



TECHNICAL AND COMPLIANCE COMMITTEE

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Pohnpei, Federated States of Micronesia

LIST OF CAPACITY ASSISTANCE REQUESTS AND CAPACITY DEVELOPMENT PLAN

WCPFC-TCC13-2017-11b
13 September 2017

Paper prepared by the Secretariat

Purpose

1. This paper summarizes Capacity Assistance needs identified by CCMs, based on this year's Annual Report Part 2 reporting and/or draft Compliance Monitoring Report (dCMR) replies. The summary information provided also indicates where CCMs have provided submissions related to Capacity Development Plans (CMM 2015-07 paragraphs 5 -7) as part of replies to this year's dCMR. The information is as at 11th September 2017.

Background

2. As in previous years, and in accordance with CMM 2015-07, CCMs may provide in their Annual Report Part 2 and in replies to dCMRs, indications of areas where assistance is needed.
3. CMM 2015-07 paragraph 24 states:
24. Upon receipt of its dCMR, each CCM may, where appropriate, reply to the Executive Director no later than 28 days prior to TCC each year to:
 - (i) provide additional information, clarifications, amendments or corrections to information contained in its dCMR;
 - (ii) identify any particular difficulties with respect to implementation of any obligations; or
 - (iii) identify technical assistance or capacity building needed to assist the CCM with implementation of any obligations.
4. CMM 2015-07 paragraph 5 also states:
5. Notwithstanding paragraph 3, where a SIDS or Participating Territory, or Indonesia or the Philippines cannot meet a particular obligation that is being assessed, due to a lack of capacity², that CCM shall provide a Capacity Development Plan to the Secretariat with their draft Compliance Monitoring Report (dCMR), that:
 - (i) clearly identifies and explains what is preventing that CCM from meeting that obligation;
 - (ii) identifies the capacity building assistance needed to allow that CCM to meet that obligation;
 - (iii) estimates the costs and/or technical resources associated with such assistance, including, if possible, funding and technical assistance sources where necessary;

(iv) sets out an anticipated timeframe in which, if the identified assistance needs are provided, that CCM will be able to meet that obligation.

The CCM may work together with the Secretariat to draft the Capacity Development Plan. This plan shall be attached to that CCM's comments to the dCMR.

Footnote 2: Any CCM may identify a capacity assistance need through the CMS process; however, the application of paragraphs 5 - 7 is limited to those CCMs identified in the paragraph.

5. In respect of Capacity Development Plans, Circular No.:2017/56 dated 2nd August, 2017 which announced the availability online for each CCM of their dCMR on also included a Quick Guide on how to submit Capacity Development Plan with CCM's dCMR response. The Secretariat throughout August also reached out to CCMs drawing attention to this aspect of the dCMR and offering assistance if needed.

Obligations where assistance needs were identified

6. A summary of the CMM paragraphs and topics where CCMs have indicated a capacity assistance need is provided in **Table 1**. The list provided in Table 1 includes obligations (CMM paragraphs) where at least one CCM has included some sort of response within the part of the dCMR template that was titled "Capacity Development Plan", or had indicated a capacity assistance need within their Annual Report Part 2 reporting. Summary details relevant to the WCPFC13 adopted Final Compliance Monitoring Report score of **Capacity Assistance Needed** are also included in **Table 1**.
7. Authorised CCMs users may view individual CCMs indications of assistance needs by:
 - a. reviewing the full draft Compliance Monitoring Report on the Intranet and filtering by the appropriate column to show the CCMs and CMM paragraphs where CCMs had indicated they had an assistance need; or
 - b. reviewing the full dCMR MS Excel export, which can be filtered, to show the CCMs and CMM paragraphs where CCMs had indicated they had an assistance need.
8. Copies of Capacity Development Plans submissions and reports in response to Capacity Assistance Needed score from WCPFC13 are viewable by authorized CCMs users through the secure side of the WCPFC website on 13 September 2017 as **WCPFC-TCC13-2017-dCMR01-CDP**.

Recommendation

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| <ol style="list-style-type: none">9. TCC13 is invited to consider the capacity assistance needs listed in Table 1 and any other areas identified by CCMs during TCC13's review of dCMRs when developing the Provisional Compliance Report and noting the task set out in paragraph 30 (ii) of CMM 2015-07. |
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Table 1: List of CMM paragraphs from 2017 full draft CMR where CCMs identified a capacity assistance need and/or submitted information in the dCMR reply that was titled “Capacity Development Plan”

CMM paragraph	Topic	CMR section ¹	CDP response in dCMR reply?	WCPFC13 fCMR Capacity Assistance Needed score?
CMM 2009-06 11	Annual report on all transshipment activities covered by this Measure (including transshipment activities that occur in ports or EEZs) in accordance with the specified guidelines (Annex II)	ii	**	
CMM 2009-06 35 a (iii)	WCPFC Transshipment Advance Notification (including fields in Annex III)	vii	**	
CMM 2009-06 35 a (iv)	WCPFC Transshipment Declaration (including information in Annex I)	vii	**	
CMM 2010-06 22	CCMs shall take all necessary non-discriminatory measures, including under their applicable legislation, to take certain actions in respect of vessels listed on the WCPFC IUU Vessel List	v	**	
CMM 2010-07 06	Full utilization of any catches of sharks retained	i	**	
CMM 2012-04 01	Prohibit purse seine setting on whale sharks, if animal is sighted prior to commencement of the set	i	**	
CMM 2012-07 04	Report on which mitigation measures are used N 23 N or S 30 S, as well as technical specifications. Subsequent years include advice on any changes.	iii	**	
CMM 2013-05 02	Requirement that information recorded by the master of each vessel each day with fishing operations shall, at a minimum include the information as specified	ii	**	
CMM 2014-02 9a VMS SSPs 7.2.2	CCMs to conduct periodic audits of ALC/MTUs of its vessels and report results to the Commission (AR Pt 2)	v		YES ²
Convention Article 24 (3)	Requirement that the vessels is operated in the high seas in accordance with Annex III of the Convention	v	**	
Convention Article 25 (2)	Report of outcome of an investigation conducted, at the request of another Member of its vessels alleged violation of the Convention or CMMs. Summary to be provided in Part 2	vii	**	

¹ CMM 2015-07 paragraph 3: (i) catch and effort limits for target species; (ii) catch and effort reporting for target species; (iii) reporting including with respect to implementation of measures for non-target species; (iv) spatial and temporal closures, and restrictions on the use of fish aggregating devices; (v) authorizations to fish and the Record of Fishing Vessels, observer, VMS coverage, transshipment and the High Seas Boarding and Inspection Scheme; (vi) provision of scientific data through the Part 1 Annual Report (and its addendum) and the Scientific Data to be provided to the Commission; and (vii) submission of the Part II Annual Report, including compliance with the obligations in paragraph 36, and compliance with other Commission reporting deadlines.

² For RY 2015 the following CCM received a score of **Capacity Assistance Needed** for this obligation in the Final CMR adopted by WCPFC13:- Tuvalu