



**TECHNICAL AND COMPLIANCE COMMITTEE**  
**Sixth Regular Session**  
 30 September - 5 October 2010  
 Pohnpei, Federated States of Micronesia

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**SUMMARY OF PART 2 ANNUAL REPORT AND REVISED TEMPLATE FOR  
 THE ANNUAL REPORT PART 2**

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**WCPFC-TCC6-2010/20**  
**9 September 2010**

**Paper prepared by the Secretariat**

**Introduction**

1. The Third Regular Session of the Commission (WCPFC3) endorsed a recommendation by the Second Regular Session of the Technical and Compliance Committee (TCC2) that Part 2 of the Annual Report, covering the previous calendar year be submitted to the WCPFC Secretariat by 31 July each year. The Fifth Regular Session of the Commission (WCPFC5) adopted enhanced guidelines for Part 2 submissions contained in Appendix B of WCPFC5-2008/IP07 for use in future submissions of Part 2 reports. Further, the Sixth Regular Session of the Commission Summary Report (WCPFC 6) agreed to revise the deadline for Part 2 of CCMs' Annual Reports from 31 July to one month prior to the meeting of the TCC, thus leaving only 30 days to compile the Summary Report on Compliance by CCMs for 2009. Some CCMs choose to report on the more recently amended CMMs from WCPFC6.

2. Twenty-five Commission Members, including seven Participating Territories, and seven Cooperating Non-Members were required to submit to the Commission by 31 August 2010 their respective Part 2 Reports on Management and Compliance, for the period 1 January-31 December 2009. At the time of preparation of this paper the Secretariat has received 14 Part 2 Reports which are available to TCC6. These reports were received from:

<b>ANNUAL REPORTS PART 2</b>	
<b>CCM</b>	<b>RECEIVED BEFORE 31 Aug 2010 = √</b>
Australia	3 Sept 2010
Belize	√
China	√
Canada	√
Cook Islands	

Ecuador	
El Salvador	√
European Union	3 September 2010
Federated States of Micronesia	√
Fiji	
France, French Polynesia, New Caledonia, Wallis and Futuna	√ (New Caledonia)
Indonesia	√
Japan	
Kiribati	
Korea	√
Republic of Marshall Islands	
Mexico	
Nauru	√
New Zealand/Tokelau	√
Niue	
Palau	
Papua New Guinea	
Philippines	
Samoa	
Senegal	
Solomon Islands	
Chinese Taipei	√
Tonga	
Tuvalu	
United States of America - American Samoa, Guam, Commonwealth of the Northern Mariana Islands	√
Vanuatu	
Vietnam	

3. Since Part 2 Reports are regarded as confidential, the Secretariat has posted them in a secure “CCM Section” on the WCPFC website. TCC6 may wish to consider the information contained in this paper under TCC6 Agenda Item 2.9 (a).

### **Formats used in the preparation of Part 2 Reports**

4. Of the 14 Part 2 Reports received by the Secretariat, all used the agreed template presented in Appendix B of WCPFC5-2008/IP07, while two of them reported their compliance with the CMMs that were updated and approved in December 2009.

### **Draft Template for Annual Reports Part 2**

5. Paragraph 45 of CMM 2008-01 states, “All CCMs will report to each regular session of the Technical and Compliance Committee, through their Annual Report Part 2, on the implementation of this Measure for their fishing vessels operating on the high seas and/or in waters under national jurisdiction. The Technical and Compliance Committee will prepare a template for reporting this requirement for the consideration of the Commission.”

6. A draft revised template for Part 2 of the Annual Reports has been developed according to the newly adopted CMMs from WCPFC6 that came into force on 9 February 2010. Members are encouraged to provide comments to the Secretariat prior to TCC6 to facilitate an amended template being presented to TCC6.

### **Discussion**

7. Judging from the untimely submission of many of the Part 2 Reports by due date, the reporting obligations associated with complying with the Convention’s principles and implementing the Commission’s decisions are continuing to present significant challenges for many CCMs.

8. The Secretariat is implementing an Information Management System to assist in tracking all correspondence on CMMs received and sent out from the Secretariat. CCMs are encouraged to visit the Secretariat and get briefed on this initiative to assess if it can assist them in the compilation of their reports.

9. The Annual Report Part 2 is complex and relates directly to the reports on compliance issues or incidents encountered by Commission Members. It addresses only the compliance of CCMs with their reporting requirements, but it does not always address the actual practical compliance issues encountered by Commission Members, and actions, authorities or advice to mitigate non-compliance issues or incidents. Recognising that the actual implementation of CMMs is now revealing the practical difficulties of implementation for compliance agencies, CCMs may wish to consider and provide advice to the Commission, and subsequently to the Secretariat, on ways to make the Annual Report Part 2 more relevant to the actual compliance incidents.

### **Advice and Recommendations**

10. TCC6 is invited to consider, advise the Commission in relation to timely submission of Annual Report Part 2 to the Commission.

11. TCC6 is invited to discuss the draft revised template for 2010 reporting requirements for both the compliance itself and further notes on its relevance to the compliance issues. Highlighted clauses are those that had not been addressed in earlier reports, or are those that are new for 2010.



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**REVISED TEMPLATE FOR THE ANNUAL REPORT (PART 2)**

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9 September 2010

**TEMPLATE**  
**ANNUAL REPORT TO THE COMMISSION**  
**1 JANUARY – 31 DECEMBER 2010**

**PART 2. MANAGEMENT AND COMPLIANCE**

**2.1 IMPLEMENTATION OF CONSERVATION AND MANAGEMENT MEASURES**

Report on CCM steps to implement conservation and management measures in the Convention area (Article 23(2)c)	Implemented (yes/no) (If no, explain why not)							Measures in place
	2.1.1	2.1.3	2.2.1	2.2.2	2.2.3	2.2.4	2.2.5	
CMM 2004-03: Specifications for the Marking and Identification of Fishing Vessels	(a) (b)	(a) (b) (c) (d)	(a) (b)	(a) (b) (c) (d)			(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l)	

CMM 2005-02: Conservation and Management Measure for South Pacific Albacore	1.											
CMM 2005-03: Conservation and Management Measure for North Pacific Albacore	2.			3.			4.			7.		
CMM 2006-04: Conservation and Management Measures for Striped Marlin in the South West Pacific	1.				3.				4.			
CMM 2006-08: WCP Boarding and Inspection Procedures	7.	13. (a) (i)	13. (a) (ii)	13. (a) (iii)	13. (b) (i)	13. (b) (ii)	13. (b) (iii)	14-24.	30-36.	38.	40-44.	
CMM 2007-01: Conservation and Management Measure for the Regional Observer Programme.	13.							Attachment K, Annex C, 1.				
CMM 2007-02: Commission VMS <i>(Revision of CMM-2006-06 to include the requirement that vessels in the Convention Area</i>	9 (a).											

<i>must maintain VMS transmission even while beyond the Commission boundaries at 20°N and 175°E).</i>																	
CMM 2007-03: IUU Fishing (Replacement of entire text of CMM-2006-09).	4.	5.	8.	9.	13.	20.	22.	26.	27.								
CMM 2007-04: (Revision of CMM-2006-02) Conservation and Management Measure for Mitigating the Impact of Fishing on Seabirds.	1.	2.	1.	2.	4.	5.	7.	9.									
CMM 2008-01: Conservation and Management Measure for Bigeye and Yellowfin Tuna in the WCPO. (Replaces CMM 2005-01 and CMM 2006-01).	9.	10.	11.	12.	13.	15.	16.	23.	26.	28	29	33.	39.	40.	42.	43.	45.
CMM 2008-03: Conservation and Management for Sea Turtles.	1.	2.	3.	4.	5. (b) (c) (d)	6.	7. (e)	8. (b)									

CMM 2008-04: Conservation and Management Measure to Prohibit the Use of Large Scale Driftnets on the High Seas in the Convention Area.	2.				5.								
CMM 2009-01: Record of Fishing Vessels and Authorization to Fish (Replaces CMM 2004-01)	A.1. (a) (b) (c) (d) (e) (f) (g) (h) (i)	A.2. (a) (b) (c)	A.4. (a) (b) (c) (d) (e)	B.5.	B.6. (a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (n) (o) (p) (q) (r)	B.7. (a) (b) (c)	B.8	B.9	C.15	C.18	B. 23	D. 28 - 31	
CMM 2009-02: Conservation and Management Measure on the Application of High Seas FAD Closures	12.	13.											



and Catch Retention														
CMM 2009-03: Conservation and Management for Swordfish. ( <i>Replaces</i> <i>CMM 2006-03 and</i> <i>CMM 2008-05</i> ).	8. (a) (b) (c)													
CMM 2009-04: Conservation and Management for Sharks ( <i>Replaces</i> <i>CMM 2006-05 and</i> <i>CMM 2008-06</i> ).	1.	2.	3.	4.	6.	7.	9.	10.		12.	13.			
CMM 2009-05: Conservation and Management Prohibiting Fishing on Data Buoys	1.	3.	5.											
CMM 2009-06: Conservation and Management on the Regulation of Transshipment	5.	7.	10.	11.	12.	13.	14.	22.	24.	26.	29	30	35	
CMM 2009-07: Conservation and Management for Pacific Bluefin Tuna	2.	3.	4.											
CMM 2009-08: Charter Notification Scheme	2.	3.												

CMM 2009-09: Conservation and Management for Vessels Without Nationality	5.										
CMM 2009-10: Conservation and Management to Monitor Landings of Purse Seine Vessels at Ports so as to Ensure Reliable Catch Data by Species	1.										
CMM 2009-11: Cooperating Non- Members. (Replaces CMM 2004-02 and CMM 2008-02 )	1.	2. (a) – (g)	9.	11.							

Report on CCM measures adopted for conservation and management of HMFS in areas under national jurisdiction (Art. 23(3))	Action taken
Brief summary of management methods used for Highly Migratory Fish Species (HMFS) in areas under national jurisdiction.	

Report on CCM measures adopted for regulating the activity of vessels which fish in the Convention Area. (Art. 23(4)) Examples provided below but further fields may be added	Action taken
Vessel Registration and Authorisation Procedures.	
Control of fishing vessels.	

Instruction/education/extension programs for industry including vessel owners, operators, crews and fish receivers/buyers.	
Port access and inspections (such as FAO Port Measures where applicable).	

## 2.2 MONITORING AND INSPECTION ACTIVITIES

The information is to be in a summarized form.

Activity	Frequency/Numbers/% Coverage	Comment
VMS		
Transhipments		
Transshipment inspections		
At-sea inspections		
Port inspections		
Observer monitoring		
Monitoring of trade and domestic distribution of HMFS.		
Inspections of domestic-only vessels		
High seas boardings and inspections of flag vessels.		Report observation of alleged violations, including any proceedings instituted and sanctions applied.

### 2.3 SURVEILLANCE ACTIVITIES

The completion of this section should be in summarized form.

Activity	Frequency	Incidents/CMM Clause	Comment
Seagoing patrols			
Aerial surveillance			

### 2.4 INVESTIGATIONS AND PROSECUTION ACTIVITY

The completion of this section should be in summarized form to the level of detail that domestic requirements allow

Activity	Number	CMM Clause	Reason and summary outcome
Investigations			
Outcomes – penalties or other action			
- No further action			

### 2.5 FURTHER MCS MEASURES TAKEN AND OTHER RELEVANT INFORMATION

- Inform relevant changes to national legislation.
- Trade measures (if adopted by the Commission).
- Cooperating non-CCMs annual reporting (to maintain cooperating status).
- Prompt IUU fishing activity reporting (Art 25(2,3)); estimated IUU catch within EEZ?
- NPOA reporting/IPOA actions taken through RFMO.