



**TECHNICAL AND COMPLIANCE COMMITTEE
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**JAPAN's PROPOSAL FOR A CONSERVATION AND MANAGEMENT MEASURE ON
CATCH DOCUMENTATION SCHEME**

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Paper prepared by Japan

JAPAN's PROPOSAL FOR A CONSERVATION AND MANAGEMENT MEASURE ON CATH DOCUMENTATION SCHEME

Concerned that illegal, unreported and unregulated (IUU) fisheries are still one of the factors hampering sustainable use of fishery resources;

Recognizing that the ICCAT Catch Documentation Scheme for Atlantic bluefin tuna is working effectively to prevent IUU products from entering the market;

Recalling that one of the reasons why some countries proposed to list several shark species in CITES Appendix II at the COP 15 of CITES in Doha was lack of information on shark;

Further recalling that participants to the Joint Tuna RFMO workshop on Monitoring, Control and Surveillance held in Barcelona from June 3 to 5 this year supported the expansion of the catch documentation scheme to species other than Atlantic bluefin tuna and southern bluefin tuna;

Recognizing that special consideration should be given to implementation of the catch documentation scheme for sharks;

Determined to take further measures to combat IUU fisheries and collect information on catch and trade;

Adopts, in accordance with Article 10 of the WCPFC Convention, the following measure:

PART I GENERAL PROVISIONS

1. Each Commission Member, Cooperating non-Member and Participating Territory (hereafter referred to as "CCMs") shall take the necessary steps to implement a WCPFC Catch Documentation Scheme for the purpose of identifying the origin of tunas and tuna-like species and other species of fish taken by vessels fishing for tunas and tuna-like species in the Convention Area to which conservation and management measures apply. Initially, the species subject to the Scheme (hereinafter referred to as "the Species") shall be yellowfin tuna, bigeye tuna, skipjack tuna, albacore tuna, swordfish, bluefin tuna, and sharks (silky shark, ocean whitetip shark, blue shark, porbeagle shark, mako shark species group, thresher shark species group, hammerhead shark species group, other shark species group).
2. For the purpose of this Programme:
 - a) "Export" means:
Any movement of the Species caught in the Convention Area by a fishing vessel flying the flag of a CCM to the territory of another CCM or non-Member to the WCPFC, or from the fishing grounds to the territory of a CCM which is not the flag CCM of the fishing vessel or to the territory of a non-Member to the WCPFC.
 - b) "Import" means:

Any introduction of the Species in their caught or processed forms into the territory of a CCM, which is not the CCM where the fishing vessel is flagged

c) "Re-export" means:

Any movement of the Species in their caught or processed forms from the territory of a CCM where it had been previously imported

d) "Transshipment" means:

Any transfer of fish from vessels that have caught the fish to carrier vessels either at sea or in port

PART II CATCH DOCUMENTS

3. Each consignment of the Species imported into or exported or re-exported from the territory of a CCM shall be accompanied by a validated WCPFC catch document (WCCD) and, as applicable, a validated WCPFC re-export certificate (WCRC). Any such import, export or re-export of the Species without a completed and validated WCCD or WCRC shall be prohibited.
4. Each CCM shall provide WCCD forms only to fishing vessels authorized to catch the Species in the Convention Area. Such forms are not transferable to another fishing vessel. Each WCCD form shall have a unique document identification number. Document numbers shall be specific to the flag CCM and assigned to the fishing vessel.
5. Copies of WCCD shall follow each part of split consignments or processed product, using the unique document number of the original WCCD in order to track them.
6. CCMs shall keep copies of IACDs issued or received for at least two years.
7. Export, import and re-export of fish parts other than the meat and shark fins (i.e., heads, eyes, roes, guts, bones and tails) shall be exempted from the requirements of this CCM. Products for hand carrying by travelers shall also be exempted from the requirements of this CCM.
8. The fishing vessel masters, or their authorized representative, or the authorized representative of the flag CCM shall complete the WCCD, by providing the required information in appropriate sections and request its validation for catch information and transshipment information in accordance with paragraph 10. The exporter shall complete the WCCD for the trade information and request its validation in accordance with paragraph 10.
9. The form of the WCCD shall be **Annex 1**. Each space may be expanded as necessary. All the annexes shall be filled-in in accordance with the attached instruction sheets. CCMs are strongly encouraged to introduce electronic forms.
10. a) The Catch Information section, the Transshipment Information section, and the Trade information section with respect to the exported products of the WCCD shall be validated respectively by an authorized government official, or other authorized individual or institution, of the flag CCM of the fishing vessel. Each section shall not be validated until the previous section is validated.

b) Notwithstanding paragraph a), in case that the fishing vessel operates fishing in the waters under jurisdiction of another CCM (hereinafter referred to as "coastal CCM"), the Catch

Information section of the WCCD may be validated by an authorized government official or other authorized individual or institution, of the coastal CCM. If the catch is landed at the port within the territory of the coastal CCM, it may be treated as domestic landing of the coastal CCM, which therefore does not require completion of the Trade Information section as long as the fish stays within the coastal CCM. If the fish is exported from the coastal CCM, the Trade Information Section may be validated by the coastal CCM.

c) Notwithstanding paragraph a), in case that the fishing vessel operates fishing under charter arrangement with another CCM (hereinafter referred to as “chartering CCC”), the Catch Information section of the WCCD shall be validated by an authorized government official or other authorized individual or institution, of the chartering CCM.

d) Each section of the WCCD shall be validated only when all the information contained in the section has been established to be accurate as a result of the verification of the consignment, and only when those products comply with all relevant provisions of the conservation and management measures.

e) Validation shall not be required in the event that each fish available for sale is tagged in accordance with paragraph 25 by the flag CCM of the fishing vessel that fished the Species. However, the WCCD shall be completed and accompany the products. In the case that the Species is traded in a fresh or chilled form, a tag shall be attached to it. WCPFC shall develop an electronic tagging system in which anyone having a designated scanner can retrieve the information instantly by scanning the tag by the end of 2012.

f) WCPFC shall develop an electronic validation scheme by the end of 2012 where electronic forms are electronically validated and communicated to other CCMs and the Secretariat.

11. Where the Species quantities caught and landed are less than 1 metric ton, the logbook or the sales note may be used as a temporary WCCD, pending the validation of the WCCD within seven days and prior to export.
12. Where the Species are caught by artisanal fishing vessels and exported, **Annex 2** may be used as the WCCD to aggregate landings by multiple vessels. Each space may be expanded as necessary.

PART III

THE SPECIES DESTINED TO PROCESSING PLANTS

13. In the case that the Species is caught by purse seiners and brought to processing plants, the catch information may be validated at processing plants. Such processing plants shall be within the territory of CCMs and registered to the Commission.
14. Notwithstanding paragraph 10 a), validation at processing plants may be done by an authorized government official, or other authorized individual or institution of the CCM where the processing plant is situated.
15. **Annex 3** may be used as the WCCD for the Species destined to processing plants.
16. CCMs where the processing plants are situated shall submit an annual report that describes information by plant and catching vessel including the reported weight, the validated weight and the product weight for the preceding year by June 30 of the next year. The format of the annual report is **Annex 4**.

**PART IV
SHARKS**

17. When shark catch is recorded in the Catch Information Section of the WCCD form, both the weight and the number of the shark shall be specified by species or species group.
18. When the shark bodies and fins are separated and transshipped or traded, bodies and fins shall be recorded in the Transshipment or Trade Information section of the WCCD form separately.
19. **Annex 1** shall also be used for sharks. Nevertheless, if sharks or shark fins caught by different fishing vessels and landed are aggregated, and the whole or part of the aggregated products is transacted and traded, **Annex 5** may be used to record such transaction and trade. In this case, **Annex 5** shall be accompanied by the copy or original of all the relevant WCCDs (**Annex 1**).

**PART V
RE-EXPORT CERTIFICATES**

20. Each CCM shall ensure that the Species consignment which is re-exported from its territory be accompanied by a validated WCRC.
21. The operator who is responsible for the re-export shall complete the WCRC by providing the required information in its appropriate sections and request its validation for the Species consignment to be re-exported. The completed WCRC shall be accompanied by a copy of the validated WCCD relating to the Species products previously imported.
22. The WCRC shall be validated by an authorized government official, or other authorized individual or institution.
23. The CCM shall validate the WCRC for the Species product only when:
 - a) all the information contained in the WCRC has been established to be accurate,
 - b) the validated WCCD(s) submitted in support to the WCRC had been accepted for the importation of the products declared on the WCRC,
 - c) the products to be re-exported are wholly or partly the same products on the validated WCCD(s) and
 - d) a copy of the WCCD(s) shall be attached to the validated WCRC.
24. The form of the WCRC shall be **Annex 6**. Each space may be expanded as necessary.

**PART VI
TAGGING**

25. In the case that each fish available for sale is tagged, the flag CCMs shall require their fishing vessels to affix a tag to each fish no later than the time of landing or transshipping. Tags shall have unique country specific numbers and be tamper proof. The fishing vessel masters, or their authorized representative, or the authorized representative of the flag CCM shall complete **Annex 7** to be attached to **Annex 1** and indicate the total by species in the Catch

Information Section of **Annex 1**. A summary of the implementation of the tagging program shall be submitted to the Secretariat by the CCM.

26. When sharks are separated into bodies and fins on board the catching vessels and tagged, bodies and fins shall be tagged, respectively. CCMs that implements tagging for sharks shall develop special tags which shall be able to identify matching of bodies and fins from the same individuals.

PART VII

COMMUNICATION AND VERIFICATION

27. Each CCM shall communicate electronically a copy of all validated WCCDs or WCRCs within five working days following the date of validation, or without delay where the expected duration of the transportation should not take more than five working days, to the Secretariat. In the case of tagging, each CCM shall communicate electronically a copy of all WCCDs including **Annex 7** as soon as possible to the Secretariat.
28. The Secretariat shall extract from the WCCDs or WCRCs communicated under paragraph 27 above the information marked with an asterisk in **Annex 1** through **7** and enter this information in a database on a password protected section of its website, as soon as practicable. At its request, the Scientific Committee shall have access to the catch information contained in the database, except the vessel names.
29. Each CCM shall ensure that its competent authorities, or other authorized individual or institution, take steps to identify each consignment of the Species imported into or exported or re-exported from its territory and request and examine the validated WCCD(s) and related documentation of each consignment of the Species. These competent authorities, or authorized individuals or institutions, may also examine the content of the consignment to verify the information contained in the WCCD and in related documents and, where necessary, shall carry out verifications with the operators concerned.
30. If, as a result of examinations or verifications carried out pursuant to paragraph 29, a doubt arises regarding the information contained in a WCCD, the importing CCM and the CCM whose competent authorities validated the WCCD(s) or WCRC(s) shall cooperate to resolve such doubts.
31. If a CCM involved in trade of the Species identifies a consignment with no WCCD or no valid WCCD, it shall notify the findings to the exporting CCM and, where known, the flag CCM.
32. Pending the examinations or verifications under paragraph 29 to confirm compliance of the Species consignment with the requirements in the present CMM on and any other relevant measures adopted by WCPFC, the CCM shall not grant its release for import or export.
33. Where a CCM, as a result of examination or verifications under paragraph 29 and in cooperation with the validating authorities concerned, determines that an WCCD or WCRC is invalid, the import, export or re-export of the Species products concerned shall be prohibited.
34. The Commission shall request the non-CCMs that are involved in import, export or re-export of the Species to cooperate with the implementation of the Scheme to provide to the Commission data obtained from such implementation.

PART VIII

COMMUNICATION OF DATA

35. CCMs that validate WCCDs in respect of their flag fishing vessels and/or WCRCs, shall notify to the Secretariat:

- (a) the name and full address of their authorities responsible for validating and verifying WCCDs or WCRCs, and
- (b) the name, title, and signature of the validating officials who are individually empowered.

This notification shall indicate the date at which this entitlement comes into force. A copy of the provisions adopted in national law for the purpose of implementing the catch documentation program shall be communicated with the initial notification. Updated details on validating authorities, officials and national provisions shall be communicated to the Secretariat in a timely fashion.

36. The information on validating authorities and officials transmitted by notifications to the Secretariat shall be placed on a password protected section of its website held by the Secretariat. The list of the CCMs having notified their validating authorities, officials and the dates of entry into force of the entitlement shall be placed on a publicly accessible website held by the Secretariat. CCMs are encouraged to access this information to help verify the validation of WCCDs and WCRCs.

37. Each CCM shall notify to the Secretariat the points of contact (name and e-mail address) that should be informed when there are questions related to WCCDs or WCRCs.

38. Notification pursuant to paragraphs 35, 36 and 37 shall be sent by CCMs to the Secretariat, by electronic means.

39. CCMs shall provide to the Secretariat a report each year by April 1 for the preceding year to provide the information described in **Annex 8**. The Secretariat shall post these reports on a password protected section of the website, as soon as practicable. At its request, the Scientific Committee shall have access to the reports received by the Secretariat.

PART IX OTHERS

40. This Resolution shall enter into force on January 1, 2012. The Commission will hold a preparatory workshop in 2011.

41. This CCM will be reviewed and revised if necessary in 2013 with the view to expanding the scope of application of the Catch Documentation Scheme to species other than the Species as well as any other elements deemed to be revised.

WCPFC CATCH DOCUMENT FORM

1. WCPFC Catch Document #*					
2. Fishing Vessel Information					
Name*		Flag*		Registration #	
Call Sign			IMO/Loyd's # (if issued)		
Fishing License #			License Expiration Date		
3. Catch Information (In case of tagging, use the attached sheet and indicate the total by species here)					
Species*	Gear*	Area*	Catch Dates*	Catch Weight (kg)*	Catch #(Shark only)*
Name of Validation Authority			Title		
Signature				Date	
4. Transshipment (at-sea or in-port) Information (if applicable)					
Carrier Name		Carrier Flag		WCPFC Registration #	
Date	Location of Transshipment		WCPFC Observer Name		
Species	Product Type (F/FR/D)	Product Form* (RD, GG, DR, FL, FIN, OT)		Net Weight (kg)*	
Name of Validation Authority			Title		
Signature				Date	
5. Trade Information					
Species	Product Type (F/FR/D)	Product Form* (RD, GG, DR, FL, FIN, OT)		Net Weight (kg)*	
Exporter Name			Exporter Address		
Export Date					
Point of Export*			Destination*		
Name of Validation Authority			Title		
Signature				Date	
Importer Name			Importer Address		
Import Date					
Point of Import*					

WCPFC CATCH DOCUMENT FORM FOR ARTISANAL FISHERIES

1. WCPFC Catch Document #*					
2. List of Fishing Vessels*					
Vessel Name			Registration #(if applicable)		
3. Catch Information					
Species*	Gear*	Area*	Catch Dates*	Catch Weight (kg)*	Catch #(Shark only)*
Name of Validation Authority			Title		
Signature				Date	
4. Trade Information					
Species	Product Type (F/FR/D)	Product Form* (RD, GG, DR, FL, FIN, OT)		Net Weight (kg)*	
Exporter Name			Exporter Address		
Export Date					
Point of Export*			Destination*		
Name of Validation Authority			Title		
Signature				Date	
Importer Name			Importer Address		
Import Date					
Point of Import*					

WCPFC CATCH DOCUMENT FORM FOR PROCESSING PLANT

1. WCPFC Catch Document #*				
2. Fishing Vessel Information				
Name*		Flag*	Registration #	
Call Sign		IMO/Loyd's # (if issued)		
Fishing License #		License Expiration Date		
3. Transshipment (in-port) Information (if applicable)				
Carrier Name	Carrier Flag	WCPFC Registration #	Date	Location of Transshipment
Species	Gear	Area	Catch Dates	Reported Catch Weight (kg)
Name of Validation Authority			Title	
Signature			Date	
4. Material Trade Information (If applicable)				
Species*	Gear*	Area*	Catch Dates*	Reported Catch Weight (kg)*
Name of Validation Authority			Title	
Signature			Date	
Point of Import*				
5. Validated Catch Information				
Species*	Gear*	Area*	Catch Dates*	Validated Catch Weight (kg)*
Name of Validation Authority			Title	
Signature			Date	
Name of Processing Plant and Registration #				
6. Product Trade Information				
Species*	Product Type (CAN, LOIN, D, OT) *			Product Net Weight (kg)*
Exporter Name		Exporter Address		
Export Date				
Point of Export*		Destination*		
Name of Validation Authority		Title		
Signature		Date		
Importer Name		Importer Address		
Import Date				
Point of Import*				

WCPFC AGGREGATED CATCH DOCUMENT FORM FOR SHARKS TRADE

1. WCPFC Aggregated Catch Document #*			
2. WCPFC Catch Document # covered by this form			
3. Transaction Information			
Species	Product Type (F/FR/D)	Product Form* (RD, GG, DR, FL, FIN, OT)	Net Weight (kg)*
Seller Name		Seller Address	
Transaction Date			
Buyer Name		Buyer Address	
4. Transaction Information			
Species	Product Type (F/FR/D)	Product Form* (RD, GG, DR, FL, FIN, OT)	Net Weight (kg)*
Seller Name		Seller Address	
Transaction Date			
Buyer Name		Buyer Address	
5. Trade Information			
Species	Product Type (F/FR/D)	Product Form* (RD, GG, DR, FL, FIN, OT)	Net Weight (kg)*
Exporter Name		Exporter Address	
Export Date			
Point of Export*		Destination*	
Name of Validation Authority		Title	
Signature			Date
Importer Name		Importer Address	
Import Date			
Point of Import*			

WCPFC RE-EXPORT CERTIFICATE FORM

1. Certificate #						
2. Re-Exporting Country/Entity/Fishing Entity*						
3. Point of Re-Export*						
4. Description of Imported Fish						
Species*	Product Type	Product Form	Net Weight	Flag	Date of Import*	WCCD #*
	(F/FR/D)*	(RD,GG,DR,FL,FIN,OT)	(kg)			
5. Description of Fish for Re-Export						
Species*	Product Type	Product Form	Net Weight (kg)*	Corresponding WCCD # from Section 4		
	(F/FR/D)*	(RD, GG, DR, FL, FIN, OT)				
Exporter Name			Exporter Address			
Export Date						
Destination*						
Name of Validation Authority			Title			
Signature			Date			
6. Import Section						
Importer Name			Importer Address			
Import Date						
Point of Import*						

Report on the Implementation of the WCPFC Catch Documentation Scheme

Reporting CCM:

Period of reference: 1 January [20xx] to 31 December [20xx]

1. Number of WCCDs validated
2. Number of WCCDs received
3. Total amount of products by species imported, exported and re-exported with breakdown by CCM of origin, re-export or destination, fishing areas and fishing gear
4. Number of verifications of WCCDs requested to other CCMs and summary results
5. Number of requests for verifications of WCCDs received from other CCMs and summary results
6. Total amount of consignment by species subject to a suspension decision with breakdown by products, nature of operation (import, export, re-export), reasons for suspension and CCMs and/or non-Contracting Parties of origin or destination
7. Total amount of consignment by species subject to a prohibition decision with breakdown by products, nature of operation (import, export, re-export), reasons for prohibition and CCMs and/or non-Contracting Parties of origin or destination

Instruction Sheet for Annex 1

The form shall be filled-in in English.

1. WCPFC Catch Document

CCMs shall develop a unique numbering system for WCCDs using their WCPFC CCM code in combination with a 8-digit number, of which the initial two digits shall indicate the year of catch.

Example: JP-12-000001 (JP stands for Japan)

In case of split shipment or processed products, copies of the original WCCD shall be numbered by supplementing the number of the original WCCD with a 2-digit number.

Example: JP-12-000001-01, JP-12-000001-02

The numbering shall be sequential and assigned beforehand.

The WCCD shall be completed on a yearly basis. If the catch is made over more than one year in the same voyage, one WCCD shall be completed for each year.

2. Fishing Vessel Information

(1) Registration

Indicate the registration # given to the catching vessel by the flag CCM. If the vessel has no registration #, leave it blank.

(2) IMO/Loyd's

Indicate the IMO/Loyd's # of the catching vessel. If the catching vessel has no such number, leave it blank.

(3) Fishing License

Indicate the fishing license # issued to the catching vessel by the flag CCM. If the catching vessel operates fishing only in the waters under jurisdiction of another CCM, indicate the license # issued by that coastal CCM.

3. Catch Information

(1) Species

Use the following codes for species:

BFT	Bluefin tuna
BET	Bigeye tuna
YFT	Yellowfin tuna
SKJ	Skipjack tuna
ALB	Albacore tuna
SWO	Swordfish
BL	Blue shark
HH	Hammerhead spp.
MA	Mako shark spp.
OWT	Ocean whitetip shark

POR	Porbeagle shark
SI	Silky shark
TH	Thresher shark spp.
OT	Other sharks

(2) Gear

Use the following codes for fishing gears:

BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water trawl
PS	Purse seine
RR	Rod and reel
SPHL	Sport handline
SPOR	Sport fisheries unclassified
SURF	Surface fisheries unclassified
TL	Tended line
TRAP	TRAP
TROL	Troll
UNCL	Unclassified
OT	Other type

(3) Area

Use the following codes for areas:

EPO	East of 150° W in the Pacific Ocean
WPO	West of 150° W in the Pacific Ocean
ATL	Atlantic Ocean
IND	Indian Ocean

(4) Catch Dates

Use “ddmmyy” (e.g., 210712 for 21 July 2012). If the catch was made in multiple days, indicate the range (e.g., 210712-120812).

(5) Catch Weight (kg)

Indicate the round weight in kilograms. If round weight is not used at the time of catch, indicate the type of product (GG or DR). Do not use the weight in other forms than GG or DR

(6) Catch # (Shark only)

Indicate the number of sharks by species. Mako sharks, thresher sharks and hammerhead sharks may be aggregated by genus

(7) Name of validation authority

Indicate the name of the person who validates the Catch Information section

(8) Title

Indicate the title and the organization of the person who validates the Catch Information section

4. Transshipment (at-sea or in-port) Information (if applicable)

(1) Location of Transshipment

Indicate the longitude and latitude in degree and minute for at-sea transshipment

Indicate the name of the port for in-port transshipment

(2) WCPFC Regional Observer Name

Indicate the name of the WCPFC observer on board the carrier vessel

(3) Species

Use the codes in 3. (1) above.

(4) Product Type

Indicate either F (fresh), FR (frozen) or D (dried)

(5) Product From

Use the following codes for product forms

RD	Round
GG	Gilled and gutted
DR	Dressed
FL	Fillet
FIN	Fin
OT	Other form (describe the form of the product)

(6) Name of validation authority

Indicate the name of the person who validates the Transshipment Information section

(7) Title

Indicate the title and the organization of the person who validates the Transshipment Information section

5. Trade Information

(1) Species

Use the codes in 3. (1) above.

(2) Product Type

Indicate either F (fresh), FR (frozen) or D (dried)

(3) Product From

Use the following codes for product forms

RD	Round
GG	Gilled and gutted
DR	Dressed
FL	Fillet

FIN	Fin
OT	Other form (describe the form of the product)

(4) Destination

Indicate the name of the city and country/entity/fishing entity to which the product goes

(5) Name of validation authority

Indicate the name of the person who validates the Trade Information section

(6) Title

Indicate the title and the organization of the person who validates the Trade Information section

Instruction Sheet for Annex 2

The form shall be filled-in in English or Spanish.

1. IATTC Catch Document

CMCs shall develop a unique numbering system for IACDs using their IATTC CMC code in combination with a 8-digit number, of which the initial two digits shall indicate the year of catch.

Example: COL-12-000001 (COL stands for Columbia)

In case of split shipment or processed products, copies of the original IACD shall be numbered by supplementing the number of the original IACD with a 2-digit number.

Example: COL-12-000001-01, COL-12-000001-02

The numbering shall be sequential and assigned beforehand.

The IACD shall be completed on a yearly basis. If the catch is made over more than one year in the same voyage, one IACD shall be completed for each year.

2. List of Fishing Vessels

Indicate all the catching vessels and their corresponding registration numbers that caught the products subject to the IACD. If the vessel has no registration number, leave it blank.

3. Catch Information

(1) Species

Use the following codes for species:

BFT	Bluefin tuna
BET	Bigeye tuna
YFT	Yellowfin tuna
SKJ	Skipjack tuna
ALB	Albacore tuna
SWO	Swordfish
BL	Blue shark
HH	Hammerhead spp.
MA	Mako shark spp.
OWT	Ocean whitetip shark
POR	Porbeagle shark
SI	Silky shark
TH	Thresher shark spp.
OT	Other sharks

(2) Gear

Use the following codes for fishing gears:

BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water trawl
PS	Purse seine
RR	Rod and reel
SPHL	Sport handline
SPOR	Sport fisheries unclassified
SURF	Surface fisheries unclassified
TL	Tended line
TRAP	TRAP
TROL	Troll
UNCL	Unclassified
OT	Other type

(3) Area

Use the following codes for areas:

EPO	East of 150° W in the Pacific Ocean
WPO	West of 150° W in the Pacific Ocean
ATL	Atlantic Ocean
IND	Indian Ocean

(4) Catch Dates

Use “ddmmyy” (e.g., 210712 for 21 July 2012). If the catch was made in multiple days, indicate the range (e.g., 210712-120812).

(5) Catch Weight (kg)

Indicate the round weight in kilograms. If round weight is not used at the time of catch, indicate the type of product (GG or DR). Do not use the weight in other forms than GG or DR

(6) Catch # (Shark only)

Indicate the number of sharks by species. Mako sharks, thresher sharks and hammerhead sharks may be aggregated by genus

(7) Name of validation authority

Indicate the name of the person who validates the Catch Information section

(8) Title

Indicate the title and the organization of the person who validates the Catch Information section

4. Trade Information

(1) Species

Use the codes in 3. (1) above.

(2) Product Type

Indicate either F (fresh), FR (frozen) or D (dried)

(3) Product Form

Use the following codes for product forms

RD	Round
GG	Gilled and gutted
DR	Dressed
FL	Fillet
FIN	Fin
OT	Other form (describe the form of the product)

(4) Destination

Indicate the name of the city and country/entity/fishing entity to which the product goes

(5) Name of validation authority

Indicate the name of the person who validates the Trade Information section

(6) Title

Indicate the title and the organization of the person who validates the Trade Information section

Instruction Sheet for Annex 3

The form shall be filled-in in English or Spanish.

1. IATTC Catch Document

CMCs shall develop a unique numbering system for IACDs using their IATTC CMC code in combination with a 8-digit number, of which the initial two digits shall indicate the year of catch.

Example: COL-12-000001 (COL stands for Columbia)

In case of split shipment or processed products, copies of the original BCD shall be numbered by supplementing the number of the original IACD with a 2-digit number.

Example: COL-12-000001-01, COL-12-000001-02

The numbering shall be sequential and assigned beforehand.

The IACD shall be completed on a yearly basis. If the catch is made over more than one year in the same voyage, one IACD shall be completed for each year.

2. Fishing Vessel Information

(1) Registration

Indicate the registration # given to the catching vessel by the flag CMC

(2) IMO/Loyd's

Indicate the IMO/Loyd's # of the catching vessel. If the catching vessel has no such number, leave it blank.

(3) Fishing License

Indicate the fishing license # issued to the catching vessel by the flag CMC. If the catching vessel operates fishing only in the waters under jurisdiction of another CMC, indicate the license # issued by that coastal CMC.

3. Transshipment (in-port) Information (if applicable)

(1) Location of Transshipment

Indicate the name of the port for in-port transshipment

(2) Species

Use the following codes for species:

BFT	Bluefin tuna
BET	Bigeye tuna
YFT	Yellowfin tuna
SKJ	Skipjack tuna
ALB	Albacore tuna
SWO	Swordfish
BL	Blue shark
HH	Hammerhead spp.

MA	Mako shark spp.
OWT	Ocean whitetip shark
POR	Porbeagle shark
SI	Silky shark
TH	Thresher shark spp.
OT	Other sharks

(3) Gear

Use the following codes for fishing gears:

BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water trawl
PS	Purse seine
RR	Rod and reel
SPHL	Sport handline
SPOR	Sport fisheries unclassified
SURF	Surface fisheries unclassified
TL	Tended line
TRAP	TRAP
TROL	Troll
UNCL	Unclassified
OT	Other type

(4) Area

Use the following codes for areas:

EPO	East of 150° W in the Pacific Ocean
WPO	West of 150° W in the Pacific Ocean
ATL	Atlantic Ocean
IND	Indian Ocean

(5) Catch Dates

Use “ddmmyy” (e.g., 210712 for 21 July 2012). If the catch was made in multiple days, indicate the range (e.g., 210712-120812).

(6) Reported Catch Weight (kg)

Indicate the weight of the catch reported to the flag CMC by the fishing master

If it is difficult to know the weight by tuna species, the aggregated weight of multiple tuna species may be written.

(7) Name of validation authority

Indicate the name of the person who validates the Transshipment Information section

(8) Title

Indicate the title and the organization of the person who validates the Transshipment Information section

4. Material Trade Information (If applicable)

(1) Species

Use the codes in 3. (2) above

(2) Gear

Use the codes in 3. (3) above

(3) Area

Use the codes in 3. (4) above

(4) Catch dates

Use “ddmmyy” (e.g., 210712 for 21 July 2012). If the catch was made in multiple days, indicate the range (e.g., 210712-120812).

(5) Reported Catch Weight (kg)

Indicate the weight of the catch reported to the flag CMC by the fishing master

If it is difficult to know the weight by tuna species, the aggregated weight of multiple tuna species may be written.

(6) Name of validation authority

Indicate the name of the person who validates the Transshipment Information section

(7) Title

Indicate the title and the organization of the person who validates the Transshipment Information section

5. Validated Catch Information

(1) Species

Use the codes in 3. (2) above

(2) Gear

Use the codes in 3. (3) above

(3) Area

Use the codes in 3. (4) above

(4) Catch dates

Use “ddmmyy” (e.g., 210712 for 21 July 2012). If the catch was made in multiple days, indicate the range (e.g., 210712-120812).

(5) Validated Catch Weight (kg)

Indicate the weight of the catch by species validated at the processing plant

(6) Name of validation authority

Indicate the name of the person who validates the Transshipment Information section

(7) Title

Indicate the title and the organization of the person who validates the Transshipment Information section

6. Trade Information

(1) Species

Use the codes in 3. (2) above.

(2) Product Type

Use the following codes for product types:

CAN	Canned products
LOIN	Loin
D	Dried products
OT	Other types of products (describe the type of products)

(3) Destination

Indicate the name of the city and country/entity/fishing entity to which the product goes

(4) Name of validation authority

Indicate the name of the person who validates the Trade Information section

(5) Title

Indicate the title and the organization of the person who validates the Trade Information section

Instruction Sheet for Annex 4

The form shall be filled-in in English or Spanish.

(1) Dates

Indicate the date of processing. Use “ddmmyy” (e.g., 210712 for 21 July 2012). If the processing continues for more than one day, indicate the range (e.g., 210712-120812).

(2) Species

Use the following codes for species:

BFT	Bluefin tuna
BET	Bigeye tuna
YFT	Yellowfin tuna
SKJ	Skipjack tuna
ALB	Albacore tuna

(3) Reported Catch Weight (kg)

Indicate the round weight in kilograms reported to the flag CMC by the fishing master. If round weight is not used at the time of catch, indicate the type of product (GG or DR). Do not use the weight in other forms than GG or DR

(4) Validated Weight (kg)

Indicate the weight of the catch validated at the processing plant

(5) Product Weight (kg)

Indicate the weight of the products including cans. If more than one species are processed into cans, indicate the aggregated products weight for those species.

Instruction Sheet for Annex 5

The form shall be filled-in in English or Spanish.

1. IATTC Aggregated Catch Document #

CMCs shall develop a unique numbering system for Annex 5 using their IATTC CMC code in combination with “SH” and a 6-digit number.

Example: COL-SH-000001 (COL stands for Columbia)

In case of split shipment or processed products, copies of the original Annex 5 shall be numbered by supplementing the number of the original Annex 5 with a 2-digit number.

Example: COL-SH-000001-01, COL-SH-000001-02

The numbering shall be sequential and assigned beforehand.

2. IATTC Catch Document # covered by this form

List all the IACD numbers that this form covers. A copy or original of such IACDs shall be attached to this form.

3. (and 4.) Transaction Information

(1) Species

Use the following codes for species:

BL	Blue shark
HH	Hammerhead spp.
MA	Mako shark spp.
OWT	Ocean whitetip shark
POR	Porbeagle shark
SI	Silky shark
TH	Thresher shark spp.
OT	Other sharks

If the product to be transacted cannot avoid a mixture of multiple species (e.g., canned products, shark fin soup, etc.), indicate which species are included in that product.

(2) Product Type

Indicate either F (fresh), FR (frozen) or D (dried)

(3) Product From

Use the following codes for product forms

RD	Round
GG	Gilled and gutted
DR	Dressed
FL	Fillet
FIN	Fin

OT	Other form (describe the form of the product)
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5. Trade Information

(1) Species

Use the codes in 3. (1) above. If the product to be traded cannot avoid a mixture of multiple species (e.g., canned products, shark fin soup, etc.), indicate which species are included in that product.

(2) Product Type

Use the codes in 3. (2) above

(3) Product From

Use the codes in 3. (3) above

(4) Destination

Indicate the name of the city and country/entity/fishing entity to which the product goes

(5) Name of validation authority

Indicate the name of the person who validates the Description of Fish for Re-export section

(6) Title

Indicate the title and the organization of the person who validates the Description of Fish for Re-export section

Instruction Sheet for Annex 6

The form shall be filled-in in English or Spanish.

1. Certificate

Use a 6-digit number, of which the initial two digits shall indicate the year of re-export.

Example: 12-0001

In case of split shipment or processed products, copies of the original IARC shall be numbered by supplementing the number of the original IARC with a 2-digit number.

Example: 12-0001-01, 12-0001-02

2. Re-exporting Country/Entity/Fishing Entity

Indicate the name of the country/entity/fishing entity which re-exports the products and issued this Certificate

3. Point of Re-export

Indicate the name of the city and the country/entity/fishing entity from which the products were re-exported

4. Description of Imported fish

(1) Species

Use the following codes for species:

BFT	Bluefin tuna
BET	Bigeye tuna
YFT	Yellowfin tuna
SKJ	Skipjack tuna
ALB	Albacore tuna
SWO	Swordfish
BL	Blue shark
HH	Hammerhead spp.
MA	Mako shark spp.
OWT	Ocean whitetip shark
POR	Porbeagle shark
SI	Silky shark
TH	Thresher shark spp.
OT	Other sharks

(2) Product Type

Indicate either F (fresh), FR (frozen) or D (dried)

(3) Product From

Use the following codes for product forms

RD	Round
GG	Gilled and gutted
DR	Dressed
FL	Fillet
FIN	Fin
OT	Other form (describe the form of the product)

(4) IACD #

Indicate the number of IACD attached to the fish imported to that country/entity/fishing entity

5. Description of Fish for Re-export

(1) Species

Use the codes in 4. (1) above

(2) Product Type

Use the codes in 4. (2) above

(3) Product From

Use the codes in 4. (3) above

(4) Corresponding IACD # from Section 4

Indicate all the IACD numbers corresponding to each line

(5) Destination

Indicate the name of the city and country/entity/fishing entity to which the product goes

(6) Name of validation authority

Indicate the name of the person who validates the Description of Fish for Re-export section

(7) Title

Indicate the title and the organization of the person who validates the Description of Fish for Re-export section

Instruction Sheet for Annex 7

The form shall be filled-in in English or Spanish.

1. Tag Number

CMCs shall develop a unique numbering system for tagging using their IATTC CMC code in combination with a 8-digit number, of which the initial two digits shall indicate the year of catch.

Example: TAG-JPN-12-000001 (JPN stands for Japan)

When sharks are separated into bodies and fins on board the catching vessels and tagged, bodies and fins shall be tagged, respectively. CMCs that implements tagging for sharks shall develop special tags which shall be able to identify matching of bodies and fins. Use “B” for bodies and “F” for fins.

Example: TAG-JPN-12-000001-B, TAG-JPN-12-000001-F

2. Catch Dates

Use “ddmmyy” (e.g., 210712 for 21 July 2012). If the catch was made in multiple days, indicate the range (e.g., 210712-120812).

3. Species

Use the following codes for species:

BFT	Bluefin tuna
BET	Bigeeye tuna
YFT	Yellowfin tuna
SKJ	Skipjack tuna
ALB	Albacore tuna
SWO	Swordfish
BL	Blue shark
HH	Hammerhead spp.
MA	Mako shark spp.
OWT	Ocean whitetip shark
POR	Porbeagle shark
SI	Silky shark
TH	Thresher shark spp.
OT	Other sharks

4. Gear

Use the following codes for fishing gears:

BB	Baitboat
GILL	Gillnet

HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water trawl
PS	Purse seine
RR	Rod and reel
SPHL	Sport handline
SPOR	Sport fisheries unclassified
SURF	Surface fisheries unclassified
TL	Tended line
TRAP	TRAP
TROL	Troll
UNCL	Unclassified
OT	Other type

5. Area

Use the following codes for areas:

EPO	East of 150° W in the Pacific Ocean
WPO	West of 150° W in the Pacific Ocean
ATL	Atlantic Ocean
IND	Indian Ocean

6. Catch Weight (kg)

Indicate the round weight in kilograms. If round weight is not used at the time of catch, indicate the type of product (GG or DR). Do not use the weight in other forms than GG or DR