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# Overview of the WCPFC Harvest Strategy Approach

WCPFC SMD01 – 2<sup>nd</sup> Capacity Building Seminar  
7<sup>th</sup> July 2022

# Harvest Strategies



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A formalised and pre-agreed framework for guiding decisions on the management of a fishery.

*“Agreeing to the rules before playing the game”*

designed to shift from short-term reactive decision making to a longer-term proactive approach to achieve defined management objectives.

# Harvest Strategy Components



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## Management Objectives

- What do you want from your fishery ?

## Performance Indicators

- Quantifiable metrics that tell you how well you are achieving your objectives.

## Management Procedures

- Pre-agreed rules to manage the fishery to achieve the objectives (includes the harvest control rule HCR)

## Management Strategy Evaluation

- Simulation testing of management procedures to select the "best performing"
- Allows to explore trade-offs

## Monitoring Strategy

- Is the selected management procedure performing as expected?
- How do we know if it's not working?

# Management Procedures

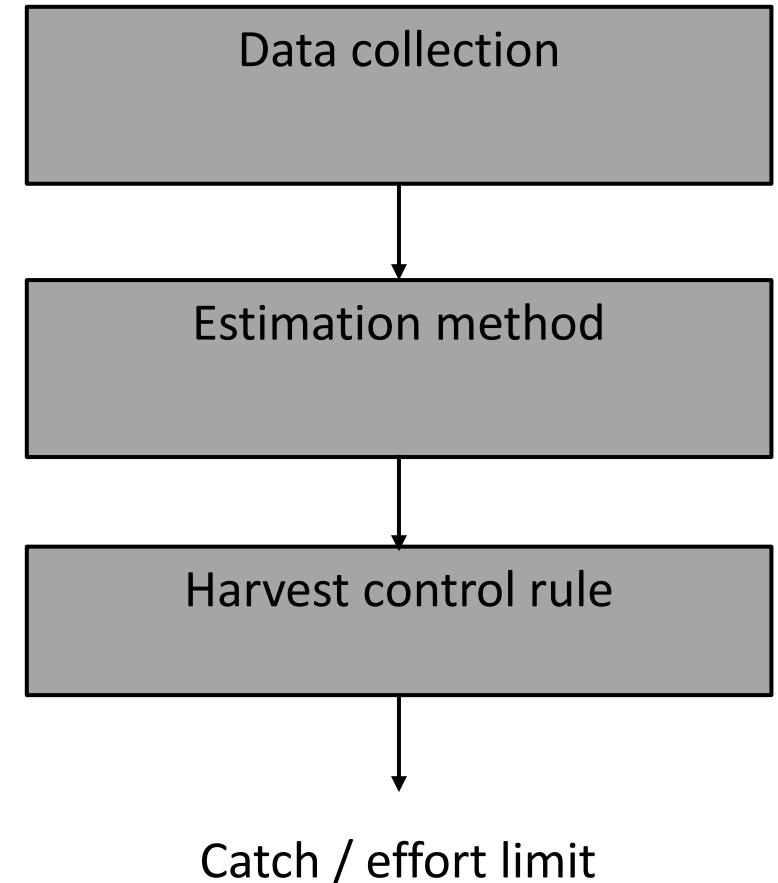


A pre-agreed and tested procedure that determines the management action for a fishery given the status of the resource.

Three components

1. Data collection (log books, observer, tagging, catch statistics etc).
2. Estimation method to provide an estimate of stock status
3. Harvest control rule (HCR) to set fishing opportunities

All three components are agreed together as a package



# Question areas from 1<sup>st</sup> seminar



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## Management objectives

How do we make progress with harvest strategies if we don't have agreed management objectives?

Need to see results in order to make decisions

## Management Procedures

How can a single model with fixed settings perform better than the most recent stock assessment?

## Monitoring strategy

Using the latest stock assessment to monitor the framework

# Management Objectives



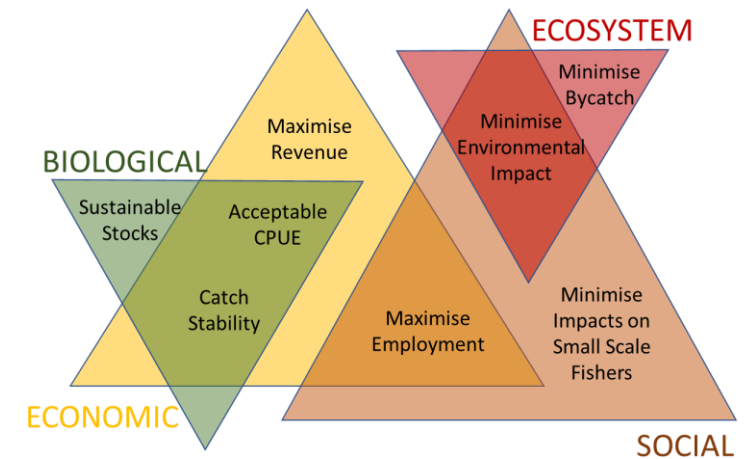
How can we make progress with harvest strategies if we don't have agreed management objectives?

Performance indicators provide information on the relative performance of each MP and where trade-offs might occur.

An agreed TRP would implicitly make prioritisations and trade-offs between management objectives.

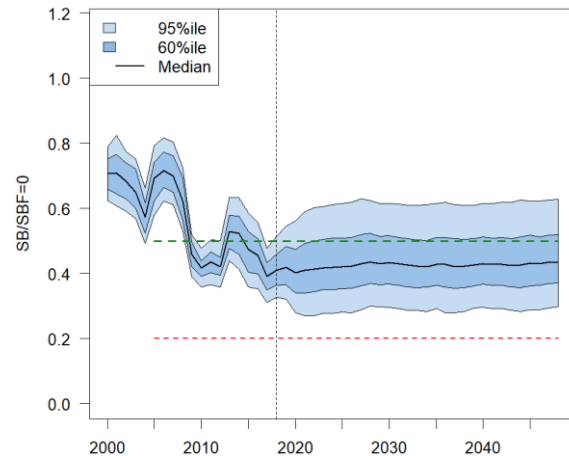
If a TRP was agreed there would be less focus on other performance indicators, although alternative MPs may still show trade-offs.

In the absence of an agreed TRP - results can inform TRP selection.



Short-term is: 2022-2030; medium-term is: 2031-2039 and long-term is: 2040-2048.  
Note that PIs 4 and 7 are for the purse seines in model areas 2, 3 and 5 only (excluding the associated purse seines in area 5.)

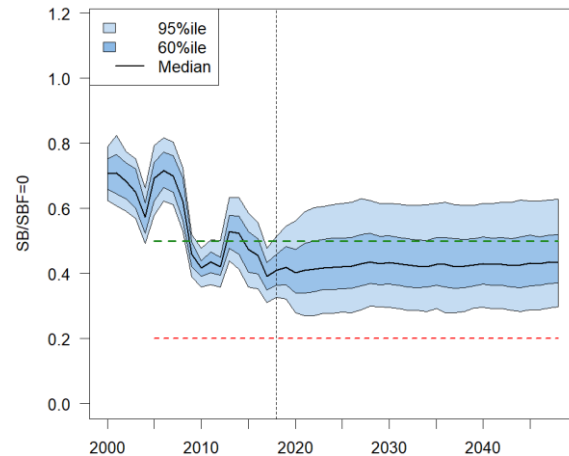
## Why does the Management Procedure use a single model with fixed settings?



### Current approach

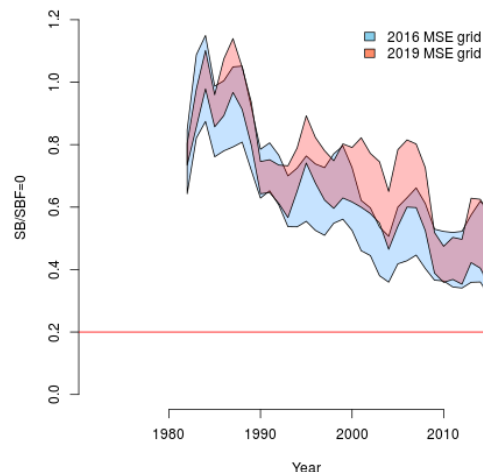
- Run stock assessment (and projections) across a grid of alternative settings
- Management determined from the average of the results
- **The grid changes with each new assessment (settings, weightings, spatial scale)**
- **The average values will change with each new assessment.**

## Why does the Management Procedure use a single model with fixed settings?



### Current approach

- Run stock assessment (and projections) across a grid of alternative settings.
- Management determined from the average of the results.
- **The grid changes with each new assessment (settings, weightings, spatial scale).**
- **The average values will change with each new assessment.**



### Harvest Strategy approach

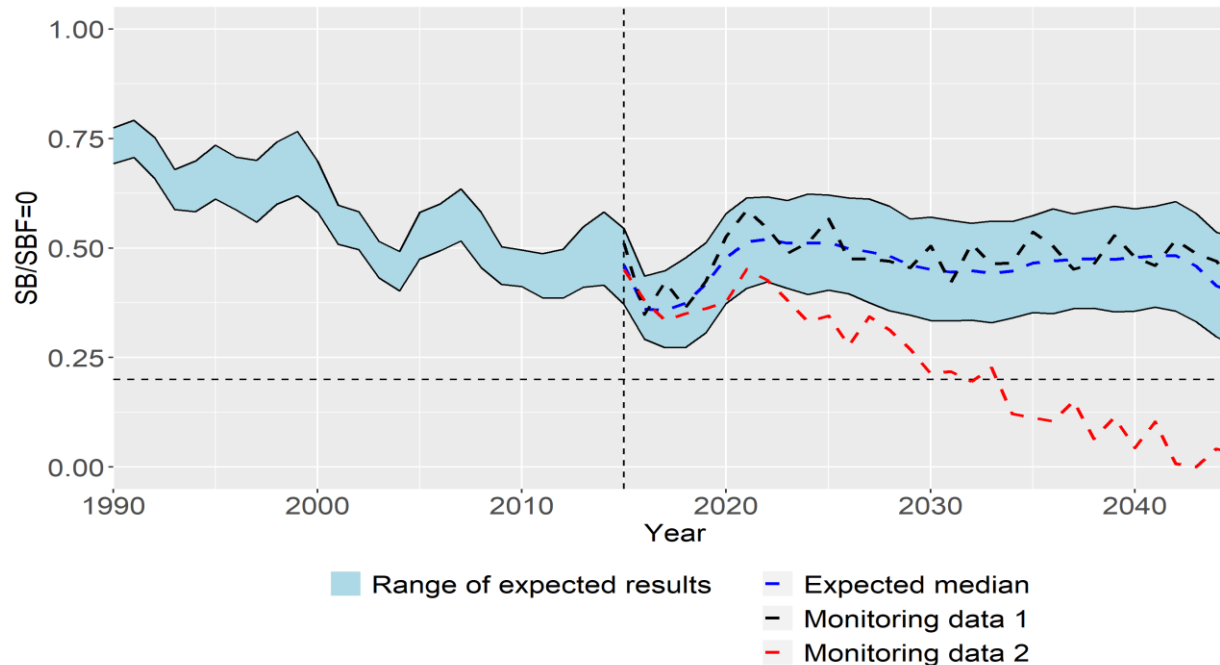
- Using a similar grid of model settings
- Test the management procedure across the grid of settings (operating models).
- Range of uncertainty should encapsulate new assessment results.
- Select the MP that performs best relative to the others.
- **Allows the management procedure to be tested beforehand.**
- **Maintains consistency and transparency in management.**



# Monitoring Strategy



What role does the most recent stock assessment play in the monitoring strategy?



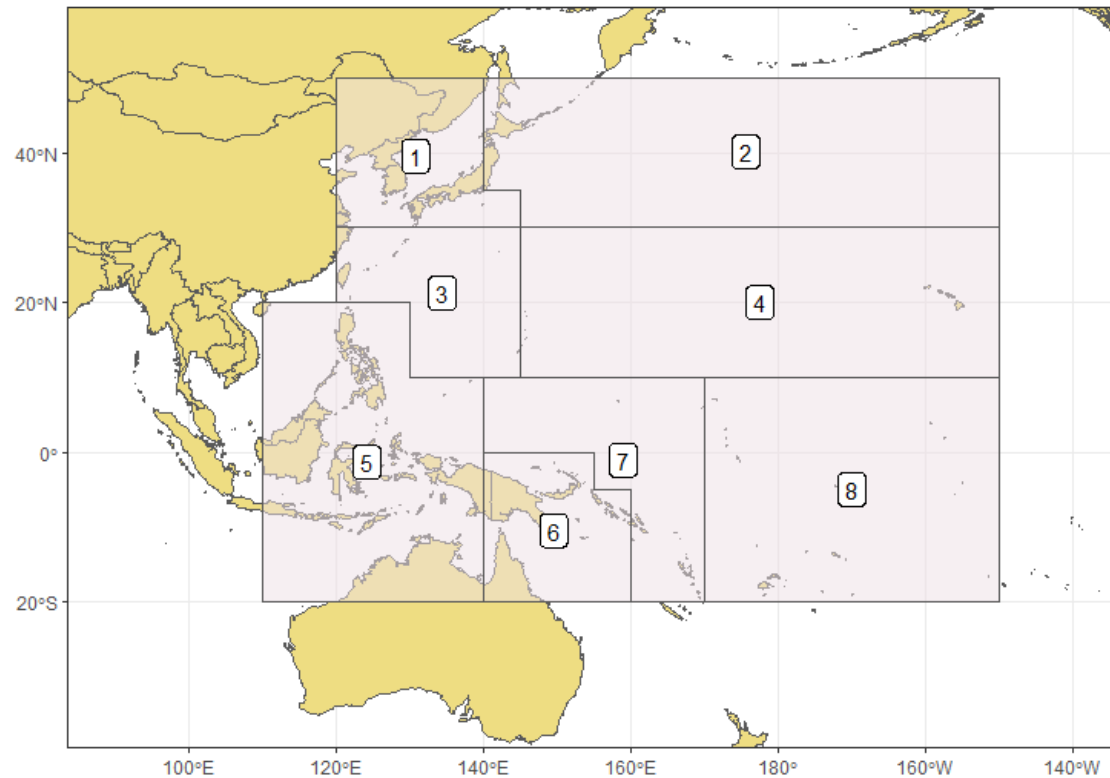
Stock assessment is one of the key pieces of information for the monitoring strategy

- Is the status of the stock within acceptable levels ?
- Is the range of uncertainty considered in the OM grid still appropriate ?
- Should any new ranges of uncertainty be considered ?
- Are we experiencing exceptional circumstances ?
- Ideally, new data should reduce the range of uncertainty rather than increase it.

# Skipjack Modelling Framework



## SC18 to agree the operating models for MSE



### Operating Models

- Grid of alternative model assumptions
  - Growth
  - Recruitment
  - etc.
- Grid should cover the range of uncertainty in the fishery and the stock
- Must also consider uncertainty in future conditions
  - Catch and effort reporting
  - Recruitment
  - Effort creep
  - etc.

# Skipjack MSE uncertainty grid



| Axis                    | Levels    | Options       |           |      |
|-------------------------|-----------|---------------|-----------|------|
|                         | Reference | 0             | 1         | 2    |
| Recruitment variability | 2         | 1982-2018     | 2005-2018 |      |
| Catch & effort          | 1         | 20%           |           |      |
| Size composition        | 1         | Estimated ESS |           |      |
| Tag recaptures          | 1         | Status quo    |           |      |
| Steepness               | 3         | 0.8           | 0.65      | 0.95 |
| Mixing period           | 2         | 1 qtr         | 2 qtr     |      |
| Growth                  | 2         |               | Low       | High |
| Movement                | 1         | Estimated     |           |      |
| Hyper-stability in CPUE | 2         | 0             | -0.5      |      |
| Effort creep            | 2         | 0%            | 2%        |      |



96 scenarios  
10 iterations each scenario  
960 evaluations for each HCR

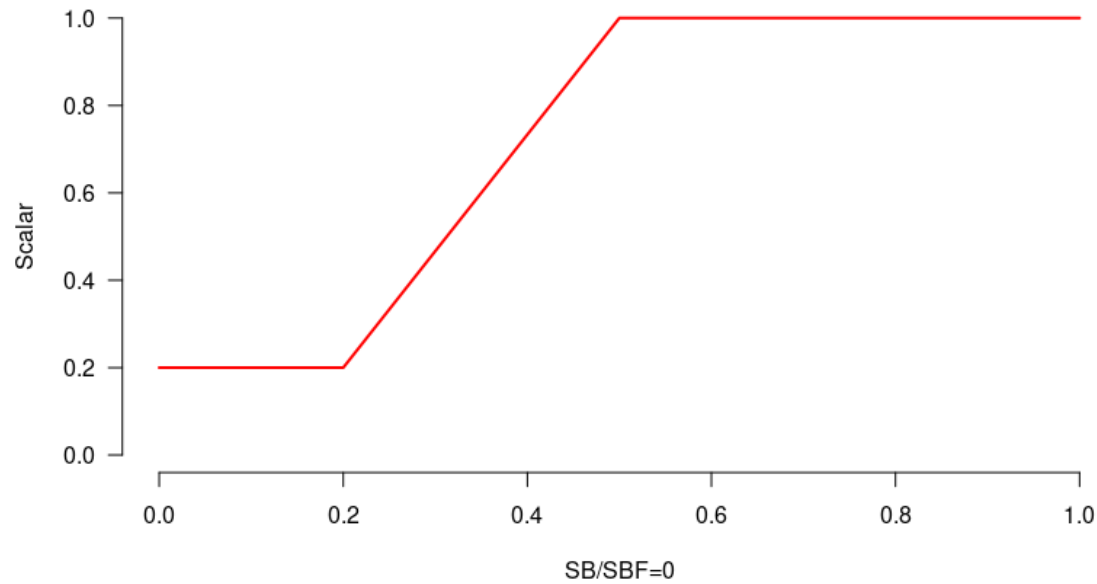
Data collection

Model uncertainty

# Management Procedures



For skipjack: the candidate management procedures differ only in the HCR



Basis of the management procedure:

- 3 year management period
- All fisheries subject to the management procedure (except archipelagic waters).
- Purse seine controlled by effort; other fisheries controlled by catch
- A scalar of 1.0 = 2012 levels

# Skipjack MSE – HCRs

First projection year            2019  
 First year MP run                2022  
 First time HCR applies        2023

Interim period (2019-2022)    average 2016-18

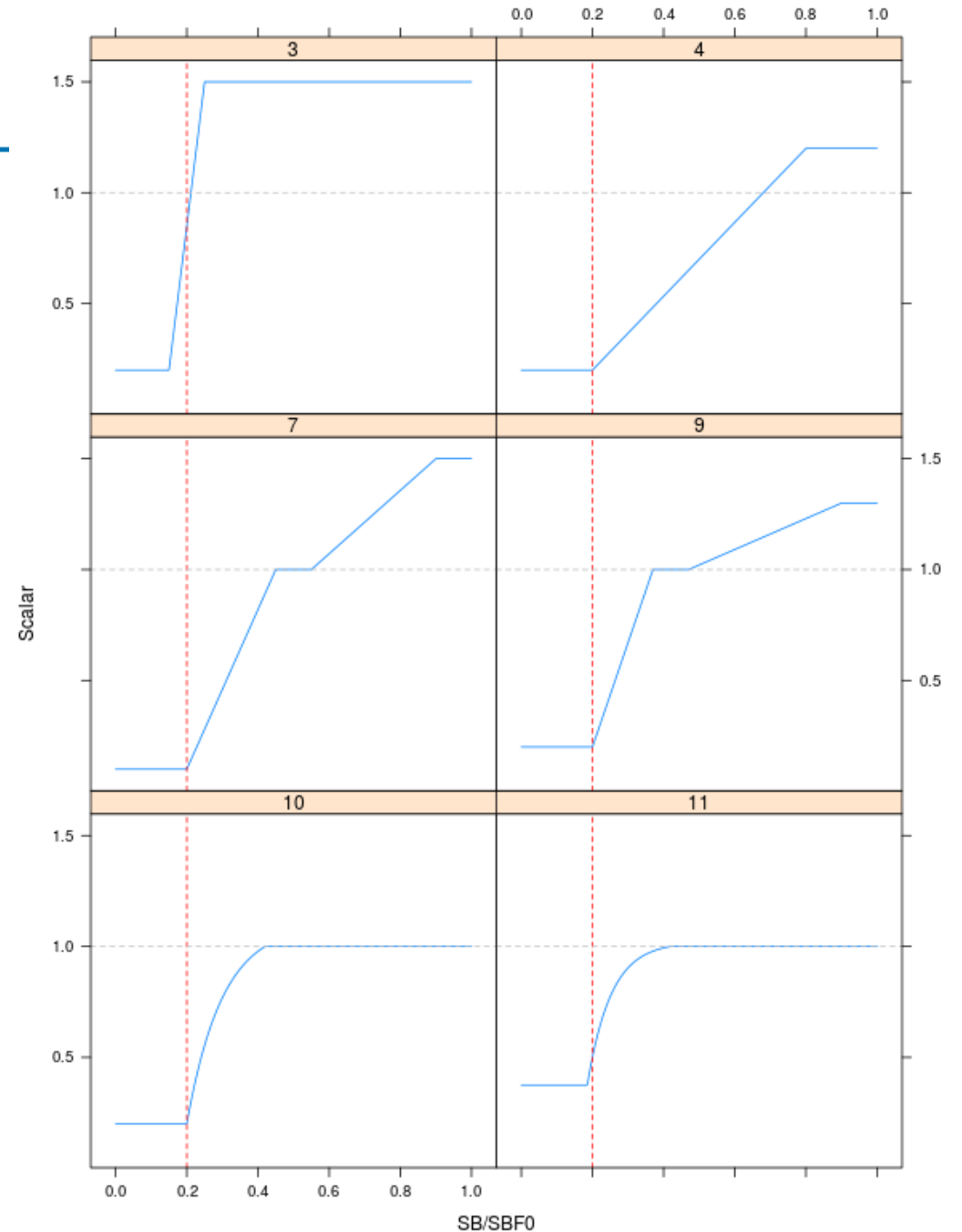
Projection period                30 years  
 Management period              3 years

TRP                                 $SB/SB_{F=0}$  (2012)

Reference catch/effort year    **2012**

HCR fisheries                    **All**  
                                         purse seine            **effort**  
                                         non-purse seine    **catch**

Archipelagic waters            **fixed at 2012**

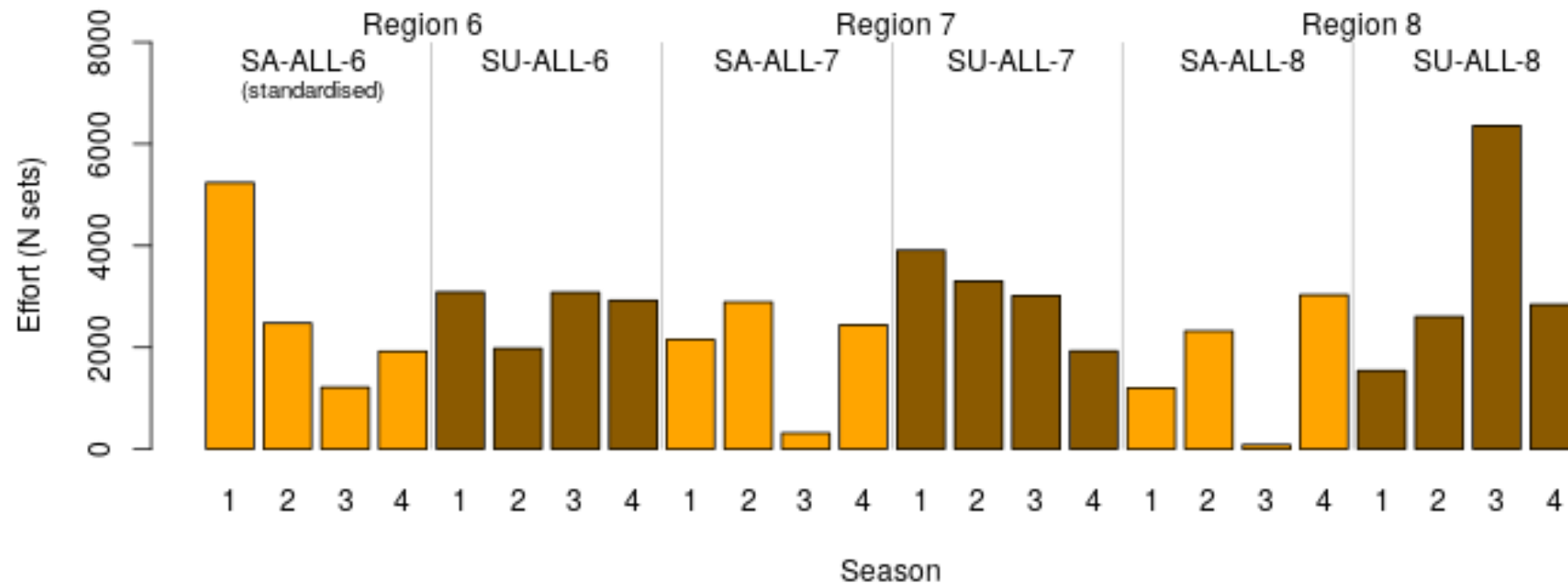


# Skipjack MSE – Assumptions of the evaluations



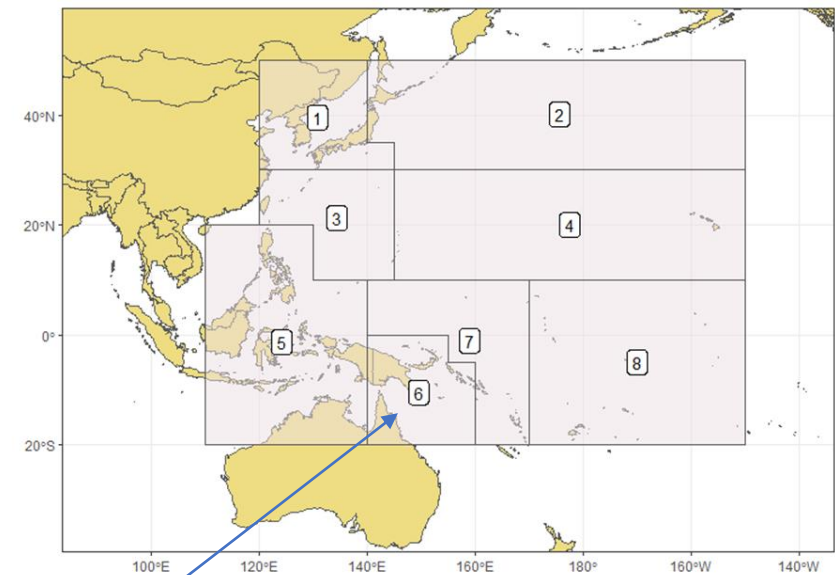
Seasonal pattern of tropical purse seine effort during the reference year : 2012

Conditions consistent with 3 month FAD closure



# Assumptions for archipelagic waters PNG and SB

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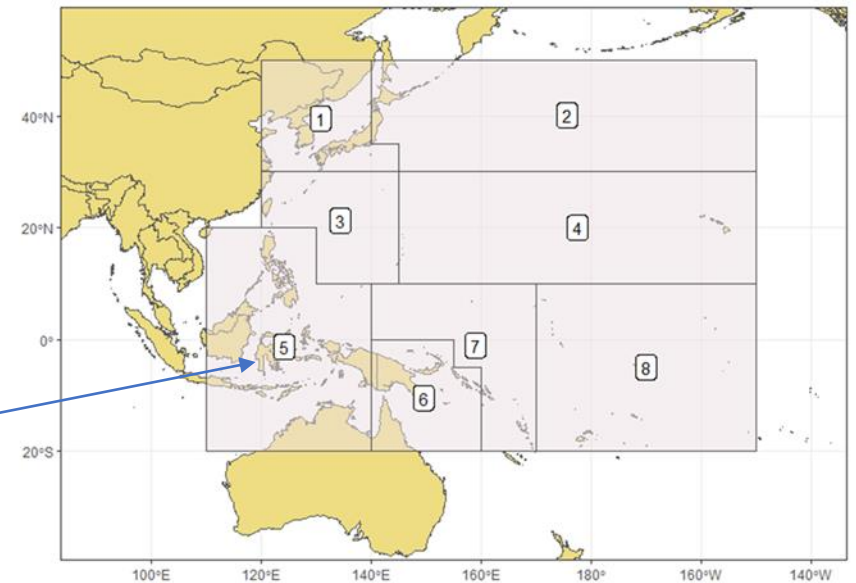


## PNG & SB Archipelagic waters

- Adjusts the effort scaler to be applied to purse seine fisheries in **region 6**
- **Archipelagic waters effort remains fixed at 2012 levels**, the effort outside of archipelagic waters is adjusted according to the harvest control rule (HCR).
- Both free school and associated effort combined (SA-ALL-6, SU-ALL-6)
- **Assumes that purse seine fishing effort inside archipelagic waters continues at 2012 levels.**
- Note: Pole and line fishing in region 6 is controlled on catch (operates outside archipelagic waters)

# Assumptions for archipelagic waters ID and PH

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## ID & PH Archipelagic waters (region 5)

- Adjusts the fishing scalar to be applied to fisheries in region 5
  - All catches from the ID domestic fishery (fishery 11), controlled by catch
  - Assume 50% of effort from the combined ID-PH purse seine fishery (fishery 12) are archipelagic set at 2012, the offshore ID-PH fishery has the HCR applied and controlled by effort
  - Assume 50% of catches from the pole and line fishery (fishery 13) are archipelagic, set at 2012 levels – the offshore pole and line has the HCR applied and controlled by catch

(Based on Hoshino et al. (2020))

- **Main point:** assumes that pole and line and purse seine fishing inside archipelagic waters continues at 2012 levels.





## Skipjack MSE – Performance Indicators

|                          |                                                                                                               |
|--------------------------|---------------------------------------------------------------------------------------------------------------|
| Performance Indicator 1: | Maintain SKJ, YFT, BET biomass at or above levels that provide fishery sustainability throughout their range. |
| Performance Indicator 3: | Maximise economic yield from the fishery (average expected catch).                                            |
| Performance Indicator 4: | Maintain acceptable CPUE.                                                                                     |
| Performance Indicator 6: | Catch stability.                                                                                              |
| Performance Indicator 7: | Stability and continuity of market supply (effort variation relative to a reference period).                  |
| Performance Indicator 8: | Stability and continuity of market supply (probability of and deviation from $SB/SB_{F=0}$ in 2012).          |

Performance Indicators 5, 9, 10 & 11 continue to be developed



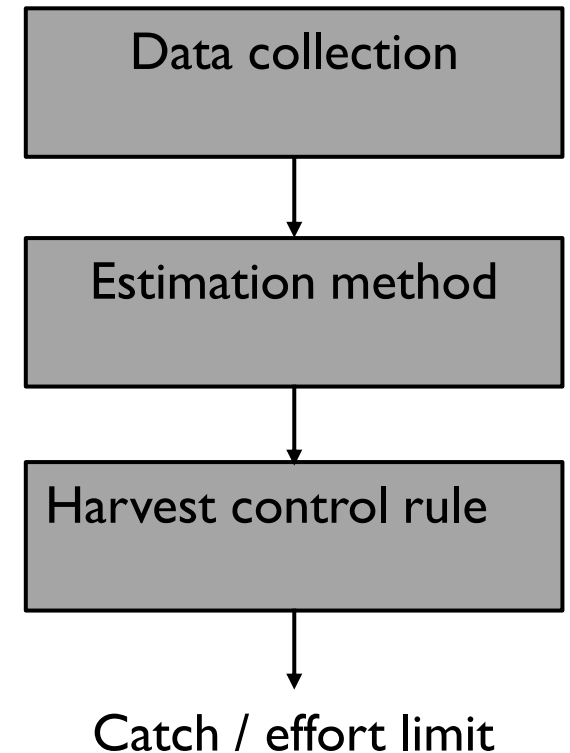
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# Selecting a management procedure

# MANAGEMENT PROCEDURES



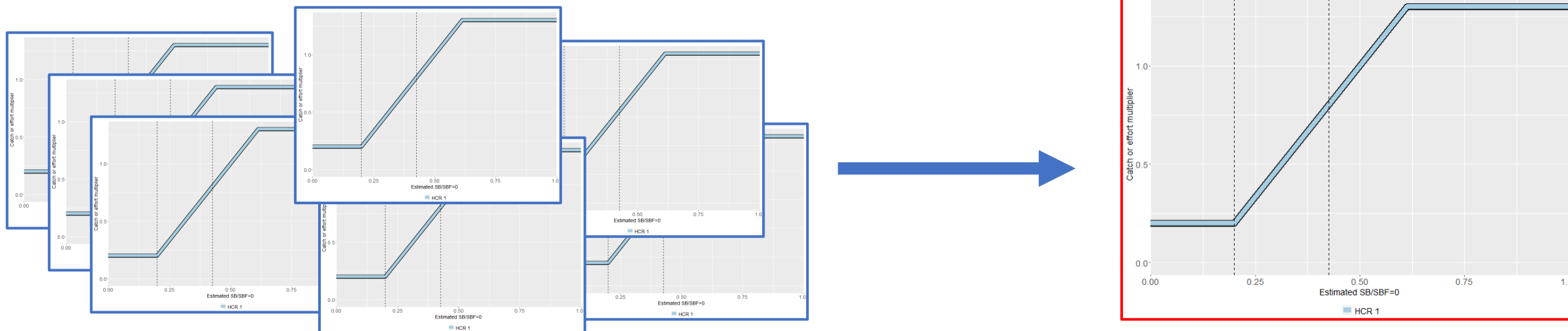
- Three parts of a **management procedure**:
- *Data collection* (monitoring data)
  - E.g. catch statistics, tagging data, etc.
- *Estimation method* provides estimate of stock status
  - E.g. stock assessment, catch rate analysis, etc
- *Harvest control rule (HCR)* sets fishing opportunities.
- All three parts are agreed together



# HOW DO WE KNOW WHAT MP TO USE?



- Assemble a lot of ‘candidate’ MPs
- Test them using computer simulation (Management Strategy Evaluation)
- Measure the performance of each MP using **Performance Indicators**
- Remember that Performance Indicators relate to your **Objectives**
- Compare the Performance Indicators and choose your MP



# SKIPJACK PERFORMANCE INDICATORS

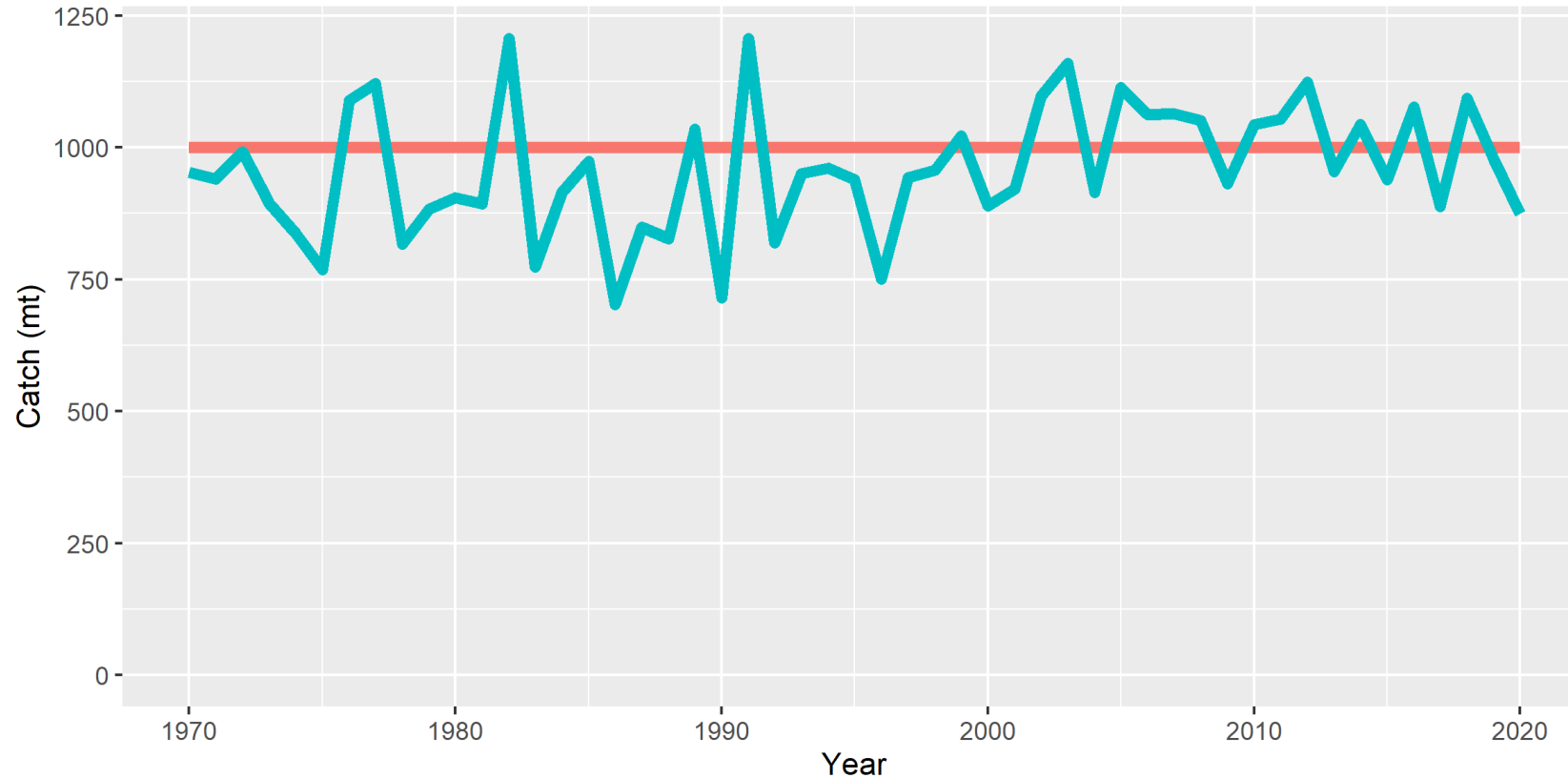


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| Indicator                                    | Notes                                                                                                                                                          |
|----------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Probability of SB/SBF=0 > LRP                | Should be above 0.8.                                                                                                                                           |
| Expected catch                               | Relative to average catch in 2013-2015.<br>Presented for different fishery groupings and model areas.                                                          |
| Catch Per Unit of Effort (CPUE) – catch rate | Relative to CPUE in 2012.<br>For purse seines in model areas 6, 7 and 8 only.                                                                                  |
| Catch stability                              | Scaled between 0 and 1. 1 is stable (never changes), 0 is very unstable (relative to the other MPs).                                                           |
| Effort stability                             | Scaled between 0 and 1. 1 is stable (never changes), 0 is very unstable (relative to the other MPs).                                                           |
| Proximity to SB/SBF=0 in 2012                | Measures how close SB/SBF=0 is to the 2012 level on average.<br>Scaled between 0 and 1. 1 is exactly at 2012 levels. 0 is as far from 2012 levels as possible. |
| SB/SBF=0                                     |                                                                                                                                                                |

- Generally, the higher the value the better, depending on your objectives



# CATCH STABILITY



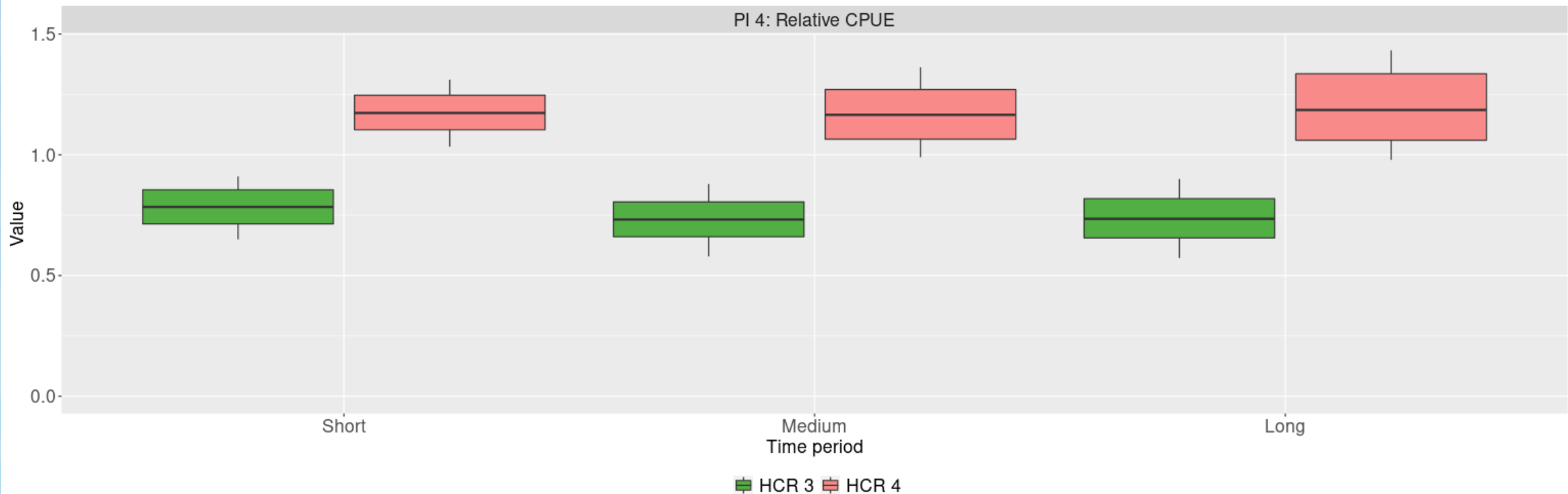
Stability ranges between 0 and 1

Stability of 1 means that it never changes in time

Lower stability means it changes a lot in time

Set  High stability  Low stability

# TIME PERIODS AND UNCERTAINTY



- Each indicator is reported over three time-periods:
  - Short-term: 2022-2030
  - Medium-term: 2031-2039
  - Long-term: 2040-2048
- Each indicator has a range of values – uncertainty.
- The bigger the range, the less certain we are about the value.

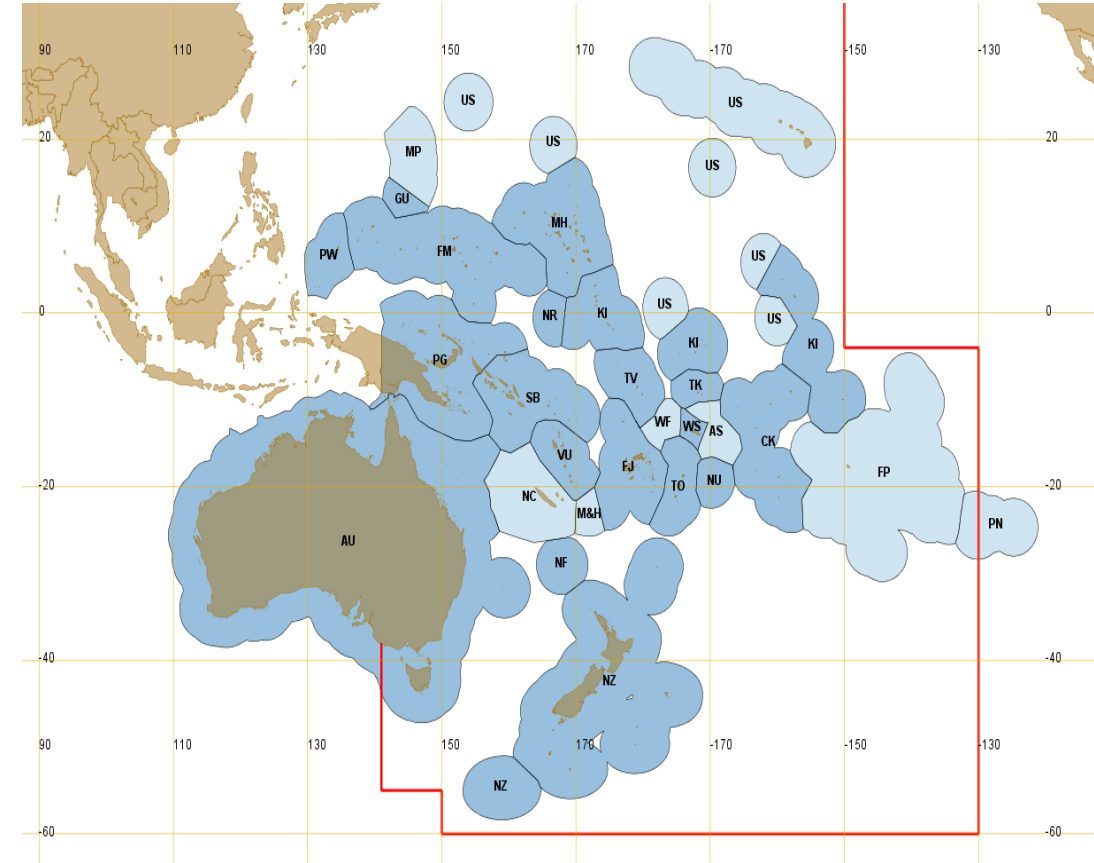
# OBJECTIVES AND HARVEST STRATEGIES



- WCPFC harvest strategy is at the regional scale
- Current assumption all fisheries are equally affected by the same proportional change in effort or catch

*“Sets size of the pie, not how that pie is divided”*

- How can your national / sub-regional objectives be achieved through a harvest strategy?
- Which performance indicators to focus on?
- What needs to happen at the large regional scale for you to achieve your objectives?
- Can the harvest strategy create an environment in which they can be achieved?






# PIMPLE



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<https://ofp-sam.shinyapps.io/pimple2022/>

Performance Indicators and Management Procedures Explorer Introduction Compare performance Explore indicators Management procedures About

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## Performance Indicators and Management Procedures Explorer (PIMPLE)

PIMPLE reference sheets are available (right-click to open in a new tab or save-as):

- Performance indicators for skipjack
- PIMPLE reference

### What is PIMPLE?

PIMPLE is a tool for exploring and comparing the performance of alternative candidate Management Procedures (MPs).

A Management Procedure has three components:

1. Data collection
2. Estimation method (stock assessment model)
3. Harvest Control Rule (HCR)

For the current set of results, the data collection and estimation method (a stock assessment model) are the same for each MP, and only the HCRs are different. This means that we are comparing the performance of HCRs with the aim of selecting one that is most likely to meet your management objectives.

The performance of each HCR is measured using different performance indicators (PIs). PIMPLE can be used to explore and compare the PIs in a number of ways, using different plots and tables. This allows trade-offs between the different HCRs to be evaluated.

The focus should be on the *relative* performance of the different MPs, e.g. MP X results in higher catches than MP Y.

### How to use PIMPLE

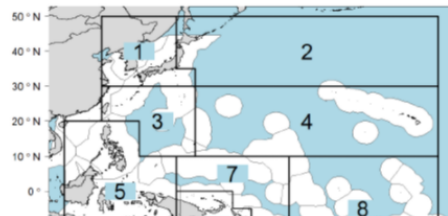
The main purpose of PIMPLE is to compare the relative performance of HCRs. This is most easily done using the **Compare performance** tab at the top of the screen. This tab allows you to view the indicators using different plot types (see below).

To aid investigation it is possible to select the indicators that are of most interest, and deselect those that are of less interest. Similarly, it is possible to select and deselect HCRs to focus attention on a smaller subset.

A more detailed investigation of the indicators can be found under the **Explore indicators** tab at the top. This allows you to see the indicators in more detail, for example viewing the catch based indicators by model area.

### Model Areas

The model used for the evaluations has eight areas as seen here:



127.0.0.1:3207/#tab-6088-1

# PRACTICAL EXAMPLE I



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- Main objective: Maximise overall profit from the fishery
- What needs to happen to achieve this:
  - Lots of things...
  - Being at or close to the TRP on average should provide good economic performance.
- Look for HCRs that gets you close to the TRP on average (if there is a TRP...)
- Might need to look at other criteria too

# PRACTICAL EXAMPLE 2



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- Main objective: Develop onshore processing facilities
- What needs to happen to achieve this?:
  - High enough catches
  - Stable catches
- Look for HCRs that avoids large or frequent changes in catch (*good catch stability*), while ensuring enough catches (*good catches*).
- Is it more important to have high catches or high stability? – Possible trade-off

# MIXED FISHERIES



|             | Tropical longline | Southern longline | Northern longline | Pole and line | Purse seine | Other |
|-------------|-------------------|-------------------|-------------------|---------------|-------------|-------|
| SP Albacore | 0.14              | 0.82              | 0                 | 0             | 0           | 0.04  |
| Bigeye      | 0.33              | 0.05              | 0.08              | 0.03          | 0.41        | 0.10  |
| Skipjack    | 0                 | 0                 | 0                 | 0.09          | 0.80        | 0.11  |
| Yellowfin   | 0.09              | 0.03              | 0.01              | 0.04          | 0.63        | 0.20  |

Proportion of average catch by weight (2015-2017)

- Management measures aimed at one stock can have impact on other stocks;
- May not be appropriate to consider the management of individual stocks in isolation;
- Current approach: single stock MPs for SKJ, BET and BET;
- Work has been presented at SC and is still being developed.



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# Wrap up

# Science Management Dialogue



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## Key considerations for managers

- Procedure for selecting the 'best performing' management procedure
- Approach for implementing the agreed procedure
- Adoption of Target Reference Points (TRPs) that define desirable states of a stock and fishery
- Definition of fishery controls within the harvest strategy
- Input into candidate Harvest Control Rules (HCRs)
- Feedback on presentational approaches to support decision making
- Development of the monitoring strategy

# Contact us

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Science Management Dialogue: 19<sup>th</sup> and 22<sup>nd</sup> August 2022