



## TECHNICAL AND COMPLIANCE COMMITTEE

### Ninth Regular Session

26 September- 1 October 2013

Pohnpei, Federated States of Micronesia

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## ANNUAL REPORT ON WCPFC HIGH SEAS BOARDING AND INSPECTION SCHEME

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WCPFC-TCC9-2013-RP03

9 September 2013

### Paper prepared by the Secretariat

#### Background

1. This paper provides a summary of HSBI activities conducted under CMM 2006-08 for the period of 1 January 2012 – 31 July 2013. In addition, a number of CCM MCS personnel who are directly involved in High Seas Boarding and Inspection activities have indicated to the Secretariat that paragraph 24(a) is problematic.

#### A. Paragraph 24(a)

2. TCC9 Agenda Item 11.5 provides an opportunity to discuss paragraph 24(a) of CMM 2006-08, specifically the requirement that boarding parties present the master with a copy of the relevant CMMs in force. CMM 2006-08 24(a) states:

*“24. In the conduct of a boarding and inspection, the authorized inspectors shall: present their identity card to the master of the vessel and a **copy of the text of the relevant measures in force** pursuant to the Convention in the relevant area of the high seas;”*

3. The background to the inclusion of this agenda item was a query put to the Secretariat by a CCM seeking advice on how other CCMs are implementing paragraph 24(a), noting that new CMMs and revisions of CMMs occur at each annual WCPFC session. In discussing this query with the Legal Advisor, it was suggested that it was a matter which might be appropriate for TCC to discuss and consider.

4. Paragraph 47 states that the Commission shall keep under continuous review the implementation and operation of the procedures. In considering the challenges faced with implementing paragraph 24(a) raised by some CCMs who have undertaken HSBI under this scheme, the options available may include:

- a) revising the HSBI scheme by deleting this requirement
- b) putting the obligation on each flag State to ensure that its flag vessel is aware of the Commission’s CMMs
- c) limiting the CMMs to be provided to a minimum

5. **TCC9 is invited to discuss and provide recommendations and advice to the Commission on this matter.**

## B. HSBI activities for the period 1 January 2012 – 31 July 2013

6. Currently there are 12 members that have notified the Commission, through the Executive Director, of their intention to participate in conducting boarding and inspection activities under the WCPFC HSBI Scheme. Some have also provided notifications in accordance with paragraph 6 of CMM 2006-08, regarding the application of the WCPFC HSBI to Fishing Entities. A list of these Members and the number of vessels included on the Register of Authorised Inspection Vessels (as at 31 July 2013), is shown in Table 1.

**Table 1. Summary of the Members that have notified the Commission of their intention to participate in conducting boarding and inspection activities under the WCPFC HSBI Scheme.**

	<b>WCPFC received notifications in accordance with paragraph 6 of CMM 2006-08?</b>	<b>Number of Vessels on the Register of Authorised Inspection Vessels (as at 31 July 2013)</b>
<b>Australia</b>	YES	11
<b>Canada</b>		5
<b>Cook Islands</b>	YES <sup>1</sup>	1
<b>Federated States of Micronesia</b>		3
<b>France<sup>2</sup></b>	YES	9
<b>Japan</b>	YES	2
<b>Republic of Korea</b>		1
<b>New Zealand</b>	YES	12
<b>Papua New Guinea</b>		4
<b>Chinese Taipei</b>	N/A	11
<b>Tuvalu</b>		1
<b>United States of America</b>	YES	76
		<b>136</b>

7. The maps following Annex 3 summarize the geographical distribution of boarding and inspection activities as contained in Inspection Reports provided, during 1 January 2012 – 31 July 2013, by Members (Cook Islands, France, Japan, Republic of Korea, Chinese Taipei, New Zealand and United States of America) to the WCPFC Secretariat in accordance with paragraphs 30 and 31 of CMM 2006-08. The Republic of Korea, for the first time, conducted HSBI in 2013. Some of the geographic coordinates appear to indicate inspections activities which are not WCPFC high seas areas; and these will need to be clarified with the submitting Member which conducted the inspection activities.

8. In 2012, the Secretariat received 55 reports from 3 Members conducting HSBI. Thirteen (13) vessels were detected to have committed serious violations. The Secretariat has on record, responses for

<sup>1</sup> Cook Islands notification was received on 21/11/2008. The Secretariat does not appear to have received a copy of the notification of acknowledgement from Chinese Taipei.

<sup>2</sup> Separate notifications were provided by France for French Authorities that are based in French Polynesia and New Caledonia

8 of these alleged violations. In 2013, the Secretariat received 54 reports from 7 Members undertaking HSBI. From these reports, 15 vessels were detected to have committed serious violations and the Secretariat has on record, responses to 13 of these.

9. A list of the Inspection Reports received for this reporting period by WCPFC, by submitting Member that relate to boarding and inspections completed under the WCPFC HSBI Scheme is provided as an Annex 1.

10. Further, some CCMs have provided summary of HSBI reporting in their Annual Report Part 2 covering 2012 activities. This is provided in Annex 2.

11. To assist with internal record keeping at the WCPFC Secretariat, copies of notifications that are required in accordance with CMM 2006-08 to be submitted by Members to the Commission, can be sent to the Secretariat to email: [contact.hsbi@wcpfc.int](mailto:contact.hsbi@wcpfc.int)

**ANNEX 1. List of Vessels that have been Boarding and Inspected pursuant to CMM 2006-08  
for the last period 1 January 2012 – 31 August 2013 (## final boarding report does not appear to be  
received)**

<b>date received</b>	<b>CCM submitting</b>	<b>name of vessel to be boarded</b>	<b>call sign</b>	<b>flag of vessel</b>	<b>date of boarding</b>
29-Mar-12	Cook Islands	Hong Yang No.2	BBLY1	China	22-Mar-12
30-Mar-12	Cook Islands	Yu Long 18	BJ4536	Chinese Taipei	22-Mar-12
30-Mar-12	Cook Islands	Yu Long No.12	BJ3721	Chinese Taipei	22-Mar-12
30-Mar-12	Cook Islands	Hong Yang No.9	BBIW9	Chinese	22-Mar-12
30-Mar-12	Cook Islands	Hsin Long No.1	3DWI	Fiji	21-Mar-12
28-May-13	Cook Islands	Dong Yu Ji 23	BKXF	China	##
5-Jul-13	Cook Islands	Ping Tai Rong 9	BZ8VD	China	31-May-13
5-Jul-13	Cook Islands	Chu Huai No.368	BJ4595	Chinese Taipei	29-May-13
5-Jul-13	Cook Islands	Yu Long	BJ4704	Chinese Taipei	30-May-13
20-Mar-12	France (French Polynesia)	HAI XING 716	BZ8VG	China	19-Mar-12
20-Mar-12	France (French Polynesia)	HONG YANG 9	BBIW9	China	19-Mar-12
20-Mar-12	France (French Polynesia)	YING SHUN NO.368	BI2550	Chinese Taipei	20-Mar-12
21-Mar-12	France (French Polynesia)	MING JYH FWU NO.16	BJ4923	Chinese Taipei	20-Mar-12
22-Mar-12	France (French Polynesia)	HONG YANG 8	BBIW8	China	19-Mar-12
22-Mar-12	France (French Polynesia)	SUNSTAR 7	BZTY2	China	19-Mar-12
22-Mar-12	France (French Polynesia)	SUNSTAR 6	BZTH9	China	21-Mar-12
23-Mar-12	France (French Polynesia)	SATSUMA	SV8759	Singapore	22-Mar-12
24-Mar-12	France (French Polynesia)	KAIHO MARU	JESS	Japan	21-Mar-12
13-Jun-12	France (French Polynesia)	RUIFENG NO.3	3DUK	Fiji	12-Jun-12
14-Jun-12	France (French Polynesia)	RONG DA YANG 6	BBLZ4	China	13-Jun-12
15-Jun-12	France (French Polynesia)	LIEN CHI FA NO.24	BJ4877	Chinese Taipei	4-Jun-12
20-Jun-12	France (French Polynesia)	RITUNA 1	3DZF	Fiji	12-Jun-12
28-Aug-12	France (New Caledonia)	HOSHIN MARU NO.58	JHYV	Japan	29-Aug-12
6-Nov-12	France (French Polynesia)	SUN STAR 3	3DOQ	Fiji	1-Nov-12
6-Nov-12	France (French Polynesia)	HONG YANG 88	BBIO8	China	1-Nov-12
6-Nov-12	France (French Polynesia)	RONG DA YANG 9	BBLZ7	China	1-Nov-12
15-Nov-12	France (French Polynesia)	RONG YUAN YU 88	BBIE2	China	12-Nov-12
15-Nov-12	France (French Polynesia)	CHUNG KUO NO.818	YJRY3	Vanuatu	12-Nov-12
15-Nov-12	France (French Polynesia)	RITUNA NO.1	3DZF	Fiji	13-Nov-12
15-Nov-12	France (French Polynesia)	RONG DA YANG NO.6	BBLZ4	China	13-Nov-12
15-Nov-12	France (French Polynesia)	GILONTAS 168	YJQZ5	Vanuatu	13-Nov-12
15-Nov-12	France (French Polynesia)	RONG DA YANG 7	BBLZ5	China	##
15-Nov-12	France (French Polynesia)	CHUNG KUO NO.858	YJRY9	Vanuatu	14-Nov-12
16-Nov-12	France (French Polynesia)	PING TAI RONG 31	BZ7VE		##
16-Nov-12	France (French Polynesia)	CHUNG KUO NO.86	3DOO	Fiji	15-Nov-12
31-Jan-13	France (French Polynesia)	KOEI MARU No.8	7LIA	Japan	30-Jan-12
31-Jan-13	France (French Polynesia)	LIAO JIN LUN 103	BZZB2	China	30-Jan-13

6-Feb-13	France (French Polynesia)	DA YANG NO.17	YJSP2	Vanuatu	5-Feb-13
6-Feb-13	France (French Polynesia)	XIN SHI JI 78	BZVZ8	China	5-Feb-13
7-Feb-13	France (French Polynesia)	NO. 716 ORYONG	DTAC3	Republic of Korea	6-Feb-13
8-Feb-13	France (French Polynesia)	OCEAN HARVEST	YJSZ2	Vanuatu	7-Feb-13
8-Feb-13	France (French Polynesia)	NO.202 DAE HWA	6MSA	Republic of Korea	7-Feb-13
8-Feb-13	France (French Polynesia)	NO. 203 DONG WON	DTDU	Republic of Korea	7-Feb-13
24-Feb-13	France (French Polynesia)	NO. 638 DONG WON	DTBW9	Republic of Korea	23-Feb-13
25-Feb-13	France (French Polynesia)	NO. 303 DAE HWA	6NIK	Republic of Korea	Not indicated
28-May-13	France (French Polynesia)	CHU HUAI NO.826	BJ4895	Chinese Taipei	27-May-13
28-May-13	France (French Polynesia)	CHU HUAI NO.628	BJ4953	Chinese Taipei	27-May-13
29-May-13	France (French Polynesia)	YU LONG FA NO.22	BJ4878	Chinese Taipei	28-May-13
29-May-13	France (French Polynesia)	Lian Ji Fa No.212	BJ4974	Chinese Taipei	28-May-13
29-May-13	France (French Polynesia)	CHUAN HSIN NO.128	BJ4969	Chinese Taipei	28-May-13
30-May-13	France (French Polynesia)	RUIFENG NO.1	3DUF	Fiji	29-May-13
30-May-13	France (French Polynesia)	DONG YU JI 19	BKWR	China	29-May-13
30-May-13	France (French Polynesia)	SHENG I TSAI NO.688	BG3685	Chinese Taipei	29-May-13
31-May-13	France (French Polynesia)	CHU HUAI NO.668	BJ4791	Chinese Taipei	30-May-13
6-Jul-13	Japan	JENN DAH CHYUN	CT3-5430	Chinese Taipei	24-Jun-13
20-Jun-13	Republic of Korea	SEIRYO MARU NO.8	JHBC	Japan	18-Jun-13
21-Jun-13	Republic of Korea	ANGEL I	HOYC	Panama	19-Jun-13
22-Jun-13	Republic of Korea	No. 618 Dong Won	6KWS	Republic of Korea	20-Jun-13
22-Jun-13	Republic of Korea	No.315 Oryong	DTDZ	Republic of Korea	20-Jun-13
23-Jun-13	Republic of Korea	KATOA No.2	3DZS	Fiji	22-Jun-13
24-Jun-13	Republic of Korea	NO.207 DONG WON	HLBR	Republic of Korea	23-Jun-13
24-Jun-13	Republic of Korea	KATOA 1	3DZQ	Fiji	24-Jun-13
27-Jun-13	Republic of Korea	No.36 HANSUNG	HLWD	Republic of Korea	25-Jun-13
27-Jun-13	Republic of Korea	No.38 HANSUNG	HLJB	Republic of Korea	26-Jun-13
27-Jun-13	Republic of Korea	CHUNG KUO No.95	3DOX	Fiji	26-Jun-13
11-Jul-13	Republic of Korea	NO.808 DONGWON	HLWQ	Republic of Korea	9-Jul-13
11-Jul-13	Republic of Korea	NO.803 DONGWON	HLLS	Republic of Korea	9-Jul-13
12-Jul-13	Republic of Korea	NO. 106 OYANG	6LJH	Republic of Korea	11-Jul-13
26-Jul-13	New Zealand	Yong Xing 2	3DYC	Fiji	26-Jul-13
25-Jul-13	New Zealand	Zhong Rong 15	BBFB4	China	25-Jul-13
26-Jul-13	New Zealand	Yong Xing 1	3DXB	Fiji	26-Jul-13
27-Jul-13	New Zealand	Lu Rong Yuan Yu 17	BZSI2	China	27-Jul-13
28-Jul-13	New Zealand	Rong Yuan Yu 88	BBIE2	China	28-Jul-13
29-Jul-13	New Zealand	Xin Ya No.8	BZXH5	China	29-Jul-13
29-Jul-13	New Zealand	BEN 10	3DSS	Fiji	29-Jul-13
31-Jul-13	New Zealand	HU YU 911	BIWA	China	31-Jul-13
31-Jul-13	New Zealand	Xin Ya No.9	BZXH6	China	31-Jul-13
4-Jul-13	Chinese Taipei	FV Mie Maru No.3	ON2-0421	Japan	2-Jul-13

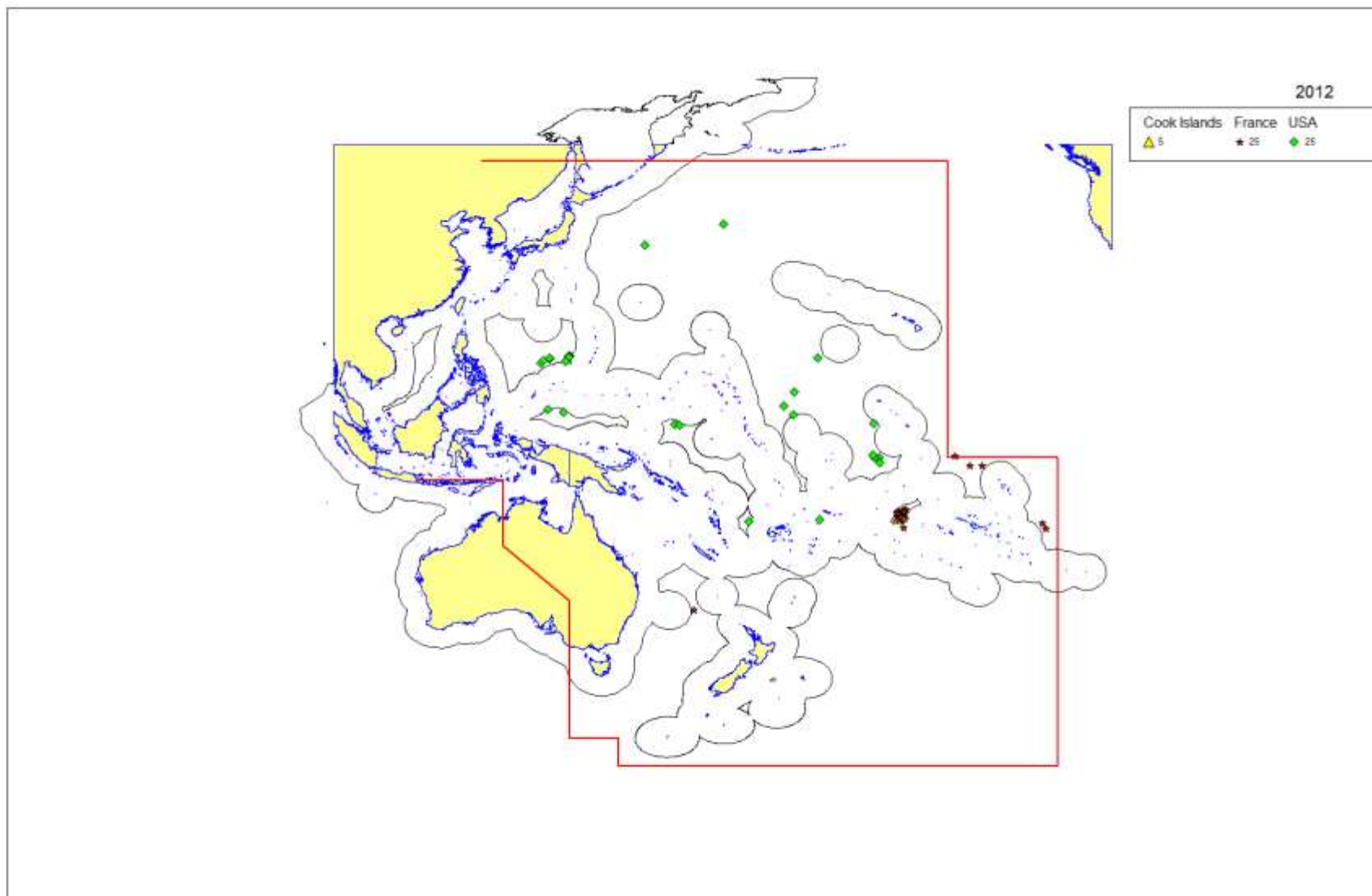
6-May-12	United States of America	ALBATUN TRES	EADN	European Union	6-May-12
19-Mar-12	United States of America	CHIH CHI HSING NO.1	BJ4458	Chinese Taipei	18-Mar-12
28-Jul-12	United States of America	DA CHENG	UNKNOWN	Indonesia	27-Jul-12
24-Apr-12	United States of America	DE HAI NO.6	BJ5059	Chinese Taipei	23-Apr-12
18-Feb-12	United States of America	DONG YU 1509	BZVB2	China	18-Feb-12
22-Mar-12	United States of America	FENG DAR YUH No.168	B-CT4-1899	Chinese Taipei	22-Mar-12
22-Mar-12	United States of America	HORNG SHENG NO.2	BJ3911	Chinese Taipei	21-Mar-12
19-Mar-12	United States of America	JIN JAAN SHYANG NO.2	BK7145	Chinese Taipei	19-Mar-12
19-Mar-12	United States of America	JIN JAAN SHYANG NO.8	BJ4756	Chinese Taipei	19-Mar-12
5-Apr-12	United States of America	ME MARU NO.3	NIL	Japan	5-Apr-12
18-Aug-12	United States of America	MING JYH FWU NO.12	BJ4770	Chinese Taipei	18-Aug-12
20-Mar-12	United States of America	MING MAAN SHYANG NO.36	BJ4986	Chinese Taipei	20-Mar-12
18-Jun-12	United States of America	NO.5 KYUNG YANG	DTBX7	South Korea	17-Jun-12
4-Apr-12	United States of America	NORI MARU	NIL	Japan	3-Apr-12
11-May-12	United States of America	SHIN YU FU NO.26	BK6500	Chinese Taipei	21-Mar-12
21-Mar-12	United States of America	SHIN YU FU NO.26	BK6500	Chinese Taipei	21-Mar-12
21-Feb-12	United States of America	SK 7	DTAM5	Korea	20-Feb-12
20-Feb-12	United States of America	WAKASHIO MARU NO.81	JEDJ	Japan	19-Feb-12
24-Apr-12	United States of America	YI FENG NO.826	BJ4886	Chinese Taipei	24-Apr-12
28-Apr-12	United States of America	ZHONG SHUI 618	BYWB4	China	27-Apr-12
18-Aug-12	United States of America	MING JYH FWU NO. 12	BJ4770	Chinese Taipei	18-Aug-12
23-Oct-12	United States of America	Yu I Hsiang No. 217	BZLH	Chinese Taipei	22-Oct-12
23-Oct-12	United States of America	Shang Shun 168	BI2527	Chinese Taipei	23-Oct-12
24-Oct-12	United States of America	Hai Shang 58	BIWK	China	23-Oct-12
29-Oct-12	United States of America	Hai Shang 18	BIWH	China	28-Oct-12
22-Apr-13	United States of America	KOOS 108	V7EF3	Marshall Island	22-Apr-13
20-Jul-13	United States of America	No.83 AGNES	6LIW	Republic of Korea	20-Jul-13
20-Jul-13	United States of America	NO.217 DONGWON	DTAE3	Republic of Korea	19-Jul-13
21-Jul-13	United States of America	B. SKY	V7UW	Marshall Island	20-Jul-13
24-Jul-13	United States of America	TERAKA NO.28	T3JE	Kiribati	23-Jul-13

**ANNEX 2. Summary of HSBI reporting under Annual Report Part 2 covering 2012 activities**

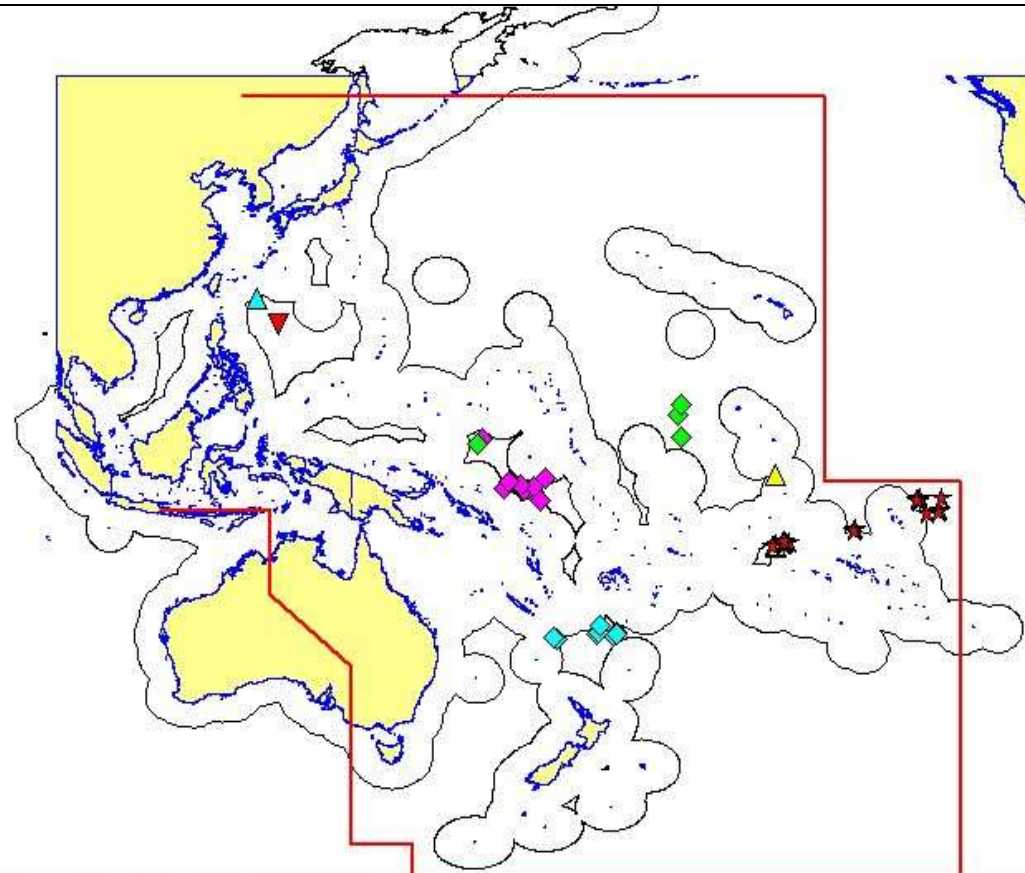
<i>Country Name</i>	<i>Q221: How many HSBI were conducted by Members inspection vessels? [for comparison the number of reports received by WCPFC under paragraph 30]</i>	<i>Q222: Summary of HSBI activities undertaken by authorized inspectors, as well as possible violations observed</i>	<i>Q223: Response to any HSBI of flag vessels conducted by other MEMbers</i>
Chinese Taipei	83 [0]	The main patrol area of our authorized inspection vessels was high seas pockets within the Convention area. Each voyage was near 3 months. We found some fishing vessels did not carry or equip sea turtle or seabird mitigation devices. Some fishing vessels were found not maintaining sufficient records of catch and catch-related data. In addition, few fishing vessels were found that not carrying valid fishing permit on board.	Investigations were conducted after receiving boarding reports. The finding of investigations and the sanctions applied had been submitted, to the Secretariat and the concerned boarding Members.
United States of America	25 [25]	During these boardings, U.S. inspectors noted six potential violations of five CMMs. Noted violations included: CMM 2007-02 - Failure to failure to operate VMS units; CMM 2009-01 - Fishing without a license, permit or authorization issued by the flag Member; CMM 2008-04 – Using prohibited fishing gear; namely, use of large-scale pelagic driftnets; CMM 2006-08 – Failure to maintain sufficient records of catch and catch-related data.; and CMM 2008-03 - Vessel did not have on board a de-hooker to handle and release sea turtles.	N/A
Federated States of Micronesia	1 [0]	There was 1 High Seas Boarding carried out in the High Seas by FSM Maritime Surveillance Patrol boats in 2012	N/A
Cook Islands	4 [5]	All completed HSBI reports submitted to fisheries authorities including WCPFC, the Flag state and the Cook Islands Ministry of Marine Resources. No violations detected for 2012	No violations detected for 2012 for any Cook Islands vessels, therefore no further action taken.

*In brackets in the second column above, is the number of reports received by the Secretariat with regards to this CCMs HSBI activities in 2012 (see Annex 1)*

**ANNEX 3 Maps showing the geographic distribution and number of WCPFC High Seas Boarding and Inspections by year and by Member, based on Full Inspection Reports received by WCPFC Secretariat in accordance with CMM 2006-08 (for 2012 and first 7 months of 2013).**







1 Jan - 31 July 2013

Cook Islands	France	Japan	Republic of Korea	New Zealand	Chinese Taipei	USA
▲ 4	★ 19	▲ 1	◆ 14	◆ 10	▼ 1	◆ 5