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PNA+ Proposal to Refine the CCFS Process

WCPFC-TCC22-2026-DP07

20 September 2026

Submitted by the Parties to the Nauru Agreement (PNA) CCMs

PNA+ Proposal to Refine the CCFS Process Flow

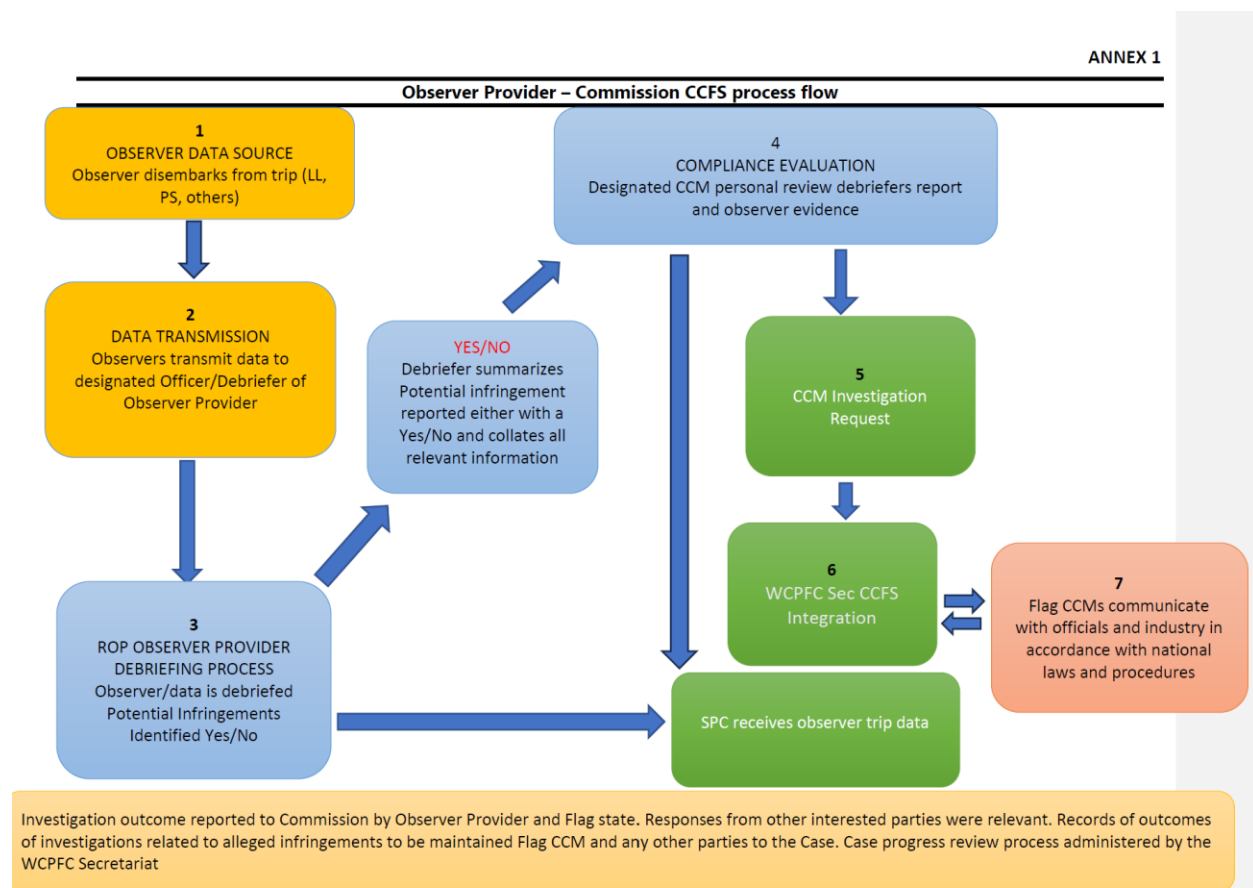
Explanatory Note: Proposed revisions to the ROP-IWG Chair's CCFS Process Flow Diagram and Tracked Notes

Submitted by PNAO on behalf of PNA¹ Members

This note is read against the ROP-IWG Chair's draft in WCPFC-TCC22-2026-24A, including Annex 1 (Observer Provider – Commission CCFS process flow) and the Notes to that diagram. PNA strongly supports the overall structure and direction of the Chair's draft. The refinements below are limited to clarifying who decides YES/NO, how the diagram should show that decision, and related sequencing and package-scope points spoken to at ROP-IWG09. A marked-up process flow diagram reflecting these changes is attached.

1. What the Chair's version currently provides

The Chair's Annex 1 flow (Steps 1–7) is the right pathway: observer disembarkation and data transmission; ROP debriefing using the WCPFC ROP Trip Monitoring Summary Questionnaire; CCM compliance evaluation; a formal CCM investigation request under Articles 23(5) and 25(2) and CMM 2018-05 paragraph 11; Secretariat CCFS tracking; and flag CCM investigation under national law. PNA supports that overall path and the move away from Secretariat-led pre-notification / GEN-3 TUBS-load case creation.



¹ Includes Vanuatu

On the specific points that need refinement, the Chair's current draft does the following:

- **Diagram / Step 3:** Step 3 (ROP Observer Provider Debriefing Process) and the intervening YES/NO box show the debriefer identifying potential infringements Yes/No and summarising that Yes/No before the file moves to Step 4.
- **Notes to Step 3:** If the debriefer records "YES", the form and evidence are passed to Step 4 "to verify and validate the debriefer's potential compliance summary." If "NO", the trip is treated as cleared for SPC. The Notes therefore place the first YES/NO compliance gate with the debriefer.
- **Notes to Step 4 / sample form:** Step 4 reviews the debriefer's report, compiles the observer-sourced case package, and triggers the Article 23(5) / 25(2) request. The sample questionnaire already includes a "FOR COMPLIANCE OFFICIAL USE ONLY" block (CLEARED vs notified for investigation) — which sits at odds with a Step 3 debriefer YES point.
- **Steps 6 and 7:** Step 6 is "WCPFC Sec CCFS Integration" and Step 7 is flag CCM investigation / national process. The Notes to Step 5 already have the flag initiating investigation on the formal request, while Step 6 has the Secretariat entering the case on copy of that request.
- **SPC pathway:** SPC receives cleared trip data; the diagram shows paths from the debriefing / compliance side to SPC.

2. What PNA proposes to change in the diagram and Notes

The proposed diagram does not redesign the Chair's flow. It makes four changes so the picture matches a Convention-compliant, CCM-led process.

Change A — Move the authoritative YES/NO from Step 3 to Step 4 (main change)

Chair version (now):

- Debriefer at Step 3 records YES/NO on alleged infringement and that Yes/No drives what happens next.
- Step 4 is framed mainly as verifying/validating the debriefer's YES.

Proposed version (diagram + Notes):

- Step 3 remains debriefing and completion of the Trip Monitoring Summary Questionnaire (YES/NO responses and supporting information). The debriefer's role is to produce an accurate debrief report — not to decide whether a compliance case proceeds.
- The completed Questionnaire (all YES/NO responses and supporting evidence) from Step 3 is always forwarded to Step 4.
- Step 4 (Compliance Evaluation and Vetting), by designated CCM compliance personnel, makes the authoritative YES/NO decision: proceed to a formal investigation request, or clear as "NO CASE" / CLEARED for SPC.
- Diagram edit: remove or re-label the intervening "YES/NO — Debriefing summarizes..." box so it does not read as the decision point. Show the YES/NO decision gate on Step 4 (aligned with the sample form's "FOR COMPLIANCE OFFICIAL USE ONLY" block).

Why: Observer debriefers, as understood, are not authorised officers under national law to make compliance determinations. That point was raised by PNG at ROP-IWG08 and restated by PNA at ROP-IWG09.

Change B — Clarify sequencing of Flag investigation and Secretariat CCFS entry (Steps 6 and 7)

Chair version (now):

- Step 6 = WCPFC Secretariat CCFS Integration; Step 7 = Flag CCM investigation / national process.

Proposed version:

- Step 6 = Flag CCM Investigation (flag CCM initiates full investigation and provides progress reports through the CCFS, consistent with Article 25(2)).
- Step 7 = WCPFC Secretariat CCFS Integration (Secretariat enters/tracks the case in CCFS after the formal request is transmitted to the flag and coastal State CCMs).

Why: Keeps the flag State's Article 25(2) role visually central and makes clear that CCFS integration is tracking after a CCM-initiated request — not case creation from raw ROP data loads.

Change C — Show the data-clearance / SPC pathway clearly

Chair version (now):

- SPC receives observer trip data, with paths indicated from the debriefing/compliance side.

Proposed version:

- Tie the diagram's SPC pathways to the sample Trip Monitoring Summary Questionnaire's "FOR COMPLIANCE OFFICIAL USE ONLY" checkboxes at Step 4:

•	Debriefers Name: _____	Observer Name: _____
•	Signature: _____	Signature: _____
FOR COMPLIANCE OFFICIAL USE ONLY [Tick the appropriate box] ☒ No Potential Compliance Issue – Trip Data is CLEARED ☒ Potential Compliance Issues – Trip Data is being Notified to relevant CCMs for investigations		

☒ Checkbox — "No Potential Compliance Issue – Trip Data is CLEARED": show clearly that when Step 4 ticks this box (NO CASE / CLEARED), trip data is cleared for SPC science and archiving.

☒ Checkbox — "Potential Compliance Issues – Trip Data is being Notified to relevant CCMs for investigations": where Step 4 ticks this box and an investigation proceeds, show that trip data notified to the flag CCM can still be cleared for SPC archiving in parallel (dotted pathway), so science data are also not held hostage to the compliance track.

Change D — Scope of the observer-sourced case package (Notes to Step 4)

Chair version (now):

- Step 4 compiles a full observer-sourced case package (Infringement Notification Form/Report; signed Questionnaire; supporting evidence / relevant observer report forms).

Proposed version:

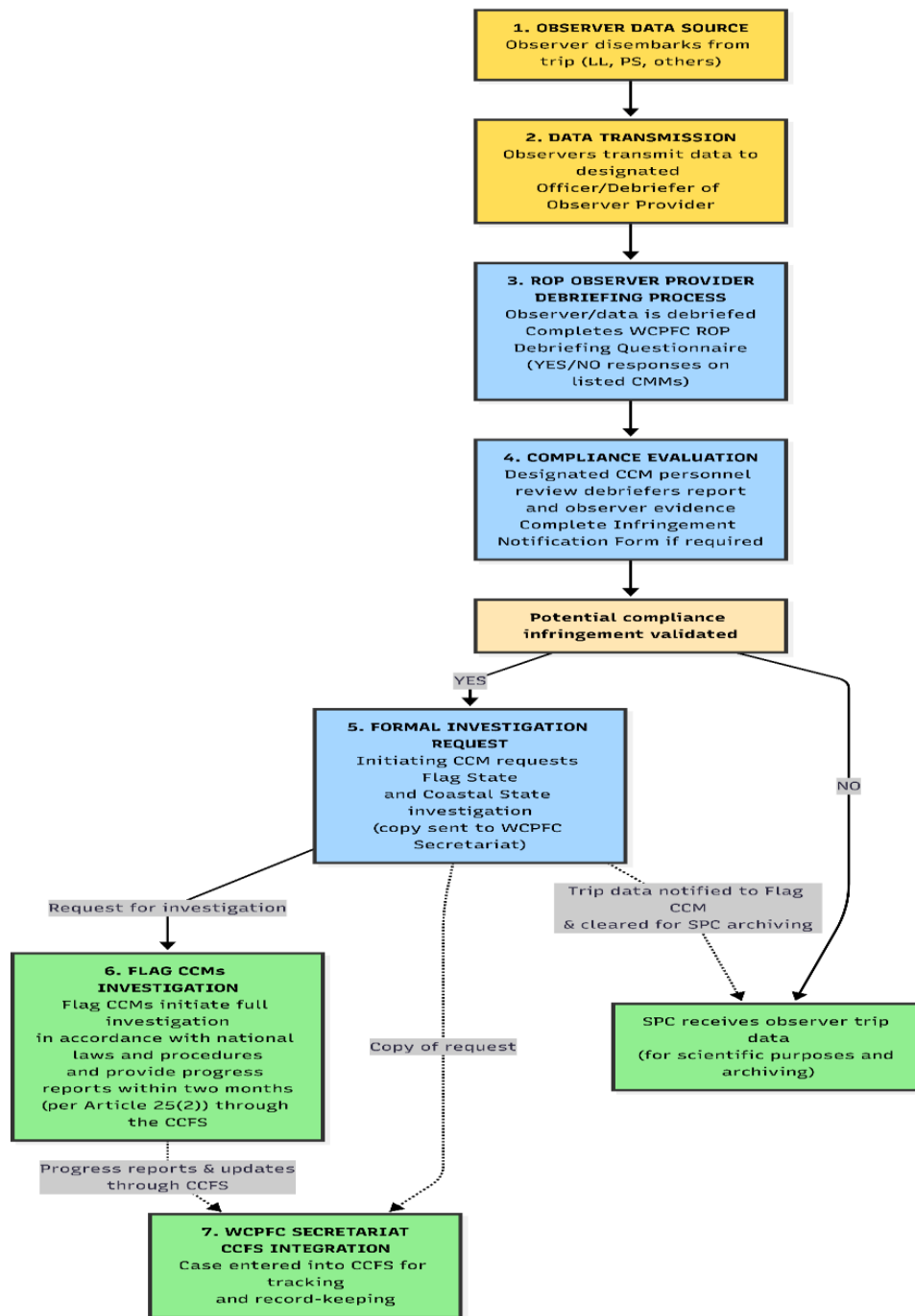
- Retain “observer data” terminology (FFA/PNA regional usage) rather than shifting to “trip report” as the operative term.
- Red-line: only observer data and forms relevant to the specific alleged infringement are included in the Observer-Sourced Case Package at Step 4 — not the entire trip data by default.

3. Summary table — Chair vs PNA+ proposed

Element	Chair draft (ROPIWG09-2026-02)	PNA+ proposed change
YES/NO gate	Debriefers YES/NO at Step 3; Step 4 verifies	Step 3 always forwards Questionnaire; authoritative YES/NO at Step 4 Compliance
Steps 6 and 7	6 Sec CCFS; 7 Flag investigation	6 Flag investigation; 7 Sec CCFS tracking
SPC / clearance	SPC paths from debrief/compliance	Map SPC paths to Step 4 compliance checkboxes: CLEARED → SPC; Notified/investigation → parallel dotted SPC clearance
Case package	Full observer-sourced package at Step 4	Only data/forms relevant to the specific alleged infringement

4. Relationship to TCC22. This refined flow was spoken to at ROP-IWG09. It was not submitted to the ROP-IWG Chair at that time so that Parties could consider it first. It is annexed to the PNA TCC22 Brief for Agenda 7.4 as this Attachment 1.

Data Process Diagram - Proposed / marked-up process flow diagram:



ROP-IWG CCFS Process Flow — Notes to diagram (Steps 1–7)

Tracked document version — PNA+ proposed revisions

Purpose: *If agreeable by ROPIWG /TCC22, this tracked revision is proposed for adoption at WCPFC23. It refines the Chair’s CCFS Notes in WCPFC-TCC22-2026-24A (Steps 1–7) to reflect PNA+ proposed changes spoken to at ROP-IWG09 and set out in the PNA+ Explanatory Notes.*

How to read: *Red strikethrough = Chair’s text proposed for deletion. Blue underline = PNA+ proposed insertion. Unmarked text is unchanged.*

Notes to process flow diagram

1. Observer Data Source

- Observer disembarks.
- Once the observer disembarks in port (home or foreign) the trip data is expected to be completed upon disembarkation or whilst the observer is in port during back-to-back trips.
- Observer will notify the observer provider to arrange for debrief and repatriation (CMM / agreed minimum standards and guidelines of ROPs — standard requirement IWGROP2/TCC4/WCPFC5).
- Note that the requirements set out in CMM 2017-03 (Conservation and Management Measure for the Protection of WCPFC Regional Observer Programme Observers) would also apply to matters related to the health, safety and welfare of observers.

2. Data transmission from Observer to Debriefing Observer Provider

- Observer provides trip data either through hard copy in port or by ER processes (observer–ER database) to the Debriefing Observer Provider.
- It is recognised that within the Pacific Islands region, there are often existing arrangements that facilitate an observer being debriefed by another debriefing observer provider. For example, SBOB on a POA trip is debriefed by a PGOB debriefer.

3. ROP Observer Debriefing Process (WCPFC ROP Trip Monitoring Summary Questionnaire)

- Observer trip data for each ROP Trip is debriefed by an Observer Provider CCM, Coastal State, or other designated CCM (the “Debriefing Observer Provider” or “debriefing”).
- Debriefing will be conducted in line with the WCPFC ROP Agreed Minimum Standards and Guidelines as updated in 2025.
- Debriefing will prioritise any potential infringements against the agreed list of CMM paragraphs, identified with a YES/NO through a “WCPFC ROP Trip Monitoring Summary Questionnaire”. Those YES/NO marks are debrief outputs (filling in the questionnaire YES/NO form); they are not a decision that an allegation is a compliance case.
- ~~If on the WCPFC ROP Trip Summary Questionnaire, the debriefer gives a “YES” to indicate an alleged infringement of any or all of the agreed/listed CMM paragraphs in the WCPFC ROP Trip Summary Questionnaire, that form with supporting observer-sourced evidence (photo/video/statement), is then compiled and passed on to the designated CCM’s personnel/contacts in Step 4 to verify and validate the debriefer’s potential compliance summary report generated from the Debriefing questionnaires / Trip Monitoring Summary Questionnaire.~~ The completed WCPFC ROP Trip Monitoring Summary Questionnaire (with all YES/NO responses and supporting observer-sourced information/evidence) from Step 3 will always be forwarded to Step 4 (Compliance Evaluation and Vetting). Step 3 YES/NO marks are debrief outputs — debriefers filling in the questionnaire YES/NO form. They are not a decision that an allegation

is a compliance case. The debriefer's role is to produce an accurate debrief report; authoritative case determination (investigation request vs NO CASE / CLEARED) is made at Step 4.

~~• If a "NO" is attained from the Trip Monitoring Summary Questionnaire on the listed CMM paragraphs, the debriefer then passes on a signed copy noting the trip data has been verified and confirmed no CMM potential violation has been reported. CCM's personnel/contacts confirm and indicate the trip data is cleared and notify the Observer Programme to send to SPC for scientific purposes and archiving. NO CASE / CLEARED decisions for SPC science and archiving are made at Step 4 by designated CCM compliance personnel, using "FOR COMPLIANCE OFFICIAL USE ONLY" checkboxes on the Trip Monitoring Summary Questionnaire (those checkboxes appeared in ROPIWG09 and are absent from 24A Annex 1 — see Step 4).~~

• Diagram note: remove or re-label any intervening "YES/NO — Debrief summary..." box so it does not read as the case gate. Show the case-determination YES/NO gate at Step 4, consistent with sample Questionnaire compliance-official checkboxes.

4. Compliance Evaluation and Vetting

~~• The CCM designated personnel/contact will review the debriefer's report (Verified Trip Monitoring Summary Questionnaire with supporting observer-sourced evidence) and complete an "Infringement Notification Form/Report" (to be developed), if potential infringements indicated in the debriefer's report are established to be genuine with supporting observer-sourced evidence/information obtained from the observer report. The CCM designated personnel/contact will review the debriefer's report (completed Trip Monitoring Summary Questionnaire with supporting observer-sourced evidence) and make the authoritative case determination on whether a potential infringement should proceed to a formal investigation request, or be cleared as "NO CASE". Where investigation is warranted, complete an "Infringement Notification Form/Report" (24A requires this form but supplies no sample).~~

• Restore the sample Trip Monitoring Summary Questionnaire's "FOR COMPLIANCE OFFICIAL USE ONLY" checkboxes (present in ROPIWG09; absent from 24A Annex 1). That Step 4 decision is recorded against those checkboxes:

☒ Checkbox — "No Potential Compliance Issue – Trip Data is CLEARED": when Step 4 ticks this box (NO CASE / CLEARED), trip data is cleared for SPC science and archiving.

☒ Checkbox — "Potential Compliance Issues – Trip Data is being Notified to relevant CCMs for investigations": where Step 4 ticks this box and an investigation proceeds, trip data notified to the flag CCM can still be cleared for SPC archiving in parallel (dotted pathway), so science data are not held hostage to the compliance track.

~~• At this step, a full observer-sourced case package is compiled with the following details. At this step, an observer-sourced case package is compiled. Against 24A's "full observer-sourced case package" wording, only observer data and forms relevant to the specific alleged infringement are included. The package includes the following details.~~

• 1. An Infringement Notification Form/Report outlining: (a) Vessel and Trip Details; (b) Infringement Descriptions (Date/Time, Location, Set/Species #, etc.); (c) Compliance Analysis; (d) Recommendation for Flag/Coastal State investigations.

• 2. A signed and verified WCPFC ROP Trip Monitoring Summary Questionnaire with observer-sourced supporting evidence (photo/video, observer statement or crew statement), including relevant observer report forms that contain information specific to the particular alleged infringement.

• These details will then be used under CMM 2018-05 paragraph 11, to trigger an Article 23(5) or Article 25(2) CCM request in Step 5 for investigations by the flag State responsible and the coastal State in which the infringement occurred.

- ~~If the compliance evaluation carried out is NO CASE, due to insufficient observer information and/or evidence, the data is given a CLEARED authenticity on the WCPFC Debriefers Report Form (Trip Monitoring Summary Questionnaire) and submitted to SPC. If the compliance evaluation is NO CASE (including where evidence is insufficient), Step 4 ticks “No Potential Compliance Issue – Trip Data is CLEARED” on the Trip Monitoring Summary Questionnaire and the trip data is submitted to SPC for scientific purposes and archiving.~~

If the compliance evaluation is a YES CASE (including where evidence is sufficient), Step 4 ticks “Potential Compliance Issues - Trip information is being Notified to relevant CCMs for investigations” on the Trip Monitoring Summary Questionnaire and the relevant trip information is abstracted to support STEP 5 flag state request, whilst the full Observer trip data is submitted to SPC (as shown in the revised process flow with dotted lines).

5. Formal Investigation Request

- The “Initiating CCM” (Observer Provider CCM, Coastal State CCM, or other designated CCM responsible for debriefing and compliance evaluation) submits a formal request to the Flag CCM, including the Coastal State CCM, to investigate the alleged violation, as outlined in Step 4.
- ~~The formal request is transmitted via email copying the WCPFC Secretariat for CCFS integration in Step 6.~~ The formal request is transmitted via email copying the WCPFC Secretariat for CCFS integration in Step 7.
- ~~The flag CCM initiates a full investigation and provides progress reports within two months, per Article 25(2).~~ Following transmission of the formal request, the flag CCM initiates a full investigation and provides progress reports within two months, per Article 25(2) (see Step 6).

6. Flag CCM Investigation / Investigations of Alleged Infringements and Domestic Enforcement

~~[Chair Step 6 title was: WCPFC Secretariat CCFS Integration]~~ PNA+ proposed sequencing: Step 6 is Flag CCM Investigation (Chair’s former Step 7 content, refined).

- The responsible Flag CCM and other parties act on notification of a potential infringement and investigate, prosecute, and penalize proven infringements in accordance with national laws.
- The Responsible CCM(s) provide through the CCFS progress reports within two months of the initial notification (and within two months of any request for an update thereafter), per Article 25(2) of the Convention.

7. WCPFC Secretariat CCFS Integration²

~~[Chair Step 7 title was: Investigations of Alleged Infringements and Domestic Enforcement]~~ PNA+ proposed sequencing: Step 7 is WCPFC Secretariat CCFS Integration (Chair’s former Step 6 content, refined).

- ~~Upon receipt of a copy of the initiating CCM’s formal request, the Secretariat entered the case into the CCFS (creating a case ID) for tracking and centralized record-keeping of the case until it is closed.~~ Upon receipt of a copy of the initiating CCM’s formal request, the Secretariat enters the case into the CCFS (creating a case ID) for tracking and centralized record-keeping of the case until it is closed. CCFS integration is for tracking after a CCM-initiated Article 25(2) request
- The investigation outcomes and data from this process and through the CCFS are then considered in the CMS process for inclusion in the CMR, ensuring timely and accurate compliance assessments.

² US comment - Note that if the WCPFC eventually creates an ‘ROP Portal’ (similar to the ‘HSBI Portal’ implemented in 2025), then an Initiating CCM in Step 5 would also create a CCFS case in the ROP Portal and upload the Case Package described in Step 4; and Step 6 would be comprised of the Secretariat’s review of the ROP-Portal entry to finalize the creation of a case in CCFS for tracking and centralized record-keeping purposes.

End note

This tracked text is intended for TCC22 consideration under Agenda 7.4, mapped to WCPFC-TCC22-2026-24A. If agreeable, TCC22 would recommend adoption of the revised Notes (and corresponding diagram edits) to WCPFC23.

GUIDELINES

Completing the Infringement Notification / Request for Investigation

Article 23(5) / Article 25(2) · CMM 2018-05 paragraph 11 · Step 4 (Compliance Evaluation and Vetting)

Status	Working sample for ROP-IWG consideration — not an adopted Commission form
Submitted by	PNA and Vanuatu
Use with	Sample Form: Infringement Notification / Request for Investigation (Step 4)

Complete one form per trip where Step 4 finds a genuine compliance issue. Use one row in Section E for each alleged infringement. Send the signed form and Schedule 1 to the Flag CCM first including the Responsible CCMs, then copy the Secretariat.

Purpose of the form

This form is the initiating CCM's written notification and formal request that the Flag CCM (and, where relevant, the Coastal State CCM) investigate an alleged infringement. The Secretariat is copied for CCFS tracking after the request is transmitted. It is the "Infringement Notification Form/Report" contemplated in the Notes to Step 4.

Step 4 is a compliance decision. The debriefer does not decide whether an alleged incident proceeds to investigation.

A. Form control

- **Form / Reference No.** - Initiating CCM file number (for example TV-2026-014).
- **Date of this notification / request** — Date the request is sent to the Flag CCM including Relevant CCM.
- **Related Trip Monitoring Summary Questionnaire Ref.** - Questionnaire or trip ID from debriefing.

B. Initiating CCM (sender)

- Name the CCM sending the request.
- Tick every role that applies. National or sub-regional observer programmes tick Observer Provider CCM. A coastal State that is not the provider may also tick Coastal State CCM.
- Give the designated compliance contact, not the debriefer.

C. Addressees

- **Flag CCM** — Always the primary addressee under Article 25(2).
- **Coastal State CCM(s)** — Include where the alleged incident occurred in an EEZ. Leave blank for high-seas-only events unless a coastal State still has a role.
- **WCPFC Secretariat** — Copy only after the request has been sent to the Flag CCM. The copy is for CCFS tracking. It is not a substitute for the request.
- **Other CCM(s)** — List a chartering CCM or other party only if they have a direct role.

D. Vessel and trip details

- Use RFV / WIN details. Do not invent a vessel identity.
- Observer name, nationality, programme and provider must match the trip record.

- Trip start, end, trip ID and debrief date are required. Notification should follow as soon as practicable after debriefing. PNA and Vanuatu suggest 14 days.
- **Areas of operation** — List each EEZ and high-seas segment. This supports the Flag / coastal State of investigation location.

E. Alleged infringement description(s)

- One row per alleged infringement. Do not bundle unrelated events.
- **CMM / paragraph** — Cite the binding obligation only. Do not list non-binding guidance.
- **Date / time and location** — As recorded by the observer. State whether the event was in an EEZ or on the high seas.
- **Set / species / gear** — Include only if it is part of the allegation.
- **Brief description** — Short statement of what was observed. Analysis belongs in Section F.

Put supporting evidence in Schedule 1. Do not paste the full observer report into the table.

F. Compliance analysis (Step 4 evaluation)

Completed by designated compliance staff after review of the Trip Monitoring Summary Questionnaire and supporting evidence.

- **Summary of compliance analysis / findings** — State the finding for each alleged infringement listed in Section E. Say why the observer-sourced evidence is enough to request an investigation. Note any gap that the Flag CCM should still address.
- **Evidence relied on** — List the Schedule 1 items used for that finding.

G. Formal request under Article 23(5) / Article 25(2) and CMM 2018-05 paragraph 11

This section is the request. Sending the signed form is the notification.

- The two-month period is for a progress report, not for finishing the investigation.
- **Specific investigation actions** — Optional. Use only if a particular record, interview or inspection is needed.
- **Priority / sensitivity** — Use for observer safety, crew welfare, or perishable evidence.
- **Transmission** — Tick the boxes that were actually used. The Secretariat copy is last, not first.

H. Recommendation for Flag / Coastal State investigations

- Keep recommendations short and practical (for example: interview the master; check VMS against set time; confirm position against a FAD closure).
- Use the coastal State line when the event was in zone and that CCM has jurisdiction.
- Requests to other CCMs (charterer, provider, coastal State) go here, not in Section E.

I. Certification

- Signed by the Initiating CCM compliance official, not the observer or debriefer.
- The certification confirms that Step 4 was completed and that the package contains only material relevant to the alleged infringement(s).

Schedule 1 — Observer-sourced supporting evidence

- Attach only what supports the rows in Section E.
- **Minimum package** — Completed Trip Monitoring Summary Questionnaire plus the relevant report pages or observer statement.
- Photos, video and crew or master statements are included only if they relate to the alleged infringement.
- List every file name. Do not attach the whole trip data.

Transmission order

- Complete Sections A–I and Schedule 1.
- Send to the Flag CCM, and to the Coastal State CCM including the Observer Provider CCM when listed.
- Copy the Secretariat for CCFS case entry.

These guidelines accompany the sample form develop for CCFS process Step 4

WESTERN AND CENTRAL PACIFIC FISHERIES COMMISSION

SAMPLE FORM

Infringement Notification / Request for Investigation

Article 23(5) / Article 25(2) of the Convention · CMM 2018-05 paragraph 11

For use at Step 4 (Compliance Evaluation and Vetting) of the Observer Provider – Commission CCFS process flow

Purpose: This sample form is the “Infringement Notification Form/Report” contemplated in the Notes to Step 4 of WCPFC-TCC22-2026-24A. It is the initiating CCM’s written notification and formal request that the Flag CCM (and, where relevant, the Coastal State CCM) investigate an alleged infringement, copying the WCPFC Secretariat for CCFS tracking after the request is transmitted. It is a working sample for ROP-IWG/TCC22 consideration — not an adopted Commission form.

A. Form control

Form / Reference No.	
Date of this notification / request	
Related Trip Monitoring Summary Questionnaire Ref.	

B. Initiating CCM (sender)

Initiating CCM	
Role of Initiating CCM	

Tick all that apply:

- ☐ Observer Provider CCM
- ☐ Coastal State CCM
- ☐ Other designated CCM (specify): _____

Designated compliance contact (name / title)	
Email / phone	
Authority / office	

C. Addressees

Flag CCM (primary addressee for Art. 25(2) investigation)	
Coastal State CCM(s) (where infringement alleged to have occurred)	
WCPFC Secretariat (copy for CCFS integration / tracking)	
Other CCM(s) / parties copied (if any)	

D. Vessel and trip details

Vessel name	
WIN / IRCS / Registration No.	
Flag State	
Vessel type (PS / LL / other)	
Observer name / nationality / programme	
Observer provider	
Trip start date / end date / trip ID	
Areas of operation (EEZ(s) / high seas)	
Debrief date / Debriefing Observer Provider	

E. Alleged infringement description(s)

List each alleged infringement separately. Include only information relevant to that alleged infringement to create a case package. Attach supporting observer-sourced evidence as Schedule 1.

#	CMM / paragraph	Date / time	Location (coords / EEZ / HS)	Set / species / gear (if any)	Brief description

F. Compliance analysis (Step 4 evaluation)

Completed by designated CCM compliance personnel after review of the Trip Monitoring Summary Questionnaire and supporting evidence.

Summary of compliance analysis / findings	
Evidence relied on (list attachments / Schedule 1 items)	

G. Formal request under Article 23(5) / Article 25(2) and CMM 2018-05 paragraph 11

The Initiating CCM hereby notifies the Flag CCM (and, where listed above, the Coastal State CCM) of the alleged infringement(s) described in Section E and formally requests that the Flag CCM investigate in accordance with Article 25(2) of the Convention (and Article 23(5) where applicable) and provide a progress report within two months of this request (and within two months of any subsequent request for an update).

Specific investigation actions requested (optional)	
Recommended priority / sensitivity notes (e.g. observer safety)	

Transmission:

- ☐ Email to Flag CCM designated contact(s)
- ☐ Email copy to Coastal State CCM(s)
- ☐ Email copy to WCPFC Secretariat for CCFS tracking (after this request is transmitted)
- ☐ Other: _____

H. Recommendation for Flag / Coastal State investigations

Recommendation to Flag CCM	
Recommendation to Coastal State CCM (if any)	
Any request for cooperation / information from other CCMs	

I. Certification (Initiating CCM compliance official)

Name	
Title / authority	
CCM	
Signature	
Date	

I certify that Step 4 compliance evaluation has been completed; that this notification/request is based on the completed Trip Monitoring Summary Questionnaire and supporting observer-sourced evidence listed in Schedule 1; and that only information relevant to the alleged infringement(s) is included in the case package.

Schedule 1 — Observer-sourced supporting evidence (attach)

Include only materials relevant to the specific alleged infringement(s).

- ☐ Completed / signed WCPFC ROP Trip Monitoring Summary Questionnaire

- ☐ Relevant observer report form page(s) / extracts specific to the alleged infringement
- ☐ Observer statement
- ☐ Crew/master statement (if any)
- ☐ Photo(s)
- ☐ Video(s)
- ☐ Other: _____

Attachment list / file names	
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