

TECHNICAL AND COMPLIANCE COMMITTEE

Second Regular Session

28 September to 03 October 2006 Brisbane, Australia

DRAFT DECISION ON WCPFC BIGEYE TUNA STATISTICAL DOCUMENT PROGRAM

WCPFC-TCC2-2006/DP05 25 September 2006

Paper submitted by Japan Delegation

The Commission for the Conservation and Management of Highly Migratory Fish Stocks in the Western and Central Pacific Ocean (WCPFC):

RECONGNIZING the authority and responsibility of WCPFC to manage bigeye tuna in the Western and Central Pacific Ocean (WCPO), at the international level,

RECOGNIZING also the nature of the international market for bigeye tuna,

RECOGNIZING also that there is uncertainty on the catch of bigeye tuna in WCPO and that the availability of trade data would greatly assist in reducing such uncertainty,

RECOGNIZING also that bigeye tuna is the main target species of "flag of convenience" fishing operations and that most of the bigeye tuna harvested by such fishing vessels are exported to Contracting Parties, especially to Japan,

RECALLING that every other international organization responsible for the management of bigeye tuna such as the International Commission for the Conservation of Atlantic Tunas (ICCAT), the Indian Ocean Tuna Commission (IOTC) and the Inter-American Tropical Tuna Commission (IATTC) has established its Bigeye Tuna Statistical Document Program,

RECOGNIZING that the Statistical Document Program is an effective tool to assist the Commission's effort for the elimination of illegal, unregulated and unreported (IUU) fishing operations,

Adopts, in accordance with Article 10 of the Convention that,

1. Each member of the Commission, by March 1, 2006 or as soon as possible thereafter, require that all bigeye tuna, when imported into the territory of the Member of the Commission, be accompanied by a WCPFC Bigeye Tuna Statistical Document which meets the requirements described in **Annex 1** or an WCPFC Bigeye Tuna Re-export Certificate which meets the requirements described in **Annex 2**. Bigeye tuna caught by purse seiners and baitboats and destined principally for canneries in the Convention Area is not subject to this statistical document requirement. The Commission and the Members of the Commission importing bigeye tuna shall contact all the exporting countries to inform them of this Program in advance of the implementation of the Program.

- 2. (1) The WCPFC Bigeye Tuna Statistical Document must be validated by a government official or other authorized individual or institution of the flag State of the vessel that harvested the tuna, or, if the vessel is operating under a charter arrangement, by a government official or other authorized individual of the exporting state, and;
 - (2) The WCPFC Bigeye Tuna Re-export Certificate must be validated by a government official or other authorized individual or institution of the state that re-exported the tuna.
- 3. Each Member of the Commission shall provide the Executive Director of sample forms of its statistical document and re-export certificate and information on validation in the format specified in **Annex 4**, and inform him of any change in a timely fashion.
- 4. The Members of the Commission which export or import bigeye tuna shall compile data from the Program.
- 5. The Members of the Commission which import bigeye tuna shall report the data collected by the Program to the Executive Director each year by April 1 for the period of July 1 December 31 of the preceding year and by October 1 for the period of January 1 June 30 of the current year, which shall be circulated to all the Members of the Commission by the Executive Director. The formats of the report are attached as **Annex 3**.
- 6. The Members of the Commission which export bigeye tuna shall examine export data upon receiving the import data mentioned in paragraph 5 above from the Executive Director, and report the results to the Commission annually.
- 7. The Members of the Commission should exchange copies of statistical documents and re-export certificates to facilitate the examination mentioned in paragraph 6, consistent with domestic laws and regulations.
- 8. With respect to bigeye tuna caught by a fishing vessel outside the waters of the national jurisdiction of its flag:
 - i) Flag Members of the Commission shall validate statistical documents only for vessels on the WCPFC Record of Fishing Vessels,
 - ii) Members of the Commission shall require that bigeye tuna caught by vessels in the WCPO, when imported into the territory of Members of the Commission, be accompanied by statistical documents validated for vessels on the WCPFC Record of Fishing Vessels.
- 9. Members of the Commission importing bigeye tuna and the flag States of vessels shall cooperate to ensure the accuracy and legitimacy of statistical documents.
- 10. The Commission shall request Cooperating non-Members of the Commission to take the measures described in the paragraphs above.
- 11. The Executive Director shall request information on validation from all the non-Members of the Commission fishing and exporting bigeye tuna to Members of the Commission, and request them to inform him in a timely fashion of any changes to the information provided.
- 12. The Executive Director shall maintain and update information specified in paragraphs 3 and 11 and provide it to all the Members of the Commission, and promptly circulate any changes.

- 13. The Commission shall request the non-Members of the Commission which import bigeye tuna to cooperate with implementation of the Program and to provide the Commission of data obtained from such implementation.
- 14. Implementation of this Program shall be in conformity with relevant international obligations.
- 15. At the initial stage of the Program, the statistical documents and the re-export certificates will be required for frozen bigeye products only. Prior to implementing this Program for fresh products, several practical problems need to be solved, such as guidelines to ensure procedures to handle fresh products at customs.
- 16. The statistical documents for bigeye tuna caught by fishing vessels flying the flag of a member state of the European Community may be validated by the competent authorities of the member state whose flag the vessel flies or by those of a different member state where the products are landed, provided that the corresponding quantities of bigeye tuna are exported outside the Community from the territory of the member state of landing.

Requirements Concerning the WCPFC Bigeye Tuna Statistical Document

- 1. The sample form of the WCPFC Bigeye Tuna Statistical Document shall be as in the Appendix.
- Customs or other appropriate government officials will request and inspect all import documentation including the WCPFC Bigeye Tuna Statistical Document for all bigeye tuna in the shipment. Those officials may also inspect the content of each shipment to verify the information on the document.
- 3. Only complete and valid documents will guarantee that shipments of bigeye tuna will be allowed to enter the territory of Members of the Commission.
- 4. Shipments of bigeye tuna that are accompanied by improperly documented Bigeye Tuna Statistical Documents (i.e., improperly documented means that the Bigeye Tuna Statistical Document is either missing from the shipment, incomplete, invalid or falsified) will be considered illegitimate shipments of bigeye tuna, that are contrary to WCPFC conservation efforts, and their entry will be suspended (PENDING RECEIPT OF A PROPERLY COMPLETED DOCUMENT) into the territory of a Member of the Commission or subject to administrative or other sanction.
- 5. The import of fish parts other than the meat, i.e., head, eyes, roe, guts, tails may be allowed without the document.

DOCUMENT NUMBER	WCPFC BIGEYE TUNA STATISTICAL DOCUMENT					
EXPORT SECTION: 1. FLAG COUNTRY/ENTITY/FISHING ENTITY						
2. DESCRIPTION OF VESSEL (if ap	oplicable)					
Vessel Name	Registration Number	LOA (m)	WCPFC Recor	d No. (if applicable)		
3. TRAPS (if applicable)						
4. POINT OF EXPORT (City, State/Pro	ovince, Country/Entity/Fishin	ig Entity)				
5. AREA OF CATCH (Check one of t	he following)					
(a) Western Pacific (within the national j	jurisdiction of the flag countr	y) (b) W	Vestern Pacific (c	out of the national		
jurisdiction of the flag country) (c) the r * In case of (c), (d) or (e) is checked, the item	* *	, ,	an Ocean			
6. DESCRIPTION OF FISH						
Product Type (*1) To F/FR D/GG/DR/FL/OT	ime of Harvest (mm/yy)	Gear Code (*2)		t Weight (kg)		
*1 F=Fresh, FR=Frozen, RD=Round, GG	=Gilled & Gutted, DR=Dres	sed, FL=Fillet,				
OT=Others (Describe the type of produ	uct:)		
*2 When the Gear Code is OT, describe the	e type of gear:)		
7. EXPORTER CERTIFICATION:	• • • • • • • • • • • • • • • • • • • •					
I certify that the above information is con Name	mplete, true and correct to the Address	he best of my kno Signature	Date	License # (if applicable)		
8. GOVERNMENT VALIDATION:						
I validate that the above information is	complete, true and correct to	•	knowledge and belickight of the shipmen			
Name & Title	Signatur		Date	Government Seal		
IMPORT SECTION 9. IMPORTER CERTIFICATION: I certify that the above information is con	mplete, true and correct to the	he best of my knc	owledge and belief.			
Importer Certification (Intermediate Cou	-	·	C			
•	Address	Signature	Date	License # (if applicable)		
Importer Certification (Intermediate Cou	untry/Entity/Fishing Entity)					
Name	Address	Signature	Date	License # (if applicable)		
Importer Certification (Final Destination	of Shipment)					
Name	Address	Signature	Date	License # (if applicable)		
Final Point of Import: City	State/Province	Country	y/Entity/Fishing E	ntity		

INSTRUCTIONS

DOCUMENT NUMBER: Block for the issuing Country/Entity/Fishing Entity to designate a country/entity/fishing entity en coded Document Number.

- (1) FLAG COUNTRY/ENTITY/FISHING ENTITY: Fill in the name of the country/entity/fishing entity of the vessel that harvested the bigeye tuna in the shipment and issued this Document. According to the Resolution, only the flag state of the vessel that harvested the bigeye tuna in the shipment or, if the vessel is operating under a charter arrangement, the exporting state, can issue this Document.
- (2) DESCRIPTION OF VESSEL (if applicable): Fill in the name, registration number, length overall (LOA) and WCPFC Record number of the vessel that harvested the bigeye tuna in the shipment.
- (3) TRAPS (if applicable): Fill in the name of the trap that harvested the bigeye tuna in the shipment.
- (4) POINT OF EXPORT: Identify the City, State or Province, and Country/Entity/Fishing Entity from which the bigeye tuna was exported.
- (5)AREA OF CATCH: Check the area of catch. (If (c), (d) or (e) checked, items 6 and 7 below do not need to be filled out.)
- (6) DESCRIPTION OF FISH: The exporter must provide, to the highest degree of accuracy, the following information. NOTE: One row should describe one product type.
 - (1) Product Type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment.
 - (2) Time of Haevest: Fill in the time of harvest (in month and year) of the bigeye tuna in the shipment.
 - (3) Gear Code: Identify the gear type which was used to harvest the bigeye tuna using the list below. For OTHER TYPE, describe the type of gear, including farming.
 - (4) Net product weight in kilograms.
- (7) EXPORTER CERTIFICATION: The person or company exporting the bigeye tuna shipment must provide his/her name, company name, address, signature, date the shipment was exported, and dealer license number (if applicable).
- (8) GOVERNMENT VALIDATION: Fill in the name and full title of the official signing the Document. The official must be employed by a competent authority of the flag state government of the vessel that harvested the bigeye tuna appearing on the Document or other individual or institution authorized by the flag state. When appropriate, this requirement is waived according validation of the document by a government official, or if the vessel is operating under a charter arrangement, by a government official or other authorized individual or institution of the exporting state. The total weight of the shipment shall also be specified in this block.
- (9) IMPORTER CERTIFICATION: The person or company that imports bigeye tuna must provide his/her name, address, signature, date the bigeye was imported, license number (if applicable), and final point of import. This includes imports into intermediate countries, entities or fishing entities. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

GEAR CODE:

GEAR CODE	GEAR TYPE,	GHINA SOUN
BB	BAITBOAT	NAME OF THE OWNER,
GILL	GILLNET	SHATTER STREET
HAND	HANDLINE	INDONESIA
HARP	HARPOON	The same
LL	LONGLINE	AL
MWT	MID-WATER TRAWL	1
PS	PURSE SEINE	
RR	ROD AND REEL	
SPHL	SPORT HANDLINE	9 9
SPOR	SPORT FISHERIES UNCLA	ASSIFIED
SURF	SURFACE FISHERIES UNO	CLASSIFIED
TL	TENDED LINE	
TRAP	TRAP	
TROL	TROLL	
UNCL	UNSPECIFIED METHODS	
OT	OTHER TYPE	

RETURN A COPY OF COMPLETED DOCUMENT TO: (the name of the office of the competent authority of the flag state).

Requirements Concerning the WCPFC Bigeye Tuna Re-export Certificate

- 1. The sample form of the WCPFC Bigeye Tuna Re-export Certificate shall be as in the Appendix.
- Customs or other appropriate government officials will request and inspect all import documentation including the WCPFC Bigeye Tuna Re-export Certificate for all bigeye tuna in the shipment. Those officials may also inspect the content of each shipment to verify the information on the document.
- 3. Only complete and valid documents will guarantee that shipments of bigeye tuna will be allowed to enter the territory of Members of the Commission.
- 4. A Member of the Commission shall be free to validate WCPFC Bigeye Tuna Re-export Certificates for bigeye tuna imported by that Member, to which WCPFC Bigeye Tuna Statistical Documents or WCPFC Bigeye Tuna Re-export Certificates are attached. WCPFC Bigeye Tuna Re-export Certificates shall be validated by government organizations or by recognized institutions which are accredited by a Member's government to validate the WCPFC Bigeye Tuna Statistical Document. A copy of the original Bigeye Tuna Statistical Document accompanying the imported bigeye tuna must be attached to an WCPFC Bigeye Tuna Reexport Certificate. The copy of the original Bigeye Tuna Statistical Document so attached must be verified by that government organization or by that recognized institution accredited by a government which validated the WCPFC Bigeye Tuna Statistical Document. When re-exported bigeye tuna is again re-exported, all copies of documents, including a verified copy of a Statistical Document and Re-export Certificate which accompanied that bigeye tuna upon importation, must be attached to a new Re-export Certificate to be validated by a re-exporting Member of the Commission. All copies of the Documents to be attached to that new Re-export Certificate must be also be verified by a government organization or a recognized institution accredited by a government which validated the WCPFC Bigeye Tuna Statistical Document.
- 5. Shipments of bigeye tuna that are accompanied by improperly documented Bigeye Tuna Re-export Certificate (i.e., improperly documented means that the Bigeye Tuna Re-export Certificate is either missing from the shipment, incomplete, invalid or falsified) will be considered illegitimate shipments of bigeye tuna, that are contrary to WCPFC conservation efforts, and their entry will be suspended (PENDING RECEIPT OF A PROPERLY COMPLETED DOCUMENT) into the territory of a Member of the Commission or subject to administrative or other sanction.
- 6. Members of the Commission that validate Re-export Certificates in accordance with the procedure set forth in paragraph 4 shall require from the re-exporting bigeye dealer necessary documents (e.g. written sales contracts) which are to certify that the bigeye tuna to be re-exported corresponds to the imported bigeye tuna. Memberss which validate Re-export Certificates shall provide flag states and importing states with evidence of this correspondence upon their request.
- 7. The import of fish parts other than the meat, i.e., head, eyes, roe, guts, tails may be allowed without the document.

Appendix

DOCUME		WCPFC BIGEYE TUNA RE-EXPORT CERTIFICATE					
NUMBER							
	ORT SECTION: PORTING COUNTRY/E	APPITA/EISHING ENT	rtrv				
1. KE-EA	FORTING COUNTRI/E	NIII I/FISHING ENI	1111				
2 POINT	OF RE-EXPORT						
2.10111	Of RE-E2N ORT						
2 DECCD		THOLE					
	RIPTION OF IMPORTED			/			
	Product Type	Net Weight	Flag C	-	Date of import		
F/FR	D/GG/DR/FL/OT	(kg)	Entity/Fish	ning Entity			
4. DESCR	CIPTION OF FISH FOR I	RE-EXPORT					
	Product Type	Net Weight					
F/FR	D/GG/DR/FL/OT	(kg)					
F=Fresh, I	FR=Frozen, RD=Round, GG	=Gilled & Gutted, DR=Dr	ressed, FL=Fillet,				
OT=Other	rs (Describe the type of produ	ct:)		
5. RE-EX	PORTER CERTIFICATI	ON:					
	nat the above information is		ct to the best of my kn	owledge and be	elief.		
•	lame	Address	Signature	Date	License # (if applicable)		
			ð		· 11		
6. GOVEI	RNMENT VALIDATION						
I validate	that the above information i	s complete, true and corr	ect to the best of my k	nowledge and b	pelief.		
		•	Total weight of	_			
	Name & Title	Sign	nature	Date	Government Seal		
ТМДОДТ	SECTION						
	SECTION RTER CERTIFICATION:						
	at the above information is c		to the best of my kno	vyladaa and hali	of		
1 ceruity una	at the above information is c	ompiete, true and correct	to the best of my kno	wieuge and ben	ICI.		
Importer C	Certification (Intermediate Co	ountry/Entity/Fishing En	tity)				
N	Name .	Address	Signature	Date	License # (if applicable)		
Importer C	Certification (Intermediate Co	ountry/Entity/Fishing En	tity)				
N	Name	Address	Signature	Date	License # (if applicable)		
Importer C	Certification (Final Destination	on of Shipment)					
-	Name	Address	Signature	Date	License # (if applicable)		
			-		** '		
		a	a .	/Entity/Fishing	T		
	t of Import: City	State/Province					

WCPFC BIGEYE TUNA RE-EXPORT CERTIFICATE INSTRUCTION SHEET

DOCUMENT NUMBER: Block for the issuing Country/Entity/Fishing Entity to designate a Country/Entity/Fishing Entity coded document number.

(1) RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY

Fill in the name of the Country/Entity/Fishing Entity which re-exports the bigeye tuna in the shipment and issued this Certificate. According to the Resolution, only the re-exporting Country/Entity/Fishing Entity can issue this Certificate.

(2) POINT OF RE-EXPORT

Identify the City/State Province and Country/Entity/Fishing Entity from which the bigeye tuna was re-exported.

(3) DESCRIPTION OF IMPORTED FISH

The exporter must provide, to the highest degree of accuracy, the following information: NOTE: One row should describe one product type. (1)Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kilograms. (3) Flag Country/Entity/Fishing Entity: the name of the Country/Entity/Fishing Entity of the vessel that harvested the bigeye tuna in the shipment. (4) Date of import: Imported date.

(4) DESCRIPTION OF FISH FOR RE-EXPORT

The exported must provide, to the highest degree of accuracy, the following information: NOTE: One row should describe one product type. (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kilograms.

(5) RE-EXPORTER CERTIFICATION

The person or company re-exporting the bigeye tuna shipment must provide his/her name, address, signature, date the shipment was re-exported, and re-exporter's license number (if applicable).

(6) GOVERNMENT VALIDATION

Fill in the name and full title of the official signing the Certificate. The official must be employed by a competent government authority of the re-exporting Country/Entity/Fishing Entity appearing on the Certificate, or a person or institution authorized to validate such certificates by the competent government authority.

(7) IMPORTER CERTIFICATION

The person or company that imports bigeye tuna must provide his/her name, address, signature, date the bigeye tuna was imported, license number (if applicable) and re-exported final point of import. This includes imports into intermediate Countries/Entities/Fishing Entities. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

RETURN A COPY OF THE COMPLETED CERTIFICATE TO: (the name of the office of the competent authority of the re-exporting Country/Entity/Fishing Entity).

REPORT OF THE WCPFC BIGEYE TUNA STATISTICAL DOCUMENT

Period		to	,	Import Country/Entity/Fishing Entity
	Month	Month	Year	

Flag Country/Entity	Area Code	Gear Code	Point of Export	Product Type		Product Wt (kg)
/Fishing Entity				F/FR	RD/GG/DR/FL/OT	

Gear Code	Gear Type	Product	<u>type</u>
BB	Baitboat	\mathbf{F}	Fresh
GILL	Gillnet	FR	Frozen
HAND	Handline	RD	Round
HARP	Harpoon	GG	Gilled & gutted
LL	Longline	DR	Dressed
MWT	Mid-water trawl	FL	Fillet
PS	Purse seine	OT	Other form, describe the type of
RR	Rod & reel		products in the shipment
SPHL	Sport Handline		
SPOR	Sport fisheries unclassified	Area Co	<u>de</u>
SURF	Surface fisheries unclassified	WPOin	Western Pacific (within the
TL	Tended line		national jurisdiction)
TRAP	Trap	WPOout	Western Pacific (outside of the
TROL	Troll		national jurisdiction)
UNCL	Unclassified methods	RPO	Rest of Pacific Ocean
OTH	Other type (Indicate the type of gear):	AT	Atlantic
		ID	Indian Ocean

REPORT OF THE WCPFC BIGEYE TUNA RE-EXPORT CERTIFICATE

Period	to ,		Import Country/Entity/Fishing Entity				
Month	Month	Year					
			~		_		
Flag	Re-export	Area	Gear	Point of	ŀ	Product Type	Product Wt
Country/Entity	Country/Entity	Code	Code	Export			(kg)
/Fishing Entity	/Fishing Entity				F/FR	RD/GG/DR/FL/OT	

 $\begin{array}{cc} \underline{\textbf{Product type}} \\ F & Fresh \end{array}$ FRFrozen RDRound

Gilled & gutted
Dressed GG

DRFLFillet

Other form, describe the type of products in the shipment OT

INFORMATION ON VALIDATION OF WCPFC STATISTICAL DOCUMENTS

Organization	Organization Address	Sample Seal

NOTE: For each organization, attach a list with the names, titles and addresses of the individuals authorized to validate Documents.

3. Other institutions accredited by the government/authority to validate Statistical Documents

2. Government/Authority organization(s) accredited to validate Statistical Documents

Organization	Organization Address	Sample Seal

NOTE: For each organization, attach a list with the names, titles and addresses of the individuals authorized to validate Documents.

Instructions

1. Flag _____

Contracting Parties, non-Contracting Parties, Entities, Fishing Entities having vessels that harvest species whose international trade must be accompanied by WCPFC Statistical Documents are requested to submit the information on this sheet to the Executive Director of the WCPFC, and to ensure that any changes to the above are also transmitted to the Executive Director on a timely fashion.