



**TECHNICAL AND COMPLIANCE COMMITTEE  
TWENTY-SECOND REGULAR SESSION**

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Pohnpei, Federated States of Micronesia (Hybrid)

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**Process for Developing a Provisional Compliance Monitoring Report at TCC22**

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**WCPFC-TCC22-2026-12**

**20 September 2026**

**Submitted by the TCC Chair**

## Introduction

1. The WCPF Convention tasks the Technical and Compliance Committee (TCC) to monitor and review compliance with Conservation and Management Measures (CMMs) adopted by the Commission. [CMM 2023-04 Conservation and Management Measure for Compliance Monitoring Scheme](#) (CMS CMM) also requires the Commission, with the assistance of the TCC, to evaluate CCMs' compliance with the obligations arising under the Convention and the CMMs. This paper provides an overview of the process to fulfill these tasks, based on the provisions of CMM 2023-04.
2. WCPFC20 adopted a revised CMS CMM (CMM 2023-04) that embedded the use of audit points, review of outstanding (>24 months) cases in the Online Compliance Case File System (CCFS) and provides for discussion of anomalies identified by CCMs through review of the aggregate tables, as well as maintaining key long-standing elements of the WCPFC CMS such as recognising and responding to capacity assistance needs and prioritising review of key obligations. CMM 2023-04 also introduced a requirement to align the proportion of purse seine ROP data used in the CMS with the level of ROP coverage achieved in the longline fishery for the most recent year, through a random sampling mechanism to be developed by the Secretariat and the SSP for TCC's review of the aggregate tables.

## Review of 2024-2025 processes and decisions supporting the 2026 process

3. TCC20 undertook a compliance review for reporting year 2023 using the streamlined process set out in CMM 2023-04, including the use of audit points and the streamlined review of implementation (IM) obligations. These factors, along with improved systems and CCMs comprehensive, precise and timely responses led to a significantly shorter review process. The aggregate tables were not reviewed as the required random sampling mechanism was still under development.
4. During 2025, the Secretariat further developed options for the sampling mechanism, building on work undertaken in 2024 and feedback from TCC20 and WCPFC21. This work was presented in [WCPFC-TCC21-2025-09](#) for TCC21 consideration and guidance. In the absence of an agreed sampling

methodology, TCC21 reviewed only Article 25(2) cases in the Aggregate Tables, as these are sourced from non-observer data and were therefore not affected by the observer coverage imbalance.

5. Building on TCC21 discussions, WCPFC22 agreed that the aggregated tables would be prepared using the “year-specific percent sampling methodology”, using the longline percentage for each year to derive the purse seine sample. ([WCPFC-TCC21-2025-09](#); [WCPFC22 Summary Report](#), paragraph 569)
6. As such, TCC22 will review i) observer-related cases sampled from the CCFS using the agreed sampling methodology; and ii) Article 25(2) cases. These are presented in WCPFC-TCC22-2026-dCMR02, available through the SECURE CCM Portal on the WCPFC website. In considering the observer-related cases, TCC22 should also take account of the availability of observer-related cases for the relevant years, as outlined in [WCPFC-TCC22-2026-18](#) Progress on CCFS Cases Older than 24 Months.

#### Papers relevant to TCC22 CMR consideration

7. Several Secretariat papers that will be considered under Agenda Item 5 provide information relevant to the CMR process:
  - i. [WCPFC-TCC22-2026-18](#): Progress on CCFS Cases Older than 24 Months
  - ii. [WCPFC-TCC22-2026-IP04](#): Current Data Flows for Case Creation in CCFS
  - iii. [WCPFC-TCC22-2026-19](#): List of Obligations for Future CMS Assessments
  - iv. [WCPFC-TCC22-2026-06](#): Available Data for Verifying Compliance in the Compliance Monitoring Scheme
  - v. [WCPFC-TCC22-2026-07](#): Supporting CCMs with Technical and Compliance Matters
  - vi. [WCPFC-TCC22-2026-RP02](#): Annual Report on the Regional Observer Programme
8. **A decision to close plenary for consideration of the draft CMR and provisional CMR would need to be taken by TCC22.** As per existing practice, this will be discussed at the pre-TCC22 HODs meeting to be held at 4.00pm on Tuesday, 22 September 2026.

#### CMR process for TCC22

9. The CMR process for TCC22 will be undertaken in four stages:

1. Review of Capacity Assistance Needed statuses from previous years. (**Annex 1**)
2. Review progress by CCMs in resolving implementation gaps identified in previous CMRs from RY2023 and RY2024. (**Annex 2**)
3. Review of issues arising from the draft Compliance Monitoring Report (dCMR) prepared on the basis of the list of obligations for assessment agreed by WCPFC22 (**Annex 3**) and application of a compliance status for RY2025.
4. Review of the Aggregate Tables:
  - a. CCM-initiated/Article 25(2) cases ([WCPFC-TCC22-2026-dCMR02 pp 31-51](#))
  - b. Review of overarching tables of observer-initiated cases ([WCPFC-TCC22-2026-dCMR02 pp 52-71](#)), noting no news are available since last year’s review, and

- c. Outstanding (>24 months) cases in the CCFS ([WCPFC-TCC22-2026-dCMR02 SECURE, pp 27-29, 65-66, 68, 70, 121-476](#))

Following the CMR review, the TCC Chair will develop a draft Provisional CMR Executive Summary for CCMs review.

#### *Review of Capacity Assistance Needed statuses*

10. As in past years, TCC will first receive reports on the progress of capacity development plans (obligations that were assessed by TCC21 as Capacity Assistance Needed (CAN)). TCC22 will go through each of the twelve (12) CAN assessments from last year and in accordance with Section V of CMM 2023-04, TCC will determine whether each should remain “CAN” or whether the assessment should be changed to reflect progress made over the past year. The results of these assessments will be included in the Provisional CMR that is sent to the Commission. The list of eight (8) CAN RY 2024 assessments is provided in **Annex 1**.

#### *Review of updates on outstanding implementation obligations from 2025 Compliance Monitoring Report*

11. Following TCC21’s review, twenty-four (24) implementation issues remain for fourteen (14) obligations across five (5) CCMs, as presented in **Annex 2**. TCC22 will consider updates on these to these gaps and determine whether the CCMs concerned have now fulfilled the relevant agreed audit point.

#### *Review of issues arising from the draft Compliance Monitoring Report*

12. As per the process undertaken in previous CMR reviews, the review of issues arising from the dCMR will be undertaken in the TCC22 plenary session. The dCMR has been prepared based on the list of obligations for assessment agreed by WCPFC22.
13. TCC22 will consider the full list of obligations covered in the full draft CMR ([WCPFC-TCC22-2026-dCMR01](#)) but will prioritise consideration of the 102 potential compliance issues identified by the Secretariat in the dCMR. The breakdown of potential issues in the dCMR is as follows:

**22** Potential Issues for Quantitative Limits (QL)

**11** Potential Issues for Implementation Obligations (IM)

**69** Potential Issues for Report Obligations (RP)

**0** Deadline (DL) Potential Issues<sup>1</sup>

14. In line with the approach taken in previous CMR reviews, CCMs may raise additional compliance issues not identified in the dCMR. Such issues should be raised to the Chair, who may grant leave for the issue to be discussed and seek the Committee’s guidance on the application of a compliance status.
15. The Secretariat has included additional information provided by CCMs after the release of the dCMR on 8 September 2026, where possible.
16. Consistent with the practice of past years:

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<sup>1</sup> No Report Deadline obligations were included in the 2026 CMR.

- i. Review will be on an obligation-by-obligation basis, not by CCM.
  - ii. TCC22 will limit the practice of allowing CCMs to provide additional information verbally to situations of clarification only.
17. CMM 2023-04 also included a change in the criteria for determining a Priority Non-Compliant (PNC) status to recognize the work to develop audit points and determine risks associated with different obligations (including through the development of a Risk-based Assessment Framework, RBAF).<sup>2</sup> As such **TCC22 will need to determine which obligations the status of Priority Non-Compliant will apply to.**
- i. The list of 50 obligations to be considered in this year's dCMR presented in [WCPFC-TCC22-2026-19](#) Provisional List of Obligations includes the proposed risks for each obligation where these were considered in the development of the RBAF. Note that not every obligation was considered in this process and there were differences of view recorded.
  - ii. Considering the information provided in the RBAF, along with TCC's past practice in implementing the CMS, **my proposed approach for TCC22's determination of PNC status** is to continue to apply the following:
    - a. All Quantitative Limit (QL) obligations<sup>3</sup> will be considered high risk for the purpose of assigning a compliance status. This is consistent with long-standing practice in the implementation of the CMS. I also note that where QL were considered in the RBAF, these have almost all been considered high/severe risk (and no CCMs considered these low risk).
    - b. Implementation (IM) obligations consistently rated high in the RBAF will be considered high risk for the purpose of assigning a compliance status. The obligation is:
      - i. 2014-02 9a (comply with Commission VMS standards)
    - c. Implementation (IM) and Report (RP) obligations with mixed views on risk will not be considered high risk for the purpose of assigning a compliance status. These obligations are 2009-05 01, 03, 05; 2006-08 07, 33 and 36; 2018-05 Annex C 06.
    - d. Scientific Data Report obligations (SciData 01, 02, 03, 05) will be considered high risk for the purpose of assigning compliance status. Note that these obligations have all been identified as moderate or high risk, and also noting TCC and Commission work to strengthen reporting of information for management and compliance purposes.

#### *Assignment of Provisional Compliance Status*

18. Annex I in CMM 2023-04 provides five Compliance Status options as follows:

<sup>2</sup> RBAF: Risk Based Assessment Framework: <https://cmm.wcpfc.int/risk-assessment-framework>

<sup>3</sup> In the RY2025 CMR, this is the following obligations: 2006-04 01; 2009-03 01, 02; 2009-06 29, 34; 2012-03 02; 2012-03 02; 2015-02 01; 2019-03 02; 2023-01 24, 25, 38 including Attachment 1, Table 3 (requirement to achieve proportional increase of observer + EM coverage), 41, 43, 44, 45, 46; 2023-02 02; 2023-03 02; 2024-01 02, 03, 04; 2024-06 05.

**CMM 2023-04 Annex I - Compliance Status Table**

| <b>Compliance Status</b>          | <b>Criteria</b>                                                                                                                                                                                                                                                                                                                          | <b>Response</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|-----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Compliant</b>                  | Compliance with the audit points                                                                                                                                                                                                                                                                                                         | None                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>Non-Compliant</b>              | Failure to meet the audit points                                                                                                                                                                                                                                                                                                         | <p>Each CCM shall include, in its Part II Annual Report, any actions it has taken to address non-compliance identified in the Compliance Monitoring Report.</p> <p>Actions may include one or more of the following:</p> <ul style="list-style-type: none"> <li>a. A CCM must address the issue to gain compliance by the next compliance assessment; or</li> <li>b. A CCM shall provide a Status Report to the Secretariat; or</li> <li>c. Other response as determined by the Commission.</li> </ul> |
| <b>Priority Non-Compliant</b>     | <ul style="list-style-type: none"> <li>a. non-compliance with high-risk priority obligations and associated audit points</li> <li>b. repeated non-compliance with an obligation for two or more consecutively assessed years; or</li> <li>c. any other non-compliance identified as Priority Non-Compliant by the Commission.</li> </ul> | <p>Each CCM shall include, in its Part II Annual Report, any actions it has taken to address non-compliance identified in the Compliance Monitoring Report.</p> <p>Actions may include, one or more of the following:</p> <ul style="list-style-type: none"> <li>a. A CCM must address the issue to gain compliance by the next compliance assessment;</li> <li>b. Other response as determined by the Commission.</li> </ul>                                                                          |
| <b>Capacity Assistance Needed</b> | When a SIDS or Participating Territory or Indonesia or the Philippines cannot meet an obligation that is being assessed due to a lack of capacity, that CCM shall provide a Capacity Development Plan to the Secretariat with the dCMR prior to TCC.                                                                                     | <ul style="list-style-type: none"> <li>(i) The CCM shall complete the steps of the Capacity Development Plan for that obligation in order to become compliant with the obligation, and</li> <li>(ii) report progress against that plan every year in its Annual Report Part II until the end of the timeframe specified in that Plan.</li> </ul>                                                                                                                                                       |

| Compliance Status                       | Criteria                                                         | Response                                                                  |
|-----------------------------------------|------------------------------------------------------------------|---------------------------------------------------------------------------|
| <b>CMM Review or Audit Point Review</b> | There is a lack of clarity on the requirements of an obligation. | The Commission shall review that obligation and clarify its requirements. |

19. Note paragraph 8 of CMM 2023-04 provides additional guidance on the criteria for assessments of certain types of obligations:

- i. *For a CCM-level quantitative limit or collective CCM quantitative limit, such as a limit on fishing capacity, fishing effort, or catch, verifiable data indicating that the limit has not been exceeded.*
- ii. *For other obligations:*
  - a. *Implementation – where an obligation applies, the CCM is required to provide information showing that it has adopted, in accordance with its own national policies and procedures, binding measures that implement that obligation; and*
  - b. *Monitor and ensure compliance – the CCM is required to provide information showing that it has a system or procedures to monitor compliance of vessels and persons with these binding measures, a system or procedures to respond to instances of non-compliance and has taken action in relation to potential infringements.*

20. Where TCC22 assigns a “Non-Compliant” or “Priority Non-Compliant” status, it shall also record, for that CCM and that obligation, whether CMM 2023-04 paragraph 42 applies. Paragraph 42 allows further information only where the Secretariat can close an administrative gap; it does not apply to substantive issues. Those CCM/obligations that may be updated after TCC22 shall be listed when the provisional scores are adopted. The Secretariat will apply any permitted updates 21 days after the deadline and report them to the Commission with the pCMR (paragraph 43).

21. Consistent with the practice of past years:

- i. Decisions on provisional assessments are to be by consensus (refer CMM 2023-04 para 37); and
- ii. CCMs cannot block their own compliance assessment if other CCMs have reached consensus (refer CMM 2023-04 para 38).

22. As per para 37 of CMM 2023-04, where consensus cannot be reached, the pCMR shall record majority and minority views. Consistent with the role of TCC in making recommendations to the Commission as necessary stemming from the compliance review process, where TCC22 is unable to reach consensus on application of a compliance status, the chair will seek guidance from CCMs on such advice to the Commission.

#### *Review of the Aggregate Tables*

23. The Secretariat/Chair will present the Aggregate Tables for TCC22 review. This will be undertaken in three parts:

- i. Presentation and review of aggregate tables in relation to Article 25(2) cases. Figure 18-33 and Tables 13-19 ([dCMR-02](#)) will be presented for TCC22 review. Of particular interest is the

information in the overview tables on trends in issues by obligation/CMM (Figures 19 and 21), numbers and outcomes of case file Article 25 events (Figures 18 and 21).

- a. CCMs shall be given the opportunity to comment on the tables presented, query other CCMs or the Secretariat on issues or trends identified in the tables, and consider any issues that should be raised to the Commission's attention.
  - b. CCMs are reminded of paras 28(ii) and 35 of CMM 2023-04, namely that the aggregate tables will be used to identify any implementation challenges or systemic failures to take flag State action in response to alleged violations, and to determine response(s) as appropriate.
- ii. Presentation and review of aggregate tables in relation to observer-initiated cases. Figure 34-50 and Tables 20-30 (**dCMR-02**) will be presented for TCC22 review. Of particular interest is the information in the overview tables on trends in issues by obligation/CMM (Figures 34 and 37), numbers and outcomes of observer-initiated case events (Figures 34 and 39).
  - iii. *Process overview for information only*: Review where CCMs have outstanding (>24 months) cases in the CCFS.
    - a. CCMs with outstanding cases identified shall be asked to articulate to TCC22 information in line with CMM 2023-04 para 36a and b, namely:
      - i. *Identify what is needed to progress or resolve these cases;*
      - ii. *Determine a timeframe for resolution of the cases*
    - b. Review to be undertaken on a CCM-by-CCM basis, based on pp 101-485 (**dCMR02**). CCMs may provide information pertaining to these cases at a level that they determine appropriate (for instance, a CCM may report common challenges to all its outstanding cases or may identify challenges specific to different cases), however TCC22 will not consider the details of individual cases.

### Process to develop and finalise the Provisional Compliance Monitoring Report and Executive Summary

24. The Provisional Compliance Monitoring Report will consist of four parts:
  - i. Executive Summary
  - ii. Appendix I – Traffic Light pCMR matrix
  - iii. Appendix 2 – Tabular version of pCMR outcomes
  - iv. Appendix 3 – Aggregate tables based on templates in Annex III of CMM 2023-04
25. The TCC Chair will work with the Secretariat to develop an initial draft of the Provisional CMR Executive Summary for consideration by TCC22.
26. The draft Executive Summary will be circulated for CCM consideration following completion of the review of the draft CMR.

List of Capacity Assistance Needs from the 2025 Final Compliance Monitoring Report covering 2024 activities<sup>4</sup> to be reviewed at TCC22

| CCM   Obligation                                                                                                                                                                                                                                                                                            | Capacity Assistance Needed                                                                                                                                                               | Capacity Need Ongoing/Completed? |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|
| <b>Indonesia  </b> Scientific data provision (SciData03) <b>RP</b>                                                                                                                                                                                                                                          | Capacity Assistance Needed (RY2016, RY2017, RY2018, RY2019, RY2020, RY2021, RY2022, RY2023, RY2024)<br><br><a href="#">CDP   26 Sep 2017</a><br>Anticipated CDP end date: within 3 years |                                  |
| <b>Indonesia  </b> Prohibit purse seine setting on cetaceans, if animal is sighted prior to commencement of the set (CMM 2011-03 01/CMM 2024-07 paragraph 1) <b>IM</b>                                                                                                                                      | Capacity Assistance Needed (RY2024)<br><br><a href="#">CDP   20 Sept 2025</a><br>Anticipated CDP end date: within 2 years (Q4 2027)                                                      |                                  |
| <b>Indonesia  </b> Annual report on estimated number of releases and status upon release of oceanic whitetip sharks (CMM 2011-04 paragraph 3) <b>RP</b><br><br><b>Indonesia  </b> Annual report on estimated number of releases and status upon release of silky sharks (CMM 2013-08 paragraph 3) <b>RP</b> | Capacity Assistance Needed (RY2019, RY2020, RY2021, RY2022, RY2023, RY2024)<br><br><a href="#">CDP   22 Sep 2018</a><br>Anticipated CDP end date: within 3 years                         |                                  |
| <b>Fiji  </b> Implementation of requirements to ensure that fishing vessels comply with Commission standards including being fitted with ALC/MTU that meet WCPFC VMS requirements (CMM 2014-02 9a) <b>IM</b>                                                                                                | Capacity Assistance Needed (RY2023, RY2024)<br><br><a href="#">CDP   24 Sep 2025</a><br>Anticipated CDP end date: August 2026                                                            |                                  |
| <b>Fiji  </b> Reporting of required ALC/MTU data in accordance with WCPFC VMS requirements (CMM 2014-02 9a VMS SSPs 2.8) <b>RP</b>                                                                                                                                                                          | Capacity Assistance Needed (CMR RY2023, RY2024)<br><br><a href="#">CDP   24 Sep 2025</a><br>Anticipated CDP end date: August 2026                                                        |                                  |

<sup>4</sup> These were identified in paragraph 5 of the Attachment A of 2025 WCPFC Strategic Investment Plan and paragraph 15 of the 2025 Final Compliance Monitoring Report covering 2024 activities

| CCM   Obligation                                                                                                                                                                                                              | Capacity Assistance Needed                                                                                                                                          | Capacity Need Ongoing/Completed? |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|
| <b>Fiji</b>   Manual VMS reporting procedures (CMM 2014-02 9a VMS SSPs 5.4-5.5) <b>IM</b>                                                                                                                                     | Capacity Assistance Needed (CMR RY2024)<br><br><a href="#">CDP I 24 Sep 2025</a><br>Anticipated CDP end date: August 2026                                           |                                  |
| <b>Indonesia</b>   100% purse seine coverage: specific rules for vessels fishing exclusively in areas under its national jurisdiction (CMM 2017-01 paragraph 35/CMM 2018-01 paragraph 35/CMM 2021-01 paragraph 33) <b>IM</b>  | Capacity Assistance Needed (CMR RY2020, RY2021, RY2022, RY2023, RY2024)<br><br><a href="#">CDP I 9 Oct 2021</a><br>Anticipated CDP end date: March 2025             |                                  |
| <b>Philippines</b>   100% purse seine observer coverage for vessels fishing exclusively in areas under national jurisdiction (CMM 2017-01 paragraph 35/CMM 2018-01 paragraph 5/CMM 2021-01 paragraph 33/2023-01 34) <b>IM</b> | Capacity Assistance Needed (RY 2018, RY2019, RY2020, RY2021, RY2022, RY2023, RY2024)<br><br><a href="#">CDP I 10 Sep 2019</a><br>Anticipated CDP end date: Nov 2023 |                                  |
| <b>Indonesia</b>   provide operational catch and effort data recorded by the master each day (CMM 2022-06 04 paragraph 4) <b>RP</b>                                                                                           | Capacity Assistance Needed (RY2024)                                                                                                                                 |                                  |
| <b>Solomon Islands</b>   Purse seine catch retention requirements (20N - 20S) (CMM 2023-01 30) <b>IM</b>                                                                                                                      | Capacity Assistance Needed (RY2024)<br><br><a href="#">CDP I 24 Sep 2025</a><br>Anticipated CDP end date: 31 Aug 2026                                               |                                  |
| <b>Indonesia</b>   100% purse seine coverage: specific rules for vessels fishing exclusively in areas under its national jurisdiction (CMM 2018-01 paragraph 35/CMM 2021-01 paragraph 33/CMM 2023-01 34) <b>IM</b>            | Capacity Assistance Needed (RY2020, RY2021, RY2022, CMR RY2023, RY2024)<br><br><a href="#">CDP I 9 Oct 2021</a><br>Anticipated CDP end date: March 2025             |                                  |

List of Implementation (IM) obligations where the status of specific implementation gaps will be reviewed through the CMS process at TCC22

There are twelve CMMs for which TCC has assessed that, for certain implementation obligations, **most CCMs have met all the agreed Audit Points**, with only a limited number of CCMs continuing to have implementation gaps.<sup>5</sup> At TCC22, the status of these specific implementation gaps will be reviewed through the CMS process in relation to these CMMs:

| Obligation                                                                                                                                                     | CCM CMR issue                                                                                  | Statement of IM meets the audit point |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|---------------------------------------|
| <b><u>CMM 2004-03</u> Specifications for the Marking and Identification of Fishing Vessels</b>                                                                 |                                                                                                |                                       |
| <u>CMM 2004-03 02</u> Fishing vessel marking and technical specifications                                                                                      | Ecuador (RY2023*, RY2024)                                                                      |                                       |
| <b><u>CMM 2008-04</u> Conservation and Management Measure to Prohibit the Use of Large Scale Driftnets on the High Seas of the Convention Area</b>             |                                                                                                |                                       |
| <u>CMM 2008-04 02</u> Measures necessary to prohibit use by their vessels of large-scale driftnets in the high seas                                            | Philippines (RY2023*, RY2024)                                                                  |                                       |
| <b><u>CMM 2011-03</u> Conservation and Management Measure for Protection of Cetaceans (superseded by <u>CMM 2024-07</u>)</b>                                   |                                                                                                |                                       |
| <u>CMM 2011-03 01</u> Prohibit purse seine setting on cetaceans, if animal is sighted prior to commencement of the set                                         | Indonesia (RY2022*, RY 2023, RY2024)                                                           |                                       |
| <b><u>CMM 2014-02</u> Conservation and Management Measure for the Commission VMS</b>                                                                           |                                                                                                |                                       |
| <u>CMM 2014-02 9a</u> Fishing vessels comply with the Commission standards for WCPFC VMS including being fitted with ALC/MTU that meet Commission requirements | Indonesia (RY2024*)                                                                            | Issue resolved                        |
|                                                                                                                                                                | Japan (RY2017*, RY2018*, RY2019*, RY2020*, RY2021*, RY2022*, RY2023*, RY 2024*)                |                                       |
|                                                                                                                                                                | Philippines (RY2015*, RY2017*, RY2018*, RY2019*, RY2020*, RY2021*, RY2022*, RY2023*, RY 2024*) |                                       |
| <b><u>Supple CMM 2014-0-02</u> Vessel Monitoring System Standards Specifications and Procedures (SSPs)_version as at Dec 2021 (WCPFC18)</b>                    |                                                                                                |                                       |
| <u>CMM 2014-02 9a VMS SSPs 5.4 – 5.5</u>                                                                                                                       | Indonesia (RY2024*)                                                                            |                                       |

<sup>5</sup> One implementation obligation that is not included in this list is CMM 2014-02 9a, because this obligation is recommended to be reviewed annually (see TCC21-2025-10)

| Obligation                                                                                                                                                                                                            | CCM CMR issue             | Statement of IM meets the audit point |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------------------|
|                                                                                                                                                                                                                       | Ecuador (RY2024*)         |                                       |
| <b><u>CMM 2017-04</u> Conservation and Management Measure on Marine Pollution</b>                                                                                                                                     |                           |                                       |
| <b><u>CMM 2017-04 02</u></b> Prohibit fishing vessels from discharging any plastics (including plastic packaging, items containing plastic and polystyrene) but not including fishing gear                            | Ecuador (RY2023*, RY2024) |                                       |
| <b><u>CMM 2018-05</u> Conservation and Management Measure for the Regional Observer Programme</b>                                                                                                                     |                           |                                       |
| <b><u>CMM 2018-05 07</u></b> Vessels to be prepared to accept an observer from the ROP, if required                                                                                                                   | Ecuador (RY2023*, RY2024) |                                       |
| <b><u>CMM 2018-05 09</u></b> CCMs shall source observers for their vessels as determined by the Commission                                                                                                            | Ecuador (RY2023*, RY2024) |                                       |
| <b><u>CMM 2018-06</u> Conservation and Management Measure on the Record of Fishing Vessels and Authorization to Fish</b>                                                                                              |                           |                                       |
| <b><u>CMM 2018-06 18</u></b> CCMs to prohibit landings in ports or transshipment to vessels not on RFV                                                                                                                | France (RY2023*, RY2024)  |                                       |
|                                                                                                                                                                                                                       | Ecuador (RY2023*, RY2024) |                                       |
|                                                                                                                                                                                                                       | Curacao (RY2023*, RY2024) |                                       |
| <b><u>CMM 2019-05</u> Conservation and Management Measure on Mobulid Rays caught in association with fisheries in the WCPFC Convention Area</b>                                                                       |                           |                                       |
| <b><u>CMM 2019-05 03</u></b> Prohibit targeted fishing or intentional setting on mobulid rays                                                                                                                         | Ecuador (RY2023*, RY2024) |                                       |
| <b><u>CMM 2022-06</u> Conservation and Management Measure on Daily Catch and Effort Reporting</b>                                                                                                                     |                           |                                       |
| <b><u>CMM 2022-06 01</u></b> Requirement to ensure the master of each vessel completes an accurate electronic log of every day that it spends at sea on the high seas of the Convention Area as specified             | Ecuador (RY2024*)         |                                       |
| <b><u>CMM 2022-06 02</u></b> Requirement that information recorded by the master of each vessel each day with fishing operations shall, at a minimum include the information as specified                             | Ecuador (RY2024*)         |                                       |
| <b><u>CMM 2022-06 05</u></b> Requirement that the master of each vessel fishing in the Convention Area provides an accurate and unaltered original or copy of the required information pertaining to the current trip | Ecuador (RY2024*)         |                                       |

| Obligation                                                                                                                                                                                                                               | CCM CMR issue                        | Statement of IM meets the audit point |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|---------------------------------------|
| on board the vessel at all times during the course of a trip                                                                                                                                                                             |                                      |                                       |
| <b><u>CMM 2023-01</u> Conservation and Management Measure on Tropical Tunas</b>                                                                                                                                                          |                                      |                                       |
| <u>CMM 2023-01 16</u> Required FAD design and construction specification requirements to reduce the risk of entanglement of sharks, sea turtles or other species (effective 1 Jan 2024)                                                  | Philippines (RY2024*)                |                                       |
|                                                                                                                                                                                                                                          | United States (RY2024*)              |                                       |
|                                                                                                                                                                                                                                          | Ecuador (RY2024*)                    |                                       |
| <u>CMM 2023-01 21</u> Each purse seine vessel is limited to no more than 350 FADs with activated instrumented buoys                                                                                                                      | Indonesia (RY2024*)                  |                                       |
|                                                                                                                                                                                                                                          | Ecuador (RY2024*)                    |                                       |
| <u>CMM 2023-01 30</u> Purse seine catch retention requirements (20N - 20S)                                                                                                                                                               | Philippines (RY2024*)                |                                       |
|                                                                                                                                                                                                                                          | Ecuador (RY2024*)                    |                                       |
| <u>CMM 2023-01 32</u> Purse seine vessels are not to operate under manual reporting during FAD closure period                                                                                                                            | Ecuador (RY2024*)                    |                                       |
| <b><u>CMM 2024-05</u> Conservation and Management Measure for Sharks (previously <u>CMM 2019-04</u>)</b>                                                                                                                                 |                                      |                                       |
| <u>CMM 2024-05 25 (01-07)</u> Prohibit purse seine setting on whale sharks, retaining/transshipping/landing of whale sharks (previously <u>CMM 2019-04 21 (01-07)</u> )                                                                  | Indonesia (RY2021*, RY2022*, RY2023) |                                       |
| <u>CMM 2024-05 07-09</u> Take measures necessary to require all sharks retained on board their vessels are fully utilized and ensure the prohibition of finning (provide in Part 2 Annual Report) (previously <u>CMM 2022-04 07-10</u> ) | Nauru (RY2024*)                      |                                       |
| <u>CMM 2024-05 18</u> Requirements to minimize bycatch of sharks in longline fisheries between 20N and 20S (previously <u>CMM 2022-04 16</u> )                                                                                           | United States (RY2024*)              |                                       |