



TECHNICAL AND COMPLIANCE COMMITTEE

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Pohnpei, Federated States of Micronesia

**TCC Chair's proposal for Developing a Provisional Compliance Monitoring Report at
TCC19**

**WCPFC-TCC19-2023-08
18 September 2023**

TCC Chair

TCC Chair’s proposal for Developing a Provisional Compliance Monitoring Report at TCC19

1. The WCPF Convention obligates the Technical and Compliance Committee to monitor and review compliance with conservation and management measures adopted by the Commission. The process for undertaking this review is established in CMM 2019-06 *Conservation and Management Measure for Compliance Monitoring Scheme*.
2. WCPFC18 adopted [CMM 2021-03](#) which confirmed that CMM 2019-06 shall continue in force until 31 December 2023, with amendments, notably that:
 - i. CMM 2021-03 a.) *The Compliance Monitoring Report (CMR) assessment review process (provisional CMR and final CMR process), including the review of the aggregate tables, shall be deferred in 2022 so that the Technical and Compliance Committee can dedicate appropriate time to the completion of CMS Future Work components (Section IX); and*
 - ii. CMM 2021-03 e.) *In 2023, TCC19 shall consider a CMR that assesses CCMs’ compliance over the previous 2 year reporting period (RY2021 and RY2022) using the agreed audit point and risk-based assessment framework adopted by WCPFC19.*
3. As outlined in Circular 2023/75, the CMR process will follow a similar format to that undertaken by TCC17 in 2021, although I intend to undertake the review of the draft Compliance Monitoring Report (dCMR) prior to the review of the aggregate tables. This paper provides an overview of this process. For clarity, references to operational provisions of the CMS CMM refer to CMM 2019-06.
4. The Secretariat has prepared several papers that will be considered under later parts of agenda 5 –notably “Use of ROP data in the CMS” ([WCPFC-TCC19-2023-09](#)), “TCC19 Compliance Verification” ([WCPFC-TCC19-2023-11](#)) and “TCC19 Resources to support CCMs reporting and implementation of obligations” ([WCPFC-TCC19-2023-19](#))– that are relevant to operational aspects of the CMR process. I expect that discussion on these papers will be informed by our collective experience undertaking the CMR process this year, hence discussion on these will be held after the CMR process is finished, however I would encourage CCMs to review these papers before we commence the CMR process.
5. Any decision to close plenary for the consideration of the draft CMR and provisional CMR would need to be taken by TCC19. As per existing practice, this will be discussed at the pre-TCC19 HODs meeting to be held at 4.30pm on Tuesday 19 September 2023.

CMR process for TCC19

6. The CMR process for TCC19 will be undertaken in three stages:

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| <ol style="list-style-type: none">1. Review of Capacity Assistance Needed statuses from previous years2. Review of issues arising from the draft Compliance Monitoring Report (dCMR) and application of a compliance status for 2021 and 2022<ol style="list-style-type: none">a. The review of the dCMR will be undertaken for the two reporting years consecutively for each CCM by obligation (i.e. for each obligation, we will |
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review each CCM's compliance issues for 2021 then 2022 where applicable, before moving onto the next CCM; when all CCMs' compliance issues for both years have been reviewed, we will move onto the next obligation).

b. Where audit points have been agreed, the review of the dCMR and application of a compliance score will be undertaken based on these.

3. Review of the aggregated tables

a. Addressing outstanding cases in the Online Compliance Case File System (OCCFS)

b. Discussion of potential anomalies identified by CCMs

Following the CMR review process, the TCC Chair will develop a draft Provisional CMR Executive Summary for CCMs review.

A summary of the number of potential compliance issues by obligation is provided at **Annex A** to this paper. A supplementary paper that provides a breakdown of potential compliance issues by obligation by CCM, as well as summary information on outstanding (>104 weeks) cases in the OCCFS by CCM (which will be reviewed as part 3a of this process) is available on the secure site (WCPFC-TCC19-2023-08_suppl_secure 'Provisional order for consideration of the list of potential compliance issues identified in the full dCMR covering 2021 and 2022 activities, and possible draft templates for recording outcomes in pcmr Appendix 3)

1. Review of Capacity Assistance Needed statuses

7. As in past years, we will first receive reports on the progress of capacity development plans (obligations that were assessed by TCC17 as Capacity Assistance Needed (CAN)). We will go through each of the seven (7) CAN assessments from last year and in accordance with Section V of CMM 2019-06 TCC will determine whether each should remain CAN or whether the assessment should be changed to reflect progress made over the past year. The results of these assessments will be included in the Provisional CMR that is sent to the Commission. The list of seven (7) CAN RY 2020 assessments is provided in Table 1 of **TCC19-2023-20**.

2. Review of issues arising from the draft Compliance Monitoring Report

8. As per the process undertaken in previous CMR reviews, the review of issues arising from the dCMR will be undertaken in the TCC19 plenary session. The dCMR has been prepared based on the list of obligations for assessment agreed by WCPFC18.

9. TCC19 will consider the full list of obligations covered in the full draft CMR, but will prioritise consideration of the 316 potential compliance issues identified by the Secretariat in the dCMR (115 for RY 2021, 201 for RY 2022). While this is obviously a very large number of compliance issues to get through, it is worth noting that this has been reduced from 675 potential compliance issues in the initial dCMR, so I would like to extend

my thanks to the Secretariat and to CCMs for engaging constructively to provide additional information in response to the initial dCMR.

10. It is also worth noting that the high number of potential issues is reflective of the adoption of audit points that provide significantly more precision for the Commission to review implementation of obligations. As noted in my circular last week, this does result in a heavy workload for TCC this year but is an investment of time that should make future CMR processes significantly easier.

11. In line with the approach taken in previous CMR reviews, CCMs may raise additional compliance issues not identified in the dCMR. Such issues should be raised to the Chair, who may grant leave for the issue to be discussed and seek the Committee’s guidance on application of a compliance status.

12. Consistent with the practice of past years:

- i. Review will be on an obligation-by-obligation basis, not by CCM.
- ii. TCC19 will limit the practice of allowing CCMs to provide additional information verbally to situations of clarification only.

Assignment of Provisional Compliance Status

13. Annex I in CMM 2019-06 provides five Compliance Status options as follows:

CMM 2019-06 Annex I - Compliance Status Table

Compliance Status This annex applies to compliance statuses assigned for each individual obligation.	Criteria in 2019 Interim criteria
Compliant	A CCM will be deemed Compliant with an obligation if the following criteria have all been met: a. reporting or submission deadlines; b. implementation of obligations through national laws or regulations; c. submission of all mandatory information or data required, in the agreed format, as applicable.
Non-Compliant	A CCM will be deemed Non-Compliant with an obligation if any of the following have occurred, as applicable: a. a CCM has failed to comply with an obligation or category of obligations not specifically identified as Priority Non-Compliant; b. information or data for the obligation has been submitted or reported in a way that is incomplete, incorrect. c. Where TCC does not consider that progress has been made on a CDP or flag CCM investigations, or wrongly formatted; or d. a CCM has failed to meet reporting or submission deadlines.
Priority Non-Compliant	A CCM will be deemed Priority Non-Compliant with an obligation if any of the following have occurred, as applicable: a. exceeded quantitative limit established by the Commission;

		<ul style="list-style-type: none"> b. failure to submit its Part II Annual Report; c. repeated non-compliance with an obligation for two or more consecutively assessed years; or d. any other non-compliance identified as Priority Non-Compliance by the Commission.
Capacity Assistance Needed		<p>A SIDS or Participating Territory or Indonesia or the Philippines will be deemed Capacity Assistance Needed where they cannot meet an obligation and the following have occurred:</p> <ul style="list-style-type: none"> a. that CCM has provided a Capacity Development Plan to the Secretariat with its dCMR prior to TCC; and b. TCC confirms that all the elements of paragraph 14 are included in that Plan.
CMM Review		There is a lack of clarity on the requirements of an obligation.

14. Additionally paragraph 7 of CMM 2019-06 provides additional guidance on the criteria for assessments of certain types of obligations:

(i) *For a CCM-level quantitative limit or collective CCM quantitative limit, such as a limit on fishing capacity, fishing effort, or catch, verifiable data indicating that the limit has not been exceeded.*

(ii) *For other obligations:*

a. *Implementation – where an obligation applies, the CCM is required to provide information showing that it has adopted, in accordance with its own national policies and procedures, binding measures that implement that obligation; and*

b. *Monitor and ensure compliance – the CCM is required to provide information showing that it has a system or procedures to monitor compliance of vessels and persons with these binding measures, a system or procedures to respond to instances of non-compliance and has taken action in relation to potential infringements.*

15. In implementing Annex I, TCC19 should assign one of the above five compliance statuses for each CCM and obligation. Where a status of “Non-Compliant” or “Priority Non-Compliant” is assigned, TCC19 should determine if CCMs may provide additional information up to 21 days after TCC19, noting that additional information is limited to filling an information gap (CMM 2019-06 paragraph 40). The particular obligation/scores that may be met with the provision of additional information should be noted at the time of taking the final Provisional Compliance Monitoring scores.

16. Consistent with the practice of past years:

- i. Decisions on provisional assessments are to be by consensus (refer CMM 2019-06 para 35)
- ii. CCMs cannot block their own compliance assessment if other CCMs have reached consensus (refer CMM 2019-06 para 36); and

17. As per para 35 of CMM 2019-06, where consensus cannot be reached, the pCMR shall record majority and minority views. Consistent with the role of TCC in making recommendations to the Commission as necessary stemming from the compliance review process, where TCC19 is unable to reach consensus on application of a compliance status, the chair will seek guidance from CCMs on such advice to the Commission.

3. Review of aggregated summary tables of cases in the online CCFS

18. WCPFC19 endorsed an approach for the review of the aggregate tables to be undertaken by TCC19 (refer **Annex B**).

19. As per para 29 of CMM 2019-06, the Secretariat issued to CCMs on updated dynamic and static aggregated summaries of information from the OCCFS. The static tables will be used as the basis for this review process, though I encourage CCMs to use the dynamic tables if preferred for identifying anomalies for discussion.

Review of Outstanding Cases (>104 weeks) in the Online Compliance Case File System

20. The Secretariat has provided a supplementary paper, available on the secure site (WCPFC-TCC19-2023-08_suppl_secure), with the release of this paper that provides an overview of the potential compliance issues that will be reviewed in part 2 of the CMR process, as well as providing summary tables for each applicable CCM of outstanding (>104 weeks) in the OCCF. These tables reflect the status of cases in the OCCFS as at 27 July 2023¹ and will guide this section of the CMR process.

21. As with the review of issues arising from the dCMR and in line with the Commission's existing approach, outstanding cases related to ROP pre-notifications shall be excluded from this review process.

22. In line with para 34 of CMM 2019-06, CCMs with cases identified in this document shall be asked to articulate to TCC19 information in line with para 34a and b, namely:

- a) *Identify what is needed to progress or resolve these cases;*
- b) *Determine a timeframe for resolution of the cases*

23. This issue will be done on a CCM-by-CCM basis. CCMs may provide information pertaining to these cases at a level that they determine appropriate (for instance, a CCM may report common challenges to all its outstanding cases or may identify challenges specific to different cases), however TCC19 will not consider the details of individual cases. CCMs may ask questions of the CCM in question with a view to providing clear guidance to the Commission. The chair shall oversee this process in line with the Principles articulated in Section II of CMM 2019-06 and guided by the Purpose of the CMS as articulated in Section I of CMM 2019-06.

¹I note that some CCMs may have updated cases post 27 July and that this information may not be captured. Where this is the case, CCMs can note that additional information has been provided since the tables were generated and this will be captured in updates following TCC19.

24. Information provided by CCMs as per para 34a-b shall be recorded in the pCMR in line with the obligation to report to the Commission how identified implementation challenges shall be resolved (para 34c). Where feasible, CCMs are requested to provide responses to para 34a-b in writing to the Secretariat to facilitate reporting in the pCMR.

Identification of anomalies for discussion

25. The aggregated tables facilitate the identification of potential anomalies with CCMs' implementation of obligations and provide guidance for TCC19 to seek information on such challenges and articulate a process for how these shall be resolved (CMM 2019-06 para 33a-d).

26. As per the process agreed by WCPFC19, the identification of anomalies is to be a member-led process. At the time of drafting, no anomalies had been raised for discussion. Where possible, CCMs are requested to advise the Chair of anomalies that they wish to discuss, although CCMs will be permitted to raise anomalies to the floor during this part of the CMR review.

27. Where an anomaly is identified that indicates a CCM may have challenges with the implementation of a specific obligation, TCC19 may seek that the CCM in question report to TCC19 information in line with para 33a and b, namely:

- a.) Identify any targeted assistance that might be required to address the challenge;
- b.) Determine a timeframe for the resolution of the challenge.

28. TCC19 may include recommendations related to identified anomalies in the provisional Compliance Monitoring Report Summary.

Application of information from the aggregated tables review in determining compliance status

29. CCMs will recall that in reviewing the aggregated tables at TCC17, the decision was made that the review of the aggregated tables would not affect the application of a compliance score for the reporting year (2020) however that TCC could revisit this if needed (which it did not do).

30. I propose that the review of the aggregated tables at TCC19 follow a similar approach, i.e. that the review of the aggregate tables will not affect the application of a compliance score for the 2021 or 2022 reporting years, however TCC19 may revise this approach if necessary.

Process to develop and finalise the Provisional Compliance Monitoring Report and Executive Summary

31. The Provisional Compliance Monitoring Report will consist of five parts:

- i.) Executive Summary
- ii.) Appendix I – Traffic Light pCMR matrix (1 table per year)
- iii.) Appendix 2 – Tabular version of pCMR outcomes
- iv.) Appendix 3 – Outcomes of TCC consideration of aggregate tables
 - i. Table 1 – recommendations related to identified anomalies

ii. Table 2 – Outstanding Cases (> 104 weeks) from the Online CCFS
(Para 34)

v.) Appendix 4 – Aggregate tables based on templates in Annex III of CMM 2019-06

32. The TCC Chair will work with the Secretariat to develop an initial draft of the Provisional CMR Executive Summary for consideration by TCC19 .

33. The draft Executive Summary will be circulated for CCM consideration following completion of the review of the draft CMR.

LIST OF POTENTIAL COMPLIANCE ISSUES IDENTIFIED IN THE FULL dCMR covering 2021 activities (as at 7th September 2022) and 2022 activities (as at 5th September 2023)			
RY2021	RY2022	COUNT BY CMR CATEGORY	
2	11	Quantitative Limits (QL):-	
68	132	Implementation (IM):	
28	47	Report (RP):	
17	11	Deadline (DL):	
115	201	TOTAL: (for 36 CCMs + 1 collective group)	
COUNT BY CMM AND BY OBLIGATION			
0	7	CMM 2006-04 - SW Striped Marlin	
0	0	• QL: CMM 2006-04 01	Limit number of fishing vessels fishing for MLS south of 15S to 2000 – 2004 levels
0	7	• RP: CMM 2006-04 04	Annual catches of MLS (bycatch), and annual numbers of vessels fishing for MLS south of 15S and their catch levels
1	4	CMM 2009-03 – Swordfish	
0	0	• QL: CMM 2009-03 01	Limit number of vessels fishing for SWO south of 20S to the number in any one year between 2000-2005
0	0	• QL: CMM 2009-03 02	Limit the catch of SWO by its vessels in area south of 20S to the amount in any one year during 2000-2006
0	3	• IM: CMM 2009-03 03	CCMs shall not shift their fishing effort for SWO to the area north of 20°S
1	1	• RP: CMM 2009-03 08	Report annually the number of vessels that fished for SWO and total catch of SWO
1	4	CMM 2009-06 – Transshipment	
1	3	• RP: CMM 2009-06 11	Annual report on all transshipment activities covered by this Measure (including transshipment activities that occur in ports or EEZs) in accordance with the specified guidelines (Annex II)
0	1	• QL: CMM 2009-06 29	an exemption from the Commission. Where applicable, flag CCM authorisation should be vessel-specific and address any specific conditions identified by the Commission.
0	0	• QL: CMM 2009-06 34	Ban on high seas transshipment, unless a CCM has determined impracticability in accordance with para 37 guidelines, and has advised the Commission of such
0	0	• RP: CMM 2009-06 35 a (ii)	Notification to the Secretariat of a CCMs flagged vessels that are authorised to
0	0	• RP: CMM 2009-06 35 a (iii)	WCPFC Transshipment Advance Notification (including fields in Annex III)
0	0	• RP: CMM 2009-06 35 a (iv)	High Seas WCPFC Transshipment Declaration (including information in Annex I)
0	0	CMM 2010-01 - NP Striped Marlin	
0	0	• QL: CMM 2010-05 01	Limit catches of North Pacific Striped Marlin north of the equator to specified levels
2	7	CMM 2011-03 – Cetaceans	
1	3	• IM: CMM 2011-03 01	Prohibit purse seine setting on cetaceans, if animal is sighted prior to commencement of the set
1	4	• IM: CMM 2011-03 02	Requirements in the event of unintentional encircling of cetaceans in the purse seine net, including incident reporting requirements
14	17	CMM 2014-02 – VMS	
14	17	• IM: CMM 2014-02 9a	Fishing vessels comply with the Commission standards for WCPFC VMS including being fitted with ALC/MTU that meet Commission requirements
0	n/a	• IM: CMM 2014-02 VMS SSPs 2.8	Provision of ALC/MTU 'VTAF' data
n/a	0	• RP: CMM 2014-02 VMS SSPs 2.8	Provision of ALC/MTU 'VTAF' data
0	0	CMM 2015-02 - SP Albacore	
0	0	• RP: CMM 2015-02 04	Annual report of South Pacific Albacore by vessel by species
2	9	CMM 2018-04 – Mitigations measures for Sea Turtles	
1	8	• IM: CMM 2018-04 06	CCMs to require longline vessels to carry and use line cutters and de-hookers to handle and promptly release sea turtles, as well as dip-nets where appropriate
1	1	• IM: CMM 2018-04 07a	Sea Turtle mitigation requirements for shallow-set longline vessels
1	7	CMM 2018-06 – Record of Fishing Vessels	
0	7	• IM: CMM 2018-06 03	CCMs should only allow its fishing vessels to be used for fishing, if properly authorised
1	0	• RP: CMM 2018-06 09	Submission by Member to ED a list of all vessels on national record in previous year, noting "fished" or "did not fish" for each vessel

67		CMM 2019-04 – Sharks	
4	6	• RP: CMM 2019-04 05	Report to describe, where applicable, any alternative measures from those in CMM 2019-04 SHARKS which are applied by CCMs in areas under national jurisdiction (provide in Part 2 Annual Report)
8	11	• IM: CMM 2019-04 07-10	Take measures necessary to require all sharks retained on board their vessels are fully utilized and ensure the prohibition of finning (provide in Part 2 Annual Report) - including consideration of para 10 endorsed alternative measures
8	11	• RP: CMM 2019-04 11	Report annually on shark fins attached/alternative measures (12)
7	4		▪ DL:
0	11	• IM: CMM 2019-04 12	Take measures necessary to prevent fishing vessels from retaining on board (including for crew consumption), transshipping and landing any fins harvested in contravention of CMM 2019-04
3	8	• IM: CMM 2019-04 13	Take measures necessary to ensure carcasses and their corresponding fins are landed or transshipped together, in a manner that allows inspectors to verify
4	6	• IM: CMM 2019-04 14-15	Implement at least one option to minimize bycatch of sharks in longline fisheries, and notify choice and whenever the selected option is changed
n/a	1	• RP: CMM 2019-04 16	CCMs shall develop and report their management plans for longline fisheries targetting sharks in their Part 2 Annual Report
1	n/a	• IM: CMM 2019-04 16	CCMs shall develop and report their management plans for longline fisheries targetting sharks in their Part 2 Annual Report
5	8	• IM: CMM 2019-04 18	Ensure that sharks that are caught but are not to be retained, are hauled alongside the vessel in order to facilitate species identification (only applicable where observer or EM camera is present, and where safe for crew and observers)
1	4	• IM: CMM 2019-04 20(01)	Prohibit retaining/transshipping/storing/landing oceanic whitetip & silky sharks
5	4	• IM: CMM 2019-04 20(02)	Requirement to release oceanic whitetip & silky sharks asap
3	3	• IM: CMM 2019-04 20(03)	If oceanic whitetip & silky sharks caught, must be given to government or discarded
3	7	• IM: CMM 2019-04 21(01-07)	Prohibit purse seine setting on whale sharks, retaining/transshipping/landing of whale sharks
0	0	• RP: CMM 2019-04 21(04)	Where applicable, describe any alternative measures for whale sharks from CMM 2019-04 21 that are applied by CCMs specifically in EEZs located N30N (provide in Part 2 Annual Report)
5	8	• RP: CMM 2019-04 23	Report on Implementation of CMM 2019-04 Sharks (Part 2 Annual Report)
10	7		▪ DL:
15	16	CMM 2019-05 – Mobulids	
15	16	• IM: CMM 2019-05 (04-06, 08, 10)	Prohibit retaining/transshipping/storing/landing mobulid rays
0	5	CMM 2019-08 / CMM 2021-04 – Charters	
0	4	• RP: CMM 2019-08 / CMM 2021-04 (02)	Notification of charter arrangements to WCPFC (0)
0	1	• RP: CMM 2019-08 / CMM 2021-04 (07)	Report annually to ED the catch and effort of chartered vessels in the previous year (unless specifically provided in other CMMs)
5	24	CMM 2020-01 / CMM 2021-01 – Tropical tuna	
2	6	• IM: CMM 2020-01 16 / CMM 2021-01 14	Purse seine 3 month FAD closure (1 July - 30 September)
0	5	• IM: CMM 2020-01 17 / CMM 2021-01 15	Two additional month high seas FAD closure (April-May or Nov-Dec)
0	2	• RP: CMM 2020-01 17 / CMM 2021-01 15	Two additional month high seas FAD closure (April-May or Nov-Dec)
1	7	• QL: CMM 2020-01 25 / CMM 2021-01 24	Purse seine EEZ limits (for skipjack, yellowfin and bigeye tuna) and advice from other coastal CCMs of EEZ limits to be applied
0	2	• QL: CMM 2020-01 26 / CMM 2021-01 25	High seas purse seine effort limits applying 20N to 20S
1	0	• QL: CMM 2020-01 39 / CMM 2021-01 37	Bigeye longline catch limits for 2021, with adjustment to be made for any overage
0	0	• RP: CMM 2020-01 41 / CMM 2021-01 38	Monthly reporting of bigeye longline catches
0	0	• QL: CMM 2020-01 43 / CMM 2021-01 40	Limit annual catches in bigeye longline fishery to 2000 Mt
0	1	• QL: CMM 2020-01 45 / CMM 2021-01 42	Purse seine capacity limits
0	0	• QL: CMM 2020-01 47 / CMM 2021-01 44	Bigeye longline fishery capacity limits for vessels with freezing capacity targetting BET
0	0	• QL: CMM 2020-01 48 / CMM 2021-01 45	Bigeye longline fishery capacity limits for ice-chilled vessels targetting BET and landing exclusively fresh fish
1	1	• RP: CMM 2020-01/2021-01 Att 2 03	Philippines vessels Entry/Exit reports for HSP1-SMA
0	0	• IM: CMM 2020-01/2021-01 Att 2 05-06	Specific requirements for deploying observers on Philippines vessels fishing in HSP-1

2	0	CMM 2020-02 / CMM 2021-02 – Pacific Bluefin	
0	n/a	• QL: CMM 2020-02 02 (1)	Total effort by vessels for Pacific Bluefin limited to 02-04 level N20N
0	n/a	• QL: CMM 2020-02 02 (2)	Catches of Pacific bluefin tuna less than 30kg shall be reduced to 50% of 2002-04 level
0	n/a	• IM: CMM 2020-02 03	Pacific Bluefin tuna every possible measure to be taken not to increase catches of BFT >30kg from 2002-04 levels
n/a	0	• QL: CMM 2021-02 02	Total effort by vessels for Pacific Bluefin limited to 02-04 level N20N
n/a	0	• QL: CMM 2021-02 03	Catches of Pacific bluefin tuna less than 30kg shall be reduced to 50% of 2002-04 level
n/a	0	• QL: CMM 2021-02 04	Pacific Bluefin tuna every possible measure to be taken not to increase catches of BFT >30kg from 2002-04 levels
1	0	• RP: CMM 2020-02 05 / CMM 2021-02 08	reporting of recent fishing effort and catch for Pacific Bluefin
1	0	• RP: CMM 2020-02 11 / CMM 2021-01 14	Annual report on measures for Pacific Bluefin, including monitoring of international trade
5	2	Scientific data	
0	0	• RP: Scidata 01	Scientific Data– Annual Catch Estimates
0	0	• RP: Scidata 02	Scientific Data– Number of Vessels Active
1	1	• RP: Scidata 03	Scientific Data– operational level catch and effort data
4	1	• RP: Scidata 05	Scientific Data– Size composition data

WCPFC19 Summary Report Attachment S

WCPFC19 Endorsed Approach for the process to review aggregate tables in 2023

i. High-level approach for Aggregate Tables Review at TCC19

May 2023 Reminder to CCMs to complete Annual Report Part 2 and finalise outstanding cases in the OCCFS by the deadline of 12 June [Secretariat]

12 June 2023 Submission of Annual Report Part 2 [All CCMs]
(100 days prior to TCC)

TBC June/July Finalisation of cases in the OCCFS [Relevant CCMs]
(deadline to be advised by Secretariat based on internal processes)

27 July 2023 Provision of dCMR [Secretariat]
(55 days prior to TCC) Provision of aggregate tables (static and dynamic versions) [Secretariat]
Notification to relevant CCMs of outstanding (>2yr) cases in the OCCFS and request for provision of para 34(a) and 34(b) reports. [Secretariat]

6 Sept 2023 Notify Chair of potential anomalies for discussion [All CCMs]
(two weeks prior to TCC) Provision of Para 34(a) and 34(b) reports [relevant CCMs]

TCC19 Review of Aggregate Tables (as part of CMR process)

Para 34 (outstanding cases >2yr)

- Review of provided para 34(a) and para 34(b) reports

Para 33 (identification of anomalies)

- Review of CCM-nominated anomalies and TCC discussion
- Review of static tables

Development of recommendations

- Outstanding cases – direction to resolve prior to the Commission Regular Session
- Anomalies – development of plan to address (CCM) or recommendation to the Commission (Chair)

(note this is predicated on WCPFC19 agreement to the Secretariat's recommendation in WP26 that the submission date for the Annual Report Part 2 continues to be 100 days prior to TCC – if this is not agreed the proposed timing will need to be revised)

ii. Key work for 2023 to further develop the aggregate tables review process.

- TCC Chair and Secretariat to lead work on enhanced presentation of aggregate tables, including:
 - Presentation of information on the number of observer reports requested versus number received by CCM drawn from the Online Compliance Case File System request tracking tool alongside the aggregate tables.
 - Enhancements to the dynamic and static versions of the aggregate tables for presentation with the dCMR.
 - Format for reporting against para 34(a) and 34(b) for outstanding (>2yr) cases
- TCC Chair to develop “rules of the road” for the aggregate tables review process (prior to TCC19) to ensure the process is fair and robust.
 - Including specific guidance on addressing single-case situations to avoid assessing vessel-level compliance.
- TCC Chair to work with interested CCMs to further consider the application of compliance statuses in relation to review of the aggregate tables, including:
 - Developing guidance on the review by TCC19 of Article 25(2) cases for inclusion in the provisional Compliance Monitoring Report (prior to TCC19).
 - Review of CMS CMM, for consideration by WCPFC20 (up to and through TCC19 to WCPFC20)