

# **TECHNICAL AND COMPLIANCE COMMITTEE**

**Twelfth Regular Session** 21 – 27 September 2016 Pohnpei, Federated States of Micronesia

#### OBLIGATIONS TO BE ASSESSED BY THE COMPLIANCE MONITORING SCHEME

WCPFC-TCC12-2016-10B 15 September 2016

#### Paper prepared by the Secretariat

#### Purpose

1. This paper proposes some updates and modifications to the list of obligations to be assessed under the CMS process in 2016 - 2018

## Background

- 2. WCPFC12 agreed to a list of obligations to be assessed by the CMS in 2016-2018, the frequency for assessment of CMMs in forward years and the detail of CMM paragraphs to be included in the Compliance Monitoring Report (WCPFC12 Summary Report para 469, 471 and Attachment O).
- 3. The TCC Workplan 2016-2018 includes as relevant taskings:
  - a. the development of a multi-year program of obligations to be assessed under the CMS; and
  - b. provide advice on CMMs that need revision to improve compliance and monitoring, including those for which interpretation issues have been identified through the CMS process.

## 4. CMM 2015-07 paragraph 15 says

15. Each year, the Commission shall consider, taking into consideration any recommendations from TCC, whether all of the obligations identified in paragraph 3 shall be evaluated in the following year and identify whether any additional obligations shall be evaluated. In making this determination, the Commission shall take into account:

(i) the needs and priorities of the Commission, including those of its subsidiary bodies;

(ii) evidence of high percentages of compliance or persistent non-compliance with specific obligations for multiple years; and

(iii) the potential risks posed by non-compliance with particular obligations to achieving the objectives of the Convention or specific measures adopted thereunder.

5. CMM 2015-01 paragraph 30 says:

30. The Provisional Report will also include an executive summary including recommendations or observations from TCC regarding:

(i) identification of any CMMs or obligations that should be reviewed to address implementation or compliance difficulties experienced by CCMs, particularly when

TCC has identified ambiguity in the interpretation of or difficulty in monitoring and implementing that measure or obligation, including any specific amendments or improvements that have been identified,

(ii) capacity building assistance or other obstacles to implementation identified by CCMs, in particular SIDS and Participating Territories, and

(iii) additional priority obligations that should be reviewed under the CMS the following year pursuant to paragraph 15 of this measure.

## CMM paragraphs for further consideration by TCC

6. For the past two years, the TCC has usefully provided some advice to the Commission to clarify the interpretation of a number of reporting provisions in CMMs. Through the preparation of the full draft CMR, the Secretariat has noted some CMM paragraphs which could be useful for TCC to provide some further advice and direction related to the implementation by CCMs of these CMM provisions. Some of these CMM paragraphs are being reviewed in draft CMR for the first time, while others are matters that carry over from past year draft CMR reviews. The list is provided in Table 1.

CMM paragraph	CMR	Topic	
	section		
CMM 2008-03 07c	iii & viii	List of CCMs to whom the CMM on sea turtles applies	
CMM 2014-01 14/16	iv & vii	3/4-month FAD closure and its application	
CMM 2014-01 49	i	Limit by flag on number of purse seine vessels >24m with freezing capacity between 20N and 20S	
CMM 2014-01 50	i & vii	Notifications of new purse vessels for which building approval has already been granted which will be considered in the setting of the capacity limit in CMM 2014-01	
CMM 2014-01 51	i	Limit by flag on number of longline vessels with freezing capacity targetting bigeye above the current level	
CMM 2014-01 52	i	Limit by flag on number of ice-chilled longline vessels targetting bigeye and landing exclusively fresh fish above the current level or above the number of current licenses under established limited entry programmes	
CMM 2014-01 57	vii	Requirement to provide operational level catch and effort data for China, Indonesia, Japan, Korea, Philippines and Chinese Taipei, although subject to a 3 year grace period based on a national plan that is to be submitted to the Commission.	
CMM 2014-01 59	vii	Requirement to provide 1 x 1 aggregate data for China, Indonesia, Japan, Korea, Philippines and Chinese Taipei vessels fishing in EEZs and high seas N 20 N, as well as to cooperate in providing operational data to SPC for stock assessment	
CMM 2014-04 05 + 11 / CMM 2015-04 06 +12	iii & vii	Annual report on implementation and reporting of catches noting deadline in the CMM, of 31 July, is post-dissemination of dCMR (usually 28 July)	
CMM 2015-02 04	iii	Reporting by CCMs of catches by each vessel and number of vessels actively fishing	

Table 1. List of CMM paragraphs which might be useful for TCC to consider and as
appropriate provide some further advice and direction for the purposes of reviewing
implementation through the Compliance Monitoring Scheme

## Proposed updates and modifications to the agreed list of obligations to be assessed in CMS

- 7. In the course of preparing the online templates for Annual Report Part 2 and the Compliance Monitoring Report the Secretariat reviewed the WCPFC12 agreed list of obligations (WCPFC12 Summary Report Attachment O). Annex I includes updates that reflect the list of obligations included in this year's Compliance Monitoring Report covering 2015 activities (see Table 2 in Annex I).
- 8. Some suggestions are made in Annex I, which are summarized in Table 2 (below), of updates to the list of obligations to be assessed in the Compliance Monitoring Scheme. The suggestions include some additions and some obligations where removal is proposed, subject to further clarification being provided on what aspects should be the focus of Compliance Monitoring Reporting.

Obligation	CMR	Proposed	2017	2018
	section	modification		
Flag State Duties	V	Include	Y	Y
Article 24 (3)				
Data Buoys	??	Clarify		Y
2009-05				
Cetaceans	i	Include	Y	
2011-03 01				
Oceanic Whitetip Sharks	i	Include		Y
2011-04 01				
Whale Sharks	i	Include	Y	
2012-04 01				
South Pacific Albacore	ii	Update	Y	Y
2015-05 02				
2015-05 04		Update	Y	Y
Tropical Tuna	i	Include	Y	Y
2015-01 16				
15-01 20	collective	Update	Y	Y
15-01 23	i	Include	Y	Y
15-01 24	Collective	Include	Y	Y
15-01 30	i	Remove or Clarify	Y	Y
15-01 49	i	Remove or Clarify	Y	Y
15-01 50	i & vii	Remove or Clarify	Y	Y
15-01 51	i	Remove or Clarify	Y	Y
15-01 52	i	Remove or Clarify	Y	Y
15-01 57	vii	Remove or Clarify	Y	Y
15-01 59	vii	Remove or Clarify	Y	Y
15-01 Att C04	ii	Remove or Clarify	Y	Y
15-01 Att C08	ii	Remove or Clarify	Y	Y
Pacific Bluefin Tuna	iii & vii	Remove or Clarify	Y	Y
2015-04 06				
2015-04 11/12	iii & vii	Remove or Clarify	Y	Y

Table 2. Summary of proposed modifications to WCPFC12 Summary Report Attachment O- List of obligations to be assessed in 2017 & 2018

Obligation	CMR	Proposed	2017	2018
Charter Natification Scheme 2015 05 02	section	modification	V	
Charter Notification Scheme 2015-05 03	V11	Update	I	
Compliance Monitoring Scheme 2015-07	iii & vii	Include	Y	Y
36				

# Recommendation

9. TCC12 is invited to:

a.	consider Table 1 and any other areas identified by one or more CCMs during TCC12's review of dCMRs when developing the Provisional Compliance Report and noting the task set out in paragraph 30 (i) and (iii) of CMM 2015-07;
b.	consider the Secretariat proposed changes to the agreed list of obligations to be assessed in the Compliance Monitoring Scheme for 2017 and 2018 as outlined in Annex I and summarized in Table 2; and
c.	provide a recommendation to WCPFC13 on proposed changes to WCPFC12 Summary Report Attachment O and noting the task set out in paragraph 15 of CMM 2015-07.

#### WCPFC-TCC12-2016-10B Annex 1



#### PROPOSED UPDATES AND MODIFICATIONS TO THE WCPFC12 AGREED LIST OF OBLIGATIONS TO BE ASSESSED IN 2016 -2018 AND FREQUENCY OF ASSESSMENT FOR FORWARD YEARS

#### **Background and Overview**

At WCPFC12 the Commission approved a list of Conservation and Management Measures to be reviewed by the Compliance Monitoring Scheme in 2016 - 2018 (WCPFC12 Summary **Report Attachment O, Table 2 – 4**) and a frequency for CMMs to be assessed for 2016 and beyond (WCPFC12-2015-DP20\_rev2) (WCPFC12 Summary Report Attachment O, Table 1). It was noted that this list will need to be revised as appropriate in the following years to take into account Conservation and Management Measures that are adopted or revised.

The Secretariat was tasked with producing an accompanying paper that provides the detail of individual obligations (CMM paragraphs) which will be used by the Secretariat in preparing draft CMRs (WCPFC12-2015-19g\_rev1). The individual obligations to be included in draft CMRs were included below each Conservation and Management Measure heading, with notes on the relevant dCMR section (refer CMM 2015-07 paragraph 3) (WCPFC12 Summary **Report Attachment O, Tables 2 – 4**).

## Notes on proposed updates and modifications

The Secretariat proposed updates and modifications to the WCPFC12 Summary Report Attachment O are shown in strikethrough and underlined text. They are also highlighted in yellow.

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CMM/Obligation	Title	Assessment/Review Frequency (years)
Sci Data	1-3 & 5 (catch est, active vessels, op data, size data)	1
Art. 23.2 (b) & (c)	Annual Report Part 1 and Part 2	1
Art. 25(2) & Art. 23(5)	Alleged FV violations & Control of Nationals –	1
	investigations and reports	
<u>Art. 24 (3)</u>	<u>Flag State duties</u>	
2005-03	NP Albacore	1
2007-01	ROP	1
2009-06	Transshipment	1
2010-02	EHSP	1
2010-05	SP Albacore	1
2013-05	Catch and Effort Reporting	1
2013-07	Special Requirements of SIDS	1
2013-10 & 2014-03	RFV & RFV SSPs	1
2014-01	Tropical Tuna	1
2014-02	VMS	1
2014-04	Pacific Bluefin (rebuilding plan)	1
2011-04	Oceanic Whitetip	2
2006-08	HSBI	2
2013-08	Silky Sharks	2
2010-07	Sharks	2
2014-05	Sharks	2
2004-03	FV Marking	2
2012-04	Whale Sharks	2
2010-01	NP Striped Marlin	2
2008-03	Sea Turtles	3
2008-04	Driftnets	3
2012-07	Seabirds	3
2009-03	Swordfish	3
2011-03	Cetaceans	3
2012-05	Charter Notification	3
2006-04	Striped Marlin in the Southwest Pacific	3
2009-05	Data Buoys	3

## Table 1: FREQUENCY OF ASSESSMENT OF CMMs FOR 2016 AND BEYOND<sup>1</sup>

Reviewed Annually

**Reviewed Every 2 Years** 

**Reviewed Every 3 Years** 

<sup>&</sup>lt;sup>1</sup> **Notes:** Some CMMs or other decisions were omitted: SciData 4 – because of recommendation from TCC11; 2013-06 – CMM Art. 30 Criteria - redundant (included in para 2 of 2013-07); 2009-11 – CNMS – redundant (we already review annually in small working group); 2013-04 – UVI – redundant (included in para 6(s) of 2013-10); 2009-09 – Stateless Vessels – redundant (included in para 3(h) of 2010-06); 2012-03 – ROP N20N- redundant – CMM 2007-01; 2009-02 – FAD Closure – redundant – covered in CMM 2014-01; 2010-06 – IUU – no obligations to be assessed. Others were combined to remove redundancies: Art. 23(5) – Control of Nationals – combined with Art. 25(2); and 2014-03 – RFV SSPs – combined with CMM 2013-10.

IN 2016 – COVERING	DF CMMs TO BE REVIEWED IN COMPLIANCE MON 2015 ACTIVITIES. With Secretariat notes on the indi	vidual obligations with	
which wi Sci Data	vill be included in draft CMR and the relevant draft CMR section number <sup>2</sup> 1-3 & 5 (catch est, active vessels, op data, size data)		
	SciData 01	vi	
	SciData 01	vii	
	SciData 02	vi	
	SciData 02	vii	
	SciData 03	vi	
	SciData 03	vii	
	SciData 05	vi	
	SciData 05	vii	
Art. 23.2 (b) & (c)	Annual Report Part 1 and Part 2		
	Convention Article 23 2 (b)	vii	
	Convention Article 23 2 (c)	vii	
Art. 25(2) & Art. 23(5)	Alleged FV violations & Control of Nationals -	investigations and re	ports
	Convention Article 23 (5)	vii	
	Convention Article 25 (2)	vii	
2005-03	NP Albacore		
	CMM 2005-03 02	i	
	CMM 2005-03 03	ii	
	CMM 2005-03 03	vii	
	CMM 2005-03 04	ii	
2007-01	ROP		
	CMM 2007-01 07	V	
	CMM 2007-01 10	V	
	CMM 2007-01 13	V	
	CMM 2007-01 14 (vii)	V	
	CMM 2007-01 Attachment K Annex C 06	V	
	CMM 2007-01 Attachment K Annex C 06	vii	
2009-06	Transshipment		
	CMM 2009-06 11	ii	
	CMM 2009-06 11	vii	
	CMM 2009-06 13	V	
	CMM 2009-06 29	i	
	CMM 2009-06 34	i	

<sup>&</sup>lt;sup>2</sup> CMM 2015-07, paragraph 3: (i) catch and effort limits for target species; (ii) catch and effort reporting for target species; (iii) reporting including with respect to implementation of measures for non-target species; (iv) spatial and temporal closures, and restrictions on the use of fish aggregating devices; (v) authorizations to fish and the Record of Fishing Vessels, observer, VMS coverage, transshipment and the High Seas Boarding and Inspection Scheme; (vi) provision of scientific data through the Part 1 Annual Report (and its addendum) and the Scientific Data to be provided to the Commission; and (vii)submission of the Part II Annual Report, including compliance with the obligations in paragraph 22, and compliance with other Commission reporting deadlines.

	CMM 2009-06 35 a (ii)	ii
	CMM 2009-06 35 a (iii)	ii
	CMM 2009-06 35 a (iii)	vii
	CMM 2009-06 35 a (iv)	ii
	CMM 2009-06 35 a (iv)	vii
2010-02	EHSP	
	CMM 2010-02 02	ii
	CMM 2010-02 02	vii
2010-05	SP Albacore	
	CMM 2010-05 01	i
	CMM 2010-05 04	ii
2013-05	Catch and Effort Reporting	
	CMM 2013-05 01	ii
	CMM 2013-05 02	ii
2013-07	Special Requirements of SIDS	
	CMM 2013-07 19	vii
2013-10 & 2014-03	RFV & RFV SSPs	
	CMM 2013-10 02	V
	CMM 2013-10 03	v
	CMM 2013-10 04	V
	CMM 2013-10 07	V
	CMM 2013-10 07	vii
	CMM 2013-10 09	V
	CMM 2013-10 09	vii
	CMM 2013-10 16	V
	CMM 2013-10 17	
		V
2014-01	CMM 2014-03 02	V
2014-01	Tropical Tuna           CMM 2014-01 14	iv
	CMM 2014-01 14	iv
	CMM 2014-01 16	vii
	CMM 2014-01 16 footnote 3	vii
	CMM 2014-01 19	ii
	CMM 2014-01 20	i
	CMM 2014-01 20	Collective
	CMM 2014-01 22	i
	CMM 2014-01 23	vii
	CMM 2014-01 24	ii
	CMM 2014-01 24	vii
	CMM 2014-01 24	Collective
	CMM 2014-01 25	<u> </u>
	CMM 2014-01 30 CMM 2014-01 33	i
	CMM 2014-01 33 CMM 2014-01 34	<u> </u>
	CIVIIVI 2014-01 34	V

	CMM 2014-01 37	iv
	CMM 2014-01 37	vii
	CMM 2014-01 40	i
	CMM 2014-01 41	i
	CMM 2014-01 44	ii
	CMM 2014-01 44	vii
	CMM 2014-01 47	i
	CMM 2014-01 48	ii
	CMM 2014-01 49	i
	CMM 2014-01 50	i
	CMM 2014-01 50	vii
	CMM 2014-01 51	i
	CMM 2014-01 52 CMM 2014-01 57	vii
	CMM 2014-01 59	vii
	CMM 2014-01 39	 ii
	CMM 2014-01 Att C 03	vii
	CMM 2014-01 Att C 03	ii
	CMM 2014-01 Att C 05-06	v
	CMM 2014-01 Att C 08	 ii
2014-02	VMS	
	CMM 2014-02 04	V
	CMM 2014-02 9a	V
	CMM 2014-02 9a VMS SSPs 2.8	V
	CMM 2014-02 9a VMS SSPs 7.2.2	v
	CMM 2014-02 9a VMS SSPs 7.2.2	vii
2014-04	Pacific Bluefin (rebuilding plan)	
	CMM 2014-04 03	i
	CMM 2014-04 05	iii
	CMM 2014-04 05	vii
	CMM 2014-04 11	iii
	CMM 2014-04 11	vii
2011-04	Oceanic Whitetip	
	CMM 2011-04 03	iii
	CMM 2011-04 03	vii
2006-08	НЅВІ	
	CMM 2006-08 07	v
	CMM 2006-08 30	v
	CMM 2006-08 30	vii
	CMM 2006-08 32	V
	CMM 2006-08 33 and 36	V
	CMM 2006-08 33 and 36	vii
	CMM 2006-08 40	v
	CMM 2006-08 40	vii
		VII

	CMM 2006-08 41	V
	CMM 2006-08 41	vii
2013-08	Silky Sharks	
	CMM 2013-08 01	i
	CMM 2013-08 03	iii
	CMM 2013-08 03	vii
2010-07	Sharks	
	CMM 2010-07 09	i
	CMM 2010-07 12	vii
2008-03	Sea Turtles	
	CMM 2008-03 02	iii
	CMM 2008-03 02	vii
	CMM 2008-03 07c	iii
	CMM 2008-03 07c	vii
2008-04	Driftnets	
	CMM 2008-04 05	iii
	CMM 2008-04 05	vii
2012-07	Seabirds	
	CMM 2012-07 04	iii
	CMM 2012-07 04	vii
	CMM 2012-07 09	iii
	CMM 2012-07 09	vii

IN 2017 – COVERING 20	F CMMs TO BE REVIEWED IN COMPLIANCE MO D16 ACTIVITIES. With Secretariat notes on the indiv ICMR and the relevant dCMR section number <sup>3</sup>		
Sci Data	1-3 & 5 (catch est, active vessels, op data, size data)		
	SciData 01	vi	
	SciData 01	vii	
	SciData 02	vi	
	SciData 02	vii	
	SciData 03	vi	
	SciData 03	vii	
	SciData 05	vi	
	SciData 05	vii	
Art. 23.2 (b) & (c)	Annual Report Part 1 and Part 2		
	Convention Article 23 2 (b)	vii	
	Convention Article 23 2 (c)	vii	
Art. 25(2) & Art. 23(5)	Alleged FV violations & Control of Nationals -	investigations and report	ts
	Convention Article 23 (5)	vii	
	Convention Article 25 (2)	vii	
<u>Article 24 (3)</u>	<u>Flat State duties – Article 24 (3)</u>		
	Convention Article 24 (3)	<u>v</u>	
2005-03	NP Albacore		
	CMM 2005-03 02	i	
	CMM 2005-03 03	ii	
	CMM 2005-03 03	vii	
	CMM 2005-03 04	ii	
2007-01	ROP		
	CMM 2007-01 07	V	
	CMM 2007-01 10	V	
	CMM 2007-01 13	V	
	CMM 2007-01 14 (vii)	V	
	CMM 2007-01 Attachment K Annex C 06	V	
	CMM 2007-01 Attachment K Annex C 06	vii	
2009-06	Transshipment		
	CMM 2009-06 11	ii	
	CMM 2009-06 11	vii	
	CMM 2009-06 13	V	

<sup>&</sup>lt;sup>3</sup> CMM 2015-07, paragraph 3: (i) catch and effort limits for target species; (ii) catch and effort reporting for target species; (iii) reporting including with respect to implementation of measures for non-target species; (iv) spatial and temporal closures, and restrictions on the use of fish aggregating devices; (v) authorizations to fish and the Record of Fishing Vessels, observer, VMS coverage, transshipment and the High Seas Boarding and Inspection Scheme; (vi) provision of scientific data through the Part 1 Annual Report (and its addendum) and the Scientific Data to be provided to the Commission; and (vii)submission of the Part II Annual Report, including compliance with the obligations in paragraph 22, and compliance with other Commission reporting deadlines.

Table 3: AGREED LIST OF CMMs TO BE REVIEWED IN COMPLIANCE MONITORING REPORTS PREPARED			
	<b>D16 ACTIVITIES.</b> With Secretariat notes on th		
which will be included in c	ICMR and the relevant dCMR section number <sup>3</sup>		
	CMM 2009-06 29	i	
	CMM 2009-06 34	i	
	CMM 2009-06 35 a (ii)	ii	
	CMM 2009-06 35 a (iii)	ii	
	CMM 2009-06 35 a (iii)	vii	
	CMM 2009-06 35 a (iv)	ii	
	CMM 2009-06 35 a (iv)	vii	
2010-02	EHSP		
	CMM 2010-02 02	ii	
	CMM 2010-02 02	vii	
<del>2010-05-</del> 2015-02	SP Albacore		
	CMM 2015-02 01	i	
	CMM 2015-02 04	ii	
2013-05	Catch and Effort Reporting		
	CMM 2013-05 01	ii	
	CMM 2013-05 02	ii	
2013-07	Special Requirements of SIDS		
	CMM 2013-07 19	vii	
2013-10 & 2014-03	RFV & RFV SSPs		
	CMM 2013-10 02	v	
	CMM 2013-10 03	V	
	CMM 2013-10 04	V	
	CMM 2013-10 07	v	
	CMM 2013-10 07	vii	
	CMM 2013-10 09	v	
	CMM 2013-10 09	vii	
	CMM 2013-10-05		
		V	
	CMM 2013-10 17	V	
<del>2014-01</del> -2015-01	CMM 2014-03 02 Tropical Tuna	V	
<u>=01+01</u> 201 <u>5-01</u>	CMM 2015-01 14	iv	
	CMM 2015-01 14 CMM 2015-01 16	j	
	CMM 2015-01 16	iv	
	CMM 2015-01 16	vii	
	CMM 2015-01 16 footnote 3	vii	
	CMM 2015-01 19	ii	
	CMM 2015-01 20	collective	
	CMM 2015-01 22	i	
	CMM 2015-01 23		
	CMM 2015-02 23	vii	
	CMM 2015-01 24	ii	

	T OF CMMs TO BE REVIEWED IN COMPLIANCE M	
	<b>2016 ACTIVITIES.</b> With Secretariat notes on the ind	lividual obligations within a CMM
which will be included i	in dCMR and the relevant dCMR section number <sup>3</sup>	
	CMM 2015-01 24	collective
	CMM 2015-01 24	vii
	CMM 2015-01 25	i
	CMM 2015-01-30	i i
	CMM 2015-01 33	V
	CMM 2015-01 34	V
	CMM 2015-01 37	iv
	CMM 2015-01 37	vii
	CMM 2015-01 40	<u> </u>
	CMM 2015-01 41	<u> </u>
	CMM 2015-01 44	ii
	CMM 2015-01 44	vii
	CMM 2015-01 47	<u> </u>
	CMM 2015-01 48	ii
	CMM 2015-01-49	<u>+</u>
	CMM 2015-01-50	<u> </u>
	CMM 2015-01-50	<del>vii</del>
	CMM 2015-01-51	÷
	CMM 2015-01-52	i
	CMM 2015-01-57	Vii
	CMM 2015-01-59	vii ::
	CMM 2015-01 Att C 03	ii
	CMM 2015-01 Att C 03	vii
		<del>  </del>
	CMM 2015-01 Att C 05-06 CMM 2015-01 Att C 08	V <del>ii</del>
2014-02	VMS	+
.014-02		
	CMM 2014-02 04	V
	CMM 2014-02 9a	V
	CMM 2014-02 9a VMS SSPs 2.8	V
	CMM 2014-02 9a VMS SSPs 7.2.2	v
	CMM 2014-02 9a VMS SSPs 7.2.2	vii
<del>014-04-</del> 2015-04	Pacific Bluefin (rebuilding plan)	
	CMM 2015-04 03	
		i
	CMM 2015-04-06	<del>   </del>
	CMM 2015-04-06	
	CMM 2014-04 11/CMM 2015-04 12	<del>   </del>
	CMM 2014-04 11/CMM 2015-04 12	vii
<u>2015-07</u>	Compliance Monitoring Scheme	
	<u>CMM 2015-07 36</u>	<u>iii</u>
	<u>CMM 2015-07 36</u>	vii
2014-05	Sharks	
	CMM 2014-05 02	vii

**Table 3: AGREED LIST OF CMMs TO BE REVIEWED IN COMPLIANCE MONITORING REPORTS PREPARED IN 2017 – COVERING 2016 ACTIVITIES.** With Secretariat notes on the individual obligations within a CMM which will be included in dCMR and the relevant dCMR section number<sup>3</sup>

2004-03	FV Marking		
	CMM 2004-03 02	v	
2012-04	Whale Sharks		
	<u>CMM 2012-04 01</u>	<u>i</u>	
	CMM 2012-04 03	iii	
	CMM 2012-04 03	vii	
	CMM 2012-04 06	iii	
	CMM 2012-04 06	vii	
2010-01	NP Striped Marlin		
	CMM 2010-01 05	i	
	CMM 2010-01 08	ii	
2009-03	Swordfish		
	CMM 2009-03 01	i	
	CMM 2009-03 02	i	
	CMM 2009-03 03	i	
	CMM 2009-03 08	ii	
	CMM 2009-03 08	vii	
2011-03	Cetaceans		
	<u>CMM 2011-03 01</u>	<u>i</u>	
	CMM 2011-03 05	iii	
	CMM 2011-03 05	vii	
<u>2015-05 <del>2012-05</del></u>	Charter Notification		
	CMM 2015-05 03	vii	

2018 – COVERING 2017	F CMMs TO BE REVIEWED IN COMPLIANCE M ACTIVITIES. With Secretariat notes on the individ relevant dCMR section number <sup>4</sup>		
Sci Data	1-3 & 5 (catch est, active vessels, op data, size data)		
	SciData 01	vi	
	SciData 01	vii	
	SciData 02	vi	
	SciData 02	vii	
	SciData 03	vi	
	SciData 03	vii	
	SciData 05	vi	
	SciData 05	vii	
Art. 23.2 (b) & (c)	Annual Report Part 1 and Part 2		
	Convention Article 23 2 (b)	vii	
	Convention Article 23 2 (c)	vii	
Art. 25(2) & Art. 23(5)	Alleged FV violations & Control of Nationals	<ul> <li>investigations and reports</li> </ul>	
	Convention Article 23 (5)	vii	
	Convention Article 25 (2)	vii	
<u>Article 24 (3)</u>	<u>Flat State duties – Article 24 (3)</u>		
	Convention Article 24 (3)	<u>v</u>	
2005-03	NP Albacore		
	CMM 2005-03 02	i	
	CMM 2005-03 03	ii	
	CMM 2005-03 03	vii	
	CMM 2005-03 04	ii	
2007-01	ROP		
	CMM 2007-01 07	V	
	CMM 2007-01 10	V	
	CMM 2007-01 13	V	
	CMM 2007-01 14 (vii)	V	
	CMM 2007-01 Attachment K Annex C 06	V	
	CMM 2007-01 Attachment K Annex C 06	vii	
2009-06	Transshipment		
	CMM 2009-06 11	ii	
	CMM 2009-06 11	vii	
	CMM 2009-06 13	V	

<sup>&</sup>lt;sup>4</sup> CMM 2015-07, paragraph 3: (i) catch and effort limits for target species; (ii) catch and effort reporting for target species; (iii) reporting including with respect to implementation of measures for non-target species; (iv) spatial and temporal closures, and restrictions on the use of fish aggregating devices; (v) authorizations to fish and the Record of Fishing Vessels, observer, VMS coverage, transshipment and the High Seas Boarding and Inspection Scheme; (vi) provision of scientific data through the Part 1 Annual Report (and its addendum) and the Scientific Data to be provided to the Commission; and (vii)submission of the Part II Annual Report, including compliance with the obligations in paragraph 22, and compliance with other Commission reporting deadlines.

Table 4: AGREED LIST O	F CMMs TO BE REVIEWED IN COMPLIA	ANCE MONITORING REPORTS PREPARED IN
		e individual obligations within a CMM which wi
included in dCMR and the	relevant dCMR section number <sup>4</sup>	
	CMM 2009-06 29	i
	CMM 2009-06 34	i
	CMM 2009-06 35 a (ii)	ii
	CMM 2009-06 35 a (iii)	ii
	CMM 2009-06 35 a (iii)	vii
	CMM 2009-06 35 a (iv)	ii
	CMM 2009-06 35 a (iv)	vii
2010-02	EHSP	
	CMM 2010-02 02	ii
	CMM 2010-02 02	vii
<del>2010-05-</del> 2015-02	SP Albacore	
	CMM 2015-02 01	i
	CMM 2015-02 04	ii
2013-05	Catch and Effort Reporting	
	CMM 2013-05 01	ii
	CMM 2013-05 02	ii
2013-07	Special Requirements of SIDS	
	CMM 2013-07 19	vii
2013-10 & 2014-03	RFV & RFV SSPs	
	CMM 2013-10 02	V
	CMM 2013-10 03	V
	CMM 2013-10 04	V
	CMM 2013-10 07	V
	CMM 2013-10 07	vii
	CMM 2013-10 09	V
	CMM 2013-10 09	vii
	CMM 2013-10 16	V
	CMM 2013-10 17	v
	CMM 2014-03 02	v
<u>2014-01-2015-01</u>	Tropical Tuna	
	CMM 2015-01 14	iv
	CMM 2015-01 16	i
	CMM 2015-01 16	iv
	CMM 2015-01 16	vii
	CMM 2015-01 16 footnote 3 CMM 2015-01 19	vii ii
	CMM 2015-01 19 CMM 2015-01 20	
	CMM 2015-01 22	i
	CMM 2015-01 23	i i i
	CMM 2015-02 23	vii
	CMM 2015-01 24	ii

Table 4: AGREED LIST OF CMMs TO BE REVIEWED IN COMPLIANCE MONITORING REPORTS PREPARED IN 2018 – COVERING 2017 ACTIVITIES. With Secretariat notes on the individual obligations within a CMM which wi included in dCMR and the relevant dCMR section number<sup>4</sup>

	CMM 2015-01 24	colloctivo	
		collective	
-	CMM 2015-01 24	vii	
	CMM 2015-01 25	i	
	CMM 2015-01-30	÷	
	CMM 2015-01 33	V	
	CMM 2015-01 34	V	
	CMM 2015-01 37	iv	
	CMM 2015-01 37	vii	
	CMM 2015-01 40	i	
	CMM 2015-01 41	i	
	CMM 2015-01 44	ii	
	CMM 2015-01 44	vii	
	CMM 2015-01 47	i	
	CMM 2015-01 48	ii	
	CMM 2015-01-49	÷	
	CMM-2015-01-50	i	
	CMM 2015-01 50	vii	
	CMM 2015-01-51	÷	
	CMM 2015-01-52	÷	
	CMM 2015-01-57	Vii	
	<del>CMM-2015-01-59</del>	vii	
	CMM 2015-01 Att C 03	ii	
	CMM 2015-01 Att C 03	vii	
	CMM 2015-01 Att C 04	<del>ü</del>	
	CMM 2015-01 Att C 05-06	V 	
	CMM 2015-01 Att C 08	<del>ü</del>	
2014-02 V	/MS		
	CMM 2014-02 04		
		V	
—	CMM 2014-02 9a	v v	
	CMM 2014-02 9a	V	
	CMM 2014-02 9a CMM 2014-02 9a VMS SSPs 2.8 CMM 2014-02 9a VMS SSPs 7.2.2	v v v	
	CMM 2014-02 9a CMM 2014-02 9a VMS SSPs 2.8 CMM 2014-02 9a VMS SSPs 7.2.2 CMM 2014-02 9a VMS SSPs 7.2.2	v v	
<del>2014 04 <mark>2015-04</mark> P</del>	CMM 2014-02 9a CMM 2014-02 9a VMS SSPs 2.8 CMM 2014-02 9a VMS SSPs 7.2.2 CMM 2014-02 9a VMS SSPs 7.2.2 <b>acific Bluefin (rebuilding plan)</b>	v v v vii	
<del>2014-04</del> -2015-04 P	CMM 2014-02 9a CMM 2014-02 9a VMS SSPs 2.8 CMM 2014-02 9a VMS SSPs 7.2.2 CMM 2014-02 9a VMS SSPs 7.2.2 <b>acific Bluefin (rebuilding plan)</b> CMM 2015-04 03	v v v vii	
<del>2014-04-</del> 2015-04 P	CMM 2014-02 9a CMM 2014-02 9a VMS SSPs 2.8 CMM 2014-02 9a VMS SSPs 7.2.2 CMM 2014-02 9a VMS SSPs 7.2.2 <b>acific Bluefin (rebuilding plan)</b> CMM 2015-04 03 CMM 2015-04 06	v v v vii i iii	
<del>2014-04</del> -2015-04 P	CMM 2014-02 9a CMM 2014-02 9a VMS SSPs 2.8 CMM 2014-02 9a VMS SSPs 7.2.2 CMM 2014-02 9a VMS SSPs 7.2.2 <b>acific Bluefin (rebuilding plan)</b> CMM 2015-04 03 <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del>	v v v vii i iii <del>vii</del>	
<del>2014-04-</del> 2015-04 P	CMM 2014-02 9a CMM 2014-02 9a VMS SSPs 2.8 CMM 2014-02 9a VMS SSPs 7.2.2 CMM 2014-02 9a VMS SSPs 7.2.2 CMM 2014-02 9a VMS SSPs 7.2.2 CMM 2015-04 03 CMM 2015-04 03 CMM 2015-04 06 CMM 2015-04 06 CMM 2014-04 11/CMM 2015-04 12	V V V Vii i i iii <del>vii</del> iii	
<del>2014-04</del> 2015-04 P	CMM 2014-02 9a CMM 2014-02 9a VMS SSPs 2.8 CMM 2014-02 9a VMS SSPs 7.2.2 CMM 2014-02 9a VMS SSPs 7.2.2 <b>acific Bluefin (rebuilding plan)</b> CMM 2015-04 03 <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <del>CMM 2014-04 11/CMM 2015-04 12</del> <del>CMM 2014-04 11/CMM 2015-04 12</del>	v v v vii i iii <del>vii</del>	
2014-04-2015-04 P	CMM 2014-02 9a CMM 2014-02 9a VMS SSPs 2.8 CMM 2014-02 9a VMS SSPs 7.2.2 CMM 2014-02 9a VMS SSPs 7.2.2 <b>conditional and the set of the set </b>	V V V Vii i i iii vii iii vii	
2014-04-2015-04 P	CMM 2014-02 9a CMM 2014-02 9a VMS SSPs 2.8 CMM 2014-02 9a VMS SSPs 7.2.2 CMM 2014-02 9a VMS SSPs 7.2.2 <b>acific Bluefin (rebuilding plan)</b> CMM 2015-04 03 <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <u>CMM 2015-04 06</u> <u>CMM 2015-04 06</u> <u>CMM 2015-04 11/CMM 2015-04 12</u> <u>ompliance Monitoring Scheme</u> <u>CMM 2015-07 36</u>	V V V Vii ii iii vii iii vii	
2014-04-2015-04       P         2015-07       C         2015-07       C	CMM 2014-02 9a CMM 2014-02 9a VMS SSPs 2.8 CMM 2014-02 9a VMS SSPs 7.2.2 CMM 2014-02 9a VMS SSPs 7.2.2 <b>acific Bluefin (rebuilding plan)</b> CMM 2015-04 03 <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <u>CMM 2014-04 11/CMM 2015-04 12</u> <u>ompliance Monitoring Scheme</u> <u>CMM 2015-07 36</u>	V V V Vii i i iii vii iii vii	
2014-04-2015-04       P         2015-07       C         2011-04       O	CMM 2014-02 9a CMM 2014-02 9a VMS SSPs 2.8 CMM 2014-02 9a VMS SSPs 7.2.2 CMM 2014-02 9a VMS SSPs 7.2.2 <b>acific Bluefin (rebuilding plan)</b> CMM 2015-04 03 <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <del>CMM 2015-04 06</del> <u>CMM 2015-04 06</u> <u>CMM 2015-04 06</u> <u>CMM 2015-04 11/CMM 2015-04 12</u> <u>ompliance Monitoring Scheme</u> <u>CMM 2015-07 36</u>	V V V Vii ii iii vii iii vii	

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	CMM 2011-04 03	iii
	CMM 2011-04 03	vii
2006-08	HSBI	
	CMM 2006-08 07	v
	CMM 2006-08 30	v
	CMM 2006-08 30	vii
	CMM 2006-08 32	v
	CMM 2006-08 33 and 36	v
	CMM 2006-08 33 and 36	vii
	CMM 2006-08 40	v
	CMM 2006-08 40	vii
	CMM 2006-08 41	v
	CMM 2006-08 41	vii
2013-08	Silky Sharks	
	CMM 2013-08 01	i
	CMM 2013-08 03	iii
	CMM 2013-08 03	vii
2010-07	Sharks	
	CMM 2010-07 09	i
	CMM 2010-07 12	vii
2006-04	Striped Marlin in the Southwest Pac	ific
	CMM 2006-04 01	i
	CMM 2006-04 04	ii
2009-05	Data Buoys	
	Secretariat needs further guidance on which paragraphs should be in	