



**Development of a Revised Tropical Tuna Measure Workshop 4
29 to 30 September 2023
Pohnpei, FSM, and online**

Information paper on Allocation Criteria

**WCPFC-TTMW4-2023-IP01
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Prepared by the Secretariat

1. The purpose of this paper is to set out the allocation criteria that can be found in the WCPF Convention, in CMM 2021-01, and were raised by CCMs at TTMW3 in June 2023. The purpose is to simply list the criteria, and their origins. There is no attempt to prioritise the criteria. Their placement in the list should not be taken to indicate any priority.
2. CCMs may wish to identify whether there are any additional criteria not included in this list that should be included, or whether the list includes all potentially relevant criteria. CCMs may also wish to consider a process for identifying and agreeing on key allocation criteria and their weighting which might be incorporated into an allocation framework. Other issues raised at TTMW3 which were considered to be related to allocation included attribution of catch, options for using the allocation, and independent verification for monitoring and compliance with any allocation.

| Potential Allocation Criteria | Source |
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| 1. The status of the stocks and the existing level of fishing effort in the fishery | Convention, art 10(3)(a) |
| 2. The respective interests, past and present fishing patterns and fishing practices of participants in the fishery and the extent of the catch being utilized for domestic consumption | Convention, art 10(3)(b) |
| 3. The historic catch in an area | Convention, art 10(3)(c) |
| 4. The needs of small island developing States, and territories and possessions, in the Convention Area whose economies, food supplies and livelihoods are overwhelmingly dependent on the exploitation of marine living resources | Convention, art 10(3)(d) |
| 5. The respective contributions of participants to conservation and management of the stocks, including the provision by them of accurate data and their contribution to the conduct of scientific research in the Convention Area | Convention, art 10(3)(e) |

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| 6. The record of compliance by the participants with conservation and management measures | Convention, art 10(3)(f) |
| 7. The needs of coastal communities which are dependent mainly on fishing for the stocks | Convention, art 10(3)(g) |
| 8. The special circumstances of a State which is surrounded by the exclusive economic zones of other States and has a limited exclusive economic zone of its own | Convention, art 10(3)(h); CMM 2021-01, para 7(b) |
| 9. The geographical situation of a small island developing State which is made up of non-contiguous groups of islands having a distinct economic and cultural identity of their own but which are separated by areas of high seas | Convention, art 10(3)(i); CMM 2021-01, para 7(a) |
| 10. The fishing interests and aspirations of coastal States, particularly small island developing States, and territories and possessions, in whose areas of national jurisdiction the stocks also occur | Convention, art 10(3)(j) |
| 11. Recognition of the importance of the special requirements and interests of developing coastal States, especially SIDS and Territories. | Convention, art 30 |
| 12. The need to avoid adverse impacts on subsistence, small-scale and artisanal fishers | Convention, art 30(2)(b) CMM 2021-01, para 7(c) |
| 13. The need to avoid a disproportionate burden on SIDS and Territories. | Convention, art 30(2)(c) FFA: TTMW3 |
| 14. Conserve and manage stocks in their entirety and take coastal communities into account | Convention, art 5 Japan: TTMW3 |
| 15. Without prejudice to the sovereign rights of coastal States and existing zone-based management arrangements and vessel day schemes | Convention, art 10, 8 TTMW3 |
| 16. Requirement of States Parties to assist developing States, in particular small island developing States, to enable them to participate in high seas fisheries for such stocks, including facilitating access to such fisheries | UN Fish Stocks Agreement, art 25(1)(b) PNA+: TTMW3 |
| 17. Recognition of the need for climate justice, as Pacific Island countries and communities, through no fault of their own, face severe economic damage and a threat to their existence and cultures as a result of climate change | PNA+: TTMW3 |
| 18. Key criteria: economic dependence, equal shares, development status, special circumstances, adjacency, and catch/effort history | FFA: TTMW3 |