

#### WCPFC10 Tenth Regular Session 2<sup>th</sup> December – 6<sup>th</sup> December 2013 Cairns, AUSTRALIA

# STANDARDS, SPECIFICATIONS AND PROCEDURES FOR THE WCPFC RECORD OF FISHING VESSELS

WCPFC10-2013-DP07rev1 1645 05/12/2013

**Proposal by the United States of America** 

# Standards, Specifications and Procedures for the Western and Central Pacific Fisheries Commission Record of Fishing Vessels

#### Application

These SSPs shall apply to the record of fishing vessels established under Article 24(7) of the Convention and further specified in any conservation and management measures adopted in relation to such record.

These SSPs shall take effect six months after adoption by the WCPFC.

## The WCPFC Record of Fishing Vessels

- 1. The WCFPC RFV shall consist of an electronic database that, at a minimum:
  - a. Is capable of depicting the current version of the RFV as a single table, in the structure and format shown in Attachment 1;
  - b. Is fully and readily searchable by public users, with the exception of any data deemed by the WCPFC to be non-public domain data and/or to be maintained only on the secure portion of the WCPFC web site;
  - c. Stores all historical data provided by CCMs and is capable of depicting the RFV as it was at any point in time in the past; and
  - d. Includes electronic photographs of the vessels on the RFV.

#### **Responsibilities of CCMs**

It shall be the responsibility of CCMs to:

- 2. Submit complete vessel record data to the WCFPC Secretariat that meet the structure and format specifications of Attachment 1, and submit vessel photographs that meet the specifications of Attachment 2; and
- 3. Submit vessel record data to the WCPFC Secretariat via one of the following modes:<sup>1</sup>

<sup>&</sup>lt;sup>1</sup> The Commission may consider additional modes of transmission, such as modes involving direct links between the Commission's and CCMs' databases.

- a. Electronic transmission: Submittal via email or other electronic means of electronic data files that meet the electronic formatting specifications of Attachment 3; or
- b. Manual transmission: Direct RFV data entry via the web portal maintained by the WCPFC Secretariat for this purpose (Attachment 4).

### **Responsibilities of the WCPFC Secretariat**

It shall be the responsibility of the WCPFC Secretariat to:

- 4. Maintain and utilize the RFV in a manner consistent with the Convention, the WCFPC's conservation and management measures, and the adopted standards, specifications and procedures relating to the RFV;
- 5. Provide a stable, reliable, fully maintained and supported RFV, including ensuring adequate redundancy and back-up systems to avoid data loss and provide timely data recovery;
- 6. Ensure that vessel data, once received from CCMs, are not altered, manipulated, or interfered with in any way, except as necessary to incorporate such data into the RFV in accordance with these SSPs;
- 7. Design and maintain the RFV so that it is capable of depicting the current RFV in the structure and format specified in Attachment 1;
- 8. Design and maintain the RFV so that numerical data can be displayed and downloaded using common units of measurement;
- 9. Ensure that the RFV is continuously publicly available via the WCPFC web site, with the exception of any pieces of information deemed by the WCPFC to be non-public domain data and/or to be maintained only on the secure portion of the WCPFC web site;
- 10. Develop and maintain the technical and administrative systems needed to receive vessel data from CCMs via any of the following modes:
  - a. Electronic transmission: Submittal via email or other electronic means of electronic data files that meet the electronic format specifications of Attachment 3;
  - b. Manual transmission: Direct manual data entry by a CCM via a web portal that meets the specifications of Attachment 4;
- 11. Within 24 hours of the next WCPFC official business day following receipt of vessel record data from a CCM, acknowledge receipt of the data and indicate to the CCM whether the data meet the minimum data requirements (i.e., they include data for all the

fields with " $\Box$ " in the "Min." column in Attachment 1) and structure and format specifications of Attachments 1 and 2 and, if applicable, whether they meet the electronic formatting specifications of Attachment 3;

- 12. Within 48 hours (for electronic data transmissions) or 24 hours (for manual data transmissions) of the next WCPFC official business day following receipt from a CCM of vessel record data that meet the minimum data requirements (i.e., they include data for all the fields with "□" in the "Min." column in Attachment 1) and structure and format specifications of Attachments 1 and 2, and, if applicable, the electronic formatting specifications of Attachment 3, incorporate such data into the RFV;
- 13. Provide for information on vessels' "fished / did not fish" status for each year, as submitted by CCMs, to be integrated with the RFV insofar as being able to display, search, and analyze the information; and
- 14. Monitor and report annually to the TCC the performance of the RFV and its application and, as necessary, make recommendations for improvements or modifications to the system, standards, specifications or procedures established to support it, in order to ensure the RFV continues to function as a stable, secure, reliable, cost-effective, efficient, fully maintained and supported system.

14.15. [Periodically recommend improvements to these SSPs, including, where appropriate, standards and codes that are consistent with those used in other international fora, such as the FAO and UN/CEFACT.]

| Min. <sup>2</sup> | Field name                 | Field format     | Field description/instructions   | Example          | Ref. in CMMs   |
|-------------------|----------------------------|------------------|--|------------------|--|
| $\checkmark$      | Submitted by CCM           | Text             | Country name – in two-letter ISO<br>code format (ISO 3166;<br>Attachment 7)  | HR (for Croatia) | Implied in 2009-01: 5/6  |
| $\checkmark$      | Data action code           | Text             | This field is not for inclusion in<br>the RFV itself, but must be used<br>by CCMs in their data<br>submissions<br>Enter "ADDITION" for vessels<br>not currently on the RFV that are<br>to be added to the RFV; enter<br>"MODIFICATION" for vessels<br>currently on the RFV the<br>information for which is be<br>modified; or enter "DELETION"<br>for vessels currently on the RFV<br>that are to be removed from the<br>RFV | MODIFICATION     | (Needed to instruct<br>Secretariat/RFV of the<br>changes to be made) |
| V                 | VID                        | Number (integer) | This number, generated<br>automatically by the RFV upon<br>inclusion of a vessel, must be<br>provided for vessels being deleted<br>or modified; if the vessel is being<br>added to the RFV (i.e., it is not<br>currently on the RFV), enter<br>"NONE"  | 10503            | (Needed to match<br>vessels)   |
| $\checkmark$      | Name of the fishing vessel | Text             | Name of the fishing vessel as<br>indicated on flag State<br>registration, in UPPER CASE  | SEA MAPLE II     | 2009-01: 6(a)  |

#### Attachment 1. List of Fields in the WCPFC RFV and their Format and Content

<sup>&</sup>lt;sup>2</sup> Fields marked " $\sqrt{}$ " in this column together comprise the "minimum data requirements" for inclusion on the RFV, as described in paragraphs **Error! Reference source not found.** and **Error! Reference source not found.** 

| Min. <sup>2</sup> | Field name                           | Field format  | Field description/instructions  | Example  | Ref. in CMMs            |
|-------------------|--------------------------------------|---|---|--|-------------------------|
| $\checkmark$      | Flag of the fishing vessel           | Text<br>Enter the country name in<br>two-letter ISO code format<br>(ISO 3166; Attachment 7)   | Country name – in two-letter ISO<br>code format (ISO 3166;<br>Attachment 7)   | HR (for Croatia)                               | Implied in 2009-01: 5/6 |
|                   | Registration number                  | Text  | Alphanumeric registration<br>identifier assigned by the flag<br>State, as indicated on flag State<br>registration, in UPPER CASE  | XX123  | 2009-01: 6(a)           |
|                   | WCPFC Identification<br>Number (WIN) | Text  | Vessel identifier assigned by flag<br>State in accordance with CMM<br>2004-03, in UPPER CASE  | ABC1234  | 2009-01: 6(a)           |
| V                 | Previous names (if known)            | Text<br>If multiple previous vessel<br>names, separate entries with<br>","  | List of previous names of the<br>vessel, in UPPER CASE, if<br>known by the CCM<br>- If the CCM knows that the<br>vessel has no previous names,<br>enter "NONE"<br>- If the CCM does not know if the<br>vessel has any previous names,<br>enter "NONE KNOWN" | ALPHA DRAGON<br>ALPHA<br>DRAGON;SEA<br>MAPLE I | 2009-01: 6(a)           |
|                   | Port of registry                     | Text  | Enter name of the city (port) of vessel registration  | Murmansk                                       | 2009-01: 6(a)           |
| V                 | Name of the owner or<br>owners       | Text<br>If multiple owners, separate<br>entries with ";"<br>If company, enter full name<br>of the company<br>If personal name, enter<br>last/family name, |   | Sea Maple LLC<br>Doe, John;Gomez,<br>Steven    | 2009-01: 6(b)           |

| Min. <sup>2</sup> | Field name                        | Field format  | Field description/instructions  | Example   | Ref. in CMMs  |
|-------------------|-----------------------------------|---|---|---|---------------|
|                   |                                   | first/given name(s)<br>(separated by a comma)   |   |   |               |
| $\checkmark$      | Address of the owner or<br>owners | Text<br>Separate components of<br>each address with ", "<br>If more than one address,<br>separate addresses with ";"                                    |   | 1234 Ebony Ln,<br>Honolulu, HI 12345,<br>USA<br>1234 Ebony Ln,<br>Honolulu, HI 12345,<br>USA;4321 Ynobe Rd,<br>Honolulu, HI 54321,<br>USA | 2009-01: 6(b) |
| V                 | Name of the master                | Text<br>Enter last/family name,<br>first/given name(s)<br>(separated by a comma)<br>If multiple masters,<br>separate entries with ";"                   |   | Doe, John<br>Doe, John;Doe, Jill  | 2009-01: 6(c) |
|                   | Nationality of the master         | Text<br>Enter the country name in<br>two-letter ISO code format<br>(ISO 3166; Attachment 7)<br>If multiple masters,<br>separate entries with ";"        | List the nationality of the master<br>of the vessel   | HR (for Croatia)<br>HR;HR   | 2009-01: 6(c) |
| V                 | Previous flag (if any)            | Text<br>Enter the country name in<br>two-letter ISO code format<br>(ISO 3166; Attachment 7)<br>If multiple previous flags,<br>separate entries with ";" | List previous flag(s) of the vessel,<br>if any<br>-If vessel has no previous flags,<br>enter "NONE" | NONE  | 2009-01: 6(d) |

| Min. <sup>2</sup> | Field name  | Field format   | Field description/instructions   | Example  | Ref. in CMMs  |
|-------------------|---|--|--|--|---------------|
| $\checkmark$      | International Radio Call<br>Sign  | Text<br>Enter without any spaces or<br>punctuation   | International radio call sign<br>assigned to the vessel, in UPPER<br>CASE without spaces<br>- If the vessel has not been<br>assigned an IRCS, enter "NONE"   | ABC1234  | 2009-01: 6(e) |
| $\checkmark$      | Vessel communication<br>types and numbers<br>(Inmarsat A, B and C<br>numbers and satellite<br>telephone number) | Text<br>Enter:<br>communication type: x:<br>number/address: x: service<br>type: x.<br>If multiple communication<br>devices, separate entries<br>with ";"                         | <ul> <li>Enter descriptions of each of<br/>any communication devices on<br/>board the vessel that use Inmarsat<br/>A, B or C or that have a satellite<br/>telephone number</li> <li>If no such communication<br/>devices are on board, enter<br/>"NONE"</li> </ul> | Voice Inmarsat mobile:<br>123456789: Inmarsat C:<br>satellite telephone<br>number: 123456789 | 2009-01: 6(f) |
| V                 | Colour photograph of the<br>vessel  | Text<br>Enter the name of the<br>electronic data file, using<br>the following format:<br>[WIN]_[Vessel<br>name]_[date of photograph:<br>dd.mmm.yyyy].[extension]<br>(jpg or tif) | File name of vessel photograph   | XXX123_SEA<br>MAPLE_01.Jul.2010.jp<br>g  | 2009-01: 6(g) |
| $\checkmark$      | Where the vessel was built  | Text<br>Enter the country name in<br>two-letter ISO code format<br>(ISO 3166; Attachment 7)  | Country where the vessel was<br>built, as indicated on flag State<br>registration or other appropriate<br>documentation  | LT (for Lithuania)   | 2009-01: 6(h) |
|                   | When the vessel was built   | Number (four-digit integer)  | Year in which the vessel was<br>built, as indicated on flag State<br>registration or other appropriate<br>documentation  | 1994   | 2009-01: 6(h) |

| Min. <sup>2</sup> | Field name                           | Field format  | Field description/instructions   | Example              | Ref. in CMMs  |
|-------------------|--------------------------------------|---|--|----------------------|---------------|
|                   | Type of vessel                       | Text  | - Enter the single most<br>appropriate vessel type listed in<br>the WCFPC List of Vessel Types<br>(Attachment 5), exactly as written   | Tuna longliners      | 2009-01: 6(i) |
|                   | Normal crew complement               | Number (integer)  | The number of crew members<br>normally on board the vessel,<br>including officers  | 6                    | 2009-01: 6(j) |
|                   | Type of fishing method or<br>methods | Text<br>If multiple fishing methods,<br>separate entries with ";" | The type(s) of fishing gear used<br>by the vessel<br>Enter all the fishing gears listed in<br>the WCFPC List of Fishing Gears<br>that are used, or intended to be<br>used, to harvest HMS<br>(Attachment 6), exactly as<br>written, or if the vessel is not used<br>to harvest fish, enter "not a fish<br>harvester" | Drifting longlines   | 2009-01: 6(k) |
| $\checkmark$      | Length                               | Number (decimal)  |  | 50                   | 2009-01: 6(1) |
| V                 | Type of length                       | Text  | Description of type of length - If overall length, enter "overall" - If other type, enter the type   | Overall<br>Waterline | 2009-01: 6(1) |
|                   | Unit of length                       | Text  | Enter "m" for meters or "ft" for feet  | m                    | 2009-01: 6(1) |
|                   | Moulded depth                        | Number (decimal)  |  | 7                    | 2009-01: 6(m) |
|                   | Unit of depth                        | Text  | Enter "m" for meters or "ft" for feet  | m                    | 2009-01: 6(m) |
|                   | Beam                                 | Number (decimal)  |  | 7                    | 2009-01: 6(n) |

| Min. <sup>2</sup> | Field name   | Field format  | Field description/instructions  | Example            | Ref. in CMMs  |
|-------------------|--|---|---|--------------------|---------------|
| $\checkmark$      | Unit of beam   | Text  | Enter "m" for meters or "ft" for feet   | m                  |               |
|                   | Gross registered tonnage<br>(GRT) or gross tonnage<br>(GT) | Number (decimal)  |   | 138                | 2009-01: 6(0) |
|                   | Type of tonnage  | Text  | Enter "GRT" for gross registered<br>tonnage or "GT" for gross<br>tonnage  | GT                 | 2009-01: 6(o) |
|                   | Power of main engine or<br>engines                         | Number (decimal)  |   | 350                | 2009-01: 6(p) |
|                   | Unit of power of main<br>engine or engines                 | Text  | Enter "HP" for horsepower,<br>"KW" for kilowatts, or "PS" for<br>continental horsepower, also<br>known as Pferdestärke  | HP                 | 2009-01: 6(p) |
|                   | Freezer type(s)  | Text<br>If multiple freezer types,<br>separate entries with ";"   | The type(s) of devices used to<br>freeze the catch on board the<br>vessel<br>Enter one or more of the<br>following: Brine, Blast, Plate,<br>Tunnel, RSW, Ice, Other: [specify<br>type]                                  | Brine<br>Ice;Blast | 2009-01: 6(q) |
|                   | Freezing capacity  | Text<br>If multiple freezer types<br>entered in Freezer type(s)<br>field, separate<br>corresponding multiple<br>entries here with ";" and<br>ensure that the entries are in<br>the same sequence as in the<br>Freezer type(s) field | A measure of the capacity to<br>freeze the catch, expressed in<br>terms of the amount of fish frozen<br>per unit time or the nominal<br>freezing capacity of the freezer<br>units<br>If no freezing capacity, enter "0" | 100<br>2;5<br>0    | 2009-01: 6(q) |

| Min. <sup>2</sup> | Field name                  | Field format                                  | Field description/instructions                                  | Example                  | Ref. in CMMs  |
|-------------------|-----------------------------|---|---|--------------------------|---------------|
|                   | Units of freezing capacity  | Text  | If no freezing capacity, enter<br>"NA"                          | nominal mt               | 2009-01: 6(q) |
|                   |                             | If multiple freezer types                     |   | mt/day;mt/day            |               |
|                   |                             | entered in Freezer type(s)<br>field, separate |   | NA                       |               |
|                   |                             | corresponding multiple                        |   | INA                      |               |
|                   |                             | entries here with ";" and                     |   |                          |               |
|                   |                             | ensure that the entries are in                |   |                          |               |
|                   |                             | the same sequence as in the                   |   |                          |               |
|                   | Number of freezer units     | Freezer type(s) field<br>Text                 | The number of freezing units on                                 | 2                        | 2009-01: 6(q) |
|                   | Number of freezer units     | 1011  | board the vessel (e.g., the number                              | 2                        | 2009-01. 0(q) |
|                   |                             | If multiple freezer types                     | of ice-making machines, brine                                   | 1;2                      |               |
|                   |                             | entered in Freezer type(s)                    | chillers, or blast freezers)                                    |                          |               |
|                   |                             | field, separate<br>corresponding multiple     |   | 0                        |               |
|                   |                             | entries here with ";" and                     |   |                          |               |
|                   |                             | ensure that the entries are in                |   |                          |               |
|                   |                             | the same sequence as in the                   |   |                          |               |
|                   |                             | Freezer type(s) field                         |   | 100                      |               |
|                   | Fish hold capacity          | Number (decimal)                              | The total amount of fish capable of being stored on the vessel, | 100                      | 2009-01: 6(q) |
|                   |                             |   | excluding bait and fish kept for                                |                          |               |
|                   |                             |   | crew consumption, measured by                                   |                          |               |
|                   |                             |   | either volume or weight   |                          |               |
|                   | Units of fish hold capacity | Text  | Enter "CM" for cubic meters or                                  | СМ                       | 2009-01: 6(q) |
|                   |                             |   | "MT" for metric tonnes  |                          |               |
|                   | Form of the authorization   | Text  | Enter the name or description of                                | High seas fishing permit | 2009-01: 6(r) |
|                   | granted by the flag State   |   | the license, permit or  |                          |               |
|                   |                             |   | authorization, such as the name of                              |                          |               |
|                   |                             |   | the issuing authority   |                          |               |
|                   |                             |   | Enter "not applicable" if the                                   |                          |               |
|                   |                             |   | vessel is not authorized by its flag                            |                          |               |
|                   |                             |   | State to be used for fishing for                                |                          |               |
|                   |                             |   | HMS beyond areas of national                                    |                          | 1             |

| Min. <sup>2</sup> | Field name   | Field format | Field description/instructions  | Example   | Ref. in CMMs  |
|-------------------|--|--------------|---|---|---------------|
|                   |  |              | jurisdiction (i.e., not authorized to fish on the high seas)  |   |               |
|                   | Authorization number<br>granted by the flag State    | Text         | Enter the unique identifier<br>assigned to the authorization, if<br>any, and enter "NONE" if the<br>authorization does not have a<br>unique identifier    | XX123   | 2009-01: 6(r) |
|                   |  |              | Enter "not applicable" if the<br>vessel is not authorized by its flag<br>State to be used for fishing for<br>HMS beyond areas of national<br>jurisdiction |   |               |
|                   | Any specific areas in<br>which authorized to fish    | Text         | Enter a description of any specific<br>areas in the WCFPC Area in<br>which the authorization is limited   | No specific areas   | 2009-01: 6(r) |
|                   |  |              | Enter "No specific areas" if the<br>authorization is not limited to any<br>specific areas within the WCPFC<br>Area  |   |               |
|                   |  |              | Enter "not applicable" if the<br>vessel is not authorized by its flag<br>State to be used for fishing for<br>HMS beyond areas of national<br>jurisdiction |   |               |
|                   | Any specific species for<br>which authorized to fish | Text         | Enter a description of any specific<br>HMS for which the authorization<br>is limited  | No specific species<br>All HMS except Pacific<br>bluefin tuna | 2009-01: 6(r) |
|                   |  |              | Enter "No specific species" if the<br>authorization is not limited to any<br>specific HMS   |   |               |

| Min. <sup>2</sup> | Field name   | Field format       | Field description/instructions   | Example     | Ref. in CMMs   |
|-------------------|--|--------------------|--|-------------|----------------|
|                   |  |                    | Enter "not applicable" if the<br>vessel is not authorized by its flag<br>State to be used for fishing for<br>HMS beyond areas of national<br>jurisdiction  |             |                |
|                   | Start of period of validity of authorization           | Date (dd-mmm-yyyy) | Leave blank if the vessel is not<br>authorized by its flag State to be<br>used for fishing for HMS beyond<br>areas of national jurisdiction  | 01-Jul-2010 | 2009-01: 6(r)  |
|                   | End of period of validity<br>of authorization          | Date (dd-mmm-yyyy) | Leave blank if the vessel is not<br>authorized by its flag State to be<br>used for fishing for HMS beyond<br>areas of national jurisdiction  | 30-Jun-2011 | 2009-01: 6(r)  |
|                   | Authorized to tranship on<br>the high seas             | Text               | Enter "yes" if the responsible<br>CCM has made an affirmative<br>determination under para 37 of<br>CMM 2009-06 and has<br>authorized the vessel to be used<br>for transhipping HMS on the high<br>seas in the Convention Area, and<br>the authorization is currently<br>valid; otherwise, enter "no"   | Yes         | 2009-06: 34    |
|                   | Purse seine vessel<br>authorized to tranship at<br>sea | Text               | Enter "yes" if the vessel is a purse<br>seine vessel that has been granted<br>an exemption by the WCPFC to<br>engage in transhipping at sea in<br>the WCFPC Area and has been<br>authorized to do so by the<br>responsible CCM, and the<br>exemption and authorization are<br>currently valid; enter "no" if the<br>vessel is a purse seine vessel that<br>has not been granted such<br>exemption and authorization; or<br>enter "not applicable" if the<br>vessel is not a purse seine vessel | No          | 2009-06: 29-30 |

| Min. <sup>2</sup> | Field name                                      | Field format | Field description/instructions  | Example          | Ref. in CMMs |
|-------------------|---|--------------|---|------------------|--------------|
|                   | Charter – CCM-flagged<br>vessel                 | Text         | Enter "charter", "lease" or a<br>descriptor of similar mechanism<br>if paragraph 2 of CMM 2012-05<br>applies to the vessel; otherwise,<br>enter "not applicable"  | Charter          | 2012-05: 2   |
| $\checkmark$      |   |              | Note: In the case of vessels to<br>which paragraph 2 of CMM<br>2012-05 applies, the flag CCM is<br>responsible for including the<br>vessel on its record of fishing<br>vessels and submitting the<br>required information to the ED   |                  |              |
| V                 | Charter – non-CCM-<br>flagged carrier or bunker | Text         | Enter "charter", "lease" or a<br>descriptor of similar mechanism<br>if paragraph 41 of CMM 2009-01<br>(carrier or bunker flagged to non-<br>CCM) applies to the vessel;<br>otherwise, enter "not applicable"<br>Note: In the case of vessels to<br>which paragraph 41 of CMM<br>2009-01 applies (carriers and<br>bunkers flagged to non-CCMs),<br>the host CCM is responsible for<br>including the vessel on its record | Charter          | 2009-01: 41  |
|                   | Host CCM  | Text         | of fishing vessels and submitting<br>the required information to the<br>EDIf the vessel is under charter,   | AT (for Austria) | 2009-01: 41  |
| $\checkmark$      |   |              | <ul> <li>lease or a similar mechanism and<br/>paragraph 41 of CMM 2009-01 or<br/>paragraph 2 of CMM 2012-05<br/>applies to it, enter the name of the<br/>chartering/host CCM in two-letter<br/>ISO code format (ISO 3166;<br/>Attachment 7); otherwise, enter<br/>"not applicable"</li> </ul>   |                  | 2012-05: 2   |

| Min. <sup>2</sup> | Field name                 | Field format   | Field description/instructions   | Example   | Ref. in CMMs              |
|-------------------|----------------------------|--|--|---|---------------------------|
| V                 | Name of charterer          | Text<br>If multiple charterers,<br>separate entries with ";"<br>If company, enter full name<br>of the company<br>If personal name, enter<br>last/family name,<br>first/given name(s)<br>(separated by a comma) | If vessel is under charter, lease or<br>similar mechanism and paragraph<br>41 of CMM 2009-01 or paragraph<br>2 of CMM 2012-05 applies to it,<br>enter the name of the charterer;<br>otherwise, enter "not applicable"                                | Sea Maple LLC<br>Doe, John;Gomez,<br>Steven   | 2009-01: 41<br>2012-05: 2 |
| $\checkmark$      | Address of charterer       | Text<br>Separate components of<br>each address with ", "<br>If more than one address,<br>separate addresses with ";"   | If vessel is under charter, lease or<br>similar mechanism and paragraph<br>41 of CMM 2009-01 or paragraph<br>2 of CMM 2012-05 applies to it,<br>enter the address of the charterer;<br>otherwise, enter "not applicable"                             | 1234 Ebony Ln,<br>Honolulu, HI 12345,<br>USA<br>1234 Ebony Ln,<br>Honolulu, HI 12345,<br>USA;4321 Ynobe Rd,<br>Honolulu, HI 54321,<br>USA | 2009-01: 41<br>2012-05: 2 |
|                   | Start date of charter      | Date (dd-mmm-yyyy)   | If vessel is under charter, lease or<br>similar mechanism and paragraph<br>41 of CMM 2009-01 or paragraph<br>2 of CMM 2012-05 applies to it,<br>insert the start date of the charter,<br>lease or other mechanism;<br>otherwise, leave blank         | 30-Jun-2011   | 2009-01: 41<br>2012-05: 2 |
|                   | Expiration date of charter | Date (dd-mmm-yyyy)   | If vessel is under charter, lease or<br>similar mechanism and paragraph<br>41 of CMM 2009-01 or paragraph<br>2 of CMM 2012-05 applies to it,<br>insert the date of expiration of the<br>charter, lease or other mechanism;<br>otherwise, leave blank | 30-Jun-2016   | 2009-01: 41<br>2012-05: 2 |

| Min. <sup>2</sup> | Field name          | Field format | Field description/instructions   | Example  | Ref. in CMMs  |
|-------------------|---------------------|--------------|--|--|---------------|
|                   | Reason for deletion | Text         | This field need not be included in<br>the single-table depiction of the<br>RFV, but must be used by CCMs<br>in their data submissions<br>Enter one of the following:   | Voluntary<br>relinquishment or non-<br>renewal | 2009-01: 7(c) |
|                   |                     |              | "Voluntary relinquishment or<br>non-renewal",<br>"Withdrawal",<br>"No longer entitled to fly flag",<br>"Scrapping, decommissioning or<br>loss",<br>"Other: [specify reason]", or<br>"Not applicable" (if the vessel is<br>not being deleted) |  |               |

### Attachment 2. Vessel Photograph Specifications

The photographs submitted to the WCFPC Secretariat for vessels on the RFV must meet all the specifications listed below. If the appearance of the vessel materially changes after a submission of a photograph (including, but not limited to, the vessel is painted another color, the vessel is renamed, or the vessel undergoes a structural modification) or if the photograph becomes more than five years old, a new photograph must be submitted.

The photograph must:<sup>3</sup>

- 1. be in full color
- 2. show the vessel in its current form and appearance
- 3. show a stem-to-stern side view of the vessel
- 4. clearly and legibly display the vessel name and WIN
- 5. be no older than five years
- 6. be in the form of a single electronic file with the following attributes:
  - a. in jpg or tiff file format;
  - b. a resolution of at least 150 pixels per inch at a size of 6 by 8 inches;
  - c. a size no greater than 500 kilobytes (kB); and
  - d. named using the following naming convention: [WIN]\_[vessel name]\_[date of photograph (dd.mmm.yyyy)].jpg/tif (e.g., XXX123\_SEA MAPLE\_01.Jul.2010.jpg).

#### Attachment 3. Electronic Formatting Specifications

These specifications describe the electronic files that CCMs must provide if they choose to submit information via the electronic transmission mode (paragraph 3.a).

## A) File type

The information must be provided in one of the following formats:

- Microsoft Excel file, or
- Microsoft Access database file

#### **B)** File name

The name of the file must be: XX\_RFV\_UPDATES\_DDMMYYYY.sssss

where:

- XX two letter ISO country code (Attachment 7) of the CCM providing the file
- DDMMYYYY the date of the provision of the file
- sssss the standard file suffix (xls or xlsx if Excel file; mdb or accdb if Access file)

<sup>&</sup>lt;sup>3</sup> These photograph specifications, with the exception of items 1 and 6.d, do not have to be met until 1 January 2017.

### For example:

AU\_RFV\_UPDATES\_11082013.xlsx (Excel file provided by Australia, on 11 August 2013)

## C) File content

The RFV update file must contain only the vessels to be added to or deleted from the RFV, or whose details are being updated (i.e., the file must not include vessels for which no changes are being made). The type of change required for a particular vessel must be indicated by the "Data Action Code" (text) field, which must consist of one of the following values: "ADDITION" (for a vessel not currently on the RFV, to be added to the RFV), "MODIFICATION" (for a vessel currently on the RFV and to remain on the RFV), or "DELETION" (for a vessel to be removed from the RFV). For a modification, all the fields for the vessel must be completed in the record so that the fields to be updated can be clearly identified. For a deletion, at a minimum, the following fields must be completed in the record: VID, name of the fishing vessel, flag of the fishing vessel, registration number, WCPFC Identification Number, and reason for deletion.

## **D)** File structure

Each record in the electronic file represents a single vessel. Each record must have the structure specified in Attachment 1, including the same sequence of fields.

Sample MS Excel and MS Access files with the proper formats are available from the Secretariat.

## Attachment 4. Web Portal Specifications

These specifications provide details on the web portal interface that the WCPFC Secretariat will maintain to support CCMs' submission of information via the manual transmission mode (paragraph 3.b).

The WCPFC Secretariat will provide a web portal interface for authorised RFV personnel of CCMs to directly enter and provide updates to RFV data for their vessels, and where applicable chartered vessels. Access to the web portal will be secure, and will require authorised RFV personnel to log in using a CCM-specific user name and password.

The web portal will be hosted on: <u>https://intra.wcpfc.int/Lists/Vessels/Stats.aspx</u>

This web portal will be designed to meet the standards and specifications of Attachment 1 and the SSPs, and where data relate to a specific list of alternative categories that is determined by the WCPFC Secretariat, this will be provided where possible as a drop-down menu option. In other instances, the option of numerical entries or text entries will be possible. There will be a capability for photos to be uploaded and updated.

After submission of a change or entry to the RFV via the web portal, each change or entry will be checked by the WCFPC Secretariat for consistency with the SSPs before being incorporated into the RFV. The CCM user will be promptly notified as to whether a given entry or change was incorporated into the RFV, and if not, the nature of the problem.

#### Attachment 5. WCFPC List of Vessel Types (based on the FAO ISSCFV)

Vessel Types

Trawlers Side trawlers Side trawlers wet-fish Side trawlers freezer Stern trawlers Stern trawlers wet-fish Stern trawlers factory Outrigger trawlers Trawler nei Seiners Purse seiners North American type European type Tuna purse seiners Seiner netters Seiner nei Dredgers Using boat dredge Using mechanical dredge Dredgers nei Lift netters Using boat operated net Lift netters net Gillnetters Trap setters Pot vessels Trap setters nei Liners Handliners Longliners Tuna longliners Pole and line vessels Japanese type American type Trollers Liners nei Squid jigging line vessel

Vessels using pumps for fishing Multipurpose vessels Seiner-handliners Trawler-purse seiners Trawler-drifters Multipurpose vessels nei Recreational fishing vessels Fishing vessels not specified Motherships Salted-fish Motherships Factory Motherships **Tuna Motherships** Motherships for two-boat purse seining Motherships nei Factory longliner Freezer longliner Fish carriers Hospital ships Protection and survey vessels Fishery research vessels Fishery training vessels Non-fishing vessels nei Bunker vessels

#### Attachment 6. WCPFC List of Fishing Gears (based on the FAO ISSCFG)

#### **Fishing Gears**

With purse lines (purse seine) - one boat operated purse seines - two boat operated purse seines Without purse lines (lampara) **Beach** seines Boat or vessel seines - Danish seines - Scottish seines - Pair seines Seine nets (not specified) Portable lift nets Boat-operated lift nets Shore-operated stationary lift nets Lift nets (not specified) Set gillnets (anchored) Driftnets Encircling gillnets

Fixed gillnets (on stakes) Trammel nets Combined gillnets-trammel nets Gillnets and entangling nets (not specified) Gillnets (not specified) Handlines and pole-lines (hand operated) Handlines and pole-lines (mechanized) Set longlines Drifting longlines Longlines (not specified) Trolling lines Hooks and lines (not specified) Harpoons Miscellaneous gear Recreational fishing gear

# Attachment 7. Country Codes (ISO 3166)

| Country Name        | Code |
|---------------------|------|
| Afghanistan         | AF   |
| Albania             | AL   |
| Algeria             | DZ   |
| American Samoa      | AS   |
| Andorra             | AD   |
| Angola              | AO   |
| Anguilla            | AI   |
| Antarctica          | AQ   |
| Antigua and Barbuda | AG   |
| Argentina           | AR   |
| Armenia             | AM   |
| Aruba               | AW   |
| Australia           | AU   |
| Austria             | AT   |
| Azerbaijan          | AZ   |
| Bahamas             | BS   |
| Bahrain             | BH   |
| Bangladesh          | BD   |
| Barbados            | BB   |
| Belarus             | BY   |
| Belgium             | BE   |
| Belize              | BZ   |

| Country Name                   | Code |
|--------------------------------|------|
| Benin                          | BJ   |
| Bermuda                        | BM   |
| Bhutan                         | BT   |
| Bolivia                        | BO   |
| Bosnia and Herzegowina         | BA   |
| Botswana                       | BW   |
| Bouvet Island                  | BV   |
| Brazil                         | BR   |
| British Indian Ocean Territory | ΙΟ   |
| Brunei Darussalam              | BN   |
| Bulgaria                       | BG   |
| Burkina Faso                   | BF   |
| Burundi                        | BI   |
| Cambodia                       | KH   |
| Cameroon                       | СМ   |
| Canada                         | CA   |
| Cape Verde                     | CV   |
| Cayman Islands                 | KY   |
| Central African Republic       | CF   |
| Chad                           | TD   |
| Chile                          | CL   |
| China                          | CN   |

| Chinese Taipei                               | TW |
|--|----|
| Christmas Island                             | CX |
| Cocos (Keeling) Islands                      | CC |
| Colombia                                     | СО |
| Commonwealth of the Northern Mariana Islands | MP |
| Comoros                                      | KM |
| Congo  | CG |
| Congo, The Democratic Republic of the        | CD |
| Cook Islands                                 | CK |
| Costa Rica                                   | CR |
| Cote D'ivoire                                | CI |
| Croatia                                      | HR |
| Cuba   | CU |
| Cyprus                                       | CY |
| Czech Republic                               | CZ |
| Denmark                                      | DK |
| Djibouti                                     | DJ |
| Dominica                                     | DM |
| Dominican Republic                           | DO |
| East Timor                                   | TP |
| Ecuador                                      | EC |
| Egypt  | EG |
| El Salvador                                  | SV |
| Equatorial Guinea                            | GQ |
| Eritrea                                      | ER |
| Estonia                                      | EE |
| Ethiopia                                     | ET |
| European Union                               | EU |
| Falkland Islands (Malvinas)                  | FK |
| Faroe Islands                                | FO |
| Federated States of Micronesia               | FM |
| Fiji   | FJ |
| Finland                                      | FI |
| France                                       | FR |
| French Guiana                                | GF |
| French Polynesia                             | PF |
| French Southern Territories                  | TF |
| Gabon  | GA |
| Gambia                                       | GM |
| Georgia                                      | GE |
| Germany                                      | DE |

| Ghana                            | GH |
|----------------------------------|----|
| Gibraltar                        | GI |
| Greece                           | GR |
| Greenland                        | GL |
| Grenada                          | GD |
| Guadeloupe                       | GP |
| Guam                             | GU |
| Guatemala                        | GT |
| Guinea                           | GN |
| Guinea-Bissau                    | GW |
| Guyana                           | GY |
| Haiti                            | HT |
| Heard and Mc Donald Islands      | HM |
| Honduras                         | HN |
| Hong Kong                        | HK |
| Hungary                          | HU |
| Iceland                          | IS |
| India                            | IN |
| Indonesia                        | ID |
| Iran (Islamic Republic of)       | IR |
| Iraq                             | IQ |
| Ireland                          | IE |
| Israel                           | IL |
| Italy                            | IT |
| Jamaica                          | JM |
| Japan                            | JP |
| Jordan                           | JO |
| Kazakhstan                       | KZ |
| Kenya                            | KE |
| Kiribati                         | KI |
| Korea (Democratic Republic of)   | KP |
| Korea (Republic of)              | KR |
| Kuwait                           | KW |
| Kyrgyzstan                       | KG |
| Lao People's Democratic Republic | LA |
| Latvia                           | LV |
| Lebanon                          | LB |
| Lesotho                          | LS |
| Liberia                          | LR |
| Libyan Arab Jamahiriya           | LY |
| Liechtenstein                    | LI |

| Lithuania                                      | LT |
|--|----|
| Luxembourg                                     | LU |
| Macau  | МО |
| Macedonia (the Former Yugoslav<br>Republic of) | MK |
| Madagascar                                     | MG |
| Malawi   | MW |
| Malaysia                                       | MY |
| Maldives                                       | MV |
| Mali   | ML |
| Malta  | MT |
| Marshall Islands                               | MH |
| Martinique                                     | MQ |
| Mauritania                                     | MR |
| Mauritius                                      | MU |
| Mayotte  | YT |
| Mexico   | MX |
| Moldova (Republic of)                          | MD |
| Monaco   | MC |
| Mongolia                                       | MN |
| Montserrat                                     | MS |
| Morocco  | MA |
| Mozambique                                     | MZ |
| Myanmar  | MM |
| Namibia  | NA |
| Nauru  | NR |
| Nepal  | NP |
| Netherlands                                    | NL |
| Netherlands Antilles                           | AN |
| New Caledonia                                  | NC |
| New Zealand                                    | NZ |
| Nicaragua                                      | NI |
| Niger  | NE |
| Nigeria  | NG |
| Niue   | NU |
| Norfolk Island                                 | NF |
| Norway   | NO |
| Oman   | OM |
| Pakistan                                       | РК |
| Palau  | PW |
| Panama   | PA |
| Papua New Guinea                               | PG |

| Paraguay                                     | PY |
|--|----|
| Peru   | PE |
| Philippines                                  | PH |
| Pitcairn                                     | PN |
| Poland                                       | PL |
| Portugal                                     | PT |
| Puerto Rico                                  | PR |
| Qatar  | QA |
| Reunion                                      | RE |
| Romania                                      | RO |
| Russian Federation                           | RU |
| Rwanda                                       | RW |
| Saint Kitts And Nevis                        | KN |
| Saint Lucia                                  | LC |
| Saint Vincent and the Grenadines             | VC |
| Samoa  | WS |
| San Marino                                   | SM |
| Sao Tome and Principe                        | ST |
| Saudi Arabia                                 | SA |
| Senegal                                      | SN |
| Serbia                                       | RS |
| Seychelles                                   | SC |
| Sierra Leone                                 | SL |
| Singapore                                    | SG |
| Slovakia (Slovak Republic)                   | SK |
| Slovenia                                     | SI |
| Solomon Islands                              | SB |
| Somalia                                      | SO |
| South Africa                                 | ZA |
| South Georgia and the South Sandwich Islands | GS |
| Spain  | ES |
| Sri Lanka                                    | LK |
| St. Helena                                   | SH |
| St. Pierre and Miquelon                      | PM |
| Sudan  | SD |
| Suriname                                     | SR |
| Svalbard and Jan Mayen Islands               | SJ |
| Swaziland                                    | SZ |
| Sweden                                       | SE |
| Switzerland                                  | СН |
| Syrian Arab Republic                         | SY |

| Tajikistan                           | TJ |
|--------------------------------------|----|
| Tanzania (United Republic of)        | TZ |
| Thailand                             | TH |
| Timor-Leste                          | TL |
| Togo                                 | TG |
| Tokelau                              | ТК |
| Tonga                                | ТО |
| Trinidad and Tobago                  | TT |
| Tunisia                              | TN |
| Turkey                               | TR |
| Turkmenistan                         | TM |
| Turks and Caicos Islands             | TC |
| Tuvalu                               | TV |
| Uganda                               | UG |
| Ukraine                              | UA |
| United Arab Emirates                 | AE |
| United Kingdom                       | GB |
| United States Minor Outlying Islands | UM |
| United States of America             | US |
| Uruguay                              | UY |
| Uzbekistan                           | UZ |
| Vanuatu                              | VU |
| Vatican City State (Holy See)        | VA |
| Venezuela                            | VE |
| Vietnam                              | VN |
| Virgin Islands (British)             | VG |
| Virgin Islands (U.S.)                | VI |
| Wallis and Futuna                    | WF |
| Western Sahara                       | EH |
| Yemen                                | YE |
| Zaire                                | ZR |
| Zambia                               | ZM |
| Zimbabwe                             | ZW |