

## TECHNICAL AND COMPLIANCE COMMITTEE

## **Eleventh Regular Session**

23 - 29 September 2015 Pohnpei, Federated States of Micronesia

US-suggested revisions to SSPs on ALC approvals

WCPFC-TCC11-2015-DP09\_rev1 29 Sept 2015 1115

## 2. Methods to ensure ALCs comply with WCPFC standards

- 1. Vessels subject to the Commission's VMS in the WCPFC Convention Area will be required to shall carry a fully operational ALC that complies with the full range of minimum standards set out in Annex 1 of CMM-2007-02 or its successor CMM, (hereafter referred to as Annex 1) and that is on the Commission's list of approved ALCs.<sup>1</sup>
- 2. The installation and use of ALCs will be governed by rules, based upon the principles set down in this SSP, and adopted and published by the Commission.
- 3. Vessels with ALCs that comply with the full range of the minimum standards set out in Annex I, but that cannot be remotely polled must either have a regular reporting rate of one hour or less, or will carry and operate, in addition to the ALC, a means of two-way communication by voice (e.g., radio, satellite telephone) or data (e.g., telex, facsimile, email) permitting real time contact with the WCPFC Secretariat, as necessary, with the assistance of the flag CCM, in the English language.
- 4. At the time of registration of the VMS, vessels equipped with a means of two-way communication, as provided in paragraph 3 above, will declare this means of communication as well as relevant user ID and any additional information required by the Secretariat to be able to establish communications with the vessel.
- 5. Verification of compliance with the requirements of Annex 1 will be the responsibility of the flag-state CCM for a given vessel.
- 6. In preparing the initial list of approved ALCs, the WCPFC Secretariat will take into account lists approved by existing regional and sub-regional VMS programmes and lists approved by CCMs.
- 7. The <u>Commission will add and remove ALCs from the list of approved ALCs as follows:</u>
  - a. The Secretariat will assess proposals for inclusion of additional ALC makes and models on this list from both-CCMs and equipment manufacturers, and make recommendations for the Commission's approval. Such recommendations and Commission a. Approval of ALCs such requests will be based on assessments of ALCs against minimum standards for the Commission VMS as set out in Annex 1, of CMM 2007-02 these, WCPFC SSPs, as relevant, and using the methodology established by the FFA, with expenses for type approval processing to be borne by the proposing entity.

<sup>1</sup> The initial list includes all ALCs approved by the FFA as of 30 September 2015, and any additional ALCs listed in Annex 4 of WCPFC-TCC11-2015-RP01 that the Secretariat confirms to WCPFC12 meet the minimum standards of Annex 1.

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b. The Secretariat will periodically review the list of approved ALCs and make recommendations, subject to Commission approval, for the removal from the approved list of ALCs makes and models that do not meet the minimum standards. Such ALCs shall be removed from the list two years after the Commission's decision to remove them, or sooner if agreed by the Commission.

8. The Secretariat will administer a Commission VMS database. For each fishing vessel required to report to the Commission VMS the flag CCM will submit all necessary data to complete its data file in the Commission's VMS database. This data will include the name of the vessel, unique vessel identification number (UVI)², radio call sign, length, gross registered tonnage, power of engine expressed in kilowatts/horsepower, types of fishing gear(s) used as well well as the make, model, unique network identifier (user ID) and equipment identifier (manufacturer's serial number) of the ALC that vessel will be using to fulfil its Commission VMS reporting requirements.

- 9. Periodic audits of a representative sample of installed ALCs are to be carried out by CCMs to verify that the specification and standards as set out in Annex 1 are being complied with, and that there is no visible evidence of tampering.
- 10. The number of audits, to be planned on an annual basis, will be determined by cost/benefit, logistical and practical aspects.
- 11. CCMs are responsible for ensuring that the audits are conducted by qualified operatives, such as officers currently authorised under CCM national fisheries legislation.
- 12. Audit reports will include measurements of ALC position accuracy, elapsed time between transmission and reception of data, and any physical anomalies (connections, power supply, evidence of tampering) noted by the inspectors.
- 13. The results of these audits will be provided to the Commission in the part 2 component of the annual report to the Commission by the CCMs and those results compiled by the Secretariat into a VMS Audit Report Document.
- 14. Furthermore, the Secretariat of the WCPFC, or its appointee will be, at the Secretariat's discretion and on prima facia grounds, entitled to audit ALCs from any CCM to independently to verify conformity with standards. The execution of such examinations will be guided by an analysis of the on-going data files kept on each vessel. The resulting reports will be made available to the Commission through the Technical and Compliance Committee (TCC).

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<sup>&</sup>lt;sup>2</sup> If, and when, adopted by the Commission