

**TECHNICAL AND COMPLIANCE COMMITTEE** 

**Thirteenth Regular Session** 27 September – 3 October 2017

Pohnpei, Federated States of Micronesia

# **OVERVIEW OF COMPLIANCE MONITORING SCHEME MATTERS FOR TCC13**

WCPFC-TCC13-2017-11a 8 September 2017

### Paper prepared by the Secretariat

### Purpose

- 1. The paper provides an overview of the WCPFC online reporting systems used to support the Compliance Monitoring Scheme and includes some observations from the Secretariat on this year's draft CMR. There are three accompanying papers that cover specific topics of:
  - a. List of Capacity Assistance Requests and Capacity Development Plan (WCPFC-TCC13-2017-11b);
  - b. Summary of Investigation Status for alleged violations notified in the WCPFC online compliance case file system (WCPFC-TCC13-2017-11c); and
  - c. Notes on obligations needing revision to improve compliance and monitoring or with interpretation issues (WCPFC-TCC13-2017-11d).
- 2. TCC13 is invited to consider this paper together with the three accompanying papers on Compliance Monitoring Scheme matters and as appropriate, make recommendations to WCPFC14.

## Background

3. The Commission has been implementing the WCPFC Compliance Monitoring Scheme since 2011. Its current purpose is described in paragraph 1 of CMM 2015-07, as:

"to ensure that Members, Cooperating Non-Members and Participating Territories (CCMs) implement and comply with obligations arising under the Convention and conservation and management measures (CMMs) adopted by the Commission. The CMS is designed to:

(i) assess CCMs' compliance with their obligations;

(*ii*) *identify areas in which technical assistance or capacity building may be needed to assist CCMs to attain compliance;* 

(iii) identify aspects of conservation and management measures which may require refinement or amendment for effective implementation;

(iv) respond to non-compliance through remedial options that include a range of possible responses that take account of the reason for and degree of non-compliance, and include cooperative capacity-building initiatives and, in case of serious non-compliance, such penalties and other actions as may be necessary and appropriate to promote compliance with CMMs and other Commission obligations;<sup>1</sup> and

(v) monitor and resolve outstanding instances of non-compliance. Footnote 1: In accordance with the process for identifying responses to non-compliance adopted by the Commission to complement the Scheme, as provided for in paragraph 38 of this measure.

4. CMM 2015-07 paragraph 41 says that the measure will be effective for 2016 and 2017 only. In addition and commencing in 2017, the Commission has tasked a three-person panel to conduct an Independent Review of the Compliance Monitoring Scheme, with a final report due by March 2018. TCC13 paper *WCPFC-TCC13-2017-10* provides an update on the progress and process for the Review to date.

# 2017 CCM Annual Reports and draft CMR submissions

- 5. Key dates for CCM annual reporting, draft CMRs and the associated WCPFC online systems in 2017 were:
  - i. **26 February:** 2016 Suggested Checklist for Reporting Requirements was published to WCPFC website;
  - ii. **6 March:** Fish/did not fish template (CMM 2013-10 09) sent out to CCMs, which is four (4) months in advance of the reporting deadline of 1 July;
  - 15 March: Annual Report Part 2 online system was made available for CCMs use, which is four (4) months in advance of the reporting deadline of 1 July. (Notification to CCMs was via WCPFC Circular 2017/19 and this included a 15-page quick guide to assist CCMs with completing Annual Report Part 2 and Managing Compliance Cases);
  - iv. **22 March:** The Secretariat issued templates for WCPFC Investigation Status Report for draft CMR and WCPFC Capacity Development Plan for draft CMR. (Notification to CCMs was via WCPFC Circular 2017/28);
  - v. **13 April:** CCMs were advised of additional 2015 and new 2016 alleged infringements based on ROP observer data that had been included in the online Compliance Case file system and work has commenced to upload supporting documentation for this years draft CMR on the secure side of the WCPFC website CCM portals (Notification to CCMs was via *WCPFC Circular 2017/28*);
  - vi. **1 May:** CCMs were advised of additional updates in the online Compliance Case file system, including new 2016 alleged infringements in two new lists titled *ROP pre-notification issues* and *cetacean and whale shark interactions* (Notification to CCMs was via *WCPFC Circular 2017/32*);
  - vii. **1 July:** Deadline for Annual Report Part 2 and Fish/did not fish template submissions (CMM 2013-10 09);
  - viii. **10 July:** Deadline for Annual Report Part 1 submissions;
  - ix. **3 August:** The Secretariat issued draft CMRs for thirty-seven (37) CCMs and one collective group of Members for individual CCM review. The online system for

CMR was updated by the Secretariat considering the WCPFC13 agreed list of obligations and the requirements of CMM 2015-07. (Notification to CCMs was via *WCPFC Circular 2017/56* - which included a 14-page quick guide to assist CCMs with completing draft CMR online and Managing Compliance Cases);

- x. **30 August:** cut-off date for CCM replies to their draft CMR, if the CCM would like their responses to be considered in the full draft CMR (refer to *CMM 2015-07 para 24*); and
- xi. **12 September:** The full draft CMR is to be made available for all CCMs review, in advance of TCC13. The Secretariat will separately post any additional CCM replies received on the draft CMR prior to the start of TCC13 (refer to *CMM 2015-07 para 26*).
- 6. One of the key inputs to the Compliance Monitoring Scheme since its implementation has been the draft CMR which the Secretariat is tasked to prepare and issue around late July each year. In the early years of CMS implementation (2011 -2013), the scope of the draft CMR was as a compilation of "…information received through Part 1 and 2 Annual Reports, other reporting obligations, the transshipment program, the regional observer program, the Vessel Monitoring System and any other data collection programs of the Commission."
- 7. Since 2014, the scope of the draft CMR has been expanded to what is contained in paragraph 22 of CMM 2015-07:

"22. Prior to the annual meeting of the TCC, the Executive Director shall prepare a Draft Compliance Monitoring Report (the Draft Report) that consists of individual draft Compliance Monitoring Reports (dCMRs) concerning each CCM and a section concerning collective obligations arising from the Convention or CMMs related to fishing activities managed under the Convention. Each dCMR shall reflect information relating to the relevant CCM's implementation of obligations as reflected in paragraph 3 or modified by paragraph 15, as well as any potential compliance issues, where appropriate. Such information shall be sourced from reports submitted by CCMs as required in CMMs and other Commission obligations, such as Parts 1 and 2 of the Annual Reports as well as information available to the Commission through other data collection programs, including but not limited to, high seas transshipment reports, regional observer program data and information, Vessel Monitoring System information, High Seas Boarding and Inspection Scheme reports, and charter notifications; and where appropriate, any additional suitably documented information regarding compliance during the previous calendar year. The Draft Report shall present all available information relating to each CCM's implementation of obligations for compliance review by TCC."

8. In response to the scope of the draft CMR, the breadth and depth of analysis undertaken by the Secretariat in preparation of draft CMRs has been expected to incrementally expand over the years of implementing the Compliance Monitoring Scheme. The Secretariats response to the draft CMR task, has included careful work planning and efforts to build and enhance WCPFC IMS hosted databases for centralizing records of CMM reporting and development of integrated MCS analytical tools at the Secretariat.

9. Table 1 below provides as a comparison, the key statistics related to each year's draft CMR report. Annex IIIA and Annex IIIB to this paper provides the list of the CMM paragraphs and Convention provisions which were included in the full draft CMR grouped by section and by CMM.

Date of CMR review	CMS CMM ref.	Covering year	# of draft CMR sections	Number of CMMs which were covered by the draft CMR	# CCMs	Rows per CCM in draft CMR	Total number of rows for full draft CMR
2011	2010-03	2010	5	14 + Scientific Data to be Provided (list was determined by Secretariat and guided by para 3 of CMM 2010-03)	37	75	2775
2012	2011-06	2011	5	14 + Scientific Data to be Provided (list was determined by Secretariat and guided by para 3 of CMM 2011-06)	33	74	2442
2013	2012-02	2012	5	17 + Scientific Data to be Provided (list was determined by Secretariat and guided by para 3 of CMM 2012-02)	39	62	2418 (1048 were "applicable")
2014	2013-02	2013	8	25 CMMs, Convention and Scientific Data to be Provided (list was determined by Secretariat and guided by para 3 of CMM 2013-02)	38	132	5019 (2300 were "applicable")
2015	2014-07	2014	7	13 CMMs, Convention and Scientific Data to be Provided (list based on Commission agreed 3-year multi-year list of obligations to be assessed in CMR)	36	107 -111	3861 (1671 were "applicable")
2016	2015-07	2015	8	19 CMMs, Convention (4) and Scientific Data to be Provided (4) (list based on Commission agreed 3-year multi-year list of obligations to be assessed in CMR)	37	120	4442 (1879 were "applicable")
2017	2015-07	2016	8	23 CMMs, Convention (6) and Scientific Data to be Provided (4) (list based on Commission agreed 3-year multi-year list of obligations to be assessed in CMR)	37	124	4590 (2017 were "applicable")

Table 1. Summary of key statistics for the draft CMRs prepared by WCPFC Secretariat 2011 - 2017

# WCPFC Online Reporting Systems used to support the Compliance Monitoring Scheme

- 10. As has been the case since 2013, the WCPFC Compliance Monitoring Scheme continues to be supported by the WCPFC online reporting systems.
- 11. In 2017, the WCPFC online reporting systems used to support the Compliance Monitoring Scheme was comprised of six (6) lists that are hosted on the WCPFC Intranet using a Microsoft Sharepoint web application platform:
  - i. Annual Reports Part 2 (RY 2016): ALL CCMs that are required to submit an Annual Report Part 2 to the Commission (174 questions);
  - ii. Addressing CMR-2015 Issues: This list is part of the 2016 Annual Report Part 2 and was relevant to only those CCMs who had a score of "Non-Compliant", "Priority Non-Compliant", "Capacity Assistance Needed" or "Flag State Investigation" in the Final CMR Report adopted by WCPFC13. CMM 2015-07 paragraph 36 says: "Each CCM shall include, in its Part 2 Annual Report, any actions it has taken to address non-compliance identified in the Compliance Monitoring Report from previous years.
  - MTU Audit Inspections: This list is relevant to CMM 2014-02 9a VMS SSPs 7.2.2 and CMM 2014-02 9a VMS SSPs 7.2.4 (Annual Report (RY 2016) Q-105 and/or Q-106). ALL CCMs that have answered "YES" to one or both of these questions in Annual Report Part 2 should have completed this section. This list can be accessed by CCMs throughout the year, and is not time-limited;
  - iv. 01-July-2017 Reporting Deadline Checklist: designed as a tool to allow CCMs to confirm to the Secretariat that they have completed one or more of the relevant annual reporting online lists for Annual Report Part 2. It also reminded CCMs about CMM 2013-10 paragraph 9 reporting requirement (Fished/Did Not Fish report) which is also due on 1 July 2017, and which is an important report for draft CMR reviews; and
  - v. **WCPFC Online Compliance Case File system:** to track individual alleged violations (for further details please refer to *WCPFC-TCC13-2017-11c*)
  - vi. **Compliance Monitoring Reports (2016)**: the template was based on the WCPFC11 agreed template and CMM 2015-07 (Annex I provides the template for 2016 draft Compliance Monitoring Report). CCM reported information that is completed in the online reporting system for Annual Report Part 2 (YES/NO/not applicable and any explanations) and online reporting system for submitting replies to the draft CMR, assists the Secretariat with accurately transferring the CCM reported information into the full Compliance Monitoring Report (2016). The Compliance Monitoring Report template (2016) also collates information CCMs have submitted as part of draft CMR replies of Investigation Status Reports, as stipulated under CMM 2015-07 paragraph 8 and of Capacity Development Plans as stipulated under CMM 2015-07 paragraph 5.
- 12. Annex I to this paper provides the template for 2016 draft Compliance Monitoring Report. Annexes II – IV to this paper provide notes and list of CMM paragraphs that were included in the 2017 draft CMR and Annual Report Part 2 online systems covering 2016 activities.
- 13. In 2017, the Secretariat has also continued the practice of using the individual CCM portal page on the secure side of the WCPFC website (each CCM has access to their own CCM portal, and not that of others) to facilitate the dissemination of additional supporting

information relevant to draft CMRs. As in past years, the Secretariat commenced posting supporting information relevant to draft CMR in April 2017 (WCPFC Circular 2017/28).

14. The successful delivery of the WCPFC online systems continue to be a result of the combined efforts of the WCPFC Secretariat Compliance and IT staff, IMS contractor Taz-E P/L and website contractor Eighty Options. In 2016/17 the Secretariat also acknowledges the contribution of SPC-OFP colleagues, who provided considerable assistance to the Secretariat so that ROP-data could be used as a source in the online Compliance Case File system.

# Secretariat Observations

- 15. The Secretariat makes the following observations related to the Compliance Monitoring Scheme:
  - a. In 2017, the content and depth of analysis undertaken by the Secretariat in preparation of draft CMRs continued to expand and is supported by previous years and ongoing investments by the Commission on the development and enhancement of the WCPFC IMS hosted databases for CMM reporting at the Secretariat and the development of the WCPFC online reporting systems;
  - b. In response to the tasks in CMM 2015-07, the Secretariat MCS/compliance staff carefully structure and manage their work program and timelines during the period of late April through the end of September, to meet the specified draft CMR deadlines and TCC annual meeting commitments and deadlines;
  - c. The Commission agreeing to a list of obligations to be assessed under CMR (WCPFC13 Summary Report Attachment I) did assist the Secretariat with: (i) early issuance of the online Annual Report Part 2 system for CCMs to use in 2016 and 2017; (ii) providing notes to remind CCMs of the obligations that will be reviewed in the draft CMR in a particular year; (iii), its own internal preparations of the online systems for Compliance Monitoring Report development; and (iv) forward planning the investigation of analytical approaches and scheduling the development of IT tools needed to support draft CMR preparations;
  - d. The additional efforts that many CCMs have made to make earlier annual report submissions has been appreciated by the Secretariat and in 2017 did assist the Secretariat with meeting the draft CMR issuance deadline in CMM 2015-07 (see *WCPFC-TCC13-2017-IP01*); and
  - e. Looking towards 2018, there is presently some uncertainty as to what the Compliance Monitoring Scheme-related tasks to the Secretariat will be in 2018, because CMM 2015-07 paragraph 41 says that the measure will be effective for 2016 and 2017 only.
  - f. All general Annual Report Part 2 and draft CMR inquiries and requests for assistance should be copied to the email address: <u>contact.ar@wcpfc.int.</u>

## Recommendation

16. TCC13 is invited to note the paper.

Annex I – Updated template for 2016 draft Compliance Monitoring Report (based on CMM 2015-07 and WCPFC13 Summary Report, Attachment I)

CCM	
CMR Section	The equivalent of para 3 of CMM 15-07
CIVIN Section	
	The list of CMMA necessaries as served by MCDEC(1)
CMM Paragraph	The list of CMM paragraphs as agreed by WCPFC13
CCM Assessment	CCM advice in Annual Report part 2:
	* YES (fully implemented),
	* NO (not fully implemented)
	*N/A (not applicable)
	* [blank] – not included in AR Pt 2
CCM Implementation	May include as appropriate:
	may moude as appropriate.
	COM surface tion from Annual Depart Dart 2
	CCM explanation from Annual Report Part 2
Potential Issue	
	choice of:
	*no issues detected;
	*additional information:
	*potential compliance issue.
Secretariat Explanation	text explanation as the basis for the identification of a potential issue
Secretariat Explanation	by WCPFC Secretariat
CCM Additional Information	by worr o decretanat
CCW Authonal Information	
	The empirement of news 07 of CMM 45 07
	The equivalent of para 27 of CMM 15-07
Capacity Building Requested	The equivalent of para 24 (iii) of CMM 15-07
Capacity Development Plan	Applicable if CCM submitted a reply to draft CMR under heading of
	"Capacity Development Plan" (CMM 15-07 para 05)
Investigation Status Report	Applicable if CCM submitted a reply to draft CMR under heading of
-	"Investigation Status Report" and/or included replies to relevant cases
	in Compliance Case File online system (CMM 15-07 para 08)
Potential Issue – TCC	Completed by TCC based on the equivalent of Annex I in CMM
Assessment	2015-07
TCC Explanation	Completed by TCC

# Annex II - Explanatory notes on columns in full draft CMR covering 2016 activities, prepared by the WCPFC Secretariat and in accordance with WCPFC11 agreed template (Attachment W of WCPFC11 Summary Report)

**CMR Section:** The sections are intended to reflect the relevant bullet points listed in paragraph 3 and also paragraph 4 of CMM 2015-07, and they are:

- (i) Catch and effort limits for target species;
- (ii) Catch and effort reporting for target species;
- (iii) Reporting including with respect to implementation of measures for non-target species;
- (iv) Spatial and temporal closures and restrictions on the use of fish aggregating devices (FADs);
- (v) Authorisation to fish and RFV, observer, VMS coverage and HSBI scheme;
- (vi) Provision of scientific data; and

(vii) Submission of AR Part 2 and compliance with other reporting deadlines, including compliance with CMM 2015-07 para 36.

Collective obligations as per para 4 of CMM 2015-07

#### CMM paragraph -

refers to the specific paragraph within a Conservation and Management Measure (CMM), or the Article and subparagraph of the Convention. The list of obligations is based on the list of obligations adopted at WCPFC 13 (WCPFC 13 para 158, Attachment I)

**CCM Assessment** – this was populated automatically from the same paragraph which was in AR Pt 2 covering 2016 activities – choice of YES (fully implemented), NO (not fully implemented) or N/A]. Note that this will be "blank" where a CCM had not completed this field in AR Pt 2, prior to the full draft CMR being completed.

### Applicability checked – populated by Secretariat.

In some cases, this might differ from a CCMs assessment in AR Pt 2, and was made based on the Secretariats understanding of the nature of the requirement and the characteristics of a particular CCMs fishery, eg whether a requirement applies to flag CCMs, or coastal CCMs.

### **CCM Implementation** - populated automatically from ARPT2.

Any text explanations that CCMs have provided in AR Part 2 for their YES, NO or N/A answer in AR Pt 2 for the same CMM or Convention reporting requirement.

### Potential Issue - populated by Secretariat

choice of: no issues detected; need additional information; potential compliance issue.

Secretariat Explanation - populated by Secretariat

- text explanation for the choice made by the Secretariat of potential issue. As applicable, there may be notes on supplementary information, including as applicable:

- quotes or notes from AR Pt1 reported information,
- notes on relevant information from WCPFC records and information from WCPFC databases,
- notes on updated information and advice from SPC, and

- a short reference (CMR issue (RY 2012 and/or RY 2013 and/or RY2014 )) to highlight that the CCM had a previous year CMR issue for this CMM requirement or for a similar provision under a CMM which was replaced in 2014.

## Summary of Issue - populated by Secretariat

- this column is closely related to the Secretariat explanation, and is a short title that seeks to summarize the Secretariat Explanation where "need additional information" or "potential compliance issue" has been chosen (this field is left blank where the potential issue choice was "no issues detected").

**CCM Additional information** – populated from any replies that WCPFC received as a reply to the draft CMR report– these include those provided in the online draft CMR system to a potential issue and any received by email or through the CCM portal. In some instances the Secretariat has included as notes some replies to CCMs comments and/or notes on additional information received. A cell will be blank either because the Secretariat had chosen "no issues detected" in the draft CMR report sent to each CCM, or because the CCM chose not to provide a reply to the draft CMR before August 28 (paragraph 12 of CMM 2015-07).

**Capacity building requested -** populated by the Secretariat, includes "YES" when CCM has noted in information that they require assistance. May include requests to subregional agencies

TCC Assessment/Potential Issue-TCC - to be populated by TCC/CMS working group

As a suggestion there could be a choice of: "no issues detected"; "potential compliance issue" and "not assessed".

## **TCC Explanation** – to be populated by TCC/CMS working group

Text field which as a suggestion might be used by TCC for including

- Notes on corrective actions that the CCM is to undertake or capacity building that a CCM needs; and/or
- Notes on TCC's assessment based on the compliance status and next steps table, in Annex I to CMM 2015-07.

Annex IIIA: List of CMM paragraphs reviewed in 2016	CMM 2009-03 08
draft CMR, grouped by eight draft CMR sections	CMM 2009-06 11
	CMM 2009-06 35 a (ii)
(i) Catch and effort limits for target species	CMM 2009-06 35 a (iii)
CMM 2005-03 02	CMM 2009-06 35 a (iv)
CMM 2009-03 01	CMM 2010-01 08
CMM 2009-03 02	CMM 2010-02 02
CMM 2009-03 03	CMM 2013-05 01
CMM 2009-06 29	CMM 2013-05 02
CMM 2009-06 34	CMM 2015-01 19
CMM 2010-01 05	CMM 2015-01 24
CMM 2010-07 06	CMM 2015-01 44
CMM 2010-07 09	CMM 2015-01 48
CMM 2011-03 01	CMM 2015-01 Att C 03
CMM 2012-04 01	CMM 2015-01 Att C 08
CMM 2015-01 16	CMM 2015-02 04
CMM 2015-01 22	CMM 2015-04 06
CMM 2015-01 23	(iii) Reporting including with respect to implementation
CMM 2015-01 25	of measures for non-target species
CMM 2015-01 40	CMM 2011-03 05
CMM 2015-01 41	CMM 2012-04 03
CMM 2015-01 47	CMM 2012-04 06
CMM 2015-01 49	CMM 2012-07 04
CMM 2015-01 51	CMM 2012-07 09
CMM 2015-01 52	CMM 2015-01 30
CMM 2015-02 01	CMM 2015-01 50
CMM 2015-04 03	CMM 2015-01 57
CMM 2015-04 04	CMM 2015-01 59
(ii) Catch and effort reporting for target species	CMM 2015-07 36 (7, 11)
CMM 2005-03 03	Convention Article 22 (4)
CMM 2005-03 04	Convention Article 23 2 (b)

Convention Article 23 2 (c)	Convention Article 24 (3)
Convention Article 25 (2)	(vi) Provision of Scientific Data
(iv) Spatial and temporal closures and restrictions on the use of FADs	SciData 01
CMM 2015-01 14	SciData 02
CMM 2015-01 16	SciData 03
CMM 2015-01 37	SciData 05
(v) Authorizations to fish and RFV, Observer, VMS coverage,	(vii) Submission of AR Part 2 and compliance with other
Transshipment and HSBI Scheme	reporting deadlines
CMM 2004-03 02	CMM 2005-03 03
CMM 2007-01 07	CMM 2007-01 Attachment K Annex C 06
CMM 2007-01 10	CMM 2009-03 08
CMM 2007-01 13	CMM 2009-06 11
CMM 2007-01 14 (vii)	CMM 2009-06 35 a (iii)
CMM 2007-01 Attachment K Annex C 06	CMM 2009-06 35 a (iv)
CMM 2009-06 13	CMM 2010-02 02
CMM 2010-06 22	CMM 2010-06 22
CMM 2013-10 02	CMM 2010-07 12
CMM 2013-10 03	CMM 2011-03 05
CMM 2013-10 04	CMM 2012-04 03
CMM 2013-10 07	CMM 2012-04 06
CMM 2013-10 09	CMM 2012-07 04
CMM 2013-10 16	CMM 2012-07 09
CMM 2013-10 17	CMM 2013-07 19
CMM 2014-02 04	CMM 2013-10 07
CMM 2014-02 9a	CMM 2013-10 09
CMM 2014-02 9a VMS SSPs 2.8	CMM 2014-02 9a VMS SSPs 7.2.2
CMM 2014-02 9a VMS SSPs 7.2.2	CMM 2014-05 02
CMM 2014-03 02	CMM 2015-01 16
CMM 2015-01 33	CMM 2015-01 16 footnote 3
CMM 2015-01 34	CMM 2015-01 23
CMM 2015-01 Att C 05-06	CMM 2015-01 24

CMM 2015-01 37 CMM 2015-01 44 CMM 2015-01 Att C 03 CMM 2015-04 12 CMM 2015-05 03 Convention Article 23 (5) Convention Article 23 2 (b) Convention Article 23 2 (c) Convention Article 25 (2) SciData 01 SciData 02 SciData 03 SciData 05 Collective obligations as per para 4 of CMM 2015-07 CMM 2015-01 20 CMM 2015-01 24

Annex IIIB: List of CMM paragraphs reviewed in 2016	
draft CMR, grouped CMM	

	CMM 2010-06
CMM 2004-03	CMM 2010-06 22
CMM 2004-03 02	CMM 2010-07
CMM 2005-03	CMM 2010-07 06
CMM 2005-03 02	CMM 2010-07 09
CMM 2005-03 03	CMM 2010-07 12
CMM 2005-03 04	CMM 2011-03
MM 2007-01	CMM 2011-03 01
CMM 2007-01 07	CMM 2011-03 05
CMM 2007-01 10	CMM 2012-04
CMM 2007-01 13	CMM 2012-04 01
CMM 2007-01 14 (vii)	CMM 2012-04-03
CMM 2007-01 Attachment K Annex C 06	CMM 2012-04-06
CMM 2009-03	CMM 2012-07
CMM 2009-03 01	CMM 2012-07 04
CMM 2009-03 02	CMM 2012-07-09
CMM 2009-03 03	CMM 2013-05
CMM 2009-03 08	CMM 2013-05 01
CMM 2009-06	CMM 2013-05-02
CMM 2009-06 11	CMM 2013-07
CMM 2009-06 13	CMM 2013-07 19
CMM 2009-06 29	CMM 2013-10
CMM 2009-06 34	CMM 2013-10 02
CMM 2009-06 35 a (ii)	CMM 2013-10 02 CMM 2013-10 03
CMM 2009-06 35 a (iii)	CMM 2013-10 03 CMM 2013-10 04
CMM 2009-06 35 a (iv)	CMM 2013-10 04 CMM 2013-10 07
CMM 2010-01	
CMM 2010-01 05	CMM 2013-10 09 CMM 2013-10 16
CMM 2010-01 08	CIVIIVI 2013-10 10

CMM 2010-02

CMM 2010-02 02

CMM 2013-10 17	CMM 2015-01 51		
CMM 2014-02	CMM 2015-01 52		
CMM 2014-02 04	CMM 2015-01 57		
CMM 2014-02 9a	CMM 2015-01 59		
CMM 2014-02 9a VMS SSPs 2.8	CMM 2015-01 Att C 03		
CMM 2014-02 9a VMS SSPs 7.2.2	CMM 2015-01 Att C 05-06		
CMM 2014-03	CMM 2015-01 Att C 08		
CMM 2014-03 02	CMM 2015-02		
CMM 2014-05	CMM 2015-02 01		
CMM 2014-05 02	CMM 2015-02 04		
CMM 2015-01	CMM 2015-04		
CMM 2015-01 14	CMM 2015-04 03		
CMM 2015-01 16	CMM 2015-04 04		
CMM 2015-01 16 footnote 3	CMM 2015-04 06		
CMM 2015-01 19	CMM 2015-04 12		
CMM 2015-01 20	CMM 2015-05		
CMM 2015-01 22	CMM 2015-05 03		
CMM 2015-01 23	CMM 2015-07		
CMM 2015-01 24	CMM 2015-07 36 (7, 11)		
CMM 2015-01 25	Convention		
CMM 2015-01 30	Convention Article 22 (4)		
CMM 2015-01 33	Convention Article 23 (5)		
CMM 2015-01 34	Convention Article 23 2 (b)		
CMM 2015-01 37	Convention Article 23 2 (c)		
CMM 2015-01 40	Convention Article 24 (3)		
CMM 2015-01 41	Convention Article 25 (2)		
CMM 2015-01 44	SciData		
CMM 2015-01 47	SciData 01		
CMM 2015-01 48	SciData 02		
CMM 2015-01 49	SciData 03		
CMM 2015-01 50	SciData 05		

Annex IV: List of questions in Annual Report Part 2 covering 2016 activities (174 questions + MTU audit list+ prev year CMR issues list)

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-001	CMM 2004-03 02	Fishing vessel marking and technical specifications
Q-002	CMM 2004-03 03	Record of WCPFC identification number (WIN)
Q-003	CMM 2005-03 02	CCMs take measures to ensure level of fishing effort by vessels fishing for NP ALB is not increased
Q-004	CMM 2005-03 03	Catch report of NP ALB due every six months
Q-005	CMM 2005-03 04	Annual report of catches and fishing effort north of the equator directed at ALB
Q-006	CMM 2006-04 01	Limit number of fishing vessels fishing for MLS S 15 S, to 2000 – 2004.
Q-007	CMM 2006-04 04	Annual catches of MLS (bycatch), and annual numbers of vessels fishing for MLS S15S and their catch levels.
Q-008	CMM 2006-08 07	Fishing vessels to accept HSBI boardings by duly authorised inspectors, and as applicable Members to ensure compliance of its authorised inspectors with the HSBI procedures
Q-009	CMM 2006-08 30	Provide Full Report on HSBI

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-010	CMM 2006-08 32	Notification of serious violation(s) detected during HSBI
Q-011	CMM 2006-08 33 and 36	Response by authorities of the fishing vessel to a serious violation notification
Q-012	CMM 2006-08 40	Annual reporting by members on the HSBI carried out by its authorized inspection vessels.
Q-013	CMM 2006-08 41	Annual reporting by members on their actions in response to HSBI of their fishing vessels that resulted in observation of alleged violations.
Q-014	CMM 2007-01 07	Vessels to be prepared to accept an observer from the ROP, if required
Q-015	CMM 2007-01 08	CCM shall be responsible for meeting the level of observer coverage as set by the Commission.
Q-016	CMM 2007-01 09	CCMs shall source observers for their vessels as determined by the Commission.
Q-017	CMM 2007-01 10	CCMs shall explain to the vessel captain, observer duties relevant to appropriate measures adopted by the Commission.
Q-018	CMM 2007-01 13	CCM shall nominate a WCPFC National Observer Coordinator
Q-019	CMM 2007-01 14 (vii)	CCMs to ensure vessel operators comply with the Guidelines for the Rights and Responsibilities of Vessel Operators, Captains and Crew

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-020	CMM 2007-01 Attachment K Annex C 04	ROP data submission
Q-021	CMM 2007-01 Attachment K Annex C 06	CCMs shall achieve 5% coverage of the effort in each fishery under the jurisdiction of the Commission
Q-022	CMM 2007-01 Attachment K Annex C 08	CCMs shall meet any additional ROP observer obligations as specified in CMMs
Q-023	CMM 2008-03 01	Implement FAO Guidelines to Reduce Sea Turtle Mortality in Fishing Operations
Q-024	CMM 2008-03 02	Report on Implementation of FAO Guidelines and this CMM
Q-025	CMM 2008-03 04	CCMs to ensure fishermen use proper mitigation and handling techniques and foster the recovery of any turtles that are incidentally captured
Q-026	CMM 2008-03 05a	Sea Turtle mitigation requirements for purse seine vessels
Q-027	CMM 2008-03 05b	Purse seine sea turtle incident reporting requirements
Q-028	CMM 2008-03 06	Requirements for sea turtle mitigation in longline fisheries
Q-029	CMM 2008-03 07a	Sea turtle mitigation requirements for shallow-set longline vessels that fish for swordfish
Q-030	CMM 2008-03 07c	Reporting requirement for operational definitions of shallow set swordfish fisheries, and sea turtle mitigation requirements including large circle hooks

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-031	CMM 2008-03	Reporting requirement for incidents
	07d	involving sea turtles in shallow-set longline
		fisheries for swordfish
Q-032	CMM 2008-03	Research trials of circle hooks and other
	08	mitigation methods in shallow-set longline
		fisheries for swordfish, and report to SC and
		TCC
Q-033	CMM 2008-04	Measures necessary to prohibit use by their
	02	vessels of large-scale driftnets in the high
		seas
Q-034	CMM 2008-04	Reporting requirement for MCS actions
	05	related to large-scale driftnet fishing on the
		high seas
Q-035	CMM 2009-02	FAD Closure Rules - high seas
	03-07	
Q-036	CMM 2009-02	Rules for Purse seine catch retention,
	08-13	including reporting - high seas
Q-037	CMM 2009-02	Submit purse seine Catch Discard Report
	12	within 48 hours after any discard - high seas
Q-038	CMM 2009-03	Limit number of vessels fishing for SWO
	01	S20S to the number in any one year between
		2000-2005
Q-039	CMM 2009-03	Limit the catch of SWO by its vessels in area
	02	S20S to the amount in any one year during
		2000-2006
Q-040	CMM 2009-03	CCMs shall not shift their fishing effort for
	03	SWO to the area north of 20°S
Q-041	CMM 2009-03	Report annually the number of vessels that
	08	fished for SWO and total catch of SWO
Q-042	CMM 2009-05	CCMs shall prohibit their fishing vessels
	01	from fishing within 1 nautical mile of or
		interacting with a data buoy in the high seas

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-043	CMM 2009-05	CCMs shall prohibit their fishing vessels
	03	from taking on board a data buoy unless
		specifically authorized or requested to do so
		by the Member or owner responsible for that
		buoy.
Q-044	CMM 2009-05	Report any entanglements with a data buoy
	05	and remove the entangled fishing gear with
		as little damage to the data buoy as possible
Q-045	CMM 2009-06	Annual report on all transhipment activities
	11	covered by this Measure (including
		transhipment activities that occur in ports or
		EEZs) in accordance with the specified
		guidelines (Annex II)
Q-046	CMM 2009-06	CCM shall ensure that vessels they are
	13	responsible for carry observers from the
		WCPFC ROP to observe transhipments at
		sea.
Q-047	CMM 2009-06	Limit on purse seine vessels transhipment
	29	outside of port to vessels that have received
		an exemption from the Commission. Where
		applicable, flag CCM authorisation should
		be vessel-specific and address any specific
		conditions identified by the Commission.
Q-048	CMM 2009-06	Ban on high seas transshipment, unless a
	34	CCM has determined impracticability in
		accordance with para 37 guidelines, and has
		advised the Commission of such.
Q-049	CMM 2009-06	Flag State's notification to the Secretariat on
	35 a (ii)	its flag vessels that are authorised to
		transship on the high seas
Q-050	CMM 2009-06	WCPFC Transshipment Advance
	35 a (iii)	Notification (including fields in Annex III)

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-051	CMM 2009-06	WCPFC Transshipment Declaration
	35 a (iv)	(including information in Annex I)
Q-052	CMM 2010-01	Catch limits applicable to CCMs with
	05	vessels fishing in the Convention Area north
		of the equator: commencing 2011
Q-053	CMM 2010-01	Report on implementation including report
	08	against limits of the CMM (in AR Pt 2)
Q-054	CMM 2010-02	E-HSP Entry and Exit report
	02	
Q-055	CMM 2010-02	Any transhipment activities undertaken in
	06	the E-HSP shall be in accordance with
		applicable CMMs.
Q-056	CMM 2010-06	CCMs shall take all necessary non-
	22	discriminatory measures, including under
		their applicable legislation, to take certain
		actions in respect of vessels listed on the
		WCPFC IUU Vessel List
Q-057	CMM 2010-07	Full utilization of any catches of sharks
	06	retained
Q-058	CMM 2010-07	Vessels implement 5% fin to weight ratio or
0.050	07	an alternative
Q-059	CMM 2010-07	Take measures to prohibit their vessels from
	09	retaining, transshipping, landing or trading in
		any fins harvested in contravention of this
Q-060	CMM 2010-07	СММ
Q-060	10	requirement to take measures to encourage release of live sharks
Q-061	CMM 2010-07	
Q-001	12	Report on implementation of this CMM, and any alternative measures that are applied
	12	(para 11)
Q-062	CMM 2011-03	Prohibit purse seine setting on cetaceans, if
Q-002	01	animal is sighted prior to commencement of
		the set

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-063	CMM 2011-03	Requirement in the event of unintentional
	02	encircling of cetaceans in the purse seine net
Q-064	CMM 2011-03	Ensuring safe release of cetacean,
	03	unintentionally encircled in purse seine nets
Q-065	CMM 2011-03	Report of any instances in which cetaceans
	05	have been encircled by the purse seine nets
		of flagged vessels (AR Pt 1)
Q-066	CMM 2011-04	Prohibit vessels from retaining on board,
	01	transshipping, storing or landing any oceanic
		whitetip sharks, in whole or in part
Q-067	CMM 2011-04	Requirement to release oceanic whitetip
	02	sharks, as soon as possible
Q-068	CMM 2011-04	Annual report on estimated number of
	03	releases and status upon release of oceanic
		whitetip shark (Part 1 report)
Q-069	CMM 2012-03	CCMs shall achieve 5% coverage of the
	02	effort of each fishery fishing for fresh fish
		beyond the national jurisdiction in area N 20N
Q-070	CMM 2012-04	Prohibit purse seine setting on whale sharks,
Q 0/0	01	if animal is sighted prior to commencement
	01	of the set
Q-071	CMM 2012-04	Part 2 report - advice on compatible
	03	measures adopted for mitigating impacts of
		purse seine fisheries on whale sharks
Q-072	CMM 2012-04	Requirement in the event of non-deliberate
	04	encircling of whale shark in the purse seine
		net, including safe release
Q-073	CMM 2012-04	advice in Part 1 Annual Report of any
	06	instances in which whale sharks have been
		encircled by the purse seine nets of their
		flagged vessels, including the details
		required under paragraph 4(b)

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-074	CMM 2012-07	Required longline mitigation measures to be
	01	used by vessels fishing S 30 S (weighted
		branch lines, night setting and tori lines:
		choose at least two of the three) (applied
		from 1 July 2014)
Q-075	CMM 2012-07	Report on which mitigation measures are
	04	used N 23 N or S 30 S, as well as technical
		specifications. Subsequent years include
		advice on any changes.
Q-076	CMM 2012-07	Report all available information on
	09	interactions with seabirds throughout
		Convention Area, as reported or collected by
		observers in Annual Report Part 1
		(guidelines for reporting in Annex 2)
Q-077	CMM 2012-07	Required longline mitigation measures to be
	02	used by vessels fishing N 23 N (choose at
		least two of measures in Table 1, including at
		least one from Column A) (applied from 1
		July 2014 to vessels >24m length overall)
Q-078	CMM 2013-05	Requirement to ensure the master of each
	01	vessel completes an accurate written or
		electronic log of every day that it spends at
		sea on the high seas of the Convention Area
		as specified.
Q-079	CMM 2013-05	Requirement that information recorded by
	02	the master of each vessel each day with
		fishing operations shall, at a minimum
		include the information as specified
Q-080	CMM 2013-05	Requirement that the master of each vessel
	03	fishing in the Convention Area provides an
		accurate and unaltered original or copy of the
		required information to its national authority
		within 15 days of the end of a trip or

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
		transshipment, or within the period
Q-081	CMM 2013-05	Requirement that the master of each vessel
	04	fishing in the Convention Area provides an
		accurate and unaltered original or copy of the
		required information pertaining to the current
		trip on board the vessel at all times during
		the course of a trip
Q-082	CMM 2013-06	Requirement to cooperate, either directly or
	01	through the Commission, to enhance the
		ability of developing States, particularly the
		least developed among them and SIDS and
		Territories, to develop their own fisheries for
		hmfs
Q-083	CMM 2013-07	General provisions of CMM on SPECIAL
	01-03	REQUIREMENTS OF SMALL ISLAND
		DEVELOPING STATES AND
		TERRITORIES
Q-084	CMM 2013-07	Requirements to assist with Capacity
	04-05	Development of Personnel of SIDS and
		Territories
Q-085	CMM 2013-07	Requirements to assist with technology
	06-07	transfers to SIDS and Territories
Q-086	CMM 2013-07	Requirements to assist SIDS and Territories
	08-09	in the areas of fisheries conservation and
		management
Q-087	CMM 2013-07	Requirements to assist SIDS and Territories
	10-11	in the areas of Monitoring, Control and
		surveillance
	•	

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-088	CMM 2013-07	Requirements to support for the Domestic
	12-18	Fisheries Sector and Tuna-fisheries related
		businesses and market access
Q-089	CMM 2013-07	Annual report on implementation of CMM
	19	on SPECIAL REQUIREMENTS OF
		SMALL ISLAND DEVELOPING STATES
		AND TERRITORIES
Q-090	CMM 2013-08	Prohibit vessels from retaining on board,
	01	transshipping, storing or landing any silky
		sharks, in whole or in part (applied after 1
		July 2014)
Q-091	CMM 2013-08	Requirement that vessels release silky
	02	sharks, as soon as possible. (applied after 1
0.000	<b>CD D ( 2</b> 012, 00	July 2014)
Q-092	CMM 2013-08	Annual report on estimated number of
	03	releases of silky shark caught in the
		Convention Area, including status upon
Q-093	CMM 2013-10	release (Pt 1)
Q-095	02	CCMs to ensure its fishing vessels only transship to/from, and provide bunkering for/
	02	are bunkered by or otherwise supported by
		vessels on the RFV
Q-094	CMM 2013-10	CCMs should only allow its fishing vessels
Q UJ4	03	to be used for fishing, if properly authorised
0.005		
Q-095	CMM 2013-10 04	Vessels authorization requirement
Q-096	04 CMM 2013-10	Deminung ter netificans additions
Q-090	07	Requirement to notify any additions, modifications and deletions of Vessels from
	07	the record, including for each vessel all
		details as set out in paragraph 6 of this CMM
Q-097	CMM 2013-10	Submission by Member to ED a list of all
	09	vessels on national record in previous year,
		noting "fished" or "did not fish" for each

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
		vessel.
Q-098	CMM 2013-10	CCMs to advise of the results of their annual
	16	review of implementation of paragraph 1
Q-099	CMM 2013-10	Flag CCM to ensure its FVs have been
	17	placed on the RFV is accordance with this
		CMM. Vessels not on RFV shall be deemed
		not to be authorized to fish for, retain on
		board, transship or land HMFS in the CA
		beyond the national jurisdiction of its flag
		State
Q-100	CMM 2013-10	CCMs to prohibit landings in ports or
	18	transshipment to vessels not on RFV
Q-101	CMM 2014-02	Vessels shall continue to report to
	04	Commission VMS after moving into
		Northern Quadrant
Q-102	CMM 2014-02	Fishing vessels comply with the
	9a	Commission standards for WCPFC VMS
		including being fitted with ALC/MTU that
		meet Commission requirements
Q-103	CMM 2014-02	Provision of ALC/MTU 'VTAF' data
	9a VMS SSPs 2.8	
Q-104	CMM 2014-02	VMS Manual Reporting procedures - applies
	9a VMS SSPs 5.4	1 March 2013 - 1 March 2017
	- 5.5	
Q-105	CMM 2014-02	CCMs to conduct periodic audits of
	9a VMS SSPs	ALC/MTUs of its vessels and report results
	7.2.2	to the Commission (AR Pt 2)
Q-106	CMM 2014-02	Protocol for inspecting States to inspect
	9a VMS SSPs	ALCs/MTU of other CCMs vessels at sea,
	7.2.4	includes reporting requirements for
		inspecting States

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-107	CMM 2014-02	Report to Secretariat any ALC/MTU, and
	9a VMS SSPs	associated details, that appear to not be in
	7.2.5	compliance with applicable CMMs related to
		VMS reporting
Q-108	CMM 2014-03	Requirements and specifications to
	02	implement CMM 2013-10 paragraph 6
		(submit completed vessel record data for
		vessels authorised fish beyond its flag CCMs
		areas under national jurisdiction)
Q-109	CMM 2014-05	Shark mitigation measures to be applied to
	01	fisheries targetting tuna and billfish
Q-110	CMM 2014-05	Submit management Plans for fisheries that
	02	target sharks in association with WCPFC
		fisheries
Q-111	CMM 2015-01	Limits on transfer of purse seine effort from
	09	tropical fishery (20N - 20S) to areas N 20 N
		and S 20 S
Q-112	CMM 2015-01	Limits on transfer of longline effort or
	10	capacity to other areas
Q-113	CMM 2015-01	Purse seine 3 months FAD closure (July,
	14	August, September 2016)
Q-114	CMM 2015-01	Advice of choice and implementation of
	16	additional FAD set reduction choice during
		2016
Q-115	CMM 2015-01	Advice of purse seine vessels to be exempted
	16 footnote 3	or managed outside the FAD set limits (2014
		- 2016)
Q-116	CMM 2015-01	Reporting requirements when a CCM
	19	chooses the "limit on the number of FAD
		sets" additional FAD set reduction option
		(para 16 b and para 17 b)
Q-117	CMM 2015-01	PNA shall limit purse seine effort in their
	20	EEZs to 2010 levels under PNA VDS

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-118	CMM 2015-01	CCMs shall support the ongoing
	21	development and strengthening of the PNA
		VDS including implementation and
		compliance with the requirements of the
		VDS as appropriate
Q-119	CMM 2015-01	Limit on certain other (non-PNA) coastal
	22	States with effort in their EEZs exceeding
		1,500 days 2006 - 2010 annually, to limit to
		2001-2004 levels average or 2010 levels.
Q-120	CMM 2015-01	Certain other coastal States to establish,
	23	notify and implement limit for purse seine
		fishing in their waters
Q-121	CMM 2015-01	Reporting against EEZ purse seine fishery
	24	limits
Q-122	CMM 2015-01	2016 Limits on high seas purse seine effort
	25	
Q-123	CMM 2015-01	Philippines vessels Entry/Exit reports for
	Att C 03	HSP-1 SMA
Q-124	CMM 2015-01	Philippines to ensure its flagged vessels
	Att C 04	report sightings of any fishing vessel to the
		Commission Secretariat (vessel type, date,
		time, position, markings, heading and speed)
Q-125	CMM 2015-01	Specific requirements for deploying
	Att C 05-06	observers on Philippines vessels fishing in
		HSP-1
Q-126	CMM 2015-01	Philippines to monitor landings by vessels
	Att C 08	operating in HSP1-SMA and collect reliable
		catch data by species
Q-127	CMM 2015-01	Purse seine catch retention requirements
	30	(20N - 20S)
Q-128	CMM 2015-01	Purse seine vessels are not to operate under
	32	manual reporting during FAD closure period

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-129	CMM 2015-01	Requirement for purse seine vessels to carry
	33	a ROP observer
Q-130	CMM 2015-01	100% purse seine coverage: specific rules for
	34	vessels fishing exclusively in areas under its
		national jursidiction
Q-131	CMM 2015-01	Submit FAD Management Plan relating to
	37	the use of FADs by their vessels on the high
		seas
Q-132	CMM 2015-01	Bigeye longline catch limits by flag, as
	40	specified in Attachment F. Any overage to
		be deducted from the catch limit for the
		following year.
Q-133	CMM 2015-01	Bigeye longline catch limits by flag for
	41	certain other members which caught less
		than 2000t in 2004.
Q-134	CMM 2015-01	Monthly reporting of bigeye longline catches
	44	
Q-135	CMM 2015-01	Limit on total effort and capacity of certain
	47	other commercial tuna fisheries (that take
		>2000Mt of BET, YFT and SKJ)
Q-136	CMM 2015-01	Estimates of fishing effort for other fisheries
	48	for bigeye, yellowfin or skipjack, or
		proposals for provision of effort data for
		2013 and beyond
Q-137	CMM 2015-01	Limit by flag on number of purse seine
	49	vessels >24m with freezing capacity between
		20N and 20S
Q-138	CMM 2015-01	Notifications of new purse vessels for which
	50	building approval has already been granted
		which will be considered in the setting of the
		capacity limit in CMM 2015-01
Q-139	CMM 2015-01	Limit by flag on number of longline vessels
	51	with freezing capacity targetting bigeye
L		

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
		above the current level
Q-140	CMM 2015-01	Limit by flag on number of ice-chilled
	52	longline vessels targetting bigeye and
		landing exclusively fresh fish above the
		current level or above the number of current
		licenses under established limited entry
		programmes
Q-141	CMM 2015-01	Requirement to provide operational level
	57	catch and effort data for China, Indonesia,
		Japan, Korea, Philippines and Chinese
		Taipei, although subject to a 3 year grace
		period based on a national plan that is to be
		submitted to the Commission.
Q-142	CMM 2015-01	Requirement to provide 1 x 1 aggregate data
	59	for China, Indonesia, Japan, Korea,
		Philippines and Chinese Taipei vessels
		fishing in EEZs and high seas N 20 N, as
		well as to cooperate in providing operational
		data to SPC for stock assessment
Q-143	CMM 2015-01	Requirement to report any agreement made
	60	under para 59 (with SPC for stock
		assessment purposes) to the Commission
Q-144	CMM 2015-02	Limit on number of vessels actively fishing
	01	for SP ALB in area S 20 S above 2005 or
		2000-2004 levels
Q-145	CMM 2015-02	Annual report of SP ALB by vessel by
L	04	species
Q-146	CMM 2015-04	General commitment to implement
	01	rebuilding plan in 2015/2016
Q-147	CMM 2015-04	Total effort by vessels limited to 02-04 level
	03	N20N and catches of PBF <30kg size
		reduced to 50% off 02-04 level (overage

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
		deducted from following year)
Q-148	CMM 2015-04 04	every possible measure to be taken not to increase catches of BFT >30kg from 2002- 04 levels
Q-149	CMM 2015-04 06	Report previous year catch, incl discards, and fishing effort
Q-150	CMM 2015-04 07	Requirement to cooperate for effective implementation of CMM, including juvenile catch reduction
Q-151	CMM 2015-04 08	Requirement to monitor and obtain prompt results of recruitment of juveniles each year
Q-152	CMM 2015-04 12	Report on Implementation
Q-153	CMM 2015-04 09	Requirement to take measures necessary to prevent commercial transaction of Pacific bluefin tuna and its products that undermine the effectiveness of this CMM
Q-154	CMM 2015-05 03	Notifications of charter arrangements made to the flag State and ED, including any updated information
Q-155	CMM 2015-07 36 (7, 11)	Report on actions taken to address previous year non-compliance in AR Pt 2, as well as report of progress for "Flag State Investigation" and "Capacity Assistance Needed" CMR scores in AR Pt 2
Q-156	Data RaP MCS 44	Statement of compliance with Data RaP
Q-157	Convention Article 5 (j)	Reports on surveillance activities (sea patrols and aerial surveillance) conducted in areas beyond national jurisdiction. Summary to be provided in Part 2

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-158	Convention	Cooperation with IATTC, including
	Article 22 (4)	implementation of the WCPFC9 decision
0.150	Convention	(para 80 of WCPFC9 Summary Report)
Q-159		Report by CCMs on fishing activities in the
	Article 23 2 (b)	Convention Area ("Annual Report Part 1")
Q-160	Convention	Report on CCM steps to implement
	Article 23 2 (c)	conservation and management measures in
		the Convention area ("Annual Report Part 2")
Q-161	Convention	Advice from members on its measures for
	Article 23 (3)	areas under their national jurisdiction,
		including conservation and management
		measures, inspections and surveillance
		activities. Summary to be provided in
		Annual Report Part 2
Q-162	Convention	Measures CCM adopted for regulating
	Article 23 (4)	activities of fishing vessels flying its flag.
		Summary to be provided in Annual Report
		Part 2
Q-163	Convention	Report from CCM on outcome of an
	Article 23 (5)	investigation, conducted at the request of
		another Member related to alleged violations
		of CMMs by its nationals. Summary to be
0.164	Contraction	provided in Part 2
Q-164	Convention	Requirement that the vessels is operated in
	Article 24 (3)	the high seas in accordance with Annex III of the Convention
Q-165	Convention	Report of outcome of an investigation
Q-105	Article 25 (2)	conducted, at the request of another Member
	AILUCIE 23 (2)	of its vessels alleged violation of the
		Convention or CMMs. Summary to be
		provided in Part 2
		provided in 1 dit 2

SeqNo	REQUIREMENT	REQUIREMENT: Brief Description
Q-166	Convention	Annual Statement of compliance measures,
	Article 25 (8)	including imposition of sanctions (to be
		provided in Annual Report Part 2)
Q-167	Convention	Report on mechanisms for monitoring
	Article 25 (11)	international trade and domestic distribution
		of HMFS. Summary to be provided in
		Annual Report Part 2
Q-168	Convention	Reports of outcomes of Port State
	Article 27	Inspections. Summary to be provided in Part
		2
Q-169	SciData 01	Estimates of Annual Catches
Q-170	SciData 02	Number of vessels active
Q-171	SciData 03	Operational Level Catch and Effort Data
Q-172	SciData 04	Catch and effort data aggregated by time
		period and geographic area
Q-173	SciData 05	Size composition data
Q-174	SciData 07	Time periods covered and schedule for the
1		provision of data