



TECHNICAL AND COMPLIANCE COMMITTEE
Eleventh Regular Session
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Pohnpei, Federated States of Micronesia

DRAFT IUU LIST AND CURRENT WCPFC IUU LIST

WCPFC-TCC11-2015-07_rev3¹
16 September 2015

Paper prepared by the Secretariat

Purpose

1. The paper presents for the consideration and decision of TCC11 i) the draft IUU list and supporting documentation; and ii) the WCPFC IUU list.

Pursuant to CMM 2010-06, TCC11 is invited to adopt a Provisional IUU Vessel List, and submit it to the Commission for consideration and approval; and as appropriate, recommend any proposed changes to the current WCPFC IUU Vessel List.

Introduction

2. The Seventh Regular Session of the Commission (WCPFC7) adopted Conservation and Management Measure 2010-06 (CMM 2010-06) 'Conservation and Management Measure to Establish a List of Vessels Presumed to Have Carried Out Illegal, Unreported and Unregulated Fishing Activities in the WCPO' (which replaced CMM 2007-03). CMM 2010-06 requires the Executive Director to prepare a draft IUU Vessel List based on information received from Members, Cooperating Non-Members and Participating Territories (CCMs) at least 70 days before the annual meeting of the Technical and Compliance Committee (TCC). CMM 2010-06 further requires the Executive Director to transmit the draft IUU Vessel List, together with all the supporting evidence provided, to all CCMs, as well as to non-CCMs with vessels on the list, at least 55 days before the annual meeting of the TCC.

3. On 23 June 2015 Fiji provided a letter of nomination for a vessel to be placed on the draft IUU list - the Secretariat was requested to assist with advising the flag CCM Wallis and Futuna. On 22 July 2015: WCPFC receives reply from Wallis and Futuna to acknowledge receipt of the letter from the WCPFC ED which forwards Fiji's nomination advice (**Attachment 1**).

4. On 25 June 2015: Tonga provided a letter of nomination for a vessel to be placed on the draft IUU list. The Secretariat revised the case file package on 26 August 2015, to include a copy of the original letter sent by Tonga to China (this version is intended to replace the version of the

¹ Rev 3 includes updates to relevant supporting documentation related to the draft IUU list and the version of the draft IUU list as recirculated on 16 September 2015. Rev 1 includes a correction to para 3, and rev 2 includes some editorials and updates to supporting documentation related to the draft IUU list.

supporting case file that was sent by circular on 30 July 2015) (**Attachment 2_rev1**). On 14 July 2015: China provided a letter of reply to the nomination. (**Attachment 3**)

5. On 14 July 2015: FSM provided a letter of nomination for four vessels to be placed on the draft IUU list. The Secretariat revised the case file package on 26 August 2015, to include a copy of the annexes to the nomination sent by FSM this replaces the version that was sent by circular on 30 July 2015 (**Attachment 4_rev1**). 14 July 2015 (70 days before TCC11) was also the deadline for CCMs to provide a list of vessels presumed to be carrying out IUU activities in the Convention Area during current or previous years.

6. On 30 July 2015 the draft IUU list and supporting information was circulated to all CCMs and other relevant flag CCMs (WCPFC Circular 2015/48). Also attached to this circulation was a copy of WCPFC IUU list. The Circular was sent to all CCMs and the non-CCM Georgia.

7. Since the circulation of the draft IUU vessel list and supporting documentation on 30 July 2015 (Circular 2015/48), three submissions of additional supporting documentation were received as follow:

- i. In reference to Case 2015_B: A letter of reply from Chief Executive Officer of Tonga Ministry of Agriculture and Food, Forests and Fisheries to China, which was received by WCPFC on 31 August 2015. (**Attachment 5**)
- ii. In reference to Case 2015_C-F: A letter from Director, Bureau of Fisheries and Aquatic Resources, Philippines to WCPFC Executive Director, which was received by WCPFC on 28 August 2015. (**Attachment 6**)
- iii. In reference to Case 2015_B: A further letter of reply from Deputy Director, Division of Deep Sea Fishing, Bureau of Fisheries, Ministry of Agriculture, China to Tonga, which was received by WCPFC on 15 September 2015. (**Attachment 7**)

8. A copy of the draft IUU vessel list is provided in **Annex 1**, and all suitably documented that relates to the draft IUU list and WCPFC IUU list can be accessed by CCMs on the WCPFC secure CCM side of the website (**Attachments 1 – 7**) . A copy of the WCPFC IUU list is provided as **Attachment 8**.

Recommendation on Provisional IUU Vessel List and current WCPFC IUU Vessel List

Pursuant to CMM 2010-06, TCC11 is invited to:

- a. following consideration of the draft IUU Vessel List, and suitably documented information circulated by the Executive Director, adopt a Provisional IUU Vessel List, and submit it to the Commission for consideration and approval;
- b. as appropriate, recommend any proposed changes to the current WCPFC IUU Vessel List.



DRAFT IUU VESSEL LIST FOR 2016

Circulated to CCMs on July 30 2015, in accordance with paragraph 6 of CMM 2010-06¹

Note: Information provided in this list is in accordance with CMM 2010-06 para 19

#	Current name of vessel (previous names)	Current flag (previous flags)	Date first included on WCPFC IUU Vessel List	Flag State Registration Number/ IMO Number (RFV VID)	Call Sign (previous call signs)	Owner/beneficial owners (previous owners)	Notifying CCM/Contact Details	IUU activities
2015_A	FAIMANU V	Wallis and Futuna (French Polynesia)	<i>(received by WCPFC 23 June 2015)</i>	WF 85 <i>Port of Registry: MATA-UTU I</i> (Not on RFV)	FNCX		FIJI Ministry of Fisheries and Forests, Fisheries Department 360 Toorak Rd PO Box 2218 Government Building, Suva	The longliner, while entering Fiji waters failed to notify port state prior to arrival into Fiji Port, had a broken antenna which may indicate non polling of MTU & found to have shark fins on board believed OceanicWhite Tip.
2015_B	RONG DA YANG 28	CHINA	<i>(received by WCPFC 25 June 2015)</i>	(LU)CHUAN DENG(JI)(2012)FT-200030 (VID 10356)	BBGA8	WEIHAI CHANGHE FISHERY CO. LTD of No.28,DongShan Road, WeiHai, Shandong, China	KINDGDOM OF TONGA Chief Executive Officer Ministry of Agriculture and Food, Forests and Fisheries, PO Box 14 Nuku'alofa	The longliner was sighted by an aircraft undertaking a maritime surveillance and alleged to be an unlicensed foreign fishing vessel fishing illegally inside Tongan fisheries waters.
2015_C	LADY EVELYN-8	PHILIPPINES	<i>(received by WCPFC 14 July 2015)</i>	12-0000617 (VID 10514)	DUL-6657	RUGELA FISHING INDUSTRIES, INC. Calumpang, General Santos City.	FEDERATED STATES OF MICRONESIA, Executive Director, National Oceanic Resource Management Authority, PO Box PS122, Pohnpei.	Multiple incidents of alleged illegal fishing and transshipments in FSM EEZ (2-Feb 2015, 31- Jan-2015). <i>Supporting file notes past history of similar activities in 12-Jan 2013 and 30 Oct 2013</i>

¹ Corrections were made on 26 August 2015 by the Secretariat to the draft IUU list – correction to the date of alleged IUU activities for Case 2015_E and description of the supporting documentation for Case 2015_B and Case 2015_C-F. The recirculation of draft IUU list on 16 September 2015 includes three new supporting documentation: attachment 5 and attachment 7 for Case 2015_B and attachment 6 for Case 2015_C-F.

#	Current name of vessel (previous names)	Current flag (previous flags)	Date first included on WCPFC IUU Vessel List	Flag State Registration Number/ IMO Number (RFV VID)	Call Sign (previous call signs)	Owner/beneficial owners (previous owners)	Notifying CCM/Contact Details	IUU activities
2015_D	F/V MASTER RUSTIN-4	PHILIPPINES	<i>(received by WCPFC 14 July 2015)</i>	12-0000576 (VID 10656)	DUM-2834	RUGELA FISHING INDUSTRIES, INC. Calumpang, General Santos City.	FEDERATED STATES OF MICRONESIA, Executive Director, National Oceanic Resource Management Authority, PO Box PS122, Pohnpei.	Alleged illegal transshipment with purse seine fishing vessel Lady Evelyn-38 in FSM EEZ on 14 May 2014
2015_E	LADY EVELYN-38	PHILIPPINES	<i>(received by WCPFC 14 July 2015)</i>	12-0000651 (VID 10560)	DUL-2828	RUGELA FISHING INDUSTRIES, INC. Calumpang, General Santos City.	FEDERATED STATES OF MICRONESIA, Executive Director, National Oceanic Resource Management Authority, PO Box PS122, Pohnpei.	Alleged illegal transshipment in FSM EEZ (14 May 2014)
2015_F	LADY GELAINE 18	PHILIPPINES	<i>(received by WCPFC 14 July 2015)</i>	12-0000259 (VID 10516)	DUM-2830	RUGELA FISHING INDUSTRIES, INC. Calumpang, General Santos City.	FEDERATED STATES OF MICRONESIA, Executive Director, National Oceanic Resource Management Authority, PO Box PS122, Pohnpei.	Multiple alleged illegal transshipments with purse seine fishing vessels (31 Jan 2015 and 2 Feb 2015)

Supporting documentation that follows:

1. Case 2015_A: Letter from WCPFC Executive Director to Wallis and Futuna, sent by WCPFC on June 26 2015 (acknowledgement of receipt received 22 July 2015)
2. Case 2015_B_rev1: Letter from Chief Executive Officer of Tonga Ministry of Agriculture and Food, Forests and Fisheries to China, received by WCPFC on 25 June 2015 (revised 26 Aug to include letter dated 22 June 2015 which was omitted by Sect from case package)
3. Case 2015_B: Letter of reply from Deputy Director, Division of Deep Sea Fishing, Bureau of Fisheries, Ministry of Agriculture, China to Tonga, received by WCPFC on 14 July 2015
4. Case 2015_C-F_rev1: Letter from Acting Executive Director, National Oceanic Resources Management Authority to Philippines, received by WCPFC on 14 July 2015 (revised 26 Aug to include annexes which were omitted by Sect from case package)



5. Case 2015_B: Letter of reply from Chief Executive Officer of Tonga Ministry of Agriculture and Food, Forests and Fisheries to China, received by WCPFC on 31 August 2015
6. Case 2015_C-F: Letter from Director, Bureau of Fisheries and Aquatic Resources, Philippines to WCPFC Executive Director, received by WCPFC on 28 August 2015.
7. Case 2015_B: Further letter of reply from Deputy Director, Division of Deep Sea Fishing, Bureau of Fisheries, Ministry of Agriculture, China to Tonga, received by WCPFC on 15 September 2015.



ATTACHMENT 8

WCPFC IUU VESSEL LIST FOR 2015

Effective from 3 February 2015

**Circulated to CCMs by 30 July 2015, in accordance with paragraph 12 of CMM
2010-06**

WCPFC11 Summary Report: Attachment I

WCPFC IUU VESSEL LIST FOR 2015

(Effective from 3 February 2015: WCPFC11 agreed to maintain the WCPFC IUU list for 2014 as the WCPFC IUU list for 2015)

Note: Information provided in this list is in accordance with CMM 2010-06 para 19

Current name of vessel (previous names)	Current flag (previous flags)	Date first included on WCPFC IUU Vessel List	Flag State Registration Number/ IMO Number	Call Sign (previous call signs)	Owner/beneficial owners (previous owners)	Notifying CCM	IUU activities
Neptune	Georgia	10 Dec. 2010	C-00545	4LOG	Space Energy Enterprises Co. Ltd.	France	Fishing on the high seas of the WCPF Convention Area without being on the WCPFC Record of Fishing Vessels (CMM 2007-03-para 3a)
Fu Lien No 1	Georgia	10 Dec. 2010	IMO No 7355662	4LIN2	Fu Lien Fishery Co., Georgia	United States	Is without nationality and harvested species covered by the WCPF Convention in the Convention Area (CMM 2007-03, para 3h)
Yu Fong 168	Chinese Taipei	11 Dec. 2009		BJ4786	Chang Lin Pao-Chun, 161 Sanmin Rd., Liouciuo Township, Pingtung County 929, Chinese Taipei	Marshall Islands	Fishing in the Exclusive Economic Zone of the Republic of the Marshall Islands without permission and in contravention of Republic of the Marshall Islands's laws and regulations. (CMM 2007-03, para 3b)