



**TECHNICAL AND COMPLIANCE COMMITTEE**

**Thirteenth Regular Session**

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Pohnpei, Federated States of Micronesia

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**SUMMARY OF SUBMISSIONS OF ANNUAL REPORT PART 1 AND ANNUAL REPORT  
PART 2**

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**WCPFC-TCC13-2017-IP01**

**31 August 2017**

**Paper prepared by the Secretariat**

**Purpose**

1. The purpose of this paper is to provide information on the annual reporting submissions by CCMs to the Commission as required by the WCPFC Convention.

**Background**

2. The WCPF Convention Articles 23 and 25 require CCMs to annually provide information to the Commission on fishing activities by its flagged vessels. Since WCPFC2, a two-part reporting format has been used by CCMs to report on their fishing activities and the implementation of conservation and management measures for the preceding year. Annual Report Part 1 is intended to provide a summary of each CCMs fisheries, research and statistics information for the preceding calendar year and recently includes specific CMM-related reporting requirements related to the preceding calendar year. Annual Report Part 2 is a report on management and compliance measures taken by CCMs in the previous year. The dates for submission and the formats for reporting have become standardized over recent years.

**Developments in 2017**

3. As in previous years, the Annual Report Part 2 was available online. Availability of the Annual Report Part 2 online template was announced on 15 March 2017 in WCPFC Circular No. 2017/19.

4. In April 2017, the WCPFC Secretariat attended the FFA MCS Working Group (FFA MCSWG) meeting and was to collaborate with the FFA Secretariat to assist FFA Member CCMs with their Annual Report Part 2. In the same month the WCPFC Secretariat also attended the SPC 11<sup>th</sup> Tuna Data Workshop, and collaborated with SPC and FFA Secretariats in assisting many Pacific Island CCMs, Indonesia, Philippines and Vietnam with their Annual Reports Part 1. In addition, throughout the year, the WCPFC Secretariat was able to work with and assist many CCMs where opportune including electronically, via email and skype, with their reporting obligations, in particular the Annual Reports Part 2. This assistance was often in response to specific requests from CCMs, or CCMs accepting the Secretariat's offer to assist.

5. Completion and submission by CCMs of their Annual Report Part 2 online enables part of the draft Compliance Monitoring Report to be auto-populated with the answers from Annual Report Part 2 (“CCM Assessment” and “CCM Implementation”). In addition, the Secretariat was able to track CCM’s activity on the online template, and was able to offer assistance to CCMs as required and send out reminders to those yet to complete their report or showing non-activity on the online template, as the deadline drew closer. These efforts, together with the fact that the deadline for submission of these reports are evaluated in the Compliance Monitoring Scheme (CMS) process may have continued to contribute, in part, to the improvements in timely submission by CCMs of their Annual Reports in 2017.

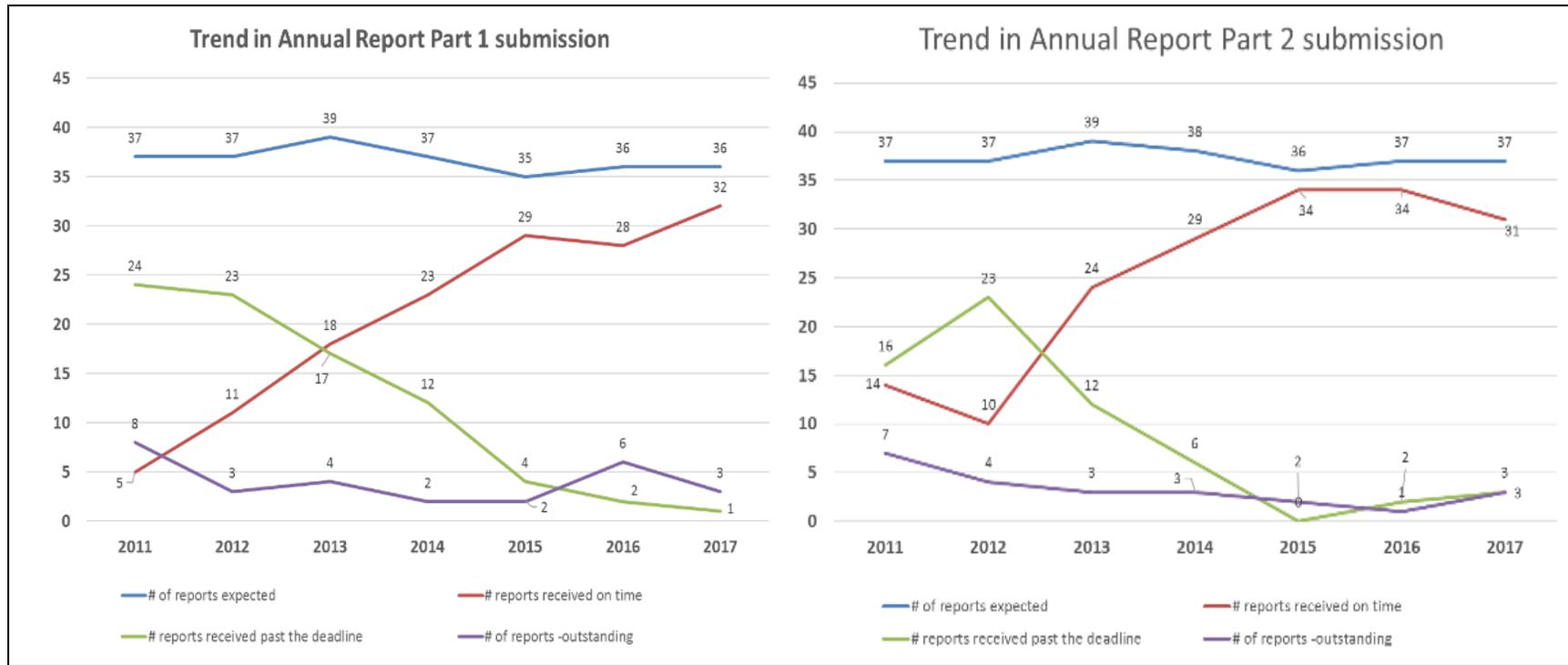
6. This year, 89% of the Annual Reports Part 1 were submitted on time, 3% were submitted past the deadline and at the time of preparing this paper the Secretariat was yet to receive 8% (three reports). On the other hand, 84% of the Annual Reports Part 2 were submitted on time, 8% were submitted past the deadline and at the time of preparing this paper the Secretariat was yet to receive 8% (three reports). As at 10 August 2017, there are three Annual Reports Part 1 and three Annual Reports Part 2, covering 2016 activities, that are outstanding, all from the same three CCMs.

7. Table 1 provides a summary of the timelines of the submission of Annual Reports Part 1 and Part 2 in 2017, covering 2016 activities. It also provides, for comparison, submission of Annual Reports Part 1 and Part 2 for the past few years, after the Compliance Monitoring Scheme was first adopted in 2010.

### **Annual Report Part 1 & 2 and the draft Compliance Monitoring Reports (dCMR)**

8. The Annual Reports Part 1 and 2 are two important sources of information for the preparation of the draft CMR (dCMR) that is prepared by the Secretariat in response to the tasking set out in paragraph 22 of CMM 2015-07 entitled *Conservation and Management Measure for Compliance Monitoring Scheme*. The CCM reported information in Annual Report Part 2 (YES/NO/not applicable and any explanations) were auto-populated into the draft CMR report. In addition, the Secretariat reviews and as appropriate references certain information and data that are submitted by CCMs within Annual Report Part 1 as part of preparing the dCMR. Hence, the timely submissions of these two reports are crucial to the preparations and disseminations of the dCMR. In 2017, most CCMs maintained their timely submission of Annual Reports Part 1 and Part 2 and that continued to assist the Secretariat to prepare and disseminate the draft Compliance Monitoring Reports (dCMRs) in accordance with CMM 2015-07. Advice of availability online for 37 CCMs of their relevant dCMRs was announced on 3 August 2017 in Circular No. 2017/56.

9. It is apparent that the inclusion of the requirement to evaluate compliance with Commission reporting deadlines in the Compliance Monitoring Scheme (CMM 2013-02 and its successors) since 2014 has corresponded with most CCMs regularly submitting their Annual Reports on time. The figures below show the trend in submission of Annual Report Part 1 and Part 2, respectively over the last 7 years.



**Figure 1: Trend in Annual Report Part 1 and 2 submission**

**Administration notes**

10. CCMs are reminded that the Secretariat is available to assist with any queries related to, or in their preparation of, their Annual Reports. All enquiries related to Annual Reports should be directed to [contact.ar@wcpfc.int](mailto:contact.ar@wcpfc.int).

**Recommendation**

11. TCC13 is invited to note this paper.

Table 1: Submissions of Annual Report Part 1 and Part 2 for 2017 (covering 2016 activities) as well as the past six years

CCM	Annual Report Part 1 submission							Annual Report Part 2 submission								CCM
	2011 (covering 2010 activities) due 7 Jul 2011	2012 (covering 2011 activities) due 7 Jul 2012	2013 (covering 2012 activities) due 7 Jul 2013	2014 (covering 2013 activities) due 7 Jul 2014	2015 (covering 2014 activities) due 6 Jul 2015	2016 (covering 2015 activities) due 4 Jul 2016	2017 (covering 2016 activities) due 10 Jul 2017	2017 (covering 2016 activities) due 1 Jul 2017	2016 (covering 2015 activities) due 1 Jul 2016	2015 (covering 2014 activities) due 1 Jul 2015	2014 (covering 2013 activities) due 1 Jul 2014	2013 (covering 2012 activities) due 1 Jul 2013	2012 (covering 2011 activities) due 1 Jul 2012	2011 (covering 2010 activities) due 29 Aug 2011		
AU	8-Jul-11	6-Jul-12	21-Jun-13	30-Jun-14	2-Jul-15	29-Jun-16	9-Jul-17	30-Jun-17	30-Jun-16	30-Jun-15	26-Jun-14	28-Jun-13	29-Jun-12	29-Aug-11	AU	
CA	8-Jul-11	18-Jul-12	18-Jun-13	21-Jun-14	1-Jul-15	8 Jun 16 22 Jun (rev1)	26-Jun-17	27-Jul-17	30-Jun-16	30-Jun-15	1-Jul-14	29-Jun-13	21-Jul-12	29-Aug-11	CA	
CN	2-Aug-11	19-Jul-12	16-Jul-13	24-Jul-14	6-Jul-15	4-Jul-16	10-Jul-17	30-Jun-17	30-Jun-16	30-Jun-15	1-Jul-14	25-Jun-13	23-Aug-12	26-Aug-11	CN	
CK	30-Apr-11	4-Aug-12	9-Jul-13	6-Jul-14	4-Jul-15	3-Jul-16	9-Jul-17	23-Jun-17	25-Jun-16	30-Jun-15	1-Jul-14	12-Jul-13	5-Jul-12	6-Sep-11	CK	
EU	21-Jul-11	26-Jul-12	11-Jul-13	7-Jul-14	6-Jul-15	4-Jul-16	7-Jul-17	30-Jun-17	1-Jul-16	1-Jul-15	1-Jul-14	2-Jul-13	3-Jul-12	28-Jul-11	EU	
FM	4-Aug-11	26-Jul-12	23-Jul-13	25-Jun-14	4-Jul-15	4-Jul-16	9-Jul-17	29-Jun-17	30-Jun-16	23-Jun-15	26-Jun-14	25-Jun-13	25-Jul-12	26-Aug-11	FM	
FJ	9-Aug-11	11-Jul-12	7-Jul-13	3-Jul-14	5-Jul-15	23-Jun-16	10-Jul-17	30-Jun-17	1-Jul-16	25-Jun-15	26-Jun-14	28-Jun-13	2-Jul-12	1-Sep-11	FJ	
FR								1-Jul-17	1-Jul-16						FR	
PF	9-Jul-11	7-Jul-12	28-Jun-13	25-Jun-14	20-Jun-15	1-Jul-16	7-Jul-17	27-Jun-17	1-Jul-16	30-Jun-15	24-Jun-14	29-Jun-13	7-Jul-12	31-Aug-11	PF	
ID	5-Aug-11	16-Jul-12	27-May-13	7-Jul-14	7-Jul-15	1-Jul-16	8-Jul-17	22-Jun-17	1-Jul-16	1-Jul-15	1-Jul-14	27-Jun-13	16-Jul-12	1-Aug-11	ID	
JP	9-Jul-11	10-Jul-12	5-Jul-13	7-Jul-14	5-Jul-15	4-Jul-16	5-Jul-17	30-Jun-17	1-Jul-16	30-Jun-15	1-Jul-14	1-Jul-13	6-Jul-12	2-Sep-11	JP	
KI	26-Jul-11	4-Aug-12	9-Aug-13	3-Aug-14	5-Jul-15	4-Jul-16	10-Jul-17	30-Jun-17	28-Jun-16	30-Jun-15	1-Jul-14	2-Oct-13	28-Sep-12	26-Sep-11	KI	
KR	22-Jul-11	8-Jul-12	7-Jul-13	7-Jul-14	5-Jul-15	4-Jul-16	10-Jul-17	1-Jul-17	30-Jun-16	30-Jun-15	1-Jul-14	4-Jul-13	6-Jul-12	17-Aug-11	KR	
MH	22-Aug-11	26-Sep-12	5-Aug-13	28-Aug-14	5-Jul-15	4-Jul-16	10-Jul-17	1-Jul-17	1-Jul-16	1-Jul-15	1-Jul-14	1-Jul-13	26-Sep-12	5-Oct-11	MH	
NR	22-Sep-11	20-Jul-12	28-Apr-13	25-Jun-14	5-Jul-15	30-Jun-16	21-Jun-17	26-Jun-17	21-Jun-16	1-Jul-15	16-Jun-14	26-Jun-13	11-Jul-12	22-Sep-11	NR	
NC	8-Jul-11	6-Jul-12	27-Jun-13	4-Jul-14	3-Jul-15	29-Jun-16	5-Jul-17	30-Jun-17	30-Jun-16	30-Jun-15	30-Jun-14	28-Jun-13	29-Jun-12	19-Aug-11	NC	
NZ	8-Aug-11	4-Jul-12	4-Jul-13	1-Jul-14	5-Jul-15	4-Jul-16	9-Jul-17	30-Jun-17	27-Jun-16	1-Jul-15	30-Jun-14	1-Jul-13	29-Jun-12	30-Aug-11	NZ	
NU			9-Jul-13	5-Jul-14	5-Jul-15	1-Jul-16	20-Jul-17	27-Jun-17	30-Jun-16	1-Jul-15	1-Jul-14	16-Jul-13	25-Sep-12	18-Oct-11	NU	
PW		30-Jul-12	15-Jul-13	3-Jul-14	4-Jul-15	20-Sep-16	9-Jul-17	30-Jun-17	1-Jul-16	1-Jul-15	29-Sep-14	26-Aug-13	29-Sep-12		PW	
PG	23-Aug-11	10-Sep-12	19-Jul-13	11-Jul-14	15-Jul-15	26-Sep-16			4-Jul-16	1-Jul-15	28-Aug-14	13-Aug-13	28-Sep-12	10-Sep-11	PG	
PH	28-Jul-11	27-Jul-12	8-Jul-13	7-Jul-14	1-Jul-15	1-Jul-16	29-Jun-17	29-Jun-17	1-Jul-16	1-Jul-15	30-Jun-14	1-Jul-13	1-Jul-12	30-Aug-11	PH	
WS	8-Aug-11	31-Jul-12	4-Jul-13	8-Jul-14	5-Jul-15	4-Jul-16	10-Jul-17	1-Jul-17	30-Jun-16	1-Jul-15	1-Jul-14	1-Jul-13	12-Sep-12	29-Sep-11	WS	
SB	10-Aug-11	5-Aug-12	5-Aug-13	1-Aug-14	4-Jul-15	30-Jun-16	9-Jul-17	4-Jul-17	30-Jun-16	1-Jul-15	15-Jul-14	26-Jun-13		28-Aug-11	SB	
TW	8-Aug-11	6-Jul-12	4-Jul-13	7-Jul-14	6-Jul-15	4-Jul-16	10-Jul-17	1-Jul-17	1-Jul-16	1-Jul-15	1-Jul-14	28-Jun-13	1-Jul-12	29-Aug-11	TW	
TK	8-Sep-11	21-Jul-12	6-Jul-13	6-Jul-14	5-Jul-15	21-Jun-16	15-May-17	15-May-17	28-Apr-16	25-Jun-15	17-Jun-14	26-Jun-13	25-Sep-12	8-Sep-11	TK	
TO	8-Aug-11	30-Jul-12	5-Jul-13	4-Jul-14	3-Jul-15	6/28/2016 1 Jul (rev1)	6-Jul-17	23-Jun-17	22-Jun-16	30-Jun-15	29-Jun-14	13-May-13	8-Aug-12	30-Aug-11	TO	
TV	8-Aug-11	14-Aug-12	15-Jul-13	6-Jul-14	6-Jul-15	4-Jul-16	10-Jul-17	30-Jun-17	30-Jun-16	30-Jun-15	30-Jun-14	19-Nov-13	28-Sep-12	31-Oct-11	TV	
US	20-Jul-11	7-Jul-12	5-Jul-13	5-Jul-14	5-Jul-15	1-Jul-16	7-Jul-17	30-Jun-17	30-Jun-16	1-Jul-15	28-Jun-14	26-Jun-13	30-Jun-12	26-Aug-11	US	
VU	13-Aug-11	9-Aug-12	16-Jul-13	17-Jul-14	5-Jul-15	2-Jul-16	10-Jul-17	1-Jul-17	1-Jul-16	1-Jul-15	27-Jun-14	1-Jul-13	14-Sep-12	3-Oct-11	VU	
WF		13-Jun-12													WF	
Cooperating Non-Member															Cooperating Non-Member	
BZ	28-Jul-11	4-Jul-12	28-Aug-13	28-Jul-14							1-Jul-14	1-Jul-13	19-Jun-12	26-Jul-11	BZ	
KP															KP	
EC		1-Oct-12	26-Apr-13	13-Sep-14		22-Sep-16	9-Jul-17	5-Jul-17	1-Jul-16	1-Jul-15	1-Jul-14	28-Jun-13	9-Mar-12		EC	
SV	24-Jun-11	29-Jun-12	29-Jun-13	8-Jul-14	7-Jul-15	25-Jun-16	6-Jul-17	1-Jul-17	20-May-16	1-Jul-15	5-Jul-14	30-Jun-13	30-Jun-12	24-Aug-11	SV	
MX			24-Jul-13	5-Jul-14	23-Jun-15	22-Jun-16	28-Apr-17	25-Apr-17	20-Jun-16	22-Jun-15	1-Jul-14				MX	
PA		27-Mar-12	30-Sep-13	26-Sep-14	30-Jun-15	2-Dec-16			1-Jul-16	30-Jun-15	26-Sep-14	30-Jun-13	26-Sep-12		PA	
TH		13-Nov-12	26-Aug-13	17-Jul-14	7-Jul-15	29-Jul-16	7-Jul-17	30-Jun-17	1-Aug-16	1-Jul-15	1-Jul-14	14-Jul-13	13-Nov-12		TH	
VN	4-Aug-11	2-Aug-12	16-Jul-13	14-Jul-14	4-Jul-15	14-Jul-16	10-Jul-17	30-Jun-17	30-Jun-16	29-Jun-15	14-Jul-14	16-Jul-13	2-Aug-12	29-Aug-11	VN	
KN												26-Jul-13			KN	
SN												17-Jul-13			SN	
LR						28-Sep-16	29-Jun-17	29-Jun-17	1-Jul-16						LR	
						As @ 10 Aug 2017, AR Pt1/2 still outstanding for 2017	3 AR Pt1	3 AR Pt 2								

Note, CCMs sometimes submit revise versions of either Part 1 and/or Part 2. The date use here is the first date the report was received.

Not applicable

blank means report is still outstanding/not received

Date Report received after the due date