier and bunker vessels only.

WCPFC/IATTC Overlap Area

74. In accordance with the decision of WCPFC9 regarding the management of the overlap area of 4°S and between 130°W and 150°W, vessels flagged to Ecuador, El Salvador and Mexico will be governed by the IATTC when fishing in the overlap area.
75. In accordance with the Data Exchange MOU agreed by both Commissions, fishing vessels flying the flag of a member of either the IATTC or WCPFC shall cooperate with the RFMO to which they are not a member by voluntarily providing operational catch and effort data for its fishing activities for highly migratory species in the overlap area.
76. For the purpose of investigation of possible IUU fishing activities and consistent with international and domestic laws, vessels flying the flag of a CNM that is a Contracting Party to the IATTC will cooperate with those coastal State members of the WCPFC whose EEZs occur in the overlap area by voluntarily providing VMS reports (date, time and position) to those coastal States when operating in the overlap area.
