

TECHNICAL AND COMPLIANCE COMMITTEE

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LIST OF CAPACITY ASSISTANCE REQUESTS AND CAPACITY DEVELOPMENT PLANS

WCPFC-TCC18-2022-08 18 September 2022

Paper prepared by the Secretariat

Purpose

1. This paper summarizes Capacity Assistance Needs identified by CCMs, based on this year's Annual Report Part 2 reporting and/or draft Compliance Monitoring Report (draft CMR) replies. The summary provided also indicates where CCMs have provided submissions related to Capacity Development Plans (CMM 2021-03 paragraphs 14-15) as part of their replies to this year's draft CMR. The information in this summary is as of $18^{\rm h}$ September 2022.

Background

- 2. As in previous years, and in accordance with CMM 2021-03, CCMs may provide in their Annual Report Part 2 and in replies to draft CMRs, indications of areas where assistance is needed.
- 3. CMM 2021-03 paragraph 27 states:
 - 27. Upon receipt of its dCMR, each CCM may, where appropriate, reply to the Executive Director no later than 28 days prior to TCC each year to:
 - (i) provide additional information, clarifications, amendments or corrections to information contained in its dCMR;
 - (ii) identify any particular difficulties with respect to implementation of any obligations; or
 - (iii) identify technical assistance or capacity building needed to assist the CCM with implementation of any obligations.
- 4. Also, CMM 2021-03 paragraphs 14 and 15 states:
 - 14. Notwithstanding paragraph 4, where a SIDS or Participating Territory, or Indonesia or the Philippines cannot meet a particular obligation that is being assessed, due to a lack of capacity, that CCM shall provide a Capacity Development Plan to the Secretariat with their draft Compliance Monitoring Report (dCMR), that:
 - (i) clearly identifies and explains what is preventing that CCM from meeting that obligation;
 - (ii) identifies the capacity assistance needed to allow that CCM to meet that obligation;

- (iii) estimates the costs and/or technical resources associated with such assistance, including, if possible, funding and technical assistance sources where necessary;
- (iv) sets out an anticipated timeframe in which, if the identified assistance needs are provided, that CCM will be able to meet that obligation.
- 15. The CCM may work together with the Secretariat to draft the Capacity Development Plan. This plan shall be attached to that CCM's comments to the dCMR.
- 5. In respect of Capacity Development Plans, Circular 2022/56 dated 28th July 2022 announced the availability online for each CCM of their draft CMR and referred CCMs to the online support system (https://wcpfc.freshdesk.com/support/home) for guidance on viewing the draft CMR Potential Issues and to submit additional information online.

Link to the Strategic Investment Plan

- 6. The Commission, at its meeting in December 2018, agreed to several decisions that formalized the linkage between the Strategic Investment Plan and CCM requested Capacity Assistance Needs and Capacity Development Plans once referenced in the provisional CMR. The relevant agreed decisions from WCPFC15 Summary Report were:
 - 154. The Commission approved the 2018 Strategic Investment Plan as a means to target investment to address the priority needs as identified by developing states, including effective participation. (Attachment F). The Commission agreed that this Plan would be updated annually by the Secretariat for approval by the Commission and that the Secretariat would report to the Commission on implementation of the Strategic Investment Plan each year.
 - 155. The Commission agreed to make any capacity assistance needs identified in the provisional Compliance Monitoring Report and in Part 2 reports (as may be agreed by TCC each year to be contained in the Executive Summary of the Provisional Compliance Monitoring Report), public domain data that will inform annual updates of the Strategic Investment Plan.
- 7. Since 2019, the *Implementation of Article 30 of the Convention* webpage on the WCPFC website has been maintained by the Secretariat at this link: https://www.wcpfc.int/implementation-article-30-convention. Copies of the WCPFC Strategic Investment Plan and past years CMM 2013-07 annual reports for all CCMs may be accessed from this page.

Obligations where assistance needs were identified

8. The 2021 Strategic Investment Plan includes a list of Capacity Assistance Need areas from the Final Compliance Monitoring Report covering 2020 activities (see **Table 1** below). The Secretariat confirms that three CCMs provided some replies in Annual Report Part 2 to each of their respective Capacity Assistance Needed score from the Final Compliance Monitoring Report (refer to **TCC18-2022-AR Pt2**). At the time of preparing this paper, no additional information in relation to the progress of Capacity Development Plans had been received.

Table 1. List of Capacity Assistance Needs from the 2021 Final Compliance Monitoring Report covering 2020 activities

activities		
Indonesia for Scientific data provision (SciData03)	Capacity Assistance Needed (RY2016, RY2017, RY2018, RY2019, RY2020)	Indonesia reported that were some continuing delays in 2020 in the anticipated timeframe and assistance delivery set out in the Capacity Development Plan due to COVID-19 circumstances. TCC17 noted that implementation of the capacity needs in the Capacity Development Plan is still open and requested Indonesia to report back following TCC with more specificity on the dates when the necessary technical assistance can take place. TCC noted that for RY 2020 Indonesia's capacity assistance needs in their Capacity Development Plan were not yet met.
Indonesia for annual report on	Capacity	Indonesia reported that assistance and funding was
estimated number of releases	Assistance	being sought from SPC to hold dedicated workshops
and status upon release of	Needed	on sharks. However, this has been delayed due to
oceanic whitetip sharks (CMM	(RY2019,	COVID-19. TCC17 noted the continuing delays due
2011-04 paragraph 3)	RY2020)	to COVID-19 circumstances in implementation of the
ZVII-UT paragrapii 3)	1(12020)	capacity needs in the Capacity Development Plan and
Indonesia for annual report on estimated number of releases and status upon release of silky sharks (CMM 2013-08 paragraph 3)	Capacity Assistance Needed (RY2019, RY2020)	requested Indonesia to report back following TCC with more specificity on the dates when the necessary technical assistance can take place. TCC noted that for RY 2020 Indonesia's capacity assistance needs in their Capacity Development Plan were not yet met.
Philippines for 100% purse seine observer coverage for vessels fishing exclusively in areas under national jurisdiction (CMM 2018-01 paragraph 35)	Capacity Assistance Needed (RY2018, RY2019, RY2020)	TCC noted that a written report was received from the Philippines on progress on its Capacity Development Plan which provided a schedule for implementation to progressively increase observer coverage in Philippine waters over 2020 to 2023. TCC noted that for RY 2020 Philippine's capacity assistance needs in their Capacity Development Plan were not yet met.
Indonesia for 100% purse seine		TCC noted that Indonesia's capacity assistance
coverage: specific rules for	Capacity	needed for the implementation of the obligation in
vessels fishing exclusively in	Assistance	CMM 2018-01 paragraph 35 is incorporated into a
areas under its national	Needed	Capacity Development Plan for RY 2020. TCC17
jurisdiction (CMM 2018-01	(RY2020)	expects Indonesia to be meet this obligation in 2022.
paragraph 35)		
French Polynesia for CCMs to		TCC noted that French Polynesia's capacity assistance
require longline vessels to carry	Canacity	needed for the implementation of the obligation in
and use line cutters and de-	Capacity Assistance	CMM 2018-04 paragraph 6 is incorporated into a
hookers to handle and promptly	Assistance Needed	Capacity Development Plan for RY 2020. TCC17
release sea turtles, as well as		expects French Polynesia to be meet this obligation in
dip-nets where appropriate	(RY2020)	2022.
(CMM 2018-04 paragraph 06)		
French Polynesia for Sea Turtle		TCC noted that French Polynesia's capacity assistance
mitigation requirements for	Capacity	needed for the implementation of the obligation in
shallow-set longline vessels,	Assistance	CMM 2018-04 paragraph 7a is incorporated into a
including incident reporting	Needed	Capacity Development Plan for RY 2020. TCC17
requirements (CMM 2018-04	(RY2020)	expects French Polynesia to be meet this obligation in
paragraph 7a)	(21220)	2022.
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9. The 2021 Strategic Investment Plan also includes a list of additional areas of capacity assistance identified by CCMs in their Annual Report Part 2 covering 2020 reporting year and that were outside the scope of the list of obligations to be assessed in the CMS in 2021. Some capacity assistance needs were initially reported in RY 2018 and are continuing in RY 2020 (#). (see **Table 2** below).

Table 2. List of additional areas of capacity assistance identified by CCMs in their Annual Report Part 2 covering 2020 reporting year

Obligation	Capacity assistance requested by CCMs in their Annual Report Part 2 covering 2020 reporting year	
CMM 2017-03 03-06, 11, 12 Observer Safety CMM	Cook Islands: Assistance from FFA with this and other measures that require legislation changes #	
CMM 2013-07 Paras 01-03 General Provisions	"Indonesia is included in the SIDS partnership as announced at the Third International Conference on Small Island Developing States (SIDS Conference) was held from 1 to 4 September 2014 in Apia, Samoa. Several multi-stakeholders partnerships initiatives for SIDS where Indonesia as one of the partners have been operating in several SIDS such as Papua New Guinea and Solomon Island for Coral Triangle Initiative. http://www.sids2014.org/partnerships/countries/?country=219 http://www.sids2014.org/partnerships/countries/?country=238" Recently, in mid 2020, Indonesia called for mobilization of adequate resources and support for Small Island Developing States during a discussion with the premise on mobilizing international solodarity, accelerating action and embarking on new pathways to realize the 2030 agenda and the Samoa Pathway: Small Island Developing States Kiribati is one of the SIDs countries that depend much on assistance from regional and sub-regional agencies such as WCPFC, FFA and PNA including donor partners. RMI is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts New Caledonia received no assistance in this category since 2017 Tonga cooperate at regional and sub regional initiatives to support the development of SIDS Fisheries. Vanuatu: CCM is in need of adequate capacity assistance provided for by the Commission and its partners on all areas.	

Obligation	Capacity assistance requested by CCMs in their Annual Report Part 2 covering 2020 reporting year	
	Fiji still needs trainings and attachments in the following areas: 1) prosecution 2) data analysis 3) MCS #	
	Indonesia (as per above response for 01-03)	
	Kiribati is a small island country with limited resources to manage its vast EEZ.	
CMM 2013-07 Paras 04-05 Capacity development for personnel	RMI is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts	
	New Caledonia received no assistance in this category since 2017	
	Tonga: Our current national capacity does not provide Tonga ability to assist capacity development of other SIDs. Tonga is recipient of capacity development assistance	
	Vanuatu: CCM is in need of adequate capacity assistance provided for by the Commission and its partners on all areas.	
	FSM: National IMS Development/FIMS Development/TUFMN2 development/EM/ER initiatives	
	Indonesia (as per above response for 01-03)	
CMM 2013-07 Paras 06-07	Kiribati as small island developing states depend much on technology assistance from regional agencies and development partners.	
	RMI is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts	
Assistance with technology transfers	New Caledonia received no assistance in this category since 2017	
technology transfers	Tonga: Our current national capacity does not provide Tonga ability to assist capacity development of other SIDs. Tonga is recipient of capacity development assistance	
	Vanuatu: CCM is in need of adequate capacity assistance provided for by the Commission and its partners on all areas.	
	FSM: bilateral arrangements to implement ROP, transhipment monitoring and sharing of MCS data when necessary	
	Indonesia (as per above response for 01-03)	
CMM 2013-07 Paras 08-09 Assistance in areas of fisheries conservation and management	Kiribati: Kiribati is small island with limited resources, hence unable to provides further assistance while concentrating effort within national jurisdiction only.	
	RMI is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts	
	New Caledonia received no assistance in this category since 2017	
	Tonga cooperate at regional and sub-regional initiatives to support the development of SIDs Fisheries	
	Vanuatu: CCM is in need of adequate capacity assistance provided for by the Commission and its partners on all areas.	

Capacity assistance requested by CCMs in their Annual Report Part 2 covering 2020 reporting year
Indonesia (as per above response for 01-03)
Kiribati: As small island state with only one patrol boat to monitor three separated EEZ. Kiribati greatly need assistance from developed partners to assist in both aerial and surface surveillance coverage. #
RMI is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts
New Caledonia received no assistance in this category since 2017
Vanuatu: CCM is in need of adequate capacity assistance provided for by the Commission and its partners on all areas.
FSM: PNA market related initiatives - MSC, VDS,CDS,EM/ER,PSM
Indonesia (as per above response for 01-03)
RMI is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts
New Caledonia received no assistance in this category since 2017
Vanuatu: CCM is in need of adequate capacity assistance provided for by the Commission and its partners on all areas.

10. No CCM has submitted a "Capacity Development Plan" within their reply to this year's draft Compliance Monitoring Report covering 2021 activities (see **Table 3** below).

Table 3. List of additional areas of capacity assistance identified by CCMs in their replies to the draft CMR covering 2021 reporting year

CMM or CMM paragraph	Notes and types of assistance requested
nil	-

- 11. **Annex 1** provides a summary of reporting by developing members and participating territories in Annual Report Part 2 covering 2021 reporting year in response to CMM on Special Requirements of Small Island Developing States and Territories (CMM 2013-07), where capacity assistance needs were identified. Annex 1 also collates from these reports notes from developing members and participating territories on areas where assistance was received in response to their capacity assistance needs. The complete set of CMM 2013-07 annual reports for all CCMs for reporting year 2021 are posted for TCC18 as **TCC18-2022-09.**¹
- 12. Authorised users of CCMs may view individual CCMs indications of assistance needs by:
 - a. reviewing the full draft Compliance Monitoring Report on the Intranet or in MS Excel export format and filtering by the appropriate column to show the CCMs and CMM paragraphs where CCMs had indicated they had an assistance need ("Capacity Development Plan = Applicable" or "Capacity Building requested = YES"); and
 - b. reviewing the Annual Report Part 2 on the Intranet or in MS Excel export format and reviewing individual CCMs reports for relevant obligations listed in **Table 4**.

¹ Copies of past years CMM 2013-07 annual reports for all CCMs may be accessed from https://www.wcpfc.int/implementation-article-30-convention

13. Copies of reports in response to Capacity Assistance Needed scores from WCPFC18 are viewable by authorized users of CCMs through the secure side of the WCPFC website as **TCC18-2022-dCMR01**, and **TCC18-2022-AR Pt2** respectively.

Secretariat's Comment

- 14. Due to the COVID-19 global pandemic much of the work of the Secretariat through 2022 continued to be progressed electronically through email and skype exchanges, as well as one-on-one zoom meetings. Throughout 2021/22 the Secretariat has continued to assist individual CCMs, on request, with WCPFC reporting requirements, including Annual Report Part 1 and Part 2, online Compliance Case File System guidance, and use of the WCPFC High Seas Transhipment E-Reporting (TSER) system and VMS Reporting Status Tool (VRST). Such assistance from the Secretariat seems to be helpful in circumstances where a CCM national administrations has changes in staff who are involved in supporting WCPFC matters or where a better understanding of how to use the online system can assist in more efficient processes for CCMs.
- 15. The 'WCPFC Helpdesk' available since late 2020 also provides brief reference information for members on how to access and complete reports and to access and make updates to some WCPFC datasets. (<u>Helpdesk</u>: <u>WCPFC</u> (<u>freshdesk.com</u>) These are very short guides with new topics progressively being added as resources permit.

Recommendation

16. TCC18 is invited to consider the capacity assistance needs listed in Tables 1 to 3, and Annex 1 and note that other needs may identified by CCMs during TCC19's review of draft CMRs when developing the Provisional Compliance Monitoring Report.

Annex 1. List of additional areas of capacity assistance identified by CCMs in their Annual Report Part 2 CMM on SPECIAL REQUIREMENTS OF SMALL ISLAND DEVELOPING STATES AND TERRITORIES CMM 2013-07 reporting covering 2021 reporting year, and notes on where assistance was received

CMM 2013-07	Notes and types of assistance requested	Areas where assistance was received
	French Polynesia: FP is a developing territory.	
Paras 01-03 General Provisions	Indonesia is included in the SIDS partnership as announced at the Third International Conference on Small Island Developing States (SIDS Conference) was held from 1 to 4 September 2014 in Apia, Samoa. Several multi-stakeholders partnerships initiatives for SIDS where Indonesia as one of the partners have been operating in several SIDS such as Papua New Guinea and Solomon Island for Coral Triangle Initiative. http://www.sids2014.org/partnerships/countries/?country=219 http://www.sids2014.org/partnerships/countries/?country=238" Recently, in mid 2020, Indonesia called for mobilization of adequate resources and support for Small Island Developing States during a discussion with the premise on mobilizing international solodarity, accelerating action and embarking on new pathways to realize the 2030 agenda and the Samoa Pathway: Small Island Developing States	
	Kiribati is one of the SIDs countries that depend much on assistance from regional and subregional agencies such as WCPFC, FFA and PNA including donor partners.	
	RMI is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts	
	New Caledonia received no assistance in this category since 2017	
	Tonga cooperate at regional and sub regional initiatives to support the development of SIDS Fisheries.	
	Vanuatu: Request to assist with MCS and observer related work to which funds were disseminated to assist as is the case with other SIDs CCMs.	
Paras 04-05 Capacity development for personnel	Fiji still needs trainings and attachments in the following areas: 1) prosecution 2) MCS	FSM: Some capacity development assistance delivered by FFA/PNA/SPC and WCPFC as FSM is a SID
	French Polynesia: FP is a developing territory.	WCFFC as FSM is a SID
	Indonesia (as per above response for 01-03)	PNG: PNG has benefited from several of
	Kiribati is a small island country with limited resources to manage its vast EEZ.	the regional capacity development programs specifically of online training programs such as that of the FFA funded NZ program on Certificate in First Line Managment course and other relevant capacity development study.
	RMI is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts	
	New Caledonia received no assistance in this category since 2017	

CMM 2013-07	Notes and types of assistance requested	Areas where assistance was received	
	Tonga: Our current national capacity does not provide Tonga ability to assist capacity development of other SIDs. Tonga is recipient of capacity development assistance		
	Vanuatu: As mentioned earlier, requests have been submitted for for assistance on observer EM related training and support.		
	FSM: National IMS Development/FIMS Development/TUFMN2 development/EM/ER initiatives	Fiji: FFA provided video conferencing equipment to enhance Members'	
	French Polynesia: FP is a developing territory.	participation in virtual meetings.	
	Indonesia (as per above response for 01-03)	PNG: Challenges brought by C-19 saw evolvement of several technologies been introduced to the CCM; and PNG have greatly benefited from the use of such technologies in efficiently facilitating certain requirements where physical presence is impossible such as virtual conferencing platforms, MCS related technologies, etc	
Paras 06-07 Assistance with technology	Kiribati as small island developing states depend much on technology assistance from regional agencies and development partners.		
	RMI is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts		
transfers	New Caledonia received no assistance in this category since 2017		
	Tonga: Our current national capacity does not provide Tonga ability to assist capacity development of other SIDs. Tonga is recipient of capacity development assistance		
	Vanuatu: CCM is in need of adequate capacity assistance provided for by the Commission and its partners on all areas.		
	FSM: Participation in Implementations of new CMM's, bilateral arrangements to implement ROP, transhipment monitoring and sharing of MCS data when necessary.	Fiji: SPC facilitted PIRFO refresher trainnig to Fiji observers, fundded by FFA	
	French Polynesia: FP is a developing territory.	in 2021.	
	Indonesia (as per above response for 01-03)		
Paras 08-09 Assistance in areas of fisheries conservation and management	Kiribati: Kiribati is small island with limited resources, hence unable to provides further assistance while concentrating effort within national jurisdiction only.		
	RMI is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts		
	New Caledonia received no assistance in this category since 2017		
	PNG: Adopted CMMs that are applicable and consistent to the national obligations and existing fishery.		
	Tonga cooperate at regional and sub-regional initiatives to support the development of SIDs Fisheries		

CMM 2013-07	Notes and types of assistance requested	Areas where assistance was received
Paras 10-11 Assistance in the areas of Monitoring, Control and surveillance	FSM: - Bilateral or multi lateral Surveillance Opertaion arrangements - PNA observer program, - subregional surveillance operations	Fiji: No Aerial support were carried uot due to pandemic and border closure. 3 Sea patrol were conducted: Operation Island Chief, Operation Tuimoana and Operation Kurukuru. PNG: PNG has been effectively participating in the regional monitoring and surveillance programs by conductign aerail
	FSM,RMI and Palau tri lateral operationsNTSA bilateral activitiesU.S and FSM ships rider agreement	surveillance and at sea patrols in the effort to monitor fishing and related activites
	French Polynesia: FP is a developing territory.	within our jurisdiction and combat IUU related activities. There were also at sea
	Indonesia (as per above response for 01-03)	boarding and inspection conducted on FVs encountered and portside boarding on
	Kiribati: As small island state with only one patrol boat to monitor three separated EEZ. Kiribati greatly need assistance from developed partners to assist in both aerial and surface surveillance coverage.	vessels calling into designated ports within the country.
	RMI is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts	Tonga participate at sea monitoring, control, surveillance and enforcement activities through bilateral arrangement with
	New Caledonia received no assistance in this category since 2017	territories in the Convention area. Tonga involved on surveillance patrol operation Ika Moana, Tu'imoana and Operation Kurukuru by provided Navy support Unit Voea Late, Voea Ngahau Siliva. In 2020, 30 surface patrol within our EEZ
Paras 12-18 support for the Domestic Fisheries Sector and Tuna-fisheries related	FSM: PNA market related initiatives - MSC, VDS,CDS,EM/ER,PSM	Fiji: Fiji amended its regulation to extend the license tenure from 3 to 20 years to support industry. Fiji also removed
	French Polynesia: FP is a developing territory.	provisioning and bunkering fees.
	Indonesia (as per above response for 01-03) RMI is a SIDS with limited capacity and we expect continued cooperation and assistance from non-SIDS CCMs in our ongoing capacity building needs and efforts	Kiribati appreciates the assistance and support provided by development partners towards domestic fisheries related projects.
businesses and market access	New Caledonia received no assistance in this category since 2017	Tonga Implement Fuel and Fishing Gear concession scheme