



**SCIENTIFIC COMMITTEE  
FIFTH REGULAR SESSION**

**10-21 August 2009  
Port Vila, Vanuatu**

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**West Pacific East Asia Oceanic Fisheries Management Project  
Inception Workshop Report**

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**WCPFC-SC5-2009/GN-IP-11**

**Secretariat**





West Pacific East Asia  
Oceanic Fisheries Management

**PROJECT INCEPTION WORKSHOP**  
3-4 July 2009, Cebu, Philippines



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**INCEPTION REPORT**

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**1. OPENING OF THE MEETING.**

1.1 The Commission Science Manager (SungKwon Soh) formally opened the meeting at 08:30am on 03 July 2009 by welcoming and introducing all participants and continued as chair. The agenda as set out in paper WPEA-2009/IW-01 was adopted and is at **Attachment 1**. The list of the 16 participants is at **Attachment 2**.

**2. INTRODUCTION**

2.1 The Chair briefly introduced the objective of the Inception Workshop, noting that the WPEA OFM Project had been approved and an official start date of July 1<sup>st</sup> had been proposed.

**3. PROJECT RESULTS FRAMEWORK – LOGFRAME**

3.1 The Western and Central Pacific Fisheries Commission's (WCPFC) Oceanic Fisheries Technical and Institutional Specialist (Dr Antony Lewis) introduced the project by outlining its genesis from the ongoing successful Pacific Islands Oceanic Fisheries Management Project (PIOFMP) that had greatly facilitated effective Pacific Island Nations participation on the WCPFC, and the ongoing involvement of Indonesia and Philippines in the Indonesia and Philippines Data Collection Project (IPDCP). He then spoke briefly to the objectives of the West Pacific East Asia Oceanic Fisheries Management Project (WPEA) and the project's importance to the work of the WCPFC. Dr Lewis also introduced an extensive review of the project's logframe.

**4. ANNUAL WORK PLAN AND FIRST ANNUAL WORK PLAN (AWP)**

4.1 The Workshop moved into three sub-groups to develop the detail of each country's Annual Work Plan (AWP), with the results were presented to the Workshop for refinement on the second day. With the early arrival of the Indonesian and Philippines delegations, informal work with the WCPFC staff on the preparation of the AWP's had actually commenced on the previous day, with good progress made. The results of this development work are reflected in the WPEA Annual Work Plans, which are at **Attachments 3-5**. Participants agreed to consider their country Annual Work Plans in the two weeks following the Inception Workshop and to provide any revisions and detailed budgets to the WCPFC Science Manager as soon as practicable, utilizing the new First Year AWP templates provided during the Workshop and the detailed instruction provided on the United Nations Development Programme (UNDP) practices and requirements (provisional AWP's at **Attachments 6-8**).

4.2 The First Year AWP include

- Quarterly time-frames basis detailing the activities and precise and measurable performance indicators in a manner consistent with the expected outcomes for the project, following reviewing the logframe (indicators, means of verification, assumptions) and imparting additional detail as needed
- Detailed project budget,
- Monitoring and evaluation requirements to measure project performance,
- Detailed narrative on the institutional roles, responsibilities, coordinating actions and feedback mechanisms of project related partners,
- Dates of field visit (where applicable) for UNDP Country Officers and/or UNDP-GEF staff, and time-frames for meetings of the Project Steering Committee (PSC), internal inception workshops, training workshops and any other purposes, and
- Support missions from the WCPFC, the UNDP/GEF team, members of the PSC or contracted experts.

## **5. FINANCE AND ADMINISTRATION ISSUES**

5.1 The WCPFC Finance and Administration Officer presented a paper WPEA-2009/IW-08 which was designed to highlight key finance and administration issues and to progress the establishment of funds disbursement and accountability mechanisms. The Workshop identified that action was needed to resolve and finalise the following:

- Reconciliation between the apparent need for quarterly financial and narrative reporting with an apparent need for six monthly funding advances from UNDP (based on the AWP activities). The WCPFC designated project officers will contact UNDP and advise the national contact points of the outcome;
- MOUs between the participating countries and WCPFC need to be drafted and signed to:
  - establish formal arrangements between the parties;
  - set out mutual obligations;
  - detail the secure banking and funds access arrangements, including signatories and if possible the establishment of new dedicated accounts or alternatives already in place;
  - set out internal control and operational protocols that will apply, consistent with individual country's practices, UNDP monitoring and evaluation requirements, and generally accepted international accounting standards.
- Standard reporting templates for financial and narrative reports. The WCPFC designated officers will approach UNDP to suggest formats used by other UN projects be adopted.

5.2 The Workshop also agreed that procurement and recruitment processes already established in countries, including the need to develop terms of reference for contracted staff, which are consistent with the UN principles of value for money and probity could be utilised. It was agreed that the WCPFC designated officers should approach UNDP for the "NEX" procedures and bring these to the attention of countries.

## **6. PROJECT MANAGEMENT – MONITORING & EVALUATION PLAN, AND REPORTING REQUIREMENTS**

6.1 Dr Lewis introduced papers WPEA-2009/IW-09 (Project monitoring and report requirements) and WPEA-2009/IW-11 (Matrix of roles and responsibilities). He provided an overview of the project's matrix of responsibilities emphasizing the need for countries to formally appoint their National Contact Point as soon as possible and stressing the importance of the links between the National Contact Points and the WCPFC designated officers, primarily the Executive Director and Science Manager. The reporting requirements set out in WPEA-2009/IW-11 were highlighted and the need for templates to facilitate user-friendly reporting was noted. The WCPFC designated officers are to coordinate the provision and use of the templates in consultation with UNDP and the National Contact Points. It was recognized that United Nations Office for Project Services (UNOPS) has largely delegated responsibilities for project execution to the WCPFC.

6.2 The schedules for the measurement of impact indicators related to global benefits may be developed toward the end of the first year project period. Though the introduction of UNDP's risk management approach was not available, the IW identified the following draft list of project-related reports that will be requested to individual countries:

- quarterly progress report from each country (within a two week period after the end of each quarter),
- one technical report as requested,
- annual project report from each country (by the end of June each year), and
- any other reports as requested by the WCPFC.

6.3 Project related monitoring and evaluation activities will occur once or twice a year as needed. Executing Agency will visit individual countries and review all matters related to the activities and progress of the project. Detailed schedules will be determined subject to the availability of both sides. The Table 1 in the Project Document will be retained as a default Project Monitoring and Evaluation Plan until it can be reviewed and refined at "transitional PSC" meeting in August 2009.

6.4 An overall project Monitoring and Evaluation Plan including all reporting requirements will be developed once the initial Annual Work Plan and Budget is finalized. As noted, this will be based on the draft plan in the Project Document with additional input from the PSC.

## **7. PROJECT IMPLEMENTATION ARRANGEMENTS**

### **a) Terms of reference for international and local consultants**

7.1 Identifying areas that need international and local consultants for the implementation of first year AWP and preparing terms of reference for such consultants will be the responsibility of each country. However, the countries can consult with Executing Agencies (WCPFC and UNOPS) for selection procedure of the consultants, budget scales and contract agreements.

### **b) Project Steering Committee (PSC)**

7.2 In regards to the establishment of a PSC, it was agreed to have the existing Steering Committee of the IPDCP reconstitute itself as the WPEA Steering Committee but to vary its membership as required to ensure all parties mentioned in the Project CEO Endorsement Document, including co-financing and in-kind contributors are able to participate. It was agreed that the PSC will be open to any observers but will be restricted to those of "project partners" for the participation in the decision-making process.

7.3 For its operation, the PSC will elect a chair with a one-year term. The PSC chair should be familiar with both the IPDCP and the WPEA Project, and be responsible for conducting the role of PSC during the WPEA Project period.

7.4 The Workshop acknowledging that the initial PSC meeting would be in 2010 with a transitional meeting of the IPDCP Steering Committee at the Fifth Meeting of the Science Committee at Vanuatu, 10-21 August 2009, the developed terms of reference and a proposed agenda as set out below:

***Terms of reference***

- Review of annual work plan
- Review of annual project report/project implementation report (consolidated format)
- Communicate with stakeholders on issues related to the project activities
- Provide consultation to project team on issues related to thematic reports and project publications.

***Provisional Agenda for the PSC transitional meeting at SC5***

1. OPENING OF THE MEETING
2. APPOINTMENT OF CHAIRMAN AND RAPPORTEURS
3. ADOPTION OF THE AGENDA
4. TRANSITION OF IPDCP-STEERING COMMITTEE TO WPEA-PSC
  - 4.1 Review of Membership
  - 4.2 Review of the IPDCP activities
5. FINANCE AND ADMINISTRATION
  - 5.1 Financial status of the WPEA
  - 5.2 Financial arrangements
  - 5.3 Clarification of audit requirements
6. REVIEW OF THE INCEPTION REPORT
7. REVIEW OF THE FIRST YEAR WPEA ANNUAL WORK PLAN
  - 7.1 Indonesia
  - 7.2 Philippines
  - 7.3 Vietnam
8. OTHER MATTERS
  - 8.1 Election of PSC chair, vice chair and rapporteur
9. ADOPTION OF REPORT
10. CLOSE OF THE MEETING

7.5 It was agreed that the WCPFC should approach UNDP for approval to submit the Annual Report two months after the end of a project year to enable each Annual Report to be considered by the PSC at the Science Committee Meetings, which are held in August.

**8. ADOPTION OF KEY ELEMENTS FOR THE INCEPTION REPORT**

8.1 Key elements of the Inception Report were adopted by consensus of the participants. Editorial corrections will be applied to the final version.

**9. CLOSE OF MEETING**

9.1 On behalf of the IW, the Chair appreciated the hospitality and assistance of the government of Philippines and the staff from BFAR/NFRDI. The Chair thanked all the

participants for their hard work and reminded them that some important outstanding tasks remained to be completed in the next weeks.



West Pacific East Asia  
Oceanic Fisheries Management

**PROJECT INCEPTION WORKSHOP**  
2-4 July 2009, Cebu, Philippines



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**AGENDA**

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**Attachment 1**

Refreshment breaks: 1030-1100, 1530-1600; Lunch break: 1230-1400)

**1. OPENING OF THE MEETING**

- a) Introduction of participants (roles and responsibilities)
- b) Selection of IW Chair and Rapporteurs
- c) Adoption of agenda and list of meeting documents

**2. INTRODUCTION**

- a) Presentation of the UNDP-GEF goals and procedures
- b) Objectives of the Inception Workshop

**3. PROJECT RESULTS FRAMEWORK – LOGFRAME**

**4. ANNUAL WORK PLAN AWP) AND FIRST AWP**

**5. FINANCE AND ADMINISTRATION ISSUES**

**6. PROJECT MANAGEMENT – MONITORING & EVALUATION PLAN, AND REPORTING REQUIREMENTS**

- a. UNDP's risk management approach .
- b. Reporting procedure and monitoring and evaluation

**7. PROJECT IMPLEMENTATION ARRANGEMENTS (1600-1730)**

- a) Terms of reference for the key experts for the first year
- b) Project Steering Committee (PSC)

**8. ADOPTION OF KEY ELEMENTS FOR THE INCEPTION REPORT**

**9. CLOSE OF MEETING**





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Attachment 2

LIST OF PARTICIPANTS

<b>Indonesia</b> RCCF	<b>Observer</b>
Budi Iskandar Indonesia <a href="mailto:budi_prpt@indo.net.id">budi_prpt@indo.net.id</a>	Ron West ANCORS, University of Wollongong
DGCF	<b>WCPFC Secretariat</b>
Sri Dyah Retnowati RIndonesia <a href="mailto:retnowatii@yahoo.com">retnowatii@yahoo.com</a>	Dr Antony Lewis, Oceanic Fisheries Technical & Institutional Specialist
R.A. Hesti Warih Indonesia	Dr SungKwon Soh, Science Manager
	Dr Ziro Suzuki, Japan Trust Fund Coordinator
	Ken Smithson Finance & Administration Officer
<b>Philippines</b> NFRDI/BFAR	+691 3201992 <a href="mailto:wcpfc@wcpfc.int">wcpfc@wcpfc.int</a>
Noel Barut, Director Francisco SB Torres, Jr. Desiderio Ayanan Valeriano Borja	
<b>Vietnam</b> DECAFIREP	
Pham Trong Yen Deputy Director General Vietnam, +84912252772 <a href="mailto:ptrongyen@yahoo.com">ptrongyen@yahoo.com</a>	
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West Pacific East Asia  
Oceanic Fisheries Management

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ANNUAL WORK PLAN FOR INDONESIA

Attachment 3

Indicators	Project Activities	Year in action <sup>1</sup>			First AWN quarterly time-frames basis detailing the activities and precise and measurable performance indicators				First Year Budget (USD)
		1	2	3	1 <sup>st</sup> Q	2 <sup>nd</sup> Q	3 <sup>rd</sup> Q	4 <sup>th</sup> Q	
<b>I. Monitoring, data enhancement and fishery assessment</b>									
	<b>Outcome 1: Improved knowledge of oceanic fish stocks and related ecosystems</b> <b>Outputs 1.2 Implementation of integrated fishery monitoring programmes for target and non-target species in Indonesia</b>								
<b>1.2.1 Audit of existing pilot port sampling at two sites, in agreed format</b> <b>Specific targets:</b>  <b>Indicators</b> a) Progress and	<ul style="list-style-type: none"> <li>Audit existing pilot port sampling at two sites in agreed format, and develop a plan for extended coverage of port sampling.</li> <li>Verification: i) review of pilot port sampling, ii) move to substantive port sampling scheme if successful</li> </ul>				<u>Activities:</u> Audit port sampling in Kendari.  <u>verification:</u> Review report produced			<u>Activities:</u> i) annual regular audit of port sampling and database, ii) review of data summary  <u>verification:</u> i)	<ul style="list-style-type: none"> <li>Travel cost (international consultant): US\$ 4,000</li> <li>Travel cost (local consultant): US\$ 2,000</li> </ul>

<sup>1</sup> Year in action of the project activities will be highlighted as illustrated for indicator 1.2.1 and 1.2.2.

<p>performance indicators: b) Impact indicators:</p> <p><b>Risk management</b> a) identification: database manager not able to audit and not able to set up a query system. b) classification: c) rating: d) reporting:</p>	<p>Remarks:</p>							<p>production of audit report, review of data summary report</p>	<p>Sub-total: US\$ 6,000</p>
<p><b>1.2.2 Expanded port sampling coverage of tunas and associated species</b> <b>Specific targets:</b></p> <p><b>Indicators</b> a) Progress and performance indicators: b) Impact indicators:</p> <p><b>Risk management</b> a) identification: no permission from companies and new port can not be set up. b) classification: c) rating:</p>	<ul style="list-style-type: none"> <li>Identify issues and select high priorities arising from the EITFDC-3 workshop report to be addressed</li> <li>Develop a detailed work plan of action on the outcome of the EITFDC-3 workshop.</li> <li>Establish effective port sampling programmes at three additionally selected landing points.</li> <li>Verification: i) database acquisitions, ii) quarterly data summaries, iii) annual national reports, iv) regular annual audit</li> </ul> <p><u>Remarks:</u></p> <ul style="list-style-type: none"> <li>First year activity for the selection of new port sampling sites and then project continues data collection afterwards.</li> </ul>				<p><u>Activities:</u> i) select priority activities from EITFDC-3 review report, ii) select three additional landing points for port sampling, iii) hire and train enumerators,</p> <p><u>verification:</u> i) landing points and enumerators selected</p>	<p><u>Activities:</u> i) secure and continue cooperation from industry (Bitung and Kendari), ii) pilot port sampling at new landing points e.g. Sorong, Database acquisition</p> <p><u>verification:</u> i) permission from industries for port sampling, ii) port sampling initiated</p>	<p><u>Activities:</u> i) continue port sampling at three main landing points, ii) database acquisition</p> <p><u>verification:</u> preliminary data collection</p>	<p><u>Activities:</u> i) Continue port sampling at three main landing points, ii) database acquisition</p> <p>Annual regular audit port sampling and database, audit pilot port sampling at Sorong ii) data summary</p> <p><u>verification:</u> i) production of audit report, data summary report</p>	<ul style="list-style-type: none"> <li>Sampling equipment US\$ 10,000</li> <li>Training enumerators: US\$ 5,000</li> <li>Contractual Services: US\$ 40,000</li> <li>Travel local supervision: US\$ 5,000</li> </ul> <p><b>Sub-total: US\$ 60,000</b></p> <p>All activities will be under supervision of SPC and WCPFC.</p>

d) reporting:								
<p><b>1.2.3 Capacity building in responsible agencies for processing and assimilation of catch data for tuna and assoc. species</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators:</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification: Database management can</p>	<ul style="list-style-type: none"> <li>• Convene training workshops for i) capacity building in responsible agencies for processing and assimilation of catch data for tuna and assoc. species, ii) database development and data analysis.</li> <li>• Verification: i) Training workshops held; ii) data summaries developed; iii) improved data inputs to regional stock assessments; iv) annual reports prepared</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• Centralization and integration of tuna monitoring database at RCCF. (Need infrastructures e.g. server, software, etc)</li> <li>• Under supervision of and training at WCPFC and SPC: <ul style="list-style-type: none"> <li>a. Develop sampling program and create sampling procedure manual</li> <li>b. Develop query system to extract database in order to be ready for</li> </ul> </li> </ul>			<p><u>Activities: ii)</u> Provide server at RCCF, workstations including software for all sites Training for data input person at sampling site.</p> <p><u>Verification:</u> All equipments provided and data input person appointed.</p>	<p><u>Activities: i)</u> Database master creation Special reviews of Kendari sampling program with emphasis on increasing coverage and sampling size. Consolidation, centralization and integration of tuna monitoring data from all sampling sites.</p> <p><u>Verification:</u> Other landing site selected in Kendari and master database</p>	<p><u>Activities: ii)</u> Integration and centralization of database at RCCF. Conduct data validation.</p> <p><u>Verification:</u> Database is successfully centralized and</p>	<p><u>Activities: ii)</u> Development and analyze data that has been collected. Training database management, data acquisition, data analysis, data summarizing and data reporting at SPC. Establishment of database management protocol and procedure.</p> <p><u>Verification:</u> Database protocol and standard procedures</p>	<p>Office Equipment: Computers, Server, Printers and Software US\$ 15,000</p> <p>Local consultation US\$ 6,000</p> <p>Training of data management and development staff US\$ 5,000</p> <p><b>Sub total: US\$ 26,000</b></p>

not create protocol and procedure. b) classification: c) rating: d) reporting:	analysis. c. Training for database management, data acquisition, data analysis, data summarizing and data reporting at SPC.				created.	fully operational	established.	
<b>1.2.4 Pilot operational-level data collection, with progression to expanded data collection</b> <b>Specific targets:</b>  <b>Indicators</b> a) Progress and performance indicators: b) Impact indicators:  <b>Risk management</b> a) identification: Logbook forms not distributed b) classification: c) rating: d) reporting:	<ul style="list-style-type: none"> <li>Develop and distribute logsheets to selected vessels/gear, and promote cooperation from industry</li> <li>Verification: i) production of regular data summaries, coverage estimates and quality checks</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>RCCF conduct annual consultation workshop involving all stakeholders in the sampling site region.</li> <li>Conduct a workshop on logbook system for LL, HL, PL and PS. The logbook form should be able to use for 3 RFMOs i.e. WCPFC, IOTC and CCSBT.</li> <li>Socialization of new logbook form to industry and fishermen in order to promote cooperation from industry.</li> <li>DGCF and RCCF conduct verification of logbook data under supervision of WCPFC, SPC and NRIFSF. The verification consists of evaluation of data quality and coverage.</li> </ul>			<u>Activities: i)</u> DGCF under OFCF-IOTC project have conducted logbook workshop involving SPC, WCPFC and CSIRO.  <u>Verification:</u> Workshop conducted; reported.	<u>Activities: ii)</u> Monitor and collect logbook data and separate by gear and fishing ground. This will be in collaboration with DGCF.  <u>Verification:</u> Logbooks developed and logsheets collected with increasing coverage	<u>Activities: ii)</u> Monitor and collect logbook data and separate by gear and fishing ground. Conduct <b>workshop</b> on verification and validation of logbook data.  <u>Verification:</u> Workshop is conducted	<u>Activities: ii)</u> Monitor and collect logbook data and separate by gear and fishing ground. Increase the coverage of logbook implementation. Continue validation of logbook data.  <u>Verification:</u> Increase number of logbook validated.	Planning workshop: US\$ 5,000 Stationery and distribution cost: US\$ 2,000. Publication cost: US\$ 2,500  <b>Sub total: US\$ 9,500</b>
<b>1.2.5 Strategic plan for observer programme developed and implemented</b>	<ul style="list-style-type: none"> <li>Develop a strategic plan (in 2010) for an observer programme [on selected fleets] planned for implementation in 2011. Promote cooperation from industries.</li> </ul>			(second year)				

<p><b>Specific targets:</b></p> <p><b>Indicators</b> a) Progress and performance indicators: b) Impact indicators:</p> <p><b>Risk management</b> a) identification: b) classification: c) rating: d) reporting:</p>	<ul style="list-style-type: none"> <li>• Verification: i) observer reports incorporated in observer database, ii) production of annual summary observer reports.</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• Adopt the Benoa observer program to implement in Eastern Indonesia especially at selected port sampling site.</li> <li>• The promotion of cooperation from Industry to implement an observer program will be one of main agenda in the annual consultation workshop with all stakeholders.</li> <li>• Implementation of observer program will be in the 1<sup>st</sup> Quarter of 2011.</li> <li>• Verification of observer data and reports incorporated in tuna database will be conducted by RCCF under supervision of SPC and NRIFSF.</li> </ul>								
	<p><b>Outcome 2: Reduced uncertainty in stock assessments</b></p> <p><b>Outputs 2.1: Improved data for stock assessment</b></p>								
<p><b>2.1.1 Data quality control training</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b> a) Progress and performance indicators: b) Impact indicators:</p> <p><b>Risk management</b> a) identification:</p>	<ul style="list-style-type: none"> <li>• Convene a data quality control training workshop.</li> <li>• Verification: i) regular preparation of data summaries, ii) well maintenance of database</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• Data from the integrated national monitoring programmes will gradually be incorporated into the regional stock assessments by SPC.</li> <li>• Workshops run by appropriate regional consultants, provide training on data collection, database development and maintenance, data</li> </ul>				<p><u>Activities:</u> Data maintenance as daily task of database management.</p>	<p><u>Activities:</u> Refers to 1.2.3. activities, conduct <b>workshop</b> and hands-on training on data validation with SPC supervision</p> <p><u>Verification:</u> Workshop is conducted and reported.</p>	<p><u>Activities:</u> Self data validation and report to WCPFC and SPC. Expert from SPC evaluate the data submitted by RCCF.</p> <p><u>Verification:</u> Database report available.</p>	<p><u>Activities:</u> Trial data input for stock assessment purposes under supervision of SPC experts.</p> <p><u>Verification:</u> Summary of data input and its journal available.</p>	<p>International consultant: US\$ 5,000 Workshop cost: US\$ 5,000</p> <p><b>Sub total: US\$ 10,000</b></p>

<p>International consultant not available.</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<p>quality control, basic data analysis and dissemination. That is, national capacity to implement, coordinate and maintain monitoring programmes will be provided through workshops and hands-on training.</p>								
<p><b>2.1.2 Collaborative tuna tagging activity in-country (Philippines, Indonesia)</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators:</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification:</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<ul style="list-style-type: none"> <li>National counterparts on tagging vessels and effective coordination of publicity and tag recovery.</li> <li>Verification: Involvement in, and delivery of, tag-based national tuna fishery assessment and tuna management plan.</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>Training for tagging data analysis for RCCF staff at SPC.</li> <li>Analysis of the tagging data at national level, which will involve national scientists, will contribute to the development of national tuna management plans, whereas the wider regional data will provide critical new input to regional assessments. (2011)</li> </ul>				<p><u>Activities:</u></p> <p>Two RCCF scientists participate in the tagging program.</p> <p>Coordination with companies and fishing vessels on publicity and tag recovery.</p>	<p><u>Activities:</u></p> <p>Coordination with companies and fishing vessels on publicity and tag recovery.</p>	<p><u>Activities:</u></p> <p>Coordination with companies and fishing vessels on publicity and tag recovery.</p>	<p><u>Activities:</u></p> <p>Coordination with companies and fishing vessels on publicity and tag recovery.</p>	
<p><b>2.1.3 National data coordination and research</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance</p>	<ul style="list-style-type: none"> <li>Coordination of national tuna data and research by National Tuna Coordinator (funding posts for Indonesia and Vietnam)</li> <li>Verification: production of Annual Report for WCPFC</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>Coordination at national level, for</li> </ul>				<p><u>Activities:</u></p> <p>Create National Tuna Coordinator (see earlier)</p> <p>Create job description and ToR for NTC; recruit and appoint a person as National Tuna</p>	<p><u>Activities:</u></p> <p>Regular activity of National Tuna Coordinator.</p>	<p><u>Activities:</u></p> <p>Regular activity of National Tuna Coordinator.</p>	<p><u>Activities:</u></p> <p>Regular activity of National Tuna Coordinator.</p>	<p>Contractual services: US\$ 10,000</p> <p>Office equipments US\$ 2,500</p> <p>Sub-total US\$ 12,500</p>

<p>indicators: b) Impact indicators:</p> <p><b>Risk management</b> a) identification: NTC not appointed b) classification: c) rating: d) reporting:</p>	<p>tagging project support, data submission and other information which will feed into the stock assessment process, will be provided by National Tuna Coordinators in Indonesia and Vietnam who will also oversee the production of annual reports to the Commission and fishery status reports.</p>			<p>Coordinator. .</p> <p><u>Verification:</u> NTC appointed Job description established</p>	<p><u>Verification:</u> Preparation of reports for WCPFC by NTC</p>			
	<p><b>Outcome 3: National capacities in oceanic fishery monitoring and assessment strengthened</b></p> <p><b>Outputs 3.1: Training of national fishery monitoring and stock assessment staff</b></p>							
<p><b>3.1.1 Data analysis and stock assessment training</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b> a) Progress and performance indicators: b) Impact indicators:</p> <p><b>Risk management</b> a) identification: b) classification: c) rating: d) reporting:</p>	<ul style="list-style-type: none"> <li>• Convene (or participate in) stock assessment workshops; coordinate studentships for post-graduate study</li> <li>• Verification: i) Uptake of capacity reflected in national representation in WCPFC Scientific Committee; ii) production of annual fishery status report</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• Stock assessment workshops will be conducted to build capacity to both undertake basic assessments at national level and importantly, interpret regional assessments for national awareness and necessary action. (2010/2011)</li> </ul>							



<p><b>3.1.2 Database and analytical training</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b>  a) Progress and performance indicators:  b) Impact indicators:</p> <p><b>Risk management</b>  a) identification:  b) classification:  c) rating:  d) reporting:</p>	<ul style="list-style-type: none"> <li>• Convene training workshops for i) database acquisitions used in stock assessments, ii) quarterly data summaries</li> <li>• Audit of inputs to national database</li> <li>• Verification: Database acquisitions used in stock assessments; quarterly data summaries; [audit of inputs to regional databases]</li> </ul> <p>Remarks:  In line with 1.2.3. Training for database management, data acquisition, data analysis, data summarizing and data reporting at NRIFSF and SPC is necessary.</p>			<p><u>Activities:</u>  Refers to indicators 1.2.3. the activities are increasing capacity of database management and port sampling.</p>				
<p><b>3.1.3 Preparation of [WCPFC Annual Reports]</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b>  a) Progress and performance indicators:  b) Impact indicators:</p> <p><b>Risk management</b>  a) identification:  No report available  b) classification:  c) rating:</p>	<ul style="list-style-type: none"> <li>• Using WCPFC Annual Report – Part 1, submit Annual Report – Part 1 to WCPFC and national stakeholders</li> <li>• Verification: Regular submission of status reports to WCPFC and national stakeholders</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• The template of national fishery status report will be WCPFC Annual Report – Part 1.</li> <li>• National fishery status reports, which would include analyses of available monitoring data, fishery catches and trends, stock status, as far as it is known, catch disposal, processing and export figures, and ecosystem issues, would be regularly prepared, initially with the</li> </ul>				<p><u>Activities:</u>  Refers to 2.1.3. NTC start to prepare the Indonesia’s Annual Report to WCPFC.</p> <p><u>Verification:</u>  Draft of Final Report available</p>			

d) reporting:	assistance of a regional consultant, but eventually in-house as capacity develops.							
<b>II. Policy, institutional strengthening and fishery management</b> <b>Specific targets:</b>  <b>Indicators</b> a) Progress and performance indicators: b) Impact indicators:  <b>Risk management</b> a) identification: b) classification: c) rating: d) reporting:								
	<b>Outcome 4: Participant countries contributing to management of shared migratory stocks</b> Remarks: relevant instruments include UNCLOS, UNFSA, WCPF Convention, FAO Code of Conduct, FAO IPOAs, FAO Compliance Agreement, CCSBT Convention, and IOTC Convention  <b>Outputs 4.1 Review of policy and institutional arrangements for oceanic fisheries management</b>							
<b>4.1.1 Review of policy and legal arrangements for WCPFC-related</b>	<ul style="list-style-type: none"> <li>Convene legal and policy training workshops (Indonesia and Vietnam not well prepared; Philippines still</li> </ul>			Preparation of reveiws and seminars for the project		Hold seminar on status of Indonesian Tuna Fisheries in		International consultants: US\$ 20,000

<p><b>matters</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators:</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification Full stakeholder participation and compliance:</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<p>needs some revision)</p> <ul style="list-style-type: none"> <li>• Verification: i) production of workshop proceedings, ii) review of current arrangements tabled</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• Comprehensive reviews of existing legal issues and national legal structures would be undertaken, via the medium of national or tri-nation workshops, then any necessary changes in laws, regulations, and agreements identified, to bring legal structures in line with the requirements of the Convention. Training of policy makers and legal personnel may also need to be provided.</li> <li>• National policy with respect to oceanic fisheries management would be reviewed and training/awareness-raising for policy makers, fisheries technical personnel and stakeholders provided as necessary. Preparation of a national tuna management plan involving all stakeholders in extensive consultation would be the end product of this process.</li> </ul>						<p>WCPFC Convention area</p> <p><u>Verification</u> Report on the current status of Indonesian Tuna Fisheries in WCPFC Convention area</p> <p>Workshop on the existing legislation relative to Tuna Fisheries Management and the WFPC Convention</p> <p><u>Verification</u> Workshop report and recommendations for legal reform (Two workshops could be back to back)</p>		<p>Workshop costs US\$ 30,000</p> <p>Contractual services (workshop organizer): US\$ 10,000</p> <p>Total budget 1st Year = US\$ 60,000</p> <p>(Costs combined for 4.1.1.and 4.1.2)</p>
<p><b>4.1.2 Review of institutional arrangements</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators:</p> <p>b) Impact indicators:</p>	<ul style="list-style-type: none"> <li>• Undertake institutional arrangements, if national arrangements are not well placed to be fully effective in WCPFC (Indonesia and Vietnam not well prepared)</li> <li>• Verification: i) Review outcomes of the review, ii) production of implementation plan for institutional strengthening</li> </ul> <p>Remarks:</p>					<p>Conduct review of existing National Tuna Fisheries management and institutional arrangements</p> <p><u>Verification</u> The status of tuna fisheries management clarified and</p>			

<p><b>Risk management</b> a) identification: b) classification: c) rating: d) reporting:</p>	<ul style="list-style-type: none"> <li>Review existing national oceanic fisheries management structures, and institute reforms necessary to enable full and effective participation of the relevant institutions in the work of the Commission.</li> </ul>					institutional arrangements documented			
	<p><b>Outputs 4.2 Strategy to support national reform</b></p>								
<p><b>4.2.1 Identify reform necessary to existing arrangements</b> <b>Specific targets:</b></p> <p><b>Indicators</b> a) Progress and performance indicators: b) Impact indicators:</p> <p><b>Risk management</b> a) identification: b) classification: c) rating: d) reporting:</p>	<ul style="list-style-type: none"> <li>Implement the proposed initiatives (implementation plan) from 4.1.2 (2010 and 2011)</li> <li>Verification: Remarks:</li> </ul>								
	<p><b>Outcome 5: National laws, policies and institutions strengthened. To implement applicable global and regional instruments</b> <b>Outputs 5.1 Implementation of the WCPF Convention and related instruments</b></p>								

<p><b>5.1.1 Prepare checklist of compliance shortfalls</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b>  a) Progress and performance indicators:  b) Impact indicators:</p> <p><b>Risk management</b>  a) identification:  b) classification:  c) rating:  d) reporting:</p>	<ul style="list-style-type: none"> <li>• Prepare checklist of compliance shortfalls on the WCPF Convention requirements not fully adopted by Indonesia</li> <li>• Verification: Implementation of necessary actions; more effective participation in WCPFC</li> </ul> <p>Remarks</p>								
	<p><b>Outcome 6: Key stakeholders participating in the project</b></p> <p><b>Outputs 6.1 Knowledge management system for dissemination of Project-related information, lessons and best practice</b></p>								
<p><b>6.1.1 Establish appropriate KLM in all countries</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b>  a) Progress and performance indicators:  b) Impact indicators:</p>	<ul style="list-style-type: none"> <li>• Develop and establish appropriate KLM systems services (knowledge management system)</li> <li>• Verification: Regular and systematic dissemination of Project-related information, lessons and best practice to stakeholders</li> </ul> <p>Remarks:</p>								

<p><b>Risk management</b></p> <p>a) identification:</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>									
	<p><b>Outputs 6.2 Establish Tuna Associations (Vietnam, Indonesia) to fully involve industry</b></p>								
<p><b>6.2.1 National body coordinating provincial and national work</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators:</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification:</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<ul style="list-style-type: none"> <li>• Support the development and establishment of tuna associations to fully involve industries</li> <li>• Support the development and establishment of national body coordinating provincial and national work to promote effective national initiatives and reporting procedures</li> <li>• Verification: i) dissemination of association articles, ii) annual meeting and activities reports</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• Support to establish and incorporate these associations would initially be provided by the project, but the associations would eventually become self-sustaining.</li> </ul>							<p>Hold stakeholder consultation and develop ToRs for northern tuna association</p>	<p>Stakeholder consultation costs (Bitung)</p> <p>US\$ 15,000</p>
	<p><b>Outcome 7: National capacities in oceanic fisheries management strengthened</b></p> <p><b>Outputs 7.1 Development of National Tuna Management Plans (Indonesia, Vietnam) or revision of existing plans (Philippines)</b></p>								

<p><b>7.1.1 Assistance provided to develop NTMPs in two countries and revise in the third</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators:</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification:</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<ul style="list-style-type: none"> <li>• Develop a template for NTMP</li> <li>• Convene a workshop to develop NTMP compatible with WCPFC CMMs, to be launched and integrated in national policy</li> <li>• Verification: NTMP prepared, launched and integrated in national policy</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• The culmination of many of the activities proposed under the project would be the development of national tuna management plans in two countries (Indonesia, Vietnam) and the regular revision of an existing plan in another (Philippines). These plans, developed with external assistance as necessary, would need to be compatible with existing WCPFC conservation and management measures, yet reflect national development aspirations and sovereignty, and draw on experience gained during the project.</li> </ul>								
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West Pacific East Asia  
Oceanic Fisheries Management

PROJECT INCEPTION WORKSHOP  
3-4 July 2009, Cebu, Philippines



ANNUAL WORK PLAN FOR PHILIPPINES

Attachment 4

Indicators	Project Activities	Year in action <sup>1</sup>			First AWP quarterly time-frames basis detailing the activities and precise and measurable performance indicators				Total Budget (USD)
		1	2	3	1 <sup>st</sup> Q	2 <sup>nd</sup> Q	3 <sup>rd</sup> Q	4 <sup>th</sup> Q	
<b>I. Monitoring, data enhancement and fishery assessment</b>									
Local consultants hired and local technical staff contracted to supplement in-country personnel for the fishery monitoring activity - \$352,000 in total	<p><b>Outcome 1: Improved knowledge of oceanic fish stocks and related ecosystems</b></p> <p><u>Remarks:</u> These programmes will be gradually implemented or refined in each country, according to the level of development and trained manpower available.</p> <p><b>Outputs 1.1: Implementation of integrated fishery monitoring programmes for target and non-target species</b></p>								
<b>1.1.1 Extended port sampling coverage of tunas and associated</b>	<ul style="list-style-type: none"> <li>Establish effective port sampling programmes at (three) new landing points.</li> <li>Verification: i) database</li> </ul>				<b>Target:</b> Effective port sampling programmes at established and 4 new landing	<b>Target:</b> Effective port sampling programmes at established and 4 new landing	<b>Target:</b> Effective port sampling programmes at established and 4 new landing	<b>Target:</b> Effective port sampling programmes at established and 4 new landing	<ul style="list-style-type: none"> <li>Office rent: country in-kind</li> <li>Equipment: 13,000</li> <li>Training</li> </ul>

<sup>1</sup> Year in action of the project activities will be highlighted as illustrated for indicator 1.1.1.



<p><b>species</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators:</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification:</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<p>acquisitions, ii) quarterly data summaries, iii) annual national reports.</p> <p>Remarks: less (not non) support to technical assistance</p>		<p>points in Philippines</p> <p><b>Activity i)</b> Selection of 4 landing points,</p> <p><b>Activity ii)</b> Check-up facilities,</p> <p><b>Activity iii)</b> Hire and train enumerators</p> <p><b>Activity iv)</b> Secure cooperation from industry through formal communication</p> <p><b>Verification: i)</b> Number of landing points</p> <p><b>Verification ii)</b> Number of sampling activities</p> <p><b>Verification iii)</b> Permission from industries for port sampling,</p> <p><b>Verification iv)</b> Ready to initiate port sampling</p>	<p>points in Philippines</p> <p><b>Activity i)</b> Preliminary data collection</p> <p><b>Verification: i)</b> Data summary report</p>	<p>points in Philippines</p> <p><b>Activity i)</b> Continue data collection</p> <p><b>Verification: i)</b> Data summary report</p>	<p>points in Philippines</p> <p><b>Activity i)</b> Continue data collection</p> <p><b>Activity ii)</b> Audit port sampling and database, iii) data summary</p> <p><b>Verification: i)</b> Data summary report.</p> <p><b>Verification ii)</b> production of audit report, data summary report</p>	<p>enumerators: 12,500</p> <ul style="list-style-type: none"> <li>• Salary: 44,603</li> <li>• Etc. I.T: 5,400</li> </ul> <p>Sub-total:</p>
<p><b>1.1.2 Procedures for the processing of catch data and raising procedure in place</b></p> <p><b>Specific targets:</b></p>	<ul style="list-style-type: none"> <li>• Establish guidelines for revised procedures for the processing of catch data and raising procedures.</li> <li>• BAS – estimated total catch</li> <li>• BFAR – scientific data for fisheries</li> </ul>		<p><b>Target:</b> Guidelines for revised procedures and their adoption</p>	<p><b>Target:</b> Guidelines for revised procedures and their adoption</p>	<p><b>Target:</b> Guidelines for revised procedures and their adoption</p>	<p><b>Target:</b> Guidelines for revised procedures and their adoption</p>	

<p><b>Indicators</b> a) Progress and performance indicators: b) Impact indicators:</p> <p><b>Risk management</b> a) identification: b) classification: c) rating: d) reporting:</p>	<p>management</p> <ul style="list-style-type: none"> <li>• Verification: i) review of outcome of revised procedures, ii) audit of inputs to regional/national database (port sampling data audits)</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• Include rescue of historical data</li> <li>• Tony/Peter will audit database – travel fee</li> <li>• Funding NMFS budget here - \$50K</li> <li>• funding support for the Improvement of BAS data</li> </ul>		<p><b>Activity i)</b> Review of current guidelines for the processing of catch data and raising procedures,</p> <p><b>Activity ii)</b> Coordination with BAS and BFAR</p> <p>Two Indicators: Progress indi.: Impact ind. Verification: Comparison of procedures by BAS and BFAR</p>	<p><b>Activity i)</b> Revised guidelines finalized and tested</p> <p><b>Verification i)</b> Summary reports of raised catch data</p>	<p><b>Activity i)</b> Implementation of new revised guidelines</p> <p><b>Verification: i)</b> Summary reports of raised catch data</p>	<p><b>Activity i)</b> Implementation of new revised guidelines</p> <p><b>Activities: ii)</b> Workshop on assessment of effectivity of revised guidelines</p> <p><b>Verification i)</b> Summary reports of raised catch data</p> <p><b>Verification ii)</b> Workshop report and recommendation</p>	
<p><b>1.1.3 Enhanced operational level data collection (logsheets)</b> <b>Specific targets:</b></p> <p><b>Indicators</b> a) Progress and performance indicators: b) Impact indicators:</p> <p><b>Risk management</b> a) identification: b) classification: c) rating: d) reporting:</p>	<ul style="list-style-type: none"> <li>• Incremental implementation: High level of logsheet coverage (60%) of large purse seine vessels initially, then smaller purse seine and ring net vessels.</li> <li>• Verification: producing regular data summaries from logsheets</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• Currently applied to Large-scale PS vessels and LL, no application to small PS , HL, ringnet</li> <li>• Operational costs for the implementation of Logbook programme to small PS , HL, ringnet</li> <li>•</li> </ul>		<p><b>Target:</b> High level of logsheet coverage (60%) of large purse seine vessels initially, then smaller purse seine and ring net vessels</p> <p><b>Activity i)</b> increase level of coordination with the Industry</p> <p>Verification i) Summary of operational data collected ii) Regular data</p>	<p><b>Target:</b> High level of logsheet coverage (60%) of large purse seine vessels initially, then smaller purse seine and ring net vessels</p> <p><b>Activity i)</b> Regular data reporting/ collection</p> <p><b>Activity ii)</b> Secure cooperation of Industry for smaller purse seine and ring net</p>	<p><b>Target:</b> High level of logsheet coverage (60%) of large purse seine vessels initially, then smaller purse seine and ring net vessels</p> <p><b>Activity i)</b> Regular data reporting/ collection</p> <p><b>Verification i)</b> Regular data summaries from logsheets</p>	<p><b>Target:</b> High level of logsheet coverage (60%) of large purse seine vessels initially, then smaller purse seine and ring net vessels</p> <p><b>Activity i)</b> Regular data reporting/ collection</p> <p><b>Verification i)</b> Regular data summaries from logsheets</p>	

			summaries from logsheets	vessel.			
				<b>Verification i)</b> Regular data summaries from logsheets			
<p><b>1.1.4 Development of strategic plan for observer programme</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b> a) Progress and performance indicators: b) Impact indicators:</p> <p><b>Risk management</b> a) identification: b) classification: c) rating: d) reporting:</p>	<ul style="list-style-type: none"> <li>Develop and implement a strategic plan for an observer programme [on selected fleets] in 2010.</li> <li>Verification: i) observer reports incorporated in observer database, ii) annual reports, iii) data summaries including non-target species for ecosystem-based fisheries management (EBFM)</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>Need technical assistance for developing strategic plan and establishing observer programme database – Philippines will complete this item</li> <li>SPC’s hands-on training on database</li> <li></li> </ul>		<p><b>Target:</b> Observer programme established on selected fleets</p> <p><b>Activity i)</b> Training of Observers, <b>Activity ii)</b> Training of technical staff for database by SPC</p> <p><b>Verification i)</b> Observer reports incorporated in observer database, annual reports, data summaries and EBFM <b>Verification ii)</b> Technical staff trained</p>	<p><b>Target:</b> Observer programme established on selected fleets</p> <p><b>Activity i)</b> Training of Observers,</p> <p><b>Verification: i)</b> Observer reports incorporated in observer database, annual reports, data summaries and EBFM, ii)</p>	<p><b>Target:</b> Observer programme established on selected fleets in 2010</p> <p><b>Activity i)</b> Training of Observers,</p> <p><b>Verification i)</b> Observer reports incorporated in observer database, annual reports, data summaries and EBFM,</p>	<p><b>Target:</b> Observer programme established on selected fleets in 2010</p> <p><b>Activity i)</b> Training of Observers,</p> <p><b>Verification i)</b> Observer reports incorporated in observer database, annual reports, data summaries and EBFM,</p>	
\$50K from NMFS will be applied to deploy technical assistance to improve data collection methods	<p><b>Outcome 2: Reduced uncertainty in stock assessments</b></p> <p><b>Outputs 2.1: Improved data for stock assessment</b></p>						
<b>2.1.1 Data quality control training</b>	<ul style="list-style-type: none"> <li>Convene a data quality control training workshop.</li> </ul>		<p><b>Target:</b> Improve quality of data collected</p>			<p><b>Target:</b> Improve quality of data collected</p>	

<p><b>Specific targets:</b></p> <p><b>Indicators</b> a) Progress and performance indicators: b) Impact indicators:</p> <p><b>Risk management</b> a) identification: b) classification: c) rating: d) reporting:</p>	<ul style="list-style-type: none"> <li>• Verification: i) regular preparation of data summaries, ii) well maintenance of database</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• Data from the integrated national monitoring programmes will gradually be incorporated into the regional stock assessments by SPC.</li> <li>• Workshops run by appropriate regional consultants, provide training on data collection, database development and maintenance, data quality control, basic data analysis and dissemination. That is, national capacity to implement, coordinate and maintain monitoring programmes will be provided through workshops and hands-on training.</li> <li>• Funding (from NMFS budget) for this training WS, in conjunction with item 1.1.2 – can request SPC’s assistance – country’s responsibility</li> <li>• May need international consultancies (one each country)</li> </ul>		<p><b>Activity i)</b> Establish protocol on data quality control</p> <p><b>Activity ii):</b> Convene standardization workshop on data collection, consolidation , and reporting</p> <p><b>Verification i)</b> Agreed protocol on data quality control</p> <p><b>Verification ii)</b> Data summaries; databases</p>			<p><b>Activity i)</b> Workshop on assessment of data quality control</p> <p><b>Verification i)</b> Workshop report and recommendation</p> <p><b>Verification ii)</b> Data summaries; databases</p>	
<p><b>2.1.2 Collaborative tuna tagging activity in-country (Philippines, Indonesia)</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b> a) Progress and performance indicators: b) Impact</p>	<ul style="list-style-type: none"> <li>• <u>National counterparts</u> on tagging vessels and effective coordination of publicity and tag recovery. – source from co-financing</li> <li>• Verification: Involvement in, and delivery of, tag-based national tuna fishery assessment and tuna management plan.</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• Analysis of the tagging data at national level, which will involve national scientists, will contribute to the development of national tuna management plans, whereas the</li> </ul>		<p><b>Target:</b> National counterparts on tagging vessels; effective coordination of publicity and tag recovery</p> <p><b>Activity i)</b> Preparation, distribution of tagging posters</p> <p><b>Activity ii)</b> Monitoring of tag</p>	<p><b>Target:</b> National counterparts on tagging vessels; effective coordination of publicity and tag recovery</p> <p><b>Activity i)</b> Monitoring of tag recoveries</p> <p><b>Verification i):</b> Summary report</p>	<p><b>Target:</b> National counterparts on tagging vessels; effective coordination of publicity and tag recovery</p> <p><b>Activity i)</b> Monitoring of tag recoverie</p> <p><b>Verification i):</b> Summary report</p>	<p><b>Target:</b> National counterparts on tagging vessels; effective coordination of publicity and tag recovery</p> <p><b>Activity i)</b> Monitoring of tag recoveries</p> <p><b>Verification i):</b> Summary report</p>	

<p>indicators:</p> <p><b>Risk management</b></p> <p>a) identification:</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<p>wider regional data will provide critical new input to regional assessments.</p>		<p>recoveries</p> <p><b>Verification i)</b> Tagging posters distributed</p> <p><b>Verification ii):</b> Summary report of tags recovered</p>	<p>of tags recovered</p>	<p>of tags recovered</p>	<p>of tags recovered</p>	
<p><b>2.1.3 National data coordination and research (where currently absent)</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators:</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification:</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<ul style="list-style-type: none"> <li>Coordination of national tuna data and research by <b>National Tuna Coordinator</b> (funding posts for Indonesia and Vietnam only – such a position already exists in Philippines!)</li> <li>Verification: production of Scientific Data to be provided to the Commission by 30 April for WCPFC</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>Coordination at national level, for tagging project support, data submission and other information which will feed into the stock assessment process, will be provided by National Tuna Coordinators in Indonesia and Vietnam who will also oversee the production of annual reports to the Commission and fishery status reports</li> <li>Funding support for quality scientific data for WCPFC including funding support for the Improvement of BAS data</li> <li>Funding support for training BAS technical staff on species identification</li> </ul>		<p>(Note: Philippines has an existing national tuna coordination)</p> <p><b>Target:</b> Improve capability of technical staff to conduct tuna data collection and research</p> <p><b>Activity i)</b> Training of BAS technical staff on species identification and data collection</p> <p><b>Activity ii)</b> Funding support for quality data collection activities</p> <p><b>Verification i)</b> Number of staff trained</p> <p><b>Verification ii)</b> Annual reports for WCPFC as primary output</p>	<p>(Note: Philippines has an existing national tuna coordination)</p> <p><b>Target:</b> Improve capability of technical staff to conduct tuna data collection and research</p> <p><b>Activity i)</b> Training of BAS technical staff on species identification and data collection</p> <p><b>Activity ii)</b> Funding support for quality data collection activities</p> <p><b>Verification i)</b> Number of staff trained</p> <p><b>Verification ii)</b> Annual reports for WCPFC as primary output</p>	<p>(Note: Philippines has an existing national tuna coordination)</p> <p><b>Target:</b> Improve capability of technical staff to conduct tuna data collection and research</p> <p><b>Activity i)</b> Training of BAS technical staff on species identification and data collection</p> <p><b>Activity ii)</b> Funding support for quality data collection activities</p> <p><b>Verification i)</b> Number of staff trained</p> <p><b>Verification ii)</b> Annual reports for WCPFC as primary output</p>	<p>(Note: Philippines has an existing national tuna coordination)</p> <p><b>Target:</b> Improve capability of technical staff to conduct tuna data collection and research</p> <p><b>Activity i)</b> Training of BAS technical staff on species identification and data collection</p> <p><b>Activity ii)</b> Funding support for quality data collection activities</p> <p><b>Verification i)</b> Number of staff trained</p> <p><b>Verification ii)</b> Annual reports for WCPFC as primary output</p>	

	<p><b>Outcome 3: National capacities in oceanic fishery monitoring and assessment strengthened</b></p> <p><b>Outputs 3.1: Training of national fishery monitoring and stock assessment staff</b></p>						
<p><b>3.1.1 Data analysis and stock assessment training</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators:</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification:</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<ul style="list-style-type: none"> <li>• Convene (or participate in) stock assessment workshops; coordinate studentships for [post-graduate] study – participate in SPC SA WS – funding from GEF and JTF, May fund WS too (trainers)</li> <li>• Studentships – Use GEF contractual service budge item</li> <li>• Verification: i) Uptake of capacity reflected in national representation in WCPFC Scientific Committee; ii) production of [annual fishery status report = AR-Part1 adopted at SC4]– outcome is expected to be in 2<sup>nd</sup> year</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• Stock assessment workshops will be conducted to build capacity to both undertake basic assessments at national level and importantly, interpret regional assessments for national awareness and necessary action.</li> </ul>		<p><b>Target:</b> Improve capability of national team on stock assessment</p> <p><b>Activity i)</b> Participate in regional and international training programs on tuna stock assessment</p> <p><b>Activity ii)</b> Studentship or post-graduate studies on stock assessment</p> <p><b>Verification i)</b> Training programs participated</p> <p>Verification ii) Students sent for studies</p>	<p><b>Target:</b> Improve capability of national team on stock assessment</p> <p><b>Activity i)</b> Participate in regional and international training programs on tuna stock assessment</p> <p><b>Activity ii)</b> Studentship or post-graduate studies on stock assessment</p> <p><b>Verification i)</b> Training programs participated</p> <p>Verification ii) Students sent for studies</p>	<p><b>Target:</b> Improve capability of national team on stock assessment</p> <p><b>Activity i)</b> Participate in regional and international training programs on tuna stock assessment</p> <p><b>Activity ii)</b> Studentship or post-graduate studies on stock assessment</p> <p><b>Verification i)</b> Training programs participated</p> <p>Verification ii) Students sent for studies</p>	<p><b>Target:</b> Improve capability of national team on stock assessment</p>	
<p><b>3.1.2 Database and analytical training</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p>	<ul style="list-style-type: none"> <li>• Convene training workshops for i) database acquisitions used in stock assessments, ii) quarterly data summaries</li> <li>• Philippines have database for SA, Logsheet, port sampling, observers but need training from data entry,</li> </ul>		<p><b>Target:</b> Centralized data system</p> <p><b>Activity i)</b> Workshop to establish</p>	<p><b>Target:</b> Centralized data system</p> <p><b>Activity i)</b> Establishment of data management</p>			

<p>a) Progress and performance indicators: b) Impact indicators:</p> <p><b>Risk management</b> a) identification: b) classification: c) rating: d) reporting:</p>	<p>quality control, maintenance, etc. – support Training WSs</p> <ul style="list-style-type: none"> <li>• Audit of inputs to regional / national database – national / regional verification of fishery data – funding support for external audit</li> <li>• Verification: Database acquisitions used in stock assessments; quarterly data summaries; [audit of inputs to regional databases]</li> </ul> <p>Remarks:</p>			<p>standardized data acquisition and retrieval</p> <p><b>Activity ii)</b> Set-up protocols for data acquisition and retrieval</p> <p><b>Verification i)</b> Workshop report</p> <p><b>Verification 2)</b> Data access protocol</p>	<p>system</p> <p><b>Activity ii)</b> Hiring of data management staff</p> <p><b>Verification 1)</b> Establishment of office and acquisition of equipment</p> <p><b>Verification 2)</b> Data manager and staff hired</p>			
<p><b>3.1.3 Preparation of national fishery status reports[WCPFC Annual Reports-Part 1]</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b> a) Progress and performance indicators: b) Impact indicators:</p> <p><b>Risk management</b> a) identification: b) classification: c) rating: d) reporting:</p>	<ul style="list-style-type: none"> <li>• Using WCPFC Annual Report – Part 1, submit national fishery status report to WCPFC and sharing with national stakeholders</li> <li>• Verification: Regular submission of status reports to WCPFC and sharing with national stakeholders</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• The template of national fishery status report will be WCPFC Annual Report – Part 1.</li> <li>• National fishery status reports, which would include analyses of available monitoring data, fishery catches and trends, stock status, as far as it is known, catch disposal, processing and export figures, and ecosystem issues, would be regularly prepared, initially with the assistance of a regional consultant, but eventually in-house as capacity develops.</li> </ul>			<p>Target: Improve WCPFC annual report</p> <p><b>Activity i)</b> Improve national report following the WCPFC template</p> <p><b>Verification i)</b> Compliance to agreed template</p>				
<p><b>II. Policy, institutional</b></p>								

strengthening and fishery management								
	<p><b>Outcome 4: Participant countries contributing to management of shared migratory stocks</b>  Remarks: relevant instruments include UNCLOS, UNFSA, WCPF Convention, FAO Code of Conduct, FAO IPOAs, FAO Compliance Agreement, CCSBT Convention, and IOTC Convention</p> <p><b>Outputs 4.1 Review of policy and institutional arrangements for oceanic fisheries management</b></p>							
<p><b>4.1.1 Review of policy and legal arrangements for WCPFC-related matters</b>  <b>Specific targets:</b></p> <p><b>Indicators</b>  a) Progress and performance indicators:  b) Impact indicators:</p> <p><b>Risk management</b>  a) identification:  b) classification:  c) rating:  d) reporting:</p>	<ul style="list-style-type: none"> <li>• Convene legal and policy training / review workshops (Indonesia and Vietnam not well prepared; Philippines still needs some revision) – funding for international consultants, local consultants, - one national WS, one regional WS (optional) in the first year</li> <li>• Verification: i) production of workshop proceedings, ii) review of current arrangements tabled</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• Comprehensive reviews of existing legal issues and national legal structures would be undertaken, via the medium of national or tri-nation workshops, then any necessary changes in laws, regulations, and agreements identified, to bring legal structures in line with the requirements of the Convention. Training of policy makers and legal personnel may also need to be provided.</li> <li>• National policy with respect to oceanic fisheries management</li> </ul>			<p><b>Target:</b> Improve legal instruments to become compliant with WCPFC and international agreements</p> <p><b>Activity i)</b> Hire legal consultant to review, evaluate existing legal instruments and recommend improvements</p> <p><b>Verification i)</b> Evaluation and recommendation report</p>	<p><b>Target:</b> Improve legal instruments to become compliant with WCPFC and international agreements</p> <p><b>Activity i)</b> Consultation workshops on improving legal instruments for compliance with WCPFC and international agreements</p> <p><b>Verification i)</b> Workshop agreements and plan of actions</p>	<p><b>Target:</b> Improve legal instruments to become compliant with WCPFC and international agreements</p> <p><b>Activity i)</b> Drafting of legal instruments</p> <p><b>Verification i)</b> Draft legal instruments</p>		



	would be reviewed and training/awareness-raising for policy makers, fisheries technical personnel and stakeholders provided as necessary. Preparation of a national tuna management plan involving all stakeholders in extensive consultation would be the end product of this process.						
<p><b>4.1.2 Review of institutional arrangements</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators:</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification:</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<ul style="list-style-type: none"> <li>Undertake institutional arrangements, if national arrangements are not well placed to be fully effective in WCPFC (Indonesia and Vietnam not well prepared)</li> <li>Verification: i) Review outcomes of the review, ii) production of implementation plan for institutional strengthening</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>Review existing national oceanic fisheries management structures, and institute reforms necessary to enable full and effective participation of the relevant institutions in the work of the WCPFC.</li> <li>May need funding for institutional arrangements – subject to country's decision, can be assisted by international or local consultants</li> </ul>		<p><b>Target:</b> Improve institutional instruments to become compliant with WCPFC and international agreements</p> <p><b>Activity i)</b> Hire legal consultant to review, evaluate existing institutional arrangements and recommend improvements</p> <p><b>Verification i)</b> Evaluation and recommendation report</p>	<p><b>Target:</b> Improve institutional instruments to become compliant with WCPFC and international agreements</p> <p><b>Activity i)</b> Consultation workshops on improving institutional arrangements for compliance with WCPFC and international agreements</p> <p><b>Verification i)</b> Workshop agreements</p>	<p><b>Target:</b> Improve institutional instruments to become compliant with WCPFC and international agreements</p> <p><b>Activity i)</b> Drafting of plan of action for institutional arrangements</p> <p><b>Verification i)</b> National plan of actions on institutional arrangement drafted</p>		
	<b>Outputs 4.2 Strategy to support national reform</b>						
<b>4.2.1 Identify reform necessary to existing</b>	<ul style="list-style-type: none"> <li>Implement the proposed initiatives (implementation plan) from 4.1.2, if established</li> </ul>		<p><b>Target:</b> Implement critical reforms to</p>				

<p><b>arrangements</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators:</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification:</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<ul style="list-style-type: none"> <li>• Verification:</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• Revise national legislation is country's responsibility</li> <li>• No funding required</li> <li>• Action item from 4.1.1 and 4.1.2</li> </ul>			<p>improve institutional arrangement for management of oceanic stocks</p> <p><b>Activity i)</b> Identify and prioritize reforms in institutional arrangements critical to management of oceanic species</p> <p><b>Verification i)</b> List of prioritized reforms in institutional arrangement</p>				
	<p><b>Outcome 5: National laws, policies and institutions strengthened. To implement applicable global and regional instruments</b></p> <p><b>Outputs 5.1 Implementation of the WCPF Convention and related instruments</b></p>							
<p><b>5.1.1 Prepare checklist of compliance shortfalls</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators:</p> <p>b) Impact</p>	<ul style="list-style-type: none"> <li>• Prepare checklist of compliance shortfalls on the WCPF Convention requirements not fully adopted by Philippines</li> <li>• Need WS per country with international consultants – funding from GEF and AusAID</li> <li>• Verification: Implementation of necessary actions; more effective participation in WCPFC</li> </ul>			<p><b>Target:</b> Improve compliance to WCPFC agreements</p> <p><b>Activity i)</b> Consultation workshop to determine compliance and shortfalls including recommended</p>				

<p>indicators:</p> <p><b>Risk management</b></p> <p>a) identification:</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<p>Remarks</p> <ul style="list-style-type: none"> <li>•</li> </ul>		<p>actions</p> <p><b>Verification i)</b> Checklist of compliance and shortfalls and recommended actions</p>				
	<p><b>Outcome 6: Key stakeholders participating in the project</b></p> <p><b>Outputs 6.1 Knowledge management system for dissemination of Project-related information, lessons and best practice</b></p>						
<p><b>6.1.1 Establish appropriate KLM in all countries</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators:</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification:</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<ul style="list-style-type: none"> <li>• Develop and establish appropriate KLM systems services (knowledge management system)</li> <li>• Verification: Regular and systematic dissemination of Project-related information, lessons and best practice to stakeholders</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• Funding from GEF and AusAID for establishing KLM system</li> </ul>		<p><b>Target:</b> Establishment of system for the dissemination of relevant information on oceanic species management</p> <p><b>Activity i)</b> Establishment of relevant office and designation of staff for the KLM</p> <p><b>Activity ii)</b> Compilation of materials on lessons and best practices</p> <p><b>Verification i)</b> Office established and staff assigned</p> <p>Verification ii)</p>	<p><b>Target:</b> Establishment of system for the dissemination of relevant information on oceanic species management</p> <p><b>Activity i)</b> Consultation workshop with key stakeholders to determine long term activities related to KLM</p> <p><b>Activity ii)</b> Identification and selection of appropriate IEC materials for dissemination</p> <p><b>Verification i)</b> Workshop report and</p>	<p><b>Target:</b> Establishment of system for the dissemination of relevant information on oceanic species management</p> <p><b>Activity i)</b> Printing and distribution of materials</p> <p><b>Verification i)</b> Materials printed and distributed</p>	<p><b>Target:</b> Establishment of system for the dissemination of relevant information on oceanic species management</p> <p><b>Activity i)</b> Continue printing and distribution of materials</p> <p><b>Activity ii)</b> Development of new information materials</p> <p><b>Verification i)</b> Materials printed and distributed</p> <p><b>Verification ii)</b> New information</p>	

				Information materials compiled and evaluated	recommendation Verification ii) Check list of information materials for development and or improvement		materials developed	
	<b>Outputs 6.2 Establish Tuna Associations (Vietnam, Indonesia) to fully involve industry</b>							
<p><b>6.2.1 National body coordinating provincial and national work</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators:</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification:</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<ul style="list-style-type: none"> <li>Support the development and establishment of tuna associations to fully involve industries No need for funding support for PH</li> <li>Support the development and establishment of national body coordinating provincial and national work to promote effective national initiatives and reporting procedures – may need funding support</li> <li>Verification: i) dissemination of association articles, ii) annual meeting and activities reports</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>Support to establish and incorporate these associations would initially be provided by the project, but the associations would eventually become self-sustaining.</li> <li></li> </ul>							
	<p><b>Outcome 7: National capacities in oceanic fisheries management strengthened</b></p> <p><b>Outputs 7.1 Development of National Tuna Management Plans (Indonesia, Vietnam) or revision of existing plans (Philippines)</b></p>							

<p><b>7.1.1 Assistance provided to develop NTMPs in two countries and revise in the third</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators:</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification:</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<ul style="list-style-type: none"> <li>• Update a template for NTMP, if necessary</li> <li>• Convene a workshop to revise NTMP compatible with WCPFC CMMs, to be launched and integrated in national policy</li> <li>• Verification: NTMP prepared, launched and integrated in national policy</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• The culmination of many of the activities proposed under the project would be the development of national tuna management plans in two countries (Indonesia, Vietnam) and the regular revision of an existing plan in another (Philippines). These plans, developed with external assistance as necessary, would need to be compatible with existing WCPFC conservation and management measures, yet reflect national development aspirations and sovereignty, and draw on experience gained during the project.</li> <li>• Support WS and internatikonala consultancies</li> </ul>		<p><b>Target:</b> Updated NTMP</p> <p><b>Activity i)</b> Stakeholders workshop and consultation on the revision of the NTMP</p> <p><b>Activity ii)</b> Drafting of revised NTMP</p> <p>Verification i) Workshop report</p> <p>Verification ii) Revised NTMP</p>				
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West Pacific East Asia  
Oceanic Fisheries Management

PROJECT INCEPTION WORKSHOP  
3-4 July 2009, Cebu, Philippines



ANNUAL WORK PLAN FOR VIETNAM

Attachment 5

Indicators	Project Activities	Year in action <sup>1</sup>			First AWN quarterly time-frames basis detailing the activities and precise and measurable performance indicators				First Year Budget (USD) (Details appended)
		1	2	3	1 <sup>st</sup> Q	2 <sup>nd</sup> Q	3 <sup>rd</sup> Q	4 <sup>th</sup> Q	
<b>I. Monitoring, data enhancement and fishery assessment</b>									
	<b>Outcome 1: Improved knowledge of oceanic fish stocks and related ecosystems</b>  <b>Outputs 1.3 Implementation of integrated fishery monitoring programmes for target and non-target species in Vietnam</b>								
<b>1.3.1. Port sampling and data collection initiated in three provinces</b> <b>Specific targets:</b> - To enhance national port sampling program - To conduct pilot port sampling	<ul style="list-style-type: none"> <li>Develop sampling forms and sampling strategy, initiate port sampling and data collection in [three] provinces gradually, and develop audit procedures.</li> <li>Verification: production of regular data summaries, check data quality (audit)</li> </ul> <u>Remarks:</u> <ul style="list-style-type: none"> <li></li> </ul>				<u>Activities:</u> i) Develop a 3-year plan for the implementation of port sampling programme  <u>verification:</u> i) production of such plan	<u>Activities:</u> i) review of the existing data collection forms and sampling protocols (WCPFC will provide) available, ii) <b>convene WS</b> on port sampling if international	<u>Activities:</u> i) WS (will also cover training port sampling instructors, database training) can be held, if international experts available ii) recruitment of port samplers and	<u>Activities:</u> i) initiate experimental port sampling at one selected ports; ii) secure database and data entry	<ul style="list-style-type: none"> <li>Office rent: country in-kind</li> <li>Equipment: scale, rules, chemical, office supplies...</li> <li>Training enumerators: Means of transportation</li> </ul>

<sup>1</sup> Year in action of the project activities will be highlighted as illustrated for indicator 1.2.1 and 1.2.2.

<p>program</p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators: improved national database for tuna fisheries, pilot port sampling program initiated, [delete: collected parameters of catches, fishing effort, landing prices, species composition and biological data: length frequency, maturation, growth...]</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification: limited expertise, lack of cooperation from stakeholders</p> <p>b) classification: medium level</p> <p>c) rating: [10%]</p> <p>d) reporting: risk will immediately be reported to WCPFC</p>				<p>experts (Peter Williams, SPC) are available, <u>in conjunction with an internal inception workshop</u>, to complete 1<sup>st</sup> Quarter activities.</p> <p><u>verification:</u></p> <p>i) sampling forms and protocols adopted ii) workshop held</p>	<p>training enumerators by national port sampling instructors</p> <p><u>verification:</u> ws held, port sampling instructors secured, enumerators recruited and trained</p>	<p><u>verification:</u></p> <p>i) database acquisitions, production of data summary report</p>	<p>Travel cost</p> <p>Consultant fees</p> <ul style="list-style-type: none"> <li>• Workshop expenses:</li> <li>• salaries</li> <li>• Etc.</li> </ul> <p><b>Total:</b></p> <p><b>First year budget: 30,000</b></p>
<p><b>1.3.2 Enhanced capacity in monitoring and data collection and analysis</b></p> <p><b>Specific targets:</b></p>	<ul style="list-style-type: none"> <li>• Convene a training workshop for i) port sampling, ii) database development and maintenance, iii) basic data analysis, iv) adopt format for data summaries.</li> <li>• Verification: i) workshop evaluation: ii) database holdings</li> </ul>		<p><u>Activities:</u></p> <p>i) Develop plan for database acquisitions, selection of data managers, etc. – this can be</p>	<p><u>Activities:</u></p> <p>i) Recruit/select database manager; develop and adopt data summary format in consultation with</p>	<p><u>Activities:</u></p> <p>i) activities continued</p>	<p><u>Activities:</u></p> <p>i) activities continued</p> <p><u>Verification:</u></p>	<ul style="list-style-type: none"> <li>- Office rent: country in kind</li> <li>- Equipments:</li> <li>- Workshop expenses:</li> <li>- Travel cost:</li> <li>- Accommodation:</li> </ul>

<p>- Develop and maintain database for port sampling</p> <p>- conduct basic data analysis and adopt data summary format</p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators: database for port sampling developed and maintained</p> <p>es</p> <p>- basic data analysis conducted and data summary format adopted</p> <p><b>Risk management</b></p> <p>a) identification: suitable staff not available for training, qualified staffs to implement</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<p>listed, iii) regular data summaries provided</p> <p>Remarks:</p>		<p>combined with activity 1.3.1</p> <p><u>Verification:</u></p> <p>i) production of such plan</p>	<p>Peter Williams during the WS</p> <p><u>Verification:</u> i) data managers recruited/selected;</p> <p>Data summary format adopted</p>			<p>- Salaries:</p> <p>- Consultant fees</p> <p>- International consultant</p> <p>- National consultant</p> <p>- Etc.</p> <p>Total:</p> <p>First year: <b>10,000</b></p>
<p><b>1.3.3 Pilot operational-level data collection, with progression to expanded data collection</b></p> <p><b>Specific targets:</b></p> <p>- To improve national logbook</p>	<ul style="list-style-type: none"> <li>Develop and distribute logsheets to selected vessels/gear, and promote cooperation.</li> <li>Verification: i) production of regular data summaries, coverage estimates and quality checks</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>No observer programmes were indicated in the Project Results</li> </ul>		<p><u>Activities:</u></p> <p>i) Develop a plan for the enhancement logbook programme that satisfies the requirements of the WCPFC</p>	<p><u>Activities:</u></p> <p>i) Review the existing logbook forms and protocols, including logbook WS report in Indonesia (available from WCPFC)</p> <p>convene a</p>	<p><u>Activities:</u></p> <p>i) initiate logbook programme to limited fleets</p> <p>ii) conduct data entry into database</p> <p><u>Verification:</u></p> <p>i) logbook</p>	<p><u>Activities:</u></p> <p>activities continued</p> <p><u>Verification:</u></p>	<p>- Office rent: country in kind</p> <p>- Equipments:</p> <p>- Workshop expenses:</p> <p>- Travel cost:</p> <p>- Accommodation:</p> <p>- Salaries:</p> <p>- Consultant fees</p> <p>- International</p>



<p>program - to enhance cooperation between owners and fisheries staffs</p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators: pilot WCPFC-related logbook programme initiated to limited tuna fleets</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification: incorrect filled forms, low logsheet recovery rate, lack of cooperation from fishers, under reporting and manipulation data</p> <p>b) classification: high risk</p> <p>c) rating: 50%</p> <p>d) reporting:</p>	<p>Framework. The need for observer programmes will also be assessed.</p>		<p><u>Verification:</u></p> <p>i) plan developed [completed logsheet forms, ii) number of selected fishing fleets]</p>	<p><b>workshop</b> to cover logbook programmes, if Peter Williams is available. (peterw@spc.int)</p> <p><u>Verification:</u></p> <p>i) existing documents reviewed; workshop held, WCPFC-required logbook programmes adopted ii)</p>	<p>programmes initiated to limited fleets;</p> <p>ii) database acquisitions</p>		<p>consultant - National consultant - Etc.</p> <p>Total: <b>50,000</b> First year: <b>10,000</b></p>
<p><b>1.1.4 Develop a plan for and implementation of observer programme</b></p> <p><b>Specific targets:</b></p> <p><b>Development of an observer</b></p>	<ul style="list-style-type: none"> <li>planning of national observer programme that satisfies the requirements of the WCPFC observer programme, that is, Regional Observer Programme,</li> <li>VerificationPlan for the implementation of observer programme developed.</li> </ul>		<p>Review of the WCPFC requirements in relation to obs. Programme and review of national programmed already</p>	<p>Activities continued</p> <p>Verification: production of review report</p>	<p><u>Activities</u></p> <p>Activities continued</p>	<p><u>Activities</u></p> <p>i) Develop WCPFC-required observer programme plan</p> <p><b>Verification</b></p> <p>Production of a <b>draft</b> plan for the</p>	<p>3,000</p>

<p><b>programme plan and initiation of pilot observer programme</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators: plan developed and pilot observer programme initiated</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification:</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<ul style="list-style-type: none"> <li>•</li> </ul>			<p>implemented</p>			<p>implementation of Regional Observer Programme in Vietnam</p>	
<p><b>1.3.5 Study tour of port sampling, database manipulation, observerd programmes in [Philippines/Indonesia]</b></p> <p><b>Specific targets:</b></p> <p>- To collect information on the port sampling, database manipulation, observerd programmes</p> <p><b>Indicators</b></p> <p>a) Progress and performance</p>	<ul style="list-style-type: none"> <li>• No prior experience of port sampling programmes in Vietnam. Conduct study tour of port sampling programmes in Philippines.</li> <li>• Verification: technical report prepared, including lessons learned</li> </ul> <p>Remarks:</p>				<p><u>Activities:</u></p> <p>i) learning experiences in <b>port sampling, database manipulation, observerd programmes</b> of Philippines/Indonesia</p> <p><u>Verification:</u></p> <p>i) travel report produced</p>			<p>- Travel cost:</p> <p>- Return Air ticket</p> <p>- Accommodation</p> <p>- Local transportation</p> <p>- Etc.</p> <p>First year: 20,000</p>

<p>indicators: plans of study tours, gained experiences and lessons from the study tours, conclusion reports</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification: irrelevant assigned staffs, limited financial funding</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>								
	<p><b>Outcome 2: Reduced uncertainty in stock assessments</b></p> <p><b>Outputs 2.1: Improved data for stock assessment</b></p>							
<p><b>2.1.1 Data quality control training – this activity will be conducted in year 2</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators:</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification: human resources</p>	<ul style="list-style-type: none"> <li>• Convene a data quality control training workshop.</li> <li>• Verification: i) regular preparation of data summaries, ii) well maintenance of database</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• Data from the integrated national monitoring programmes will gradually be incorporated into the regional stock assessments by SPC.</li> <li>• Workshops run by appropriate regional consultants, provide training on data collection, database development and maintenance, data quality control, basic data analysis and</li> </ul>							

b) classification: c) rating: d) reporting:	dissemination. That is, national capacity to implement, coordinate and maintain monitoring programmes will be provided through workshops and hands-on training.							
<b>2.1.2 Collaborative tuna tagging activity</b> <b>Specific targets:</b> To provide recovered tuna tags  <b>Indicators</b> a) Progress and performance indicators: provision of recovered tuna tags to SPC b) Impact indicators:  <b>Risk management</b> a) identification: b) classification: c) rating: d) reporting:	<ul style="list-style-type: none"> <li>Conduct tag recovery.</li> <li>Objectives: To collaborate PTTP by cooperating tag recover activity</li> </ul> Remarks: <ul style="list-style-type: none"> <li></li> </ul>			<u>Activities:</u> i) collect information on tuna tag recovery from SPC;  ii) strengthening communities' cooperation to collect tuna tags  <u>Verification:</u> i) submit recovered tags to SPC	<u>activities continued</u>	<u>activities continued</u>	<u>activities continued</u>	1,000
<b>2.1.3 National data coordination and research</b> <b>Specific targets:</b> <b>Develop national data and research</b>	<ul style="list-style-type: none"> <li>Coordination of national tuna data and research by National Tuna Coordinator (funding posts for Indonesia and Vietnam)</li> <li>Verification: Production of Annual Report for WCPFC</li> </ul> Remarks: <ul style="list-style-type: none"> <li>Coordination at national level, for</li> </ul>			<u>Activities:</u> i) Develop terms of reference for National Tuna Coordinator, including development of National Tuna Management	<u>Activities:</u> i) activity continued  <u>Verification:</u> i) National Tuna Coordinator recruited	<u>Activities:</u> i) NTC will develop national data coordination and research related to WCPFC requirements  <u>Verification:</u>	<u>Activities:</u> i) activities continued  <u>Verification:</u> 1) NTC recruited 2) Progress reports	- Equipments: - Cost of running tagged tuna collection program. - Travel cost: - Salaries: - Etc.

<p><b>coordination system</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators: National Tuna Coordinator recruited, coordination system developed</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification: lack of cooperation of fishers, lack of local offices</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<p>the support of tagging project, data submission, reporting of project progress and other information which will feed into the stock assessment process, will be provided by National Tuna Coordinators in Indonesia and Vietnam, who will also oversee the production of Annual Report-Part 1 to the Commission</p>		<p>Plan in the long term</p> <p>ii) recruit NTC</p> <p><u>Verification:</u></p>			<p>related to this activity produced</p>	<p>Total: First year: 10,000</p>
	<p><b>Outcome 3: National capacities in oceanic fishery monitoring and assessment strengthened</b></p> <p><b>Outputs 3.1: Training of national fishery monitoring and stock assessment staff</b></p>						
<p><b>3.1.1 Data analysis and stock assessment training</b></p> <p><b>Specific targets:</b></p> <p>To improve national capacity on stock assessment</p>	<ul style="list-style-type: none"> <li>Convene (or participate in) stock assessment workshops; coordinate studentships for post-graduate study</li> <li>Verification: i) uptake of capacity reflected in national representation in WCPFC Scientific Committee; ii) production of annual fishery status report</li> </ul>		<p><u>Activities:</u></p> <p>i) Develop planning to arrange participation in SPC's stock assessment workshop</p> <p><u>Verification:</u></p>	<p><u>Activities:</u></p> <p>i) activity continued</p>	<p><u>Activities:</u></p> <p>i) continue to undertake full stock assessment of some targeted activity continued</p>	<p><u>Activities:</u></p> <p>i) the selected nominate will participate in the SPC SA WS</p> <p><u>Verification:</u></p> <p>i) participation in SA WS</p>	<ul style="list-style-type: none"> <li>- Studies fees for post graduate students:</li> <li>- Vessel rents:</li> <li>- Workshop expenses:</li> <li>- Consultant fees:</li> <li>- Salaries:</li> <li>- Travel cost:</li> <li>- Etc.</li> </ul>

<p><b>Indicators</b>  a) Progress and performance indicators: participate in SPC Stock Assessment WS (contact SPC/WCPFC for more info)  b) Impact indicators:</p> <p><b>Risk management</b>  a) identification: lack of skilled staffs, limited financial resources  b) classification:  c) rating:  d) reporting:</p>	<p>Remarks:</p> <ul style="list-style-type: none"> <li>Stock assessment workshops will be conducted to build capacity to both undertake basic assessments at national level and importantly, interpret regional assessments for national awareness and necessary action.</li> </ul>							<p>Total:  First year: 15,000</p>
<p><b>3.1.2 Database and analytical training (will be implemented in year 3)</b>  <b>Specific targets:</b>  <b>Indicators</b>  a) Progress and performance indicators: b) Impact indicators:</p> <p><b>Risk management</b>  a) identification: human resource, no synchronous between national and regional</p>	<ul style="list-style-type: none"> <li>Convene training workshops for i) database acquisitions used in stock assessments, ii) quarterly data summaries</li> <li>Audit of inputs to regional / national database</li> <li>Verification: Database acquisitions used in stock assessments; quarterly data summaries; [audit of inputs to regional databases]</li> </ul> <p>Remarks:</p>							

<p>database</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>							
<p><b>3.1.3 Preparation of national fishery status reports [WCPFC Annual Reports]</b></p> <p><b>Specific targets:</b> To submit Annual Report – Part 1 to the WCPFC</p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators: regular submitted report</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification: unavailable data due to slowed progress</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>	<ul style="list-style-type: none"> <li>Using WCPFC Annual Report – Part 1, submit Annual Report – Part 1 to WCPFC and national stakeholders</li> <li>Verification: Regular submission of status reports to WCPFC and national stakeholders</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>The template of national fishery status report will be WCPFC Annual Report – Part 1.</li> <li>National fishery status reports, which would include analyses of available monitoring data, fishery catches and trends, stock status, as far as it is known, catch disposal, processing and export figures, and ecosystem issues, would be regularly prepared, initially with the assistance of a regional consultant, but eventually in-house as capacity develops.</li> </ul>					<p><u>Activities:</u></p> <p>i) submit Annual Report –Part 1 to the WCPFC Secretariat</p> <p><u>Verification:</u></p> <p>i) AR-Part 1 submitted</p>	3,000
<p><b>II. Policy, institutional strengthening and fishery management</b></p>							
	<p><b>Outcome 4: Participant countries contributing to management of shared migratory stocks</b></p>						

	<p>Remarks: relevant instruments include UNCLOS, UNFSA, WCPF Convention, FAO Code of Conduct, FAO IPOAs, FAO Compliance Agreement, CCSBT Convention, and IOTC Convention</p> <p><b>Outputs 4.1 Review of policy and institutional arrangements for oceanic fisheries management</b></p>							
<p><b>4.1.1 Review of policy and legal arrangements for WCPFC-related matters</b></p> <p><b>Specific targets:</b> To initiate review process on policy and legal arrangements for WCPFC-related matters</p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators Inception WS held and review procedure initiated</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification: suitable staff not available, lack of full participation of all stakeholders, poor publicity, conflicts between national and regional legal</p>	<ul style="list-style-type: none"> <li>• Convene legal and policy training workshops (Indonesia and Vietnam not well prepared; Philippines still needs some revision)</li> <li>• Verification: i) production of workshop proceedings, ii) review of current arrangements tabled</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• Comprehensive reviews of existing legal issues and national legal structures would be undertaken, via the medium of national or tri-nation workshops, then any necessary changes in laws, regulations, and agreements identified, to bring legal structures in line with the requirements of the Convention. Training of policy makers and legal personnel may also need to be provided.</li> <li>• National policy with respect to oceanic fisheries management would be reviewed and training/awareness-raising for policy makers, fisheries technical personnel and stakeholders provided as necessary. Preparation of a national tuna management plan involving all stakeholders in extensive consultation would be the end product of this process.</li> </ul>			<p><u>Activities:</u></p> <p>i) Conduct national level IW</p> <p>ii) support facilities for the work of NTC/NFCP</p> <p>Verification: IW convened Facilities invested</p>		<p><u>Activities:</u></p> <p>i) initiate review of policy and legal arrangements for WCPFC-related matters</p>	<p><u>Activities:</u></p> <p>i) activity continued</p>	<p>10,000</p>



and policies b) classification: c) rating: d) reporting:								
<b>4.1.2 Review of institutional arrangements</b> <b>Specific targets:</b> To maximize national capacity to cope with WCPFC requirements <b>Indicators</b> a) Progress and performance indicators: coordination initiated – production of brief progress report b) Impact indicators:  <b>Risk management</b> a) identification: b) classification: c) rating: d) reporting:	<ul style="list-style-type: none"> <li>Undertake institutional arrangements, if national arrangements are not well placed to be fully effective in WCPFC (Indonesia and Vietnam not well prepared)</li> <li>Verification: i) Review outcomes of the review, ii) production of implementation plan for institutional strengthening</li> </ul> Remarks: <ul style="list-style-type: none"> <li>Review existing national oceanic fisheries management structures, and institute reforms necessary to enable full and effective participation of the relevant institutions in the work of the Commission.</li> </ul>						<u>Activities:</u>  Develop a plan for institutional coordination to be fully effective in WCPFC  <u>Verification:</u> Production of a brief progress report	5,000
	<b>Outputs 4.2 Strategy to support national reform</b>							
<b>4.2.1 Identify reform necessary to existing arrangements</b> <b>Specific targets:</b> To reform the	<ul style="list-style-type: none"> <li>Implement the proposed initiatives (implementation plan) from 4.1.1 and 4.1.2</li> <li>Verification:</li> </ul> Remarks:						<u>Activities:</u> i) review the Progress Report from 4.1.1. and 4.1.2	5,000

<p>existing policy, legal and institutional arrangement,</p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators:</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification: decentralization of fisheries management</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>							<p><u>Verification:</u></p> <p>Production of a list of items to be addressed</p>	
	<p><b>Outcome 5: National laws, policies and institutions strengthened. To implement applicable global and regional instruments</b></p> <p><b>Outputs 5.1 Implementation of the WCPF Convention and related instruments</b></p>							
<p><b>5.1.1 Prepare checklist of compliance shortfalls (will initiate in year 2)</b></p> <p><b>Specific targets:</b></p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators: b) Impact indicators:</p>	<ul style="list-style-type: none"> <li>Participate in WCPFC meetings</li> <li>Prepare and address checklist of compliance shortfalls on the WCPF Convention requirements not fully adopted by Vietnam</li> <li>Verification: Implementation of necessary actions; more effective participation in WCPFC</li> </ul> <p>Remarks</p>			<p>Develop a preparation for fully involving into WCPFC</p>	<p>Activity: participate in Commission meeting in December</p> <p>Verificatikon: participated</p>		<p>15,000</p>	

<p><b>Risk management</b></p> <p>a) identification: lack of facilities for MSC activities, human resources, limited financial resource</p> <p>b) classification:</p> <p>c) rating:</p> <p>d) reporting:</p>									
	<p><b>Outcome 6: Key stakeholders participating in the project</b></p> <p><b>Outputs 6.1 Knowledge management system for dissemination of Project-related information, lessons and best practice</b></p>								
<p><b>6.1.1 Establish appropriate KLM in all countries</b></p> <p><b>Specific targets:</b> design a plan for knowledge information system</p> <p><b>Indicators</b></p> <p>a) Progress and performance indicators: preliminary-stage knowledge information system established</p> <p>b) Impact indicators:</p> <p><b>Risk management</b></p> <p>a) identification:</p> <p>b) classification:</p>	<ul style="list-style-type: none"> <li>Develop and establish appropriate KLM systems services (knowledge management system)</li> <li>Verification: Regular and systematic dissemination of Project-related information, lessons and best practice to stakeholders</li> </ul> <p>Remarks:</p>					<p><u>Activities:</u></p> <p>i) Develop a plan for the dissemination of project-related knowledge to stakeholders</p> <p><u>Verification:</u> a plan developed</p>	<p><u>Activities:</u></p> <p>i) initiate the plan developed at quarter 2</p> <p><u>Verification:</u> pilot knowledge management system implemented</p>	<p><u>Activities:</u> activities continued</p> <p><u>Verification:</u></p>	5,000

c) rating: d) reporting:								
	<b>Outputs 6.2 Establish and develop Tuna Associations (Vietnam, Indonesia) to fully involve industry</b>							
<p><b>6.2.1 National body coordinating provincial and national work</b></p> <p><b>Specific targets:</b> Develop national level tuna association</p> <p><b>Indicators</b> a) Progress and performance indicators: National level tuna association established b) Impact indicators:</p> <p><b>Risk management</b> a) identification: benefit conflicts finance to maintain b) classification: c) rating: d) reporting:</p>	<ul style="list-style-type: none"> <li>Support the development and establishment of tuna associations to fully involve industries</li> <li>Support the development and establishment of national body coordinating provincial and national work to promote effective national initiatives and reporting procedures</li> <li>Verification: i) dissemination of association articles, ii) annual meeting and activities reports</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>Support to establish and incorporate these associations would initially be provided by the project, but the associations would eventually become self-sustaining.</li> </ul>		<p><u>Activities:</u> i) design a plan for the development of national level tuna association</p> <p>verification: the national plan developed</p>	<p><u>Activities:</u> i) implement the plan including staff recruitment; system, structure, purchase of equipments, functioning, etc. of the association developed</p> <p><u>Verification:</u> i)</p>	<p><u>Activities:</u> i) activity continued</p> <p><u>Verification:</u> i)</p>	<p><u>Activities:</u> i) activity continued</p> <p><u>Verification:</u> national level tuna association is functioning</p>	First year: 15,000	
	<p><b>Outcome 7: National capacities in oceanic fisheries management strengthened</b></p> <p><b>Outputs 7.1 Development of National Tuna Management Plans (Indonesia, Vietnam) or revision of existing plans (Philippines)</b></p>							

<p><b>7.1.1 Assistance provided to develop NTMP</b></p> <p><b>Specific targets:</b> To develop a draft NTMP</p> <p><b>Indicators</b> a) Progress and performance indicators: a draft NTMP developed b) Impact indicators:</p> <p><b>Risk management</b> a) identification: human resources b) classification: c) rating: d) reporting:</p>	<ul style="list-style-type: none"> <li>• Develop a template for NTMP that can be fully abide by the WCPFC requirements/CMMs/international institutions, etc.</li> <li>• Convene a workshop to develop NTMP compatible with WCPFC CMMs, to be launched and integrated in national policy</li> <li>• Verification: NTMP prepared, launched and integrated in national policy</li> </ul> <p>Remarks:</p> <ul style="list-style-type: none"> <li>• The culmination of many of the activities proposed under the project would be the development of national tuna management plans in two countries (Indonesia, Vietnam) and the regular revision of an existing plan in another (Philippines). These plans, developed with external assistance as necessary, would need to be compatible with existing WCPFC conservation and management measures, yet reflect national development aspirations and sovereignty, and draw on experience gained during the project.</li> </ul>			<p><u>Activities:</u> i) collect data and information for the development of NTMP ii)</p>	<p><u>Activities:</u> i) activity continued</p>	<p><u>Activities:</u> i) activity continued</p>	<p><u>Activity:</u></p> <ul style="list-style-type: none"> <li>• Develop a draft template for NTMP that can be fully abide by the WCPFC requirements/CMMs/international institutions, etc.</li> </ul> <p>Verification a draft NTMP developed</p>	<p>10,000</p>
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## Details of Expected Budget

Outcomes	Subindicators	Activities	First year WP				Budget items	Estimated budget	Total budget of first year	
			1st Q	2nd Q	3rd Q	4th Q				
Outcome 1: Improved knowledge of oceanic fish stocks and related ecosystems	1.3.1. Port sampling and data collection initiated in three provinces						Sub-total	31000	159000	
		Develop a 3-year plan for the implementation of port sampling programme					International consultant	3000		
		Rview of the existing data collection forms and sampling protocols (WCPFC will provide) available,					Acomodation	4000		
		Convene WS on port sampling if international experts (Peter Williams, SPC) are available, <u>in conjunction with an internal inception workshop</u> , to complete 1 <sup>st</sup> Quarter activities.					Workshop expense	5000		
		Recruitment of port samplers and training enumerators by national port sampling instructors					DSA	3000		
		Initiate experimental port sampling at one selected ports;					Travel	5000		
		Secure database and data entry					Saleries	5000		
							Miscellaneous	6000		
	1.3.2 Enhanced capacity in monitoring and data collection and analysis		Develop plan for database acquisitions, selection of data managers, etc. – this can be combined with activity 1.3.1					Sub-total		12000
		Recruit/select database manager;					International consultant	2000		
		Develop and adopt data summary format in consultation with Peter Williams during the WS					Workshop expense	3000		
		Activities continued					Accommodation	2000		
							DSA	2000		
							Travel	2000		
						Salaries	1000			
1.3.3 Pilot operational-level						Sub-total	12000			

<b>data collection, with progression to expanded data collection</b>	Develop a plan for the enhancement logbook programme that satisfies the requirements of the WCPFC					Workshop expenses	3000
	Review the existing logbook forms and protocols, including logbook WS report in Indonesia (available from WCPFC)					Travel cost	2000
	Convene a <b>workshop</b> to cover logbook programmes					Accommodation	2000
	Initiate logbook programme to limited fleets					DSA	2000
	Conduct data entry into database					Data entry	1000
	Activities continued					International Consultant	2000
<b>1.3.4 Develop a plan for and implementation of observer programme</b>	Review of the WCPFC requirements in relation to observer programme					<b>Sub-total</b>	<b>3000</b>
	Review of national programmed already implemented					Travel cost	1000
	Activities continued					Salaries	1000
	Develop WCPFC-required observer programme plan					Other	1000
<b>1.3.5 Study tour of port sampling, database manipulation, observerd programmes in [Philippines/Indonesia]</b>	learning experiences in <b>port sampling, database manipulation, observerd programmes</b> of Philippines					<b>Sub-total</b>	<b>26000</b>
	learning experiences in <b>port sampling, database manipulation, observerd programmes</b> of Indonesia					Abroad travel cost	1000
						Air ticket	9000
						Accommodation	5600
						DSA	9000
						Local transportation	500
						Other	900
<b>Outputs 2.1: Improved data for stock assessment</b>	<b>2.1.2 Collaborative tuna tagging activity</b>	Collect information on tuna tag recovery from SPC;					<b>1000</b>
		Strengthening communities' cooperation to collect tuna tags					Salaries





	arrangements									
		Develop a plan for institutional coordination to be fully effective in WCPFC							5000	
	<b>4.2.1 Identify reform necessary to existing arrangements</b>							Sub-total	5000	
		review the Progress Report from 4.1.1. and 4.1.2							5000	
								Sub-total	5000	
<b>Outputs 6.1 Knowledge management system for dissemination of Project-related information, lessons and best practice</b>	<b>6.1.1 Establish appropriate KLM in all countries</b>							CDs	500	
		Develop a plan for the dissemination of project-related knowledge to stakeholders							500	
		Initiate the plan developed at quarter 2							Newsletters	1000
		Activities continued							Website designed	1000
									Maintain website	1000
									Salaries	1000
									Other	500
	<b>6.2.1 National body coordinating provincial and national work</b>	Design a plan for the development of national level tuna association						Sub-total	16000	
		Implement the plan including staff recruitment; system, structure, purchase of equipments, functioning, etc. of the association developed						Office rent (in kind country)	0	
		Activities continued						Working table	400	
								Computers	7000	
								Printer	400	
								Projector	2000	
								Salaries	1000	
								Travel cost	2000	
								Workshop expense	3000	
								Other	200	

## Annual Work Plan (AWP)

Annual Work Plans (AWPs) are prepared every year, on the basis of intended results, strategies, budgets and implementing partners identified in the agency's CPAP, reflecting achievements and lessons learned of the preceding year. They set out interventions organized around outcomes, outputs and/or implementing partners (for example, in the form of projects).

AWPs are informed by the annual programme review and should link with the Monitoring and Evaluation Plan in the CPAP. Furthermore, the AWP is the building blocks for the annual Standard Progress Reports.

1. *What is the Annual Work Plan (AWP)?* - The Annual Work Plan (AWP) provides detailed activity planning and sets out what will be accomplished during the year for a result or a set of results identified in the CPAP. The AWP contains

- the expected outputs
- the activities to be carried out towards achievement of the expected outputs
- the time frame for undertaking the planned activities
- those responsible for carrying out the activities, and
- the inputs to be provided for each activity.

The completion of the activities should lead over time to the achievement of the Country Programme outputs, which in turn contribute to the Country Programme outcomes contained in the CPAP. The AWP provides the basis for the requisition of inputs (cash, supplies, contracts, travel, personnel) and disbursement of funds to carry out planned activities.

2. *Who prepares the Annual Work Plan (AWP)?* - The agency Country Office and the implementing partner (government or other) jointly prepare the AWP, usually at the beginning of a new intervention or after the annual programme review of the preceding year. Each year, detailed AWP are prepared based on the annual review discussions and recommendations. Once the implementing partners agree on the proposed AWP, it is finalized and signed.

3. *How to prepare the Annual Work Plan (AWP)?* - The AWP comprises the following:

a. A cover page – This refers to the relevant UNDAF outcome(s), the Country Programme outcome(s), and Country Programme output(s). It also includes a narrative section, a table with the name and budget code of the intervention, and a table with the estimated budget. These remain unchanged, except for the update on resources if necessary, for the duration of the intervention. The cover page is signed by the implementing partner as well as by the UNDG agency.

b. The annual work plan is set out as a table with the following elements:

- **The expected CP output(s)** that the intervention is expected to contribute to as described in the CPAP.
- For each expected CP output, **all related activities** during the year covered by the AWP (whether funded or unfunded). Monitoring and Evaluation activities, including evaluations, field monitoring visits, technical backstopping missions, and audits should also be listed. A separate monitoring tool is attached.
- **For each activity**, the following:
  - *Time frame*
  - *Responsible party*
  - *Source of funds*
  - *Budget description*
  - *Budget amount*

In case where there are more than one implementing partner responsible for an activity, all are listed with corresponding inputs/budget line and amount and sign the AWP.

## Annual Work Plan (Cover Page)

Country: INDONESIA

**UNDAF Outcome(s):** \_\_\_\_\_

**Expected CP Outcome(s):** \_\_\_\_\_

*(Those that are linked to the intervention and extracted from the CPAP)*

**Expected CP Output(s):** \_\_\_\_\_

*(Those that are linked to the intervention and extracted from the CPAP)*

**Implementing partner:**

Research Centre for Capture Fisheries

**Other Partners:**

Directorate General of Capture Fisheries  
\_\_\_\_\_

The project will specifically aim at tracking progress towards the following two process indicators:

- 1) Enhanced mainstreaming of the conservation, management and sustainable use of shared migratory fish stocks in national level resource management initiatives in Indonesia;
- 2) Development and implementation of national mechanisms to sustain the active participation of Indonesia, in WCPFC processes for the conservation and management of West Pacific East Asia migratory fish stocks;

Programme Period: \_\_\_\_\_  
Programme Component: \_\_\_\_\_  
Intervention Title: \_\_\_\_\_  
Budget Code: \_\_\_\_\_  
Duration: \_\_\_\_\_

Estimated annualized budget: 199,000

Allocated resources: 172,333

- Government 125,000
- Regular \_\_\_\_\_
- Other:
  - Donor \_\_\_\_\_
  - Donor \_\_\_\_\_
  - Donor \_\_\_\_\_

Unfunded budget: \_\_\_\_\_

**Agreed by (Implementing Partner): Western and Central Pacific Fisheries Commission**

**Agreed by (UNDG Agency):** United Nations Development Programme

## Annual Work Plan

Year 2009-2010

EXPECTED CP OUTPUTS and indicators including annual targets	PLANNED ACTIVITIES <i>List all activities including M&amp;E to be undertaken during the year towards stated CP outputs</i>	TIMEFRAME				RESPONSIBLE PARTY	PLANNED BUDGET		
		Q1	Q2	Q3	Q4		Source of Funds	Budget Description	Amount
Outputs 1.2 Implementation of integrated fishery monitoring programs for target and non-target species in Indonesia						Research Centre for Capture Fisheries			
1.2.1 Audit of existing pilot port sampling at two sites, in agreed format						Research Centre for Capture Fisheries		<ul style="list-style-type: none"> <li>• Travel cost (international consultant): US\$ 4,000</li> <li>• Travel cost (local consultant): US\$ 2,000</li> </ul>	6,000
<ul style="list-style-type: none"> <li>• Audit existing pilot port sampling at two sites in agreed format, and develop a plan for extended coverage of port sampling</li> </ul>	Audit port sampling in Kendari.								
	i) Annual regular audit of port sampling and database, ii) Review of data summary								
Verification: i) review of pilot port sampling, ii) move to substantive port sampling scheme if successful	Review report produced Production of audit report, review of data summary report								
1.2.2 Expanded port sampling coverage of tunas and associated species						Research Centre for Capture Fisheries		<ul style="list-style-type: none"> <li>•Sampling equipment US\$ 10,000</li> <li>•Training enumerators: US\$ 5,000</li> <li>•Contractual Services: US\$ 40,000</li> <li>•Travel local supervision: US\$ 5,000</li> </ul>	60,000
<ul style="list-style-type: none"> <li>• Identify issues and select high priorities arising from the EITFDC-3 workshop report to be addressed</li> </ul>	i) select priority activities from EITFDC-3 review report, ii) select three additional landing points for port sampling, iii) hire and train enumerators,								
<ul style="list-style-type: none"> <li>• Develop a detailed work plan of action on the outcome of the EITFDC-3 workshop.</li> </ul>	i) secure and continue cooperation from industry (Bitung and Kendari), ii) pilot port sampling at new landing points e.g. Sorong,. Database acquisition								

	: i) continue port sampling at three main landing points, ii) database acquisition							
• Establish effective port sampling programs at three additionally selected landing points.	i) Continue port sampling at three main landing points, ii) database acquisition Annual regular audit port sampling and database, audit pilot port sampling at Sorong ii) data summary							
Verification: i) database acquisitions, ii) quarterly data summaries, iii) annual national reports, iv) regular annual audit								
1.2.3 Capacity building in responsible agencies for processing and assimilation of catch data for tuna and assoc. species						Research Centre for Capture Fisheries		Office Equipment: Computers, Server, Printers and Software US\$ 15,000 Local consultation US\$ 6,000 Training of data management and development staff US\$ 5,000
• Convene training workshops for i) capacity building in responsible agencies for processing and assimilation of catch data for tuna and assoc. species, ii) database development and data analysis	Provide server at RCCF, workstations including software for all sites Training for data input person at sampling site. Database master creation Special reviews of Kendari sampling program with emphasis on increasing coverage and sampling size. Consolidation, centralization and integration of tuna monitoring data from all sampling sites							
	• Integration and centralization of database at RCCF. • Conduct data validation.							
								26,000

	<ul style="list-style-type: none"> <li>• Development and analyze data that has been collected.</li> <li>• Training database management, data acquisition, data analysis, data summarizing and data reporting at SPC.</li> <li>• Establishment of database management protocol and procedure</li> </ul>								
Verification: i) Computer, Server, Printer and Software provided. ii) Training workshops held; iii) data summaries developed; iv) improved data inputs to regional stock assessments; v) annual reports prepared									
1.2.4 Pilot operational-level data collection, with progression to expanded data collection						Research Centre for Capture Fisheries		Planning workshop: US\$ 5,000 Stationery and distribution cost: US\$ 2,000. Publication cost: US\$ 2,500	9,500
<ul style="list-style-type: none"> <li>• Develop and distribute logsheets to selected vessels/gear, and promote cooperation from industry</li> </ul>	DGCF under OFCF-IOTC project have conducted logbook workshop involving SPC, WCPFC and CSIRO.								
	Monitor and collect logbook data and separate by gear and fishing ground. This will be in collaboration with DGCF.								
	<ul style="list-style-type: none"> <li>• Monitor and collect logbook data and separate by gear and fishing ground.</li> <li>• Conduct workshop on verification and validation of logbook data.</li> </ul>								

	Monitor and collect logbook data and separate by gear and fishing ground. Increase the coverage of logbook implementation. Continue validation of logbook data.								
Verification: i) production of regular data summaries, coverage estimates and quality checks									
Outcome 2: Reduced uncertainty in stock assessments Outputs 2.1: Improved data for stock assessment						Research Centre for Capture Fisheries		<ul style="list-style-type: none"> <li>• International consultant: US\$ 5,000</li> <li>• Workshop cost: US\$ 5,000</li> </ul>	10,000
<ul style="list-style-type: none"> <li>• Convene a data quality control training workshop.</li> </ul>	Data maintenance as daily task of database management								
	Refers to 1.2.3. activities, conduct workshop and hands-on training on data validation with SPC supervision								
	Self data validation and report to WCPFC and SPC. Expert from SPC evaluate the data submitted by RCCF.								
	Trial data input for stock assessment purposes under supervision of SPC experts								
Verification: i) regular preparation of data summaries, ii) well maintenance of database									
2.1.2 Collaborative tuna tagging activity in-country (Philippines, Indonesia)						Research Centre for Capture Fisheries			

<ul style="list-style-type: none"> <li>National counterparts on tagging vessels and effective coordination of publicity and tag recovery.</li> </ul>	Two RCCF scientists participate in the tagging program. Coordination with companies and fishing vessels on publicity and tag recovery.								
	Coordination with companies and fishing vessels on publicity and tag recovery.								
	Coordination with companies and fishing vessels on publicity and tag recovery.								
	Coordination with companies and fishing vessels on publicity and tag recovery.								
Verification: Involvement in, and delivery of, tag-based national tuna fishery assessment and tuna management plan									
2.1.3 National data coordination and research						Research Centre for Capture Fisheries	Contractual services: US\$ 10,000 Office equipments US\$ 2,500	12,500	
<ul style="list-style-type: none"> <li>Coordination of national tuna data and research by National Tuna Coordinator (funding posts for Indonesia and Vietnam)</li> </ul>	Create National Tuna Coordinator (see earlier) Create job description and ToR for NTC; recruit and appoint a person as National Tuna Coordinator.								
	Regular activity of National Tuna Coordinator.								
Verification: NTC appointed Job description established production of Annual Report for WCPFC									



Outcome 4: Participant countries contributing to management of shared migratory stocks Remarks: relevant instruments include UNCLOS, UNFSA, WCPF Convention, FAO Code of Conduct, FAO IPOAs, FAO Compliance Agreement, CCSBT Convention, and IOTC Convention Outputs 4.1 Review of policy and institutional arrangements for oceanic fisheries management						Directorate of Fish Resources Management, Directorate General of Capture Fisheries			
4.1.1 Review of policy and legal arrangements for WCPFC-related matters						Directorate of Fish Resources Management, Directorate General of Capture Fisheries		International consultants: US\$ 20,000 Workshop costs US\$ 30,000 Contractual services (workshop organizer): US\$ 10,000  (Costs combined for 4.1.1 and 4.1.2)	60,000
<ul style="list-style-type: none"> <li>Convene legal and policy training workshops (Indonesia and Vietnam not well prepared; Philippines still needs some revision)</li> </ul>	Preparation of reviews and seminars for the project								
	Hold seminar on status of Indonesian Tuna Fisheries in WCPFC Convention area								
	Workshop on the existing legislation relative to Tuna Fisheries Management and the WCPFC Convention								
Verification: i) production of workshop proceedings, ii) review of current arrangements tabled									
4.1.2 Review of institutional arrangements						Directorate of Fish Resources Management, Directorate General of Capture Fisheries			
<ul style="list-style-type: none"> <li>Undertake institutional arrangements, if national arrangements are not well placed to be fully effective in WCPFC (Indonesia and Vietnam not well prepared)</li> </ul>	Conduct review of existing National Tuna Fisheries management and institutional arrangements								

Verification: i) Review outcomes of the review, ii) production of implementation plan for institutional strengthening									
Outputs 6.2 Establish Tuna Associations (Vietnam, Indonesia) to fully involve industry						Directorate of Fish Resources Management, Directorate General of Capture Fisheries	Stakeholder consultation costs (Bitung) US\$ 15,000	15,000	
6.2.1 National body coordinating provincial and national work <ul style="list-style-type: none"> <li>• Support the development and establishment of tuna associations to fully involve industries</li> <li>• Support the development and establishment of national body coordinating provincial and national work to promote effective national initiatives and reporting procedures</li> </ul>	Hold stakeholder consultation and develop ToRs for northern tuna association								
Verification: i) dissemination of association articles, ii) annual meeting and activities reports, iii) Tuna Association established.									
<b>TOTAL</b>									<b>199,000</b>

# The Annual Work Plan (AWP) Monitoring Tool

Year 2009-2010

CP Component \_\_\_\_\_

Implementing Partner \_\_\_\_\_

<p><b>EXPECTED CP OUTPUTS AND INDICATORS INCLUDING ANNUAL TARGETS</b></p>	<p><b>PLANNED ACTIVITIES</b> <i>List all the activities including monitoring and evaluation activities to be undertaken during the year towards stated CP outputs</i></p>	<p><b>EXPENDITURES</b> <i>List actual expenditures against activities completed</i></p>	<p><b>RESULTS OF ACTIVITIES</b> <i>For each activity, state the results of the activity</i></p>	<p><b>PROGRESS TOWARDS ACHIEVING CP OUTPUTS</b> Using data on annual indicator targets, state progress towards achieving the CP outputs. Where relevant, comment on factors that facilitated and/or constrained achievement of results including:  <ul style="list-style-type: none"> <li>- Whether risks and assumptions as identified in the CP M&amp;E Framework materialized or whether new risks emerged</li> <li>- Internal factors such as timing of inputs and activities, quality of products and services, coordination and/or other management issues</li> </ul> </p>
<p><b>OUTPUT 1:</b> Implementation of integrated fishery monitoring programs for target and non-target species in Indonesia</p>	<p>Audit port sampling in Kendari. i) Annual regular audit of port sampling and database, ii) Review of data summary</p>			
	<p>i) select priority activities from EITFDC-3 review report, ii) select three additional landing points for port sampling, iii) hire and train enumerators</p>			
	<p>i) secure and continue cooperation from industry (Bitung and Kendari), ii) pilot port sampling at new landing points e.g. Sorong,. Database acquisition</p>			

	<p>i) Continue port sampling at three main landing points,  ii) database acquisition  Annual regular audit port sampling and database,  audit pilot port sampling at Sorong ii) data summary</p>			
	<p>Provide server at RCCF,  workstations including software for all sites  Training for data input person at sampling site.</p>			
	<p>Database master creation  Special reviews of Kendari sampling program with emphasis on increasing coverage and sampling size.  Consolidation, centralization and integration of tuna monitoring data from all sampling sites</p>			
	<ul style="list-style-type: none"> <li>• Integration and centralization of database at RCCF.</li> <li>• Conduct data validation.</li> </ul>			
	<ul style="list-style-type: none"> <li>• Development and analyze data that has been collected.</li> <li>• Training database management, data acquisition, data analysis, data summarizing and data reporting at SPC.</li> <li>• Establishment of database management protocol and procedure</li> </ul>			

	DGCF under OFCF-IOTC project have conducted logbook workshop involving SPC, WCPFC and CSIRO.			
	Monitor and collect logbook data and separate by gear and fishing ground. This will be in collaboration with DGCF.			
	<ul style="list-style-type: none"> <li>• Monitor and collect logbook data and separate by gear and fishing ground.</li> <li>• Conduct workshop on verification and validation of logbook data.</li> </ul>			
	Monitor and collect logbook data and separate by gear and fishing ground. Increase the coverage of logbook implementation. Continue validation of logbook data.			
<b>INDICATOR 1 WITH TARGET FOR THE YEAR: 2009 - 2010</b>	i) continue port sampling at three main landing points, ii) database acquisition			
	i) database acquisitions, ii) quarterly data summaries, iii) annual national reports, iv) regular annual audit			
	i) Computer, Server, Printer and Software provided. ii) Training workshops held; iii) data summaries developed; iv) improved data inputs to regional stock assessments; v) annual reports prepared			
	Production of regular data summaries, coverage estimates and quality checks			

<b>OUTPUT 2:</b> Improved data for stock assessment	Data maintenance as daily task of database management			
	Refers to 1.2.3. activities, conduct workshop and hands-on training on data validation with SPC supervision			
	Self data validation and report to WCPFC and SPC. Expert from SPC evaluate the data submitted by RCCF.			
	Trial data input for stock assessment purposes under supervision of SPC experts			
	Two RCCF scientists participate in the tagging program. Coordination with companies and fishing vessels on publicity and tag recovery.			
	Coordination with companies and fishing vessels on publicity and tag recovery.			
	Coordination with companies and fishing vessels on publicity and tag recovery.			
	Coordination with companies and fishing vessels on publicity and tag recovery.			
	Create National Tuna Coordinator (see earlier) Create job description and ToR for NTC; recruit and appoint a person as National Tuna Coordinator.			

	Regular activity of National Tuna Coordinator.			
<b>INDICATOR 2 WITH TARGET FOR THE YEAR: 2009 - 2010</b>	i) regular preparation of data summaries, ii) well maintenance of database			
	Involvement in, and delivery of, tag-based national tuna fishery assessment and tuna management plan			
	NTC appointed Job description established and production of Annual Report for WCPFC			
<b>OUTPUT 3:</b> Review of policy and institutional arrangements for oceanic fisheries management	Preparation of reviews and seminars for the project			
	Hold seminar on status of Indonesian Tuna Fisheries in WCPFC Convention area			
	Workshop on the existing legislation relative to Tuna Fisheries Management and the WCPFC Convention			
	Conduct review of existing National Tuna Fisheries management and institutional arrangements			
	Hold stakeholder consultation and develop ToRs for northern tuna association			
<b>INDICATOR 3 WITH TARGET FOR THE YEAR: 2009-2010</b>	Verification: i) production of workshop proceedings, ii) review of current arrangements tabled			
	i) Review outcomes of the review, ii) production of implementation plan for institutional strengthening			

	Verification: i) dissemination of association articles, ii) annual meeting and activities reports, iii) Tuna Association established.			
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## Annual Work Plan (AWP)

Annual Work Plans (AWPs) are prepared every year, on the basis of intended results, strategies, budgets and implementing partners identified in the agency's CPAP, reflecting achievements and lessons learned of the preceding year. They set out interventions organized around outcomes, outputs and/or implementing partners (for example, in the form of projects).

AWPs are informed by the annual programme review and should link with the Monitoring and Evaluation Plan in the CPAP. Furthermore, the AWP is the building blocks for the annual Standard Progress Reports.

1. *What is the Annual Work Plan (AWP)?* - The Annual Work Plan (AWP) provides detailed activity planning and sets out what will be accomplished during the year for a result or a set of results identified in the CPAP. The AWP contains

- the expected outputs
- the activities to be carried out towards achievement of the expected outputs
- the time frame for undertaking the planned activities
- those responsible for carrying out the activities, and
- the inputs to be provided for each activity.

The completion of the activities should lead over time to the achievement of the Country Programme outputs, which in turn contribute to the Country Programme outcomes contained in the CPAP. The AWP provides the basis for the requisition of inputs (cash, supplies, contracts, travel, personnel) and disbursement of funds to carry out planned activities.

2. *Who prepares the Annual Work Plan (AWP)?* - The agency Country Office and the implementing partner (government or other) jointly prepare the AWP, usually at the beginning of a new intervention or after the annual programme review of the preceding year. Each year, detailed AWP are prepared based on the annual review discussions and recommendations. Once the implementing partners agree on the proposed AWP, it is finalized and signed.

3. *How to prepare the Annual Work Plan (AWP)?* - The AWP comprises the following:

a. A cover page – This refers to the relevant UNDAF outcome(s), the Country Programme outcome(s), and Country Programme output(s). It also includes a narrative section, a table with the name and budget code of the intervention, and a table with the estimated budget. These remain unchanged, except for the update on resources if necessary, for the duration of the intervention. The cover page is signed by the implementing partner as well as by the UNDG agency.

b. The annual work plan is set out as a table with the following elements:

- **The expected CP output(s)** that the intervention is expected to contribute to as described in the CPAP.
- For each expected CP output, **all related activities** during the year covered by the AWP (whether funded or unfunded). Monitoring and Evaluation activities, including evaluations, field monitoring visits, technical backstopping missions, and audits should also be listed. A separate monitoring tool is attached.
- **For each activity**, the following:
  - *Time frame*
  - *Responsible party*
  - *Source of funds*
  - *Budget description*
  - *Budget amount*

In case where there are more than one implementing partner responsible for an activity, all are listed with corresponding inputs/budget line and amount and sign the AWP.

## First Year Work Plan (Cover Page)

Country: Vietnam

**UNDAF Outcome(s):**

**Expected CP Outcome(s):**

*(Those that are linked to the intervention and extracted from the CPAP)*

**Expected CP Output(s):**

*(Those that are linked to the intervention and extracted from the CPAP)*

**Implementing partner:** Bureau of Fisheries and Aquatic Resources (BFAR)

**Other Partners:** National Fisheries Research and Development Institute (NFRDI)

### Narrative

The activities carried out under this project will contribute objectives to: (i) strengthen national capacities in fishery monitoring and assessment (ii) improve knowledge of oceanic fish stocks and reduce uncertainties in stock assessments (iii) strengthen national capacities in oceanic fishery management, especially to manage shared migratory fish stocks and (iv) strengthen national laws, policies and institutions, to implement applicable global and regional instruments. Project also helps Vietnam to fully participate into WCPFC convention and to become formal member of WCPFC.

Programme Period: 7/2009 to 6/2012

Programme Component: 3 components

Title: PIMS 4084 IW MSP West Pacific East Asia

Budget Code: 00049155

Duration: three years

Estimated budget for first year: 277,333 USD

Allocated resources:

- Philippine Government (in kind): 105,000 USD
- GEF: 172,333 USD
- Co-financing other: N/A
- Unfunded budget: N/A

**Agreed by (Implementing Partner):** Bureau of Fisheries and Aquatic Resources

**Agreed by (UNDG Agency):** United Nations Development Programme

## First Year Work Plan

Year 1

EXPECTED CP OUTPUTS	Indicators including annual targets	PLANNED ACTIVITIES <i>List all activities including M&amp;E to be undertaken during the year towards stated CP outputs</i>	TIMEFRAME				RESPONSIBLE PARTY	PLANNED BUDGET			
			Q1	Q2	Q3	Q4		Source of Funds	Budget Description	Amount	
<b>Output 1.3</b>											
<b>Outputs 1.1: Implementation of integrated fishery monitoring programmes for target and non-target species</b>	<b>1.1.1 Extended port sampling coverage of tunas and associated species</b> Establish effective port sampling programmes at four new landing points. Verification: i) database acquisitions, ii) quarterly data summaries, iii) annual national reports.									<b>57,500</b>	
		Selection of 4 landing points, Check-up facilities, Hire and train enumerators, Secure cooperation from industry through formal communication								Miscellaneous	Country counter part
		Preliminary data collection								Contractual services	42,500
		Continue data collection								Office facilities, equipment, supplies and materials, communications	4,000
		Continue data collection									
		Audit port sampling and database, iii) data summary								International Ticket DSA	5,000 3,000
										Travel	2,000
									Miscellaneous	1,000	
		<b>1.1.2 Procedures for the processing of catch data and raising procedure in place</b>									<b>6,000</b>
		Review of current guidelines for the processing of catch data and raising procedures,							Country counter part		
		Coordination with BAS and BFAR							Miscellaneous	1,000	
		Revised guidelines finalized and tested									
		Implementation of new revised guidelines							Accommodation DSA	2,000 1,000	
		Workshop on assessment of effectivity of revised guidelines							Travel	2,000	
		<b>1.1.3 Enhanced operational level data collection (logsheets)</b>									
	increase level of coordination with the Industry							Communication	Country counter part		

	Incremental implementation: High level of logsheet coverage (60%) of large purse seine vessels initially, then smaller purse seine and ring net vessels.	Regular data reporting/ collection									
		Secure cooperation of Industry for smaller purse seine and ring net vessel.									
	<b>1.1.4 Development of strategic plan for observer programme</b> Develop and implement a strategic plan for an observer programme [on selected fleets] in 2010.										<b>5,000</b>
	Training of Observers,								Travel cost International Consultant/ Travel	5,000	
	Training of technical staff for database by SPC										
<b>Output 2.1</b>											
<b>2.1 Improved data for stock assessment</b>	<b>2.1.1 Data quality control training</b> Convene a data quality control training <b>workshop</b> .										<b>5,000</b>
		Establish protocol on data quality control, Convene standardization workshop on data collection, consolidation , and reporting								Workshop Expenses	5,000
		Workshop on assessment of data quality control									
	<b>2.1.2 Collaborative tuna tagging activity in-country (Philippines, Indonesia)</b> <u>National counterparts on tagging vessels and effective coordination of publicity and tag</u>										<b>7,333</b>
		Preparation, distribution of tagging posters								Laptop computer	2,000
		Monitoring of tag recoveries								Projector	
										Printer	333
										Office facilities supplies	1,000
								Travel cost	1,500		
								Accommodation	2,000		

	recovery. – source from co-financing								Communication	500	
									IW expense		
									Salaries		
									Other	1,000	
(Note: Philippines has an existing national tuna coordination)	<b>2.1.3 National data coordination and research</b>									<b>29,000</b>	
		Training of BAS technical staff on species identification and data collection							Travel Expenses	4,000	
		Funding support for quality data collection activities							Salaries	25,000	
<b>Output 3.1</b>											
<b>3.1: Training of national fishery monitoring and stock assessment staff</b>	<b>3.1.1 Data analysis and stock assessment training.</b> Improve capability of national team on stock assessment									<b>18,000</b>	
		Participate in regional and international training programs, on tuna stock assessment, workshops and meetings							Abroad travel	5,000	
									Domestic travel	1,000	
									DSA	4,000	
									Accommodation	2,000	
									Miscellaneous	1,000	
	<b>3.1.2 Database and analytical training</b>									<b>21,500</b>	
		Workshop to establish standardized data acquisition and retrieval, Set-up protocols for data acquisition and retrieval							Domestic Travel/ Air ticket		
		Establishment of data management system, Hiring of data management staff							Salaries	5,000	
									Domestic travel	1,500	
									Equipment	10,000	
									DSA	2,000	
									Accommodation	2,000	
									Miscellaneous	1,000	
	<b>3.1.3 Preparation of WCPFC Annual Reports- Part I.</b> Improve WCPFC annual report									<b>2,000</b>	
		Improve national report following the WCPFC template									
									Personnel	2,000	
<b>Output 4.1</b>											

<b>1</b> <b>Outputs 4.1</b> <b>Review of policy and institutional arrangements for oceanic fisheries management</b>	<b>4.1.1 Review of policy and legal arrangements for WCPFC-related matters</b> Improve legal instruments to become compliant with WCPFC and international agreements									<b>6,500</b>
		Hire legal consultant to review, evaluate existing legal instruments and recommend improvements							Abroad travel	2,500
		Consultation workshops on improving legal instruments for compliance with WCPFC and international agreements							Workshop expense	3,000
		Drafting of legal instruments								
								Domestic travel		
								DSA		
								Accommodation		
								Miscellaneous	1,000	
										<b>6,500</b>
<b>4.1.2 Review of institutional arrangements</b> Improve institutional instruments to become compliant with WCPFC and international agreements	Hire legal consultant to review, evaluate existing institutional arrangements and recommend improvements							Consultants	2,500	
	Consultation workshops on improving institutional arrangements for compliance with WCPFC and international agreements							Workshop expense	3,000	
	Drafting of plan of action for institutional arrangements									
								Miscellaneous	1,000	
<b>Output 4.2</b>										
<b>Outputs 4.2</b> <b>Strategy to support national reform</b>	<b>4.2.1 Identify reform necessary to existing arrangements</b> Implement critical reforms to improve institutional arrangement for management of oceanic stocks									<b>2,000</b>
		Identify and prioritize reforms in institutional arrangements critical to management of oceanic species								2,000
									Domestic travel	
									DSA	
									Accommodation	
							Miscellaneous			
<b>Output 5.1</b>										
<b>5.1</b> <b>Implementation of the WCPF Convention and related instruments</b>	<b>5.1.1 Prepare checklist of compliance shortfalls</b> Improve compliance to									<b>2,000</b>
		Consultation workshop to determine compliance and shortfalls including recommended actions							Workshop Expenses	2,000

	WCPFC agreements											
<b>Output 6.1</b>												
<b>Outputs 6.1 Knowledge management system for dissemination of Project-related information, lessons and best practice</b>	<b>6.1.1 Establish appropriate KLM in all countries</b> Establishment of system for the dissemination of relevant information on oceanic species management									<b>2,000</b>		
		Establishment of relevant office and designation of staff for the KLM; Compilation of materials on lessons and best practices									2,000	
		Consultation workshop with key stakeholders to determine long term activities related to KLM; Identification and selection of appropriate IEC materials for dissemination										
		Printing and distribution of materials										
		Continue printing and distribution of materials										
		Development of new information materials										
<b>Output 7.1</b>												
<b>Outputs 7.1 Revision of National Tuna Management Plans (Philippines)</b>	<b>7.1.1 Assistance provided for the revision of the NTMP</b>									<b>2,000</b>		
		Stakeholders workshop and consultation on the revision of the NTMP								Consultant	2,000	
		Drafting of revised NTMP Verification i) Workshop report										
									<b>TOTAL</b>	<b>172,333</b>		

# The Annual Work Plan (AWP) Monitoring Tool

Year \_\_\_\_\_

CP Component \_\_\_\_\_

Implementing Partner \_\_\_\_\_

<b>EXPECTED CP OUTPUTS AND INDICATORS INCLUDING ANNUAL TARGETS</b>	<b>PLANNED ACTIVITIES</b> <i>List all the activities including monitoring and evaluation activities to be undertaken during the year towards stated CP outputs</i>	<b>EXPENDITURES</b> <i>List actual expenditures against activities completed</i>	<b>RESULTS OF ACTIVITIES</b> <i>For each activity, state the results of the activity</i>	<b>PROGRESS TOWARDS ACHIEVING CP OUTPUTS</b> Using data on annual indicator targets, state progress towards achieving the CP outputs. Where relevant, comment on factors that facilitated and/or constrained achievement of results including: <ul style="list-style-type: none"> <li>- Whether risks and assumptions as identified in the CP M&amp;E Framework materialized or whether new risks emerged</li> <li>- Internal factors such as timing of inputs and activities, quality of products and services, coordination and/or other management issues</li> </ul>
<b>OUTPUT 1:</b>  <b>INDICATOR 1.1 WITH TARGET FOR THE YEAR:</b>  <b>INDICATOR 1.2 WITH TARGET FOR THE YEAR:</b>  <b>INDICATOR 1.3 WITH TARGET FOR THE YEAR:</b>				
<b>OUTPUT 2:</b>  <b>INDICATOR 2.1 WITH TARGET FOR THE YEAR:</b> <b>ETC.</b>				





West Pacific East Asia  
Oceanic Fisheries Management

PROJECT INCEPTION WORKSHOP  
3-4 July 2009, Cebu, Philippines



ANNUAL WORK PLAN - PHILIPPINES

WPEA-2009/IW-13

EXECUTIVE SUMMARY (EXAMPLE)

Indicators	Key Activities	Means of Verification	Sub-total Budget (USD)	Remarks
<b>1.1.1 Extended port sampling coverage of tunas and associated species</b>	<ul style="list-style-type: none"> <li>Establish effective port sampling programmes at (four) new landing points.</li> </ul>	<ul style="list-style-type: none"> <li>i) database acquisitions</li> <li>ii) quarterly data summaries</li> <li>iii) annual national reports.</li> </ul>		Remarks: less (not non) support to technical assistance
<b>1.1.2 Procedures for the processing of catch data and raising procedure in place</b>	<ul style="list-style-type: none"> <li>Establish guidelines for revised procedures for the processing of catch data and raising procedures.</li> <li>BAS – estimated total catch</li> <li>BFAR – scientific data for fisheries management</li> </ul>	<ul style="list-style-type: none"> <li>review of outcome of revised procedures</li> <li>ii) audit of inputs to regional/national database (port sampling data audits)</li> </ul>		Remarks: <ul style="list-style-type: none"> <li>Include rescue of historical data</li> <li>Tony/Peter will audit database – travel fee</li> <li>Funding NMFS budget here - \$50K</li> </ul> funding support for the Improvement of BAS data
<b>1.1.3 Enhanced operational level data collection (logsheets)</b>	<ul style="list-style-type: none"> <li>Incremental implementation: High level of logsheet coverage (60%) of large purse seine vessels initially, then smaller purse seine and ring net vessels.</li> </ul>	<ul style="list-style-type: none"> <li>producing regular data summaries from logsheets</li> </ul>		<ul style="list-style-type: none"> <li>Currently applied to Large-scale PS vessels and LL, no application to small PS, HL, ringnet</li> <li>Operstional costs for the implementation of Logbook programme to small PS, HL,</li> </ul>

				ringnet
<b>1.1.4 Development of strategic plan for observer programme</b>	<ul style="list-style-type: none"> <li>Develop and implement a strategic plan for an observer programme [on selected fleets] in 2010.</li> </ul>	<ul style="list-style-type: none"> <li>i) observer reports incorporated in observer database</li> <li>ii) annual reports, iii) data summaries including non-target species for ecosystem-based fisheries management (EBFM)</li> </ul>		<ul style="list-style-type: none"> <li>Need technical assistance for developing strategic plan and establishing observer programme database – Philippines will complete this item</li> <li>SPC's hands-on training on database</li> </ul>
<b>2.1.1 Data quality control training</b>	<ul style="list-style-type: none"> <li>Convene a data quality control training workshop.</li> </ul>	<ul style="list-style-type: none"> <li>i) regular preparation of data summaries,</li> <li>ii) well maintenance of database</li> </ul>		<ul style="list-style-type: none"> <li>Data from the integrated national monitoring programmes will gradually be incorporated into the regional stock assessments by SPC.</li> <li>Workshops run by appropriate regional consultants, provide training on data collection, database development and maintenance, data quality control, basic data analysis and dissemination. That is, national capacity to implement, coordinate and</li> </ul>

				<p>maintain monitoring programmes will be provided through workshops and hands-on training.</p> <ul style="list-style-type: none"> <li>Funding (from NMFS budget) for this training WS, in conjunction with item 1.1.2 – can request SPC’s assistance – country’s responsibility</li> </ul> <p>May need international consultancies (one each country)</p>
<p><b>2.1.2 Collaborative tuna tagging activity in-country (Philippines, Indonesia)</b></p>	<ul style="list-style-type: none"> <li><u>National counterparts</u> on tagging vessels and effective coordination of publicity and tag recovery. – source from co-financing</li> </ul>	<p>Involvement in, and delivery of, tag-based national tuna fishery assessment and tuna management plan.</p>		<p>Analysis of the tagging data at national level, which will involve national scientists, will contribute to the development of national tuna management plans, whereas the wider regional data will provide critical new input to regional assessments.</p>
<p><b>2.1.3 National data coordination and research (where currently absent)</b> (Note: Philippines has an existing national tuna coordination)</p>	<p>Coordination of national tuna data and research by <b>National Tuna Coordinator</b> (funding posts for Indonesia and Vietnam only – such a position already exists in Philippines!)</p>	<p>production of Scientific Data to be provided to the Commission by 30 April for WCPFC</p>		<p>Remarks:</p> <ul style="list-style-type: none"> <li>Coordination at national level, for tagging project support, data submission and other information which will feed into the stock</li> </ul>

				<p>assessment process, will be provided by National Tuna Coordinators in Indonesia and Vietnam who will also oversee the production of annual reports to the Commission and fishery status reports</p> <ul style="list-style-type: none"> <li>• Funding support for quality scientific data for WCPFC including funding support for the Improvement of BAS data</li> </ul> <p>Funding support for training BAS technical staff on species identification</p>
<p><b>3.1.1 Data analysis and stock assessment training</b></p>	<ul style="list-style-type: none"> <li>• Participate in stock assessment workshops; meetings; study – participate in SPC SA WS – funding from GEF and JTF, May fund WS too (trainers)</li> </ul>	<ul style="list-style-type: none"> <li>• i) Uptake of capacity reflected in national representation in WCPFC Scientific Committee;</li> <li>• ii) production of annual fishery status report = AR-Part1 adopted at SC4]– outcome is expected to be in 2<sup>nd</sup> year</li> </ul>		<p>Stock assessment workshops will be conducted to build capacity to both undertake basic assessments at national level and importantly, interpret regional assessments for national awareness and necessary action.</p>
<p><b>3.1.2 Database and analytical training</b></p>	<ul style="list-style-type: none"> <li>• Convene training workshops for i) database acquisitions used in stock assessments, ii) quarterly</li> </ul>	<p>Database acquisitions used in stock assessments;</p>		

	<p>data summaries</p> <ul style="list-style-type: none"> <li>Philippines have database for SA, Logsheet, port sampling, observers but need training from data entry, quality control, maintenance, etc. – support Training WSs</li> <li>Audit of inputs to regional / national database – national / regional verification of fishery data – funding support for external audit</li> </ul>	<p>quarterly data summaries; [audit of inputs to regional databases]</p>		
<p><b>3.1.3 Preparation of national fishery status reports[WCPFC Annual Reports-Part 1]</b></p>	<ul style="list-style-type: none"> <li>Using WCPFC Annual Report – Part 1, submit national fishery status report to WCPFC and sharing with national stakeholders</li> </ul>	<p>Regular submission of status reports to WCPFC and sharing with national stakeholders</p>		<ul style="list-style-type: none"> <li>The template of national fishery status report will be WCPFC Annual Report – Part 1.</li> <li>National fishery status reports, which would include analyses of available monitoring data, fishery catches and trends, stock status, as far as it is known, catch disposal, processing and export figures, and ecosystem issues, would be regularly prepared, initially with the assistance of a regional consultant, but eventually in-house as capacity develops.</li> </ul>

<p><b>4.1.1 <u>Review of policy and legal arrangements for WCPFC-related matters</u></b></p>	<p>Convene legal and policy training / review workshops (Indonesia and Vietnam not well prepared; Philippines still needs some revision) – funding for international consultants, local consultants, - one national WS, one regional WS (optional) in the first year</p>	<ul style="list-style-type: none"> <li>• production of workshop proceedings, ii) review of current arrangements tabled</li> </ul>		<ul style="list-style-type: none"> <li>• Comprehensive reviews of existing legal issues and national legal structures would be undertaken, via the medium of national or tri-nation workshops, then any necessary changes in laws, regulations, and agreements identified, to bring legal structures in line with the requirements of the Convention. Training of policy makers and legal personnel may also need to be provided.</li> <li>• National policy with respect to oceanic fisheries management would be reviewed and training/awareness-raising for policy makers, fisheries technical personnel and stakeholders provided as necessary.</li> </ul>
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				Preparation of a national tuna management plan involving all stakeholders in extensive consultation would be the end product of this process
<b>4.1.2 Review of institutional arrangements</b>	Undertake institutional arrangements, if national arrangements are not well placed to be fully effective in WCPFC (Indonesia and Vietnam not well prepared)	Review outcomes of the review, ii) production of implementation plan for institutional strengthening		<ul style="list-style-type: none"> <li>Review existing national oceanic fisheries management structures, and institute reforms necessary to enable full and effective participation of the relevant institutions in the work of the WCPFC.</li> <li>May need funding for institutional arrangements – subject to country's decision, can be assisted by international or local consultants</li> </ul>
<b>4.2.1 Identify reform necessary to existing arrangements</b>	<ul style="list-style-type: none"> <li>Implement the proposed initiatives (implementation plan) from 4.1.2, if established</li> </ul>			<ul style="list-style-type: none"> <li>Revise national legislation is country's responsibility</li> <li>No funding required</li> <li>Action item from 4.1.1 and 4.1.</li> </ul>
<b>5.1.1 Prepare</b>	<ul style="list-style-type: none"> <li>Prepare checklist of compliance</li> </ul>	Implementation of		

<b>checklist of compliance shortfalls</b>	shortfalls on the WCPF Convention requirements not fully adopted by Philippines <ul style="list-style-type: none"> <li>• Need WS per country with international consultants – funding from GEF and AusAID</li> </ul>	necessary actions; more effective participation in WCPFC		
<b>6.1.1 Establish appropriate KLM in all countries</b>	Develop and establish appropriate KLM systems services (knowledge management system)	Regular and systematic dissemination of Project-related information, lessons and best practice to stakeholders		Funding from GEF and AusAID for establishing KLM system
<b>6.2.1 National body coordinating provincial and national work</b>	<ul style="list-style-type: none"> <li>• Support the development and establishment of tuna associations to fully involve industries No need for funding support for PH</li> <li>• Support the development and establishment of national body coordinating provincial and national work to promote effective national initiatives and reporting procedures – may need funding support</li> </ul>	<ul style="list-style-type: none"> <li>• dissemination of association articles,</li> <li>• ii) annual meeting and activities reports</li> </ul>		<ul style="list-style-type: none"> <li>• Support to establish and incorporate these associations would initially be provided by the project, but the associations would eventually become self-sustaining.</li> </ul>
<b>7.1.1 Assistance provided to develop NTMPs in two countries and revise in the third</b>	<ul style="list-style-type: none"> <li>• Update a template for NTMP, if necessary</li> <li>• Convene a workshop to revise NTMP compatible with WCPFC CMMs, to be launched and integrated in national policy</li> </ul>	NTMP prepared, launched and integrated in national policy		<ul style="list-style-type: none"> <li>• The culmination of many of the activities proposed under the project would be the development of national tuna management plans in two countries (Indonesia, Vietnam) and the regular revision of an existing plan in another (Philippines). These plans, developed with</li> </ul>



				<p>external assistance as necessary, would need to be compatible with existing WCPFC conservation and management measures, yet reflect national development aspirations and sovereignty, and draw on experience gained during the project.</p> <ul style="list-style-type: none"> <li>• Support WS and international consultancies</li> </ul>

## INTRODUCTION

Briefly describe background of this project, purpose, goals and expected outcomes from participating this project, country's in-kind contribution, etc. This work plan includes:

- Quarterly time-frames basis detailing the activities and precise and measurable performance indicators in a manner consistent with the expected outcomes for the project, following reviewing the logframe (indicators, means of verification, assumptions) and imparting additional detail as needed
- Detailed project budget,
- Monitoring and evaluation requirements to measure project performance,
- Detailed narrative on the institutional roles, responsibilities, coordinating actions and feedback mechanisms of project related partners,
- Dates of specific field visits, and time-frames for meetings of the project's decision making structures, internal inception workshops, training workshops and any other purposes, and
- Support missions from the WCPFC, the UNDP/GEF team, members of the PSC or contracted experts.

## FIRST YEAR ANNUAL WORK PLAN

## General Activities

### 1) Domestic Inception WS

#### Introduction:

The fundamental objectives of the domestic inception workshop are:

- i) To introduce to national and international stakeholders who may be able to involve during implementation of the project to understand and realize their roles and responsibilities
- ii) To enhance cooperation between stakeholders during implementation.
- iii) To introduce national project coordinator.

#### Expected outcomes:

- iv) Workshop final report
- v) Agreement of stakeholder in fully and effective involving during project implementation

**Detailed activity:** (see detail on the attachment)

**Date and place:** (not defined) place: Hanoi, Vietnam. (Invitations prepared)

**Executing agency:** Bureau of Fisheries and Aquatic Resources

#### Detailed budget implication:

<b>Domestic Inception WS</b>				
<b>Budget Description</b>	<b>Pax</b>	<b>Day</b>	<b>Unit (US\$)</b>	<b>Amount (US\$)</b>
Venue rent				
Interpretation				
Workshop package				
Rental - A/V equipment				
Administrative/Secretarial assistance				
Miscellaneous (incl. printing, photocopy, communication, postage, catering)				
Travel (non-resident people)				
DSA (non-resident people)				
Translation				
Contingencies (5%)				
<b>TOTAL</b>				

### 2) Other Activities

**Project component 1. Monitoring, data enhancement and fishery assessment**

**Outcome 1. Improved knowledge of oceanic fish stocks and related ecosystems**

**Outputs 1.1 Implementation of integrated fishery monitoring programs for target and non-target species in the Philippines**

**Indicator 1.1.1 Extended port sampling coverage of tunas and associated species**

### 1) Specific Targets

- Effective port sampling programmes established at 4 new landing points in Philippines

### 2) Indicators

a. Progress indicators:

b. Impact indicators:

### 3) Risks

a. Identification of risks:

b. Classification: medium level

### 4) Quarterly time frames

a. 1<sup>st</sup> Quarter:

i) Activities: Selection of 4 landing points, Check-up facilities, Hire and train enumerators;  
Secure cooperation from industry through formal communication

ii) Means of verification: Number of landing points; Number of sampling activities,  
Permission from industries for port sampling, Ready to initiate port sampling

b. 2<sup>nd</sup> Quarter:

i) Activities: Preliminary data collection

ii) Means of verification: Data summary report

c. 3<sup>rd</sup> Quarter

i) Activities: Continue data collection

ii) Means of verification: Data summary report

d. 4<sup>th</sup> Quarter

i) Activities: Continue data collection; Audit port sampling and database, iii) data  
summary

ii) Means of verification: Data summary report; production of audit report, data summary  
report

### 5) Detailed budget

- Office facilities, equipments, vehicles & communications:
- Personnel:
- Miscellaneous:

6) **Remarks:** less (not non) support to technical assistance

## Indicator 1.1.2 Procedures for the processing of catch data and raising procedure in place

### 7) Specific Targets

- Guidelines for revised procedures and their adoption

### 8) Indicators

a. Progress indicators:

b. Impact indicators:

### 9) Risks

a. Identification of risks:

b. Classification: medium level

### 10) Quarterly time frames

a. 1<sup>st</sup> Quarter:

i) Activities: Review of current guidelines for the processing of catch data and raising  
procedures, Coordination with BAS and BFAR

ii) Means of verification: Comparison of procedures by BAS and BFAR

b. 2<sup>nd</sup> Quarter:

i) Activities: Revised guidelines finalized and tested

ii) Means of verification: Summary reports of raised catch data

c. 3<sup>rd</sup> Quarter

i) Activities: Implementation of new revised guidelines

- ii) Means of verification: Summary reports of raised catch data
- d. 4<sup>th</sup> Quarter
  - i) Activities: Implementation of new revised guidelines; Workshop on assessment of effectivity of revised guidelines
  - ii) Means of verification: Summary reports of raised catch data; Workshop report and recommendation

**11) Detailed budget**

- Office facilities, equipments, vehicles & communications:
- Personnel:
- Miscellaneous:

**12) Remarks:** Include rescue of historical data; Tony/Peter will audit database – travel fee; Funding NMFS budget here - \$50K; funding support for the Improvement of BAS data

**Indicator 1.1.3 Enhanced operational level data collection (logsheets)**

**13) Specific Targets**

- High level of logsheet coverage (60%) of large purse seine vessels initially, then smaller purse seine and ring net vessels

**14) Indicators**

- a. Progress indicators:
- b. Impact indicators:

**15) Risks**

- a. Identification of risks:
- b. Classification: medium level

**16) Quarterly time frames**

- a. 1<sup>st</sup> Quarter:
  - i) Activities: increase level of coordination with the Industry
  - ii) Means of verification: Summary of operational data collected; Regular data summaries from logsheets
- b. 2<sup>nd</sup> Quarter:
  - i) Activities: Regular data reporting/ collection; Secure cooperation of Industry for smaller purse seine and ring net vessel.
  - ii) Means of verification: Regular data summaries from logsheets
- c. 3<sup>rd</sup> Quarter
  - i) Activities: Regular data reporting/ collection
  - ii) Means of verification: Regular data summaries from logsheets
- d. 4<sup>th</sup> Quarter
  - i) Activities: Regular data reporting/ collection
  - ii) Means of verification: Regular data summaries from logsheets

**17) Detailed budget**

- Office facilities, equipments, vehicles & communications:
- Personnel:
- Miscellaneous:

**18) Remarks** Currently applied to Large-scale PS vessels and LL, no application to small PS , HL, ringnet; Operational costs for the implementation of Logbook programme to small PS , HL, ringnet

**Indicator 1.1.4 Development of strategic plan for observer programme**

**19) Specific Targets**

- Observer programme established on selected fleets in 2010

## 20) Indicators

- a. Progress indicators:
- b. Impact indicators:

## 21) Risks

- a. Identification of risks:
- b. Classification: medium level

## 22) Quarterly time frames

- a. 1<sup>st</sup> Quarter:
  - i) Activities: Training of Observers, Training of technical staff for database by SPC
  - ii) Means of verification: Observer reports incorporated in observer database, annual reports, data summaries and EBFM; Technical staff trained
- b. 2<sup>nd</sup> Quarter:
  - i) Activities: Training of Observers
  - ii) Means of verification: Observer reports incorporated in observer database, annual reports, data summaries and EBFM
- c. 3<sup>rd</sup> Quarter:
  - i) Activities: Training of Observers
  - ii) Means of verification: Observer reports incorporated in observer database, annual reports, data summaries and EBFM
- d. 4<sup>th</sup> Quarter:
  - i) Activities: Training of Observers
  - ii) Means of verification: Observer reports incorporated in observer database, annual reports, data summaries and EBFM

## 23) Detailed budget

- Office facilities, equipments, vehicles & communications:
- Personnel:
- Miscellaneous:

24) **Remarks** Need technical assistance for developing strategic plan and establishing observer programme database; SPC's hands-on training on database

## Outcome 2. Reduced uncertainty in stock assessments

### Outputs 2.1 Improved data for stock assessment

#### Indicator 2.1.1 Data quality control training

## 25) Specific Targets

- Improve quality of data collected

## 26) Indicators

- a. Progress indicators:
- b. Impact indicators:

## 27) Risks

- a. Identification of risks:
- b. Classification: medium level

## 28) Quarterly time frames

- a. 1<sup>st</sup> Quarter:
  - i) Activities: Establish protocol on data quality control; Convene standardization workshop on data collection, consolidation, and reporting
  - ii) Means of verification: Agreed protocol on data quality control; Data summaries; databases
- b. 4<sup>th</sup> Quarter

- i) Activities: Workshop on assessment of data quality control
- ii) Means of verification: Workshop report and recommendation; Data summaries; databases

**29) Detailed budget**

- Office facilities, equipments, vehicles & communications:
- Personnel:
- Miscellaneous:

**30) Remarks** Data from the integrated national monitoring programmes will gradually be incorporated into the regional stock assessments by SPC.; Workshops run by appropriate regional consultants, provide training on data collection, database development and maintenance, data quality control, basic data analysis and dissemination. That is, national capacity to implement, coordinate and maintain monitoring programmes will be provided through workshops and hands-on training.; Funding (from NMFS budget) for this training WS, in conjunction with item 1.1.2 – can request SPC’s assistance – country’s responsibility; May need international consultancies (one each country)

**Indicator 2.1.2 Collaborative tuna tagging activity in-country (Philippines, Indonesia)**

**31) Specific Targets**

- National counterparts on tagging vessels; effective coordination of publicity and tag recovery

**32) Indicators**

- a. Progress indicators:
- b. Impact indicators:

**33) Risks**

- a. Identification of risks:
- b. Classification: medium level

**34) Quarterly time frames**

- a. 1<sup>st</sup> Quarter:
  - i) Activities: Preparation, distribution of tagging posters; Monitoring of tag recoveries
  - ii) Means of verification: Tagging posters distributed; Summary report of tags recovered
- b. 2<sup>nd</sup> Quarter:
  - i) Activities: Monitoring of tag recoveries
  - ii) Means of verification: Summary report of tags recovered
- c. 3<sup>rd</sup> Quarter:
  - i) Activities: Monitoring of tag recoveries
  - ii) Means of verification: Summary report of tags recovered
- d. 4<sup>th</sup> Quarter:
  - i) Activities: Monitoring of tag recoveries
  - ii) Means of verification: Summary report of tags recovered

**35) Detailed budget**

- Office facilities, equipments, vehicles & communications:
- Personnel:
- Miscellaneous:

**36) Remarks** Analysis of the tagging data at national level, which will involve national scientists, will contribute to the development of national tuna management plans, whereas the wider regional data will provide critical new input to regional assessments

**Indicator 2.1.3 National data coordination and research (where currently absent)**

**37) Specific Targets**

- Improve capability of technical staff to conduct tuna data collection and research, (Note: Philippines has an existing national tuna coordination)

**38) Indicators**

- a. Progress indicators:
- b. Impact indicators:

**39) Risks**

- a. Identification of risks:
- b. Classification: medium level

**40) Quarterly time frames**

- a. 1<sup>st</sup> Quarter:
  - i) Activities: Training of BAS technical staff on species identification and data collection; Funding support for quality data collection activities
  - ii) Means of verification: Number of staff trained; Annual reports for WCPFC as primary output
- b. 2<sup>nd</sup> Quarter:
  - i) Activities: Training of BAS technical staff on species identification and data collection; Funding support for quality data collection activities
  - ii) Means of verification: Number of staff trained; Annual reports for WCPFC as primary output
- c. 3<sup>rd</sup> Quarter
  - i) Activities: Training of BAS technical staff on species identification and data collection; Funding support for quality data collection activities
  - ii) Means of verification: Number of staff trained; Annual reports for WCPFC as primary output
- d. 4<sup>th</sup> Quarter
  - i) Activities: Training of BAS technical staff on species identification and data collection; Funding support for quality data collection activities
  - ii) Means of verification: Number of staff trained; Annual reports for WCPFC as primary output

**41) Detailed budget**

- Office facilities, equipments, vehicles & communications:
- Personnel:
- Miscellaneous:

**42) Remarks** Coordination at national level, for tagging project support, data submission and other information which will feed into the stock assessment process, will be provided by National Tuna Coordinators in Indonesia and Vietnam who will also oversee the production of annual reports to the Commission and fishery status reports, Funding support for quality scientific data for WCPFC including funding support for the Improvement of BAS data, Funding support for training BAS technical staff on species identification

**Outcome 3. National capacities in oceanic fishery monitoring and assessment strengthened**

**Outputs 3.1 Training of national fishery monitoring and stock assessment staff**

**Indicator 3.1.1 Data analysis and stock assessment training**

**43) Specific Targets**

- Improve capability of national team on stock assessment

**44) Indicators**

- a. Progress indicators:

b. Impact indicators:

**45) Risks**

a. Identification of risks:

b. Classification: medium level

**46) Quarterly time frames**

a. 1<sup>st</sup> Quarter:

i) Activities: Participate in regional and international training programs on tuna stock assessment; Studentship or post-graduate studies on stock assessment

ii) Means of verification: Training programs participated; Students sent for studies

b. 3<sup>rd</sup> Quarter

i) Activities: Participate in regional and international training programs on tuna stock assessment; Studentship or post-graduate studies on stock assessment

ii) Means of verification: Training programs participated; Students sent for studies

**47) Detailed budget**

- Office facilities, equipments, vehicles & communications:
- Personnel:
- Miscellaneous:

**48) Remarks** Stock assessment workshops will be conducted to build capacity to both undertake basic assessments at national level and importantly, interpret regional assessments for national awareness and necessary action.

**Indicator 3.1.2 Database and analytical training**

**49) Specific Targets**

- Centralized data system

**50) Indicators**

a. Progress indicators:

b. Impact indicators:

**51) Risks**

a. Identification of risks:

b. Classification: medium level

**52) Quarterly time frames**

a. 1<sup>st</sup> Quarter:

i) Activities: Workshop to establish standardized data acquisition and retrieval; Set-up protocols for data acquisition and retrieval

ii) Means of verification: Workshop report; Data access protocol

b. 2<sup>nd</sup> Quarter:

i) Activities: Establishment of data management system; Hiring of data management staff

ii) Means of verification: Establishment of office and acquisition of equipment; Data manager and staff hired

**53) Detailed budget**

- Office facilities, equipments, vehicles & communications:
- Personnel:
- Miscellaneous:

**54) Remarks**

**Indicator 3.1.3 Preparation of national fishery status reports[WCPFC Annual Reports-Part 1]**

**55) Specific Targets**

- Improve WCPFC annual report



## 56) Indicators

- a. Progress indicators:
- b. Impact indicators:

## 57) Risks

- a. Identification of risks:
- b. Classification: medium level

## 58) Quarterly time frames

- a. 1<sup>st</sup> Quarter:
  - i) Activities: Improve national report following the WCPFC template
  - ii) Means of verification: Compliance to agreed template

## 59) Detailed budget

- Office facilities, equipments, vehicles & communications:
- Personnel:
- Miscellaneous:

**60) Remarks** The template of national fishery status report will be WCPFC Annual Report – Part 1.; National fishery status reports, which would include analyses of available monitoring data, fishery catches and trends, stock status, as far as it is known, catch disposal, processing and export figures, and ecosystem issues, would be regularly prepared, initially with the assistance of a regional consultant, but eventually in-house as capacity develops.

## Project component 2. Policy, institutional strengthening and fishery management

### Outcome 4. Participant countries contributing to management of shared migratory stocks

#### Outputs 4.1 Review of policy and institutional arrangements for oceanic fisheries management

##### Indicator 4.1.1 Review of policy and legal arrangements for WCPFC-related matters

## 61) Specific Targets

- Improve legal instruments to become compliant with WCPFC and international agreements

## 62) Indicators

- a. Progress indicators:
- b. Impact indicators:

## 63) Risks

- a. Identification of risks:
- b. Classification: medium level

## 64) Quarterly time frames

- a. 1<sup>st</sup> Quarter:
  - i) Activities: Hire legal consultant to review, evaluate existing legal instruments and recommend improvements
  - ii) Means of verification: Evaluation and recommendation report
- b. 2<sup>nd</sup> Quarter:
  - i) Activities: Consultation workshops on improving legal instruments for compliance with WCPFC and international agreements
  - ii) Means of verification: Workshop agreements and plan of actions
- c. 3<sup>rd</sup> Quarter:
  - i) Activities: Drafting of legal instruments
  - ii) Means of verification: Draft legal instruments

## 65) Detailed budget

- Office facilities, equipments, vehicles & communications:
- Personnel:

- Miscellaneous:

**66) Remarks** Comprehensive reviews of existing legal issues and national legal structures would be undertaken, via the medium of national or tri-nation workshops, then any necessary changes in laws, regulations, and agreements identified, to bring legal structures in line with the requirements of the Convention. Training of policy makers and legal personnel may also need to be provided; National policy with respect to oceanic fisheries management would be reviewed and training/awareness-raising for policy makers, fisheries technical personnel and stakeholders provided as necessary. Preparation of a national tuna management plan involving all stakeholders in extensive consultation would be the end product of this process.

#### **Indicator 4.1.2 Review of institutional arrangements**

##### **67) Specific Targets**

- Improve institutional instruments to become compliant with WCPFC and international agreements

##### **68) Indicators**

- Progress indicators:
- Impact indicators:

##### **69) Risks**

- Identification of risks:
- Classification: medium level

##### **70) Quarterly time frames**

- 1<sup>st</sup> Quarter:
  - Activities: Hire legal consultant to review, evaluate existing institutional arrangements and recommend improvements
  - Means of verification: Evaluation and recommendation report
- 2<sup>nd</sup> Quarter:
  - Activities: Consultation workshops on improving institutional arrangements for compliance with WCPFC and international agreements
  - Means of verification: Workshop agreements
- 3<sup>rd</sup> Quarter
  - Activities: Drafting of plan of action for institutional arrangements
  - Means of verification: National plan of actions on institutional arrangement drafted

##### **71) Detailed budget**

- Office facilities, equipments, vehicles & communications:
- Personnel:
- Miscellaneous:

**72) Remarks** Review existing national oceanic fisheries management structures, and institute reforms necessary to enable full and effective participation of the relevant institutions in the work of the WCPFC.; May need funding for institutional arrangements – subject to country's decision, can be assisted by international or local consultants

#### **Outputs 4.2 Strategy to support national reform**

##### **Indicator 4.2.1 Identify reform necessary to existing arrangements**

##### **73) Specific Targets**

- Implement critical reforms to improve institutional arrangement for management of oceanic stocks

##### **74) Indicators**

- Progress indicators:

b. Impact indicators:

**75) Risks**

a. Identification of risks:

b. Classification: medium level

**76) Quarterly time frames**

a. 1<sup>st</sup> Quarter:

i) Activities: Identify and prioritize reforms in institutional arrangements critical to management of oceanic species

ii) Means of verification: List of prioritized reforms in institutional arrangement

**77) Detailed budget**

- Office facilities, equipments, vehicles & communications:
- Personnel:
- Miscellaneous:

**78) Remarks:** Revise national legislation is country's responsibility, No funding required, Action item from 4.1.1 and 4.1.2

**Outcome 5 National laws, policies and institutions strengthened. To implement applicable global and regional instruments**

**Outputs 51 Implementation of the WCPF Convention and related instruments**

**Indicator 5.1.1 Prepare checklist of compliance shortfalls**

**79) Specific Targets**

- Improve compliance to WCPFC agreements

**80) Indicators**

a. Progress indicators:

b. Impact indicators:

**81) Risks**

a. Identification of risks:

b. Classification: medium level

**82) Quarterly time frames**

a. 1<sup>st</sup> Quarter:

i) Activities: Consultation workshop to determine compliance and shortfalls including recommended actions

ii) Means of verification: Checklist of compliance and shortfalls and recommended actions

**83) Detailed budget**

- Office facilities, equipments, vehicles & communications:
- Personnel:
- Miscellaneous:

**84) Remarks**

**Outcome 6. Key stakeholders participating in the project**

**Outputs 6.1 Knowledge management system for dissemination of Project-related information, lessons and best practice**

**Indicator 6.1.1 Establish appropriate KLM in all countries**

**85) Specific Targets**

- Establishment of system for the dissemination of relevant information on oceanic species management Indicators

#### **86) Indicators**

- Progress indicators:*
- Impact indicators:*

#### **87) Risks**

- Identification of risks:*
- Classification: medium level*

#### **88) Quarterly time frames**

- 1<sup>st</sup> Quarter:
  - Activities:* Establishment of relevant office and designation of staff for the KLM; Compilation of materials on lessons and best practices
  - Means of verification:* Office established and staff assigned; Information materials compiled and evaluated
- 2<sup>nd</sup> Quarter:
  - Activities:* Consultation workshop with key stakeholders to determine long term activities related to KLM; Identification and selection of appropriate IEC materials for dissemination
  - Means of verification:* Workshop report and recommendation; Check list of information materials for development and or improvement
- 3<sup>rd</sup> Quarter
  - Activities:* Printing and distribution of materials
  - Means of verification:* Materials printed and distributed
- 4<sup>th</sup> Quarter
  - Activities:* Continue printing and distribution of materials; Development of new information materials
  - Means of verification:* Materials printed and distributed; New information materials developed

#### **89) Detailed budget**

- Office facilities, equipments, vehicles & communications:
- Personnel:
- Miscellaneous:

**90) Remarks** Funding from GEF and AusAID for establishing KLM system

### **Outcome 7. National capacities in oceanic fisheries management strengthened**

#### **Outputs 7.1 Development / Revision of National Tuna Management Plan (Philippines)**

##### **Indicator 7.1.1 Assistance provided to revise the NTMP of the Philippines**

#### **91) Specific Targets**

- Updated NTMP

#### **92) Indicators**

- Progress indicators:*
- Impact indicators:*

#### **93) Risks**

- Identification of risks:*
- Classification: medium level*

#### **94) Quarterly time frames**

- 1<sup>st</sup> Quarter:

- i) Activities: Stakeholders workshop and consultation on the revision of the NTMP;  
Drafting of revised NTMP
- ii) Means of verification: Workshop report; Revised NTMP
- b. 2<sup>nd</sup> Quarter:
  - i) Activities:
  - ii) Means of verification:
- c. 3<sup>rd</sup> Quarter
  - i) Activities:
  - ii) Means of verification:
- d. 4<sup>th</sup> Quarter
  - i) Activities:
  - ii) Means of verification:

**95) Detailed budget**

- Office facilities, equipments, vehicles & communications:
- Personnel:
- Miscellaneous:
- **Remarks** The culmination of many of the activities proposed under the project would be the development of national tuna management plans in two countries (Indonesia, Vietnam) and the regular revision of an existing plan in another (Philippines). These plans, developed with external assistance as necessary, would need to be compatible with existing WCPFC conservation and management measures, yet reflect national development aspirations and sovereignty, and draw on experience gained during the project.

**96) Support WS and internatikonal consultancies**

## Annual Work Plan (AWP)

Annual Work Plans (AWPs) are prepared every year, on the basis of intended results, strategies, budgets and implementing partners identified in the agency's CPAP, reflecting achievements and lessons learned of the preceding year. They set out interventions organized around outcomes, outputs and/or implementing partners (for example, in the form of projects).

AWPs are informed by the annual programme review and should link with the Monitoring and Evaluation Plan in the CPAP. Furthermore, the AWP is the building blocks for the annual Standard Progress Reports.

1. *What is the Annual Work Plan (AWP)?* - The Annual Work Plan (AWP) provides detailed activity planning and sets out what will be accomplished during the year for a result or a set of results identified in the CPAP. The AWP contains

- the expected outputs
- the activities to be carried out towards achievement of the expected outputs
- the time frame for undertaking the planned activities
- those responsible for carrying out the activities, and
- the inputs to be provided for each activity.

The completion of the activities should lead over time to the achievement of the Country Programme outputs, which in turn contribute to the Country Programme outcomes contained in the CPAP. The AWP provides the basis for the requisition of inputs (cash, supplies, contracts, travel, personnel) and disbursement of funds to carry out planned activities.

2. *Who prepares the Annual Work Plan (AWP)?* - The agency Country Office and the implementing partner (government or other) jointly prepare the AWP, usually at the beginning of a new intervention or after the annual programme review of the preceding year. Each year, detailed AWP are prepared based on the annual review discussions and recommendations. Once the implementing partners agree on the proposed AWP, it is finalized and signed.

3. *How to prepare the Annual Work Plan (AWP)?* - The AWP comprises the following:

a. A cover page – This refers to the relevant UNDAF outcome(s), the Country Programme outcome(s), and Country Programme output(s). It also includes a narrative section, a table with the name and budget code of the intervention, and a table with the estimated budget. These remain unchanged, except for the update on resources if necessary, for the duration of the intervention. The cover page is signed by the implementing partner as well as by the UNDG agency.

b. The annual work plan is set out as a table with the following elements:

- **The expected CP output(s)** that the intervention is expected to contribute to as described in the CPAP.
- For each expected CP output, **all related activities** during the year covered by the AWP (whether funded or unfunded). Monitoring and Evaluation activities, including evaluations, field monitoring visits, technical backstopping missions, and audits should also be listed. A separate monitoring tool is attached.
- **For each activity**, the following:
  - *Time frame*
  - *Responsible party*
  - *Source of funds*
  - *Budget description*
  - *Budget amount*

In case where there are more than one implementing partner responsible for an activity, all are listed with corresponding inputs/budget line and amount and sign the AWP.

## First Year Work Plan (Cover Page)

Country: Vietnam

**UNDAF Outcome(s):**

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**Expected CP Outcome(s):**

*(Those that are linked to the intervention and extracted from the CPAP)*

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**Expected CP Output(s):**

*(Those that are linked to the intervention and extracted from the CPAP)*

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**Implementing partner:** Department of Capture Fisheries and Resources Protection (DECAFIREP)

**Other Partners:** Research Institute for Marine Fisheries (RIMF)

Department of Agriculture and Rural Development (DARD) in Phu Yen, Binh Dinh and Khanh Hoa provinces

### Narrative

The activities carried out under this project will contribute objectives to: (i) strengthen national capacities in fishery monitoring and assessment (ii) improve knowledge of oceanic fish stocks and reduce uncertainties in stock assessments (iii) strengthen national capacities in oceanic fishery management, especially to manage shared migratory fish stocks and (iv) strengthen national laws, policies and institutions, to implement applicable global and regional instruments. Project also helps Vietnam to fully participate into WCPFC convention and to become formal member of WCPFC.

Programme Period: 7/2009 to 6/2012

Programme Component: 3 components

Title: PIMS 4084 IW MSP West Pacific East Asia

Budget Code: 00049155

Duration: three years

Estimated budget for first year: 277,333 USD

Allocated resources:

- Vietnamese Government (in kind): 105,000 USD

- GEF: 172,333 USD

- Co-financing other: N/A

- Unfunded budget: N/A

**Agreed by (Implementing Partner):** Department of Capture Fisheries and Resources Protection  
**Agreed by (UNDG Agency):** United Nations Development Programme

## First Year Work Plan

Year 1

EXPECTED CP OUTPUTS	Indicators including annual targets	PLANNED ACTIVITIES <i>List all activities including M&amp;E to be undertaken during the year towards stated CP outputs</i>	TIMEFRAME				RESPONSIBLE PARTY	PLANNED BUDGET			
			Q1	Q2	Q3	Q4		Source of Funds	Budget Description	Amount	
<b>Output 1.3</b>									<b>83500</b>		
<b>1.3 Implementation of integrated fishery monitoring programs for target and non-target species in Vietnam</b>	1.3.1 Port sampling and data collection initiated in three provinces. Targets: (i) To enhance national port sampling program and (ii) To conduct pilot port sampling program										<b>34000</b>
		Develop a 3-year plan for the implementation of port sampling program					DECAFIREP		International consultants	3000	
		Review of the existing data collection forms and sampling protocols (WCPFC will provide) available					DECAFIREP		Local consultant	2000	
		Convene WS on port sampling to complete 1st Quarter activities					DECAFIREP and WCPFC		Accommodation	4000	
		Recruitment of port samplers and training enumerators by national port sampling instructors					DECAFIREP and RIMF		Contractual services	5000	
		Initiate experimental port sampling at one selected ports					DECAFIREP and RIMF		Workshops/Meetings	5000	
		Secure database and data entry					DECAFIREP		DSA	3000	
									Travel	5000	
									Personnel	2000	
									Office facilities, equipments, communications	3000	
									Miscellaneous	2000	
										<b>4000</b>	
	1.3.2 Enhanced capacity in monitoring and data collection and analysis. Targets: (i) To develop and maintain database for port sampling, (ii) To conduct basic data analysis and adopt data summary format	Develop a plan for database acquisitions					DECAFIREP	Travel	2000		
		Selection of data managers					DECAFIREP	Personnel	1000		
		Recruit/select database manager					DECAFIREP and RIMF	Miscellaneous	1000		
		Develop and adopt data summary format in consultation with international consultant during the WS					DECAFIREP and WCPFC				
	1.3.3 Pilot									<b>13000</b>	



	operational-level data collection, with progression to expanded data collection. Targets: (i) To improve national logbook program, (ii) To enhance cooperation between owners and fisheries staffs	Develop a plan for the enhancement logbook program that satisfies the requirements of the WCPFC					DECAFIREP		Workshop expense	3000	
		Review the existing logbook forms and protocols					DECAFIREP		Travel	2000	
		Review logbook workshop report in Indonesia (available from WCPFC).					DECAFIREP		Accommodation	2000	
		Convene a workshop to cover logbook program					DECAFIREP		DSA	2000	
		Initiate logbook program to limited longline fleets					DECAFIREP and RIMF		Data entry	1000	
		Conduct data entry into database					DECAFIREP		International consultant	2000	
									Miscellaneous	1000	
	1.3.4 Develop a plan for and implementation of observer program. Target: (i) Development of an observer program plan, (ii) Initiation of pilot observer program										<b>3000</b>
	Review of the WCPFC requirements in relation to observer program and review of national programmed already implemented						DECAFIREP		Travel cost	1000	
	Develop WCPFC-required observer program plan						DECAFIREP		Salaries	1000	
									Miscellaneous	1000	
	1.3.5. Study tour of port sampling, database manipulation, observer program in Philippines and Indonesia. Target: To collect information on the port sampling, database manipulation, observer program										<b>29500</b>
	Learning experiences in port sampling, database manipulation, observer program of Philippines and Indonesia						DECAFIREP		Abroad travel	1000	
									Air ticket	10000	
									Air port fees	500	
							Accommodation		7000		
							Local travel		1000		
							DSA		9000		
							Miscellaneous		1000		
<b>Output 2.1</b>										<b>27500</b>	
<b>2.1 Improved data for stock assessment</b>	2.1.1 Collaborative tuna tagging activity. Target: To provide recovered tuna tags										<b>1500</b>
		Collect information on tuna tag recovery from SPC.						DECAFIREP and DARD		Personnel	1000
		Strengthening communities' cooperation to collect tuna tags						DECAFIREP and DARD		Miscellaneous	500

	2.1.3 National data coordination and research. Target: To develop national data and research coordination system								26000	
		Convene national inception workshop					DECAFIREP	Laptop computer	4000	
		Develop terms of reference for National Tuna Coordinator, including development of National Tuna Management Plan in the long term					DECAFIREP	Projector	2000	
		Recruit National Tuna Coordinator					DECAFIREP	Printer	400	
		Support facilities for the work of NTC as well as other work relating to project					DECAFIREP	Office facilities	300	
									Travel cost	4000
									Accommodation	2000
									Communication	300
									IW expense	5000
									Salaries	6000
									Other	2000
<b>Output 3.1</b>								<b>18000</b>		
<b>3.1: Training of national fishery monitoring and stock assessment staff</b>	3.1.1 Data analysis and stock assessment training. Target: To improve national capacity on stock assessment								15000	
		Develop planning to arrange participation in SPC's stock assessment workshop					DECAFIREP	Abroad travel	2000	
		Participate in the SPC's stock assessment workshop					DECAFIREP and RIMF	Air ticket	5000	
									Domestic travel	1000
									DSA	4000
								Accommodation	2000	
								Miscellaneous	1000	
	3.1.3 Preparation of WCPFC Annual Reports- Part I. Target: To submit Annual Report – Part 1 to the WCPFC								3000	
		Submit Annual Report –Part 1 to the WCPFC Secretariat					DECAFIREP	International consultants	1000	
									Local consultants	1000
							Personnel	1000		
<b>Output 5.1</b>										
<b>5.1 Implementation of the WCPF Convention and related instruments</b>	5.1.1 Prepare checklist of compliance shortfalls. Target: (i) To develop a plan for fully								15000	
		Develop a preparation for fully involving into WCPFC					DECAFIREP	Abroad travel	2000	
		Participate in Commission meeting in December					DECAFIREP	Air ticket	5000	
									Domestic travel	1000
									DSA	4000

	involving into WCPFC, (ii) To involve fully into WCPFC							Accommodation	2000
								Miscellaneous	1000
<b>Output 6.2</b>									<b>15000</b>
<b>6.2 Establish and develop Tuna Associations (Vietnam, Indonesia) to fully involve industry</b>	6.2.1 National body coordinating provincial and national work. Target: To develop national level tuna association								<b>15000</b>
		Support establishment and development of national level tuna association					DECAFIREP	Travel	4000
		Implement the plan including staff recruitment; system, structure, purchase of equipments, functioning, etc. of the association					DECAFIREP	Accommodation	2000
								Office facilities, equipments & communications	5000
								Personnel	2000
								Miscellaneous	2000
<b>TOTAL</b>									<b>159000</b>

# The Annual Work Plan (AWP) Monitoring Tool

Year \_\_\_\_\_

CP Component \_\_\_\_\_

Implementing Partner \_\_\_\_\_

<b>EXPECTED CP OUTPUTS AND INDICATORS INCLUDING ANNUAL TARGETS</b>	<b>PLANNED ACTIVITIES</b> <i>List all the activities including monitoring and evaluation activities to be undertaken during the year towards stated CP outputs</i>	<b>EXPENDITURES</b> <i>List actual expenditures against activities completed</i>	<b>RESULTS OF ACTIVITIES</b> <i>For each activity, state the results of the activity</i>	<b>PROGRESS TOWARDS ACHIEVING CP OUTPUTS</b> Using data on annual indicator targets, state progress towards achieving the CP outputs. Where relevant, comment on factors that facilitated and/or constrained achievement of results including: <ul style="list-style-type: none"> <li>- Whether risks and assumptions as identified in the CP M&amp;E Framework materialized or whether new risks emerged</li> <li>- Internal factors such as timing of inputs and activities, quality of products and services, coordination and/or other management issues</li> </ul>
<b>OUTPUT 1:</b>  <b>INDICATOR 1.1 WITH TARGET FOR THE YEAR:</b>  <b>INDICATOR 1.2 WITH TARGET FOR THE YEAR:</b>  <b>INDICATOR 1.3 WITH TARGET FOR THE YEAR:</b>				
<b>OUTPUT 2:</b>  <b>INDICATOR 2.1 WITH TARGET FOR THE YEAR:</b> <b>ETC.</b>				



ANNUAL WORK PLAN - TEMPLATE  
VIETNAM

WPEA-2009/IW-13

EXECUTIVE SUMMARY (EXAMPLE)

Indicators	Key Activities	Means of Verification	Sub-total Budget (USD)	Remarks
1.3.1 Port sampling and data collection initiated in three provinces	<ul style="list-style-type: none"> <li>- Develop a 3-year plan for the implementation of port sampling program with tuna longline fisheries</li> <li>- Review of the existing data collection forms and sampling protocols.</li> <li>- <b>Convene WS</b> on port sampling.</li> <li>- Initiate experimental port sampling at one selected ports</li> </ul> Conduct port sampling program in all three provinces	Production of regular data summaries, check data quality Carried out program	<b>81,000</b>	Will be continued in the next year
1.3.2 Enhanced capacity in monitoring and data collection and analysis	<ul style="list-style-type: none"> <li>- Develop plan for database acquisitions</li> <li>- Selection of data managers, etc.</li> <li>- Recruit/select database manager;</li> <li>- Develop and adopt data summary format</li> </ul>	<ul style="list-style-type: none"> <li>- Database holdings listed</li> <li>- Regular data summaries provided</li> </ul>	<b>16,000</b>	Will be continued in the next year
1.3.3 Pilot operational-level data collection, with progression to expanded data collection	<ul style="list-style-type: none"> <li>- Develop a plan for the enhancement logbook program for tuna longline fisheries that satisfies the requirements of the WCPFC</li> <li>- Review the existing logbook forms and protocols</li> <li>- Convene a <b>workshop</b> to cover logbook programs,</li> <li>- Initiate logbook program to limited longline fleets at Phus Yen and then in Binh Dinh and</li> </ul>	<ul style="list-style-type: none"> <li>- Production of regular data summaries</li> <li>- Coverage estimates and quality checks</li> </ul>	<b>40,000</b>	Will be carried out into three year

	Khanh Hoa			
1.3.4 Develop a plan for and implementation of observer program	<ul style="list-style-type: none"> <li>- Review of the WCPFC requirements in relation to obs. program and review of national programmed already implemented</li> <li>- Conduct obs. Program for tuna longline fisheries</li> </ul>	Observer program carried out	<b>29,000</b>	Carried out in the first two years
1.3.5 Study tour of port sampling, database manipulation, observed program in Philippines and Indonesia	<ul style="list-style-type: none"> <li>- Learning experiences in port sampling, database manipulation, observed programs of Philippines/Indonesia</li> </ul>	- Technical report prepared	<b>30,000</b>	All carried out in the first year
2.1.1 Data quality control training	<ul style="list-style-type: none"> <li>- Convene training course in application of statistical methods in social science.</li> <li>- Convene training workshop for biological, catch and effort sampling</li> </ul>	<ul style="list-style-type: none"> <li>- Regular preparation of data summaries</li> <li>- Well maintenance of database</li> </ul>	<b>9,000</b>	Conducted in the last year
2.1.2 Collaborative tuna tagging activity	<ul style="list-style-type: none"> <li>- Collect information on tuna tag recovery from SPC</li> <li>- Strengthening communities' cooperation to collect tuna tags</li> </ul>	- Submit recovered tags to SPC	<b>4,000</b>	Will be carried out into three year
2.1.3 National data coordination and research	<ul style="list-style-type: none"> <li>- Develop terms of reference for National Tuna Coordinator, including development of National Tuna Management Plan in the long term</li> <li>- Recruit national tuna coordinators</li> </ul>	Production of Annual Report for WCPFC	<b>35,000</b>	
3.1.1 Data analysis and stock assessment training	<ul style="list-style-type: none"> <li>- Develop planning to arrange participation in SPC's stock assessment workshop</li> <li>- Participate SPC's stock assessment programs</li> </ul>	<ul style="list-style-type: none"> <li>- Uptake of capacity reflected in national representation in WCPFC Scientific Committee;</li> <li>- Production of annual fishery status report</li> </ul>	<b>50,000</b>	
3.1.3 Preparation of WCPFC Annual Reports	Submit Annual Report –Part 1 to the WCPFC Secretariat	Regular submission of status reports to WCPFC	<b>6,000</b>	Every year in the third quarter

4.1.1 Review of policy and legal arrangements for WCPFC-related matters	<ul style="list-style-type: none"> <li>- Conduct national level IW</li> <li>- Support facilities for the work of national tuna coordinator</li> <li>- Initiate review of policy and legal arrangements for WCPFC-related matters</li> </ul>	<ul style="list-style-type: none"> <li>- Production of workshop proceedings</li> <li>- Review of current arrangements tabled</li> </ul>	<b>15,000</b>	
4.1.2 Review of institutional arrangements	Develop a plan for institutional coordination to be fully effective in WCPFC	Production of implementation plan for institutional strengthening	<b>12,000</b>	
4.2.1 Identify reform necessary to existing arrangements	Review the Progress Report from 4.1.1. and 4.1.2	Progress report revised	<b>14,000</b>	
5.1.1 Prepare checklist of compliance shortfalls	<ul style="list-style-type: none"> <li>- Develop a preparation for fully involving into WCPFC</li> <li>- Participate in Commission meeting in December</li> <li>- Support for participation of WCPFC</li> </ul>	<ul style="list-style-type: none"> <li>Preparation plan developed</li> <li>- Participants nominated</li> </ul>	<b>41,000</b>	
6.1.1 Establish appropriate KLM in all countries	Develop a plan for the dissemination of project-related knowledge to stakeholders	Regular and systematic dissemination of project-related information	<b>10,000</b>	
6.2.1 National body coordinating provincial and national work	<ul style="list-style-type: none"> <li>- Design a plan for the development of national level tuna association</li> <li>- Implement the plan including staff recruitment, system, structure, purchase of equipments, functioning, etc. of the association developed</li> <li>- Support for</li> </ul>	<ul style="list-style-type: none"> <li>Plans for establishing national tuna association designed</li> <li>- Equipments of association invested</li> </ul>	<b>41,000</b>	
7.1.1 Assistance provided to develop national tuna management plan	<ul style="list-style-type: none"> <li>- Collect data and information for the development of NTMP</li> <li>- Develop a draft template for NTMP that can be fully abide by the WCPFC requirements/CMMs/international institutions, etc.</li> </ul>	NTMP prepared, launched and integrated in national policy	<b>9,000</b>	

## INTRODUCTION

Briefly describe background of this project, purpose, goals and expected outcomes from participating this project, country's in-kind contribution, etc. This work plan includes:

- Quarterly time-frames basis detailing the activities and precise and measurable performance indicators in a manner consistent with the expected outcomes for the project, following reviewing the logframe (indicators, means of verification, assumptions) and imparting additional detail as needed
- Detailed project budget,
- Monitoring and evaluation requirements to measure project performance,
- Detailed narrative on the institutional roles, responsibilities, coordinating actions and feedback mechanisms of project related partners,
- Dates of specific field visits, and time-frames for meetings of the project's decision making structures, internal inception workshops, training workshops and any other purposes, and
- Support missions from the WCPFC, the UNDP/GEF team, members of the PSC or contracted experts.

## FIRST YEAR ANNUAL WORK PLAN

### General Activities

#### 1) Domestic Inception WS

##### Introduction:

The fundamental objectives of the domestic inception workshop are:

- To introduce to national and international stakeholders who may be able to involve during implementation of the project to understand and realize their roles and responsibilities
- To enhance cooperation between stakeholders during implementation.
- To introduce national project coordinator.

##### Expected outcomes:

- Workshop final report
- Agreement of stakeholder in fully and effective involving during project implementation

**Detailed activity:** (see detail on the attachment)

**Date and place:** (not defined) place: Hanoi, Vietnam. (Invitations prepared)

**Executing agency:** Department of Capture Fisheries and Resources Protection

**Detailed budget implication:** **4,977**

<b><u>Domestic Inception WS</u></b>				
<b>Budget Description</b>	<b>Pax</b>	<b>Day</b>	<b>Unit (US\$)</b>	<b>Amount (US\$)</b>
Venue rent		1	500	500
Interpretation	<b>1</b>	1	400	400
Workshop package	20	1	30	600
Rental - A/V equipment		1	300	300
Administrative/Secretarial assistance	1	1	200	200
Miscellaneous (incl. printing, photocopy, communication, postage, catering)				1200



Travel (non-resident people)	7		100	700
DSA (non-resident people)	7	2	50	700
Translation	50 pages		7	140
Contingencies (5%)				237
<b>TOTAL</b>				<b>4977</b>

## 2) Other Activities that are not included in the Log frame

### Project component 1. Monitoring, data enhancement and fishery assessment

#### Outcome 1. Improved knowledge of oceanic fish stocks and related ecosystems

#### Outputs 1.3 Implementation of integrated fishery monitoring programs for target and non-target species in Vietnam

#### Indicator 1.3.1 Port sampling and data collection initiated in three provinces

##### 1) Specific Targets

- To enhance national port sampling program
- To conduct pilot port sampling program

##### 2) Indicators

###### a. *Progress indicators:*

- Improved national database for tuna fisheries
- Pilot port sampling program initiated in Khanh Hoa province

###### b. *Impact indicators:*

- Enhanced capacity of enumerators
- Improved national data source
- Benefited local and global community by increasing resources

##### 3) Risks

###### a. *Identification of risks:*

- Experts not available for training
- Lack of cooperation from stakeholders

###### b. *Classification: medium level (30%)*

##### 4) Detailed budget: **34,000**

- International consultants: 3,000
- Local consultant: 2,000
- Accommodation: 4,000
- Contractual services: 5,000
- Workshops/Meetings: 5,000
- DSA: 3,000
- Travel: 5,000
- Personnel: 2,000
- Office facilities, equipments, vehicles & communications: 3,000

- Miscellaneous: 2,000

## 5) Quarterly time frames

### a. 1<sup>st</sup> Quarter:

- Activities: Develop a 3-year plan for the implementation of port sampling program
- Means of verification: production of the plan for the implementation of port sampling program

### b. 2<sup>nd</sup> Quarter:

#### i) Activities:

- Review of the existing data collection forms and sampling protocols (WCPFC will provide) available

- Convene WS on port sampling in conjunction with an internal inception workshop, to complete 1st Quarter activities

#### ii) Means of verification:

- Sampling forms and protocols adopted

- Workshop held

### c. 3<sup>rd</sup> Quarter

i) Activities: recruitment of port samplers and training enumerators by national port sampling instructors

ii) Means of verification:

- Port sampling instructors secured

- Enumerators recruited and trained

### d. 4<sup>th</sup> Quarter

#### i) Activities:

- Initiate experimental port sampling at one selected ports

- Secure database and data entry

#### ii) Means of verification:

- Database acquisitions

- Production of data summary report

## 6) Remarks

- Activities on this indicator will be continued in the next year.

- Sampling sites will also be conducted at Phu Yen, Binh Dinh and Khanh Hoa provinces.

## Indicator 1.3.2 Enhanced capacity in monitoring and data collection and analysis

### 1) Specific Targets:

- Develop and maintain database for port sampling

- Conduct basic data analysis and adopt data summary format

### 2) Indicators

a) Progress indicators:

- Database for port sampling developed and maintained.

- Basic data analysis conducted and data summary format adopted.

- b) Impact indicators:
  - Technical personnel in government agencies by enhanced capacity
  - Government sectors by enhanced capacity

### 3) Risks

- a) Identification of risks:
  - Suitable staff not available for training.
  - Skilled staffs to implement
- b) Classification: medium level (20%)

### 4) Detailed budget: **4,000**

- Travel: 2,000
- Personnel: 1,000
- Miscellaneous: 1,000

### 5) Quarterly time frames

- a) 1<sup>st</sup> Quarter
  - i) Activities:
    - Develop a plan for database acquisitions.
    - Selection of data managers.
  - ii) Means of verification:
    - The plan conducted
- b) 2<sup>nd</sup> Quarter
  - i) Activities:
    - Recruit/select database manager.
    - Develop and adopt data summary format in consultation with international consultant during the WS.
  - ii) Means of verification:
    - Data managers recruited/selected.
    - Data summary format adopted.
- c) 3<sup>rd</sup> Quarter
  - i) Activities:
    - Activities continued in the last quarter
  - ii) Means of verification:
    - Data managers recruited/selected.
    - Data summary format adopted.
- d) 4<sup>th</sup> Quarter
  - i) Activities:
    - Activities continued in the last quarter
  - ii) Means of verification:
    - Data managers recruited/selected.
    - Data summary format adopted.

## 6) Remarks:

Activities will be continued in the next year

### 1.3.3 Pilot operational-level data collection, with progression to expanded data collection

#### 1) Specific Targets:

- To improve national logbook program
- To enhance cooperation between owners and fisheries staffs

#### 2) Indicators

##### a) Progress indicators:

Pilot WCPFC-related logbook program initiated to limited tuna fleets

##### b) Impact indicators:

- Government sector by enhanced national logbook database
- Technical personnel in government agencies by enhanced capacity

#### 3) Risks

##### i) Identification of risks:

- Incorrect filled forms.
- Low logsheet recovery rate.
- Lack of cooperation from fishers.
- Under reporting and manipulation data.

##### ii) Classification: medium level (20%)

#### 4) Quarterly time frames

##### a. 1st Quarter

###### i) Activities:

- Develop a plan for the enhancement logbook program that satisfies the requirements of the WCPFC

###### ii) Means of verification:

- Plan developed

##### b. 2nd Quarter

###### i) Activities:

- Review the existing logbook forms and protocols
- Review logbook workshop report in Indonesia (available from WCPFC).
- Convene a workshop to cover logbook program.

###### iii) Means of verification:

- Existing documents reviewed.
- Workshop held.
- WCPFC-required logbook program adopted.

##### c. 3rd Quarter

###### iv) Activities:

- Initiate logbook program to limited longline fleets
- Conduct data entry into database
  - v) Means of verification:
- Logbook program initiated to limited longline fleets.
- Database acquisitions.

d. 4th Quarter

vi) Activities:

Activities of the previous quarter will be continued

**5) Detailed budget: 13,000**

- Workshop expense: 3,000
- Travel: 2,000
- Accommodation: 2,000
- DSA: 2,000
- Data entry: 1,000
- International consultant: 2,000
- Miscellaneous: 1,000

**6) Remarks:** activities will still be continued in the next year

**Indicator 1.3.4 Develop a plan for and implementation of observer program**

**1) Specific Targets:**

- Development of an observer program plan
- Initiation of pilot observer program

**2) Indicators**

c) Progress indicators:

- Plan developed
- Pilot observer program initiated

d) Impact indicators:

- Global environment by investigation of by catch: turtle, shark, mammal...
- Enhanced capacity

**3) Risks**

i) Identification of risks:

- Lack of cooperation of fishing industry.

ii) Classification: medium level (20%)

**4) Quarterly time frames**

a. 1st Quarter

i. Activities:

- Review of the WCPFC requirements in relation to observer program and review of national programmed already implemented

ii. Means of verification:

- Observer programs revised

b. 2nd Quarter

i) Activities: to be continued with activity of the previous quarter

c. 3rd Quarter

i) Activities: continued

d. 4th Quarter

i) Activities:

- Develop WCPFC-required observer program plan

ii) Means of verification

Production of a draft plan for the implementation of Regional Observer Program in Vietnam

5) **Detailed budget: 3,000**

- Travel: 1,000
- Personnel: 1,000
- Miscellaneous: 1,000

6) **Remarks:** activities will be continued in the next year

**1.3.5. Study tour of port sampling, database manipulation, observer program in Philippines and Indonesia**

1) **Specific Targets:**

To collect information on the port sampling, database manipulation, observer program

2) **Indicators**

a. Progress indicators:

- Plans of study tours.
- Gained experiences and lessons from the study tours.
- Trip reports.

b. Impact indicators:

- Government agencies: enhanced capacity

3) **Risks**

a. Identification of risks:

N/A

b. Classification:

4) **Quarterly time frames**

a. 1<sup>st</sup> Quarter

b. 2<sup>nd</sup> Quarter

i) Activities:

Learning experiences in port sampling, database manipulation, observer program of Philippines and Indonesia

ii) *Means of verification:*

Travel report produced

iii) *Detailed budget:* 29,500

- Abroad travel: 1,000
- Air ticket: 10,000
- Air port fees: 500
- Accommodation: 7,000
- Local travel. 1,000
- DSA: 9,000
- Miscellaneous: 1,000

## 5) Remarks

### Outcome 2. Reduced uncertainty in stock assessments

#### Outputs 2.1 Improved data for stock assessment

##### Indicator 2.1.2 Collaborative tuna tagging activity

#### 1) Specific Targets:

To provide recovered tuna tags

#### 2) Indicators

a. Progress indicators:

Provision of recovered tuna tags to SPC

b. Impact indicators:

- Other regional organizations by beneficiary from recovering information of tagged tunas

#### 3) Risks

c. Identification of risks:

- Lack of cooperation of fishers

- Inaccurate collected information

d. Classification: Medium level (10%)

#### 4) Quarterly time frames

e. 1<sup>st</sup> Quarter: not provided

f. 2<sup>nd</sup> Quarter: not provided

g. 3<sup>rd</sup> Quarter: not provided

h. 4<sup>th</sup> Quarter

i) Activities:

- Collect information on tuna tag recovery from SPC.

- Strengthening communities' cooperation to collect tuna tags.

ii) Means of verification: submit recovered tags to SPC

iii) Detailed budget: 1,500

- Personnel: 1,000
- Miscellaneous: 500

## 5) Remarks

### 2.1.3 National data coordination and research

#### 1) Specific Targets:

To develop national data and research coordination system

#### 2) Indicators

a. Progress indicators:

- National Tuna Coordinator recruited
- Coordination system developed.

b. Impact indicators:

- National personnel by supporting working facilities

#### 3) Risks

a. Identification of risks: lack of cooperation of institutions

b. Classification: Low level (5%)

#### 4) Quarterly time frames

a. 1<sup>st</sup> Quarter

i) Activities

- Develop terms of reference for National Tuna Coordinator, including development of National Tuna Management Plan in the long term

- Recruit national tuna coordinator

- Support facilities for the work of NTC as well as other work relating to project

ii) Means of verification:

- TOR of NTC developed

- NTC recruited

b. 2<sup>nd</sup> Quarter

i) Activities: activities continued

c. 3<sup>rd</sup> Quarter

i) Activities: activities continued

d. 4<sup>th</sup> Quarter

i) Activities: activities continued

#### 5) Detailed budget: 21,000 plus the domestic IW 4,977 as indicated above

- Travel: 4,000
- Accommodation: 2,000
- Office facilities, equipments, communications: 7,000
- Personnel: 18,000
- Miscellaneous: 2,000

#### 6) Remarks

**Outcome 3: National capacities in oceanic fishery monitoring and assessment strengthened**

**Outputs 3.1: Training of national fishery monitoring and stock assessment staff**

**Indicator 3.1.1 Data analysis and stock assessment training**



- 1) **Specific Targets:**
  - To improve national capacity on stock assessment
- 2) **Indicators**
  - b. Progress indicators:  
Participate in SPC Stock Assessment WS
  - c. Impact indicators:  
- Enhanced capacity of national personnel
- 3) **Risks**
  - a. Identification of risks:
  - b. Classification:
- 4) **Quarterly time frames**
  - a. 1<sup>st</sup> Quarter
    - i) Activities  
- Develop planning to arrange participation in SPC's stock assessment workshop
    - ii) Means of verification: Plan developed
  - b. 2<sup>nd</sup> Quarter  
To be continued from the activity of previous quarter
  - c. 3<sup>rd</sup> Quarter
    - ii) Activities: no activities
  - d. 4<sup>th</sup> Quarter  
The selected nominate will participate in the SPC SA WS
- 5) **Detailed budget: 15,000**
  - Abroad travel: 2,000
  - Air ticket: 5,000
  - Domestic travel: 1,000
  - DSA: 4,000
  - Accommodation: 2,000
  - Miscellaneous: 1,000

### **Indicator 3.1.3 Preparation of WCPFC Annual Reports- Part I**

- 1) **Specific Targets:**
  - To submit Annual Report – Part 1 to the WCPFC
- 2) **Indicators**
  - d. Progress indicators: regular submitted report
  - e. Impact indicators:
- 3) **Risks**
  - i. Identification of risks: unavailable data due to slow progress
  - j. Classification: low level (5%)
- 4) **Quarterly time frames**
  - k. 4<sup>th</sup> Quarter
    - i) Activities: submit Annual Report –Part 1 to the WCPFC Secretariat

- ii) Means of verification:
- 5) **Detailed budget: 3,000**
  - International consultants: 1,000
  - Local consultants: 1,000
  - Personnel: 1,000

## **Project component 2. Policy, institutional strengthening and fishery management**

### **Outcome 5: National laws, policies and institutions strengthened. To implement applicable global and regional instruments**

#### **Outputs 5.1 Implementation of the WCPF Convention and related instruments**

##### **Indicator 5.1.1 Prepare checklist of compliance shortfalls**

- 1) **Specific Targets:**
  - To develop a plan for fully involving into WCPFC
  - To involve fully into WCPFC
- 2) **Indicators**
  - f. Progress indicators:
    - Plan developed
    - Effective participation into WCPFC
  - g. Impact indicators:
- 3) **Risks**
  - l. Identification of risks:
  - m. Classification:
- 4) **Quarterly time frames**
  - n. 1<sup>st</sup> Quarter
    - i) Activities: Develop a preparation for fully involving into WCPFC
    - ii) Means of verification: Preparation developed
  - o. 2<sup>nd</sup> Quarter
    - i) Activities: Participate in Commission meeting in December
    - ii) Means of verification: Participated
    - iii) Detailed budget: **15,000**
      - Abroad travel: 2,000
      - Air ticket: 5,000
      - Domestic travel: 1,000
      - DSA: 4,000
      - Accommodation: 2,000
      - Miscellaneous: 1,000
- 5) **Remarks:** Activities will not be conducted in the third and fourth quarter

### **Outcome 6: Key stakeholders participating in the project**

#### **Outputs 6.2 Establish and develop Tuna Associations (Vietnam, Indonesia) to fully involve industry**

##### **6.2.1 National body coordinating provincial and national work**

- 1) Specific Targets: Develop national level tuna association
- 2) Indicators
  - h. Progress indicators: National level tuna association established
  - i. **Impact indicators:**
- 3) Risks
  - a. Identification of risks:  
Benefit conflicts of participants  
Finance to maintain
  - b. Classification: low level
- 4) Quarterly time frames
  - a. 1<sup>st</sup> Quarter
    - i. Activities: design a plan for the development of national level tuna association
    - ii. Means of verification: Plan designed
  - b. 2<sup>nd</sup> Quarter
    - i) Activities: implement the plan including staff recruitment; system, structure, purchase of equipments, functioning, etc. of the association
    - ii) Means of verification: staffs recruited, supported facilities
  - c. 3<sup>rd</sup> Quarter: continued
  - d. 4<sup>th</sup> Quarter: continued
- 5) Detailed budget: **15,000**
  - Travel: 4,000
  - Accommodation: 2,000
  - Office facilities, equipments, vehicles & communications: 10,000
  - Personnel: 2,000
  - Miscellaneous: 2,000