



**COMMISSION
EIGHTEENTH REGULAR SESSION**
Electronic Meeting
1 – 7 December 2021

DRAFT CMS IWG WORKPLAN

**WCPFC18-2021-29
5 November 2021**

Paper prepared by the CMS IWG Chair

Purpose

1. The purpose of this paper is to present a draft workplan for the Compliance Monitoring Scheme Intersessional Working Group (CMS IWG) in 2022 and beyond, for consideration by WCPFC18. The draft workplan was prepared in response to the following TCC17 recommendation (TCC17 Summary Report, paragraph 112):

112. TCC17 noted the need for a clear pathway to progress the work of the CMS-IWG and tasked the CMS-IWG Chair (the TCC Vice Chair) to work with the leads of the work streams to provide advice to WCPFC18 on a workplan for the IWG for 2022.

2. The workplan was developed in consultation with the Secretariat and the respective leads on the development of a risk based assessment framework and the development of audit points. The objective of the workplan is to develop a clear timeline of the anticipated work of the CMS IWG in order to complete the tasks included in the CMS future work plan, as outlined in Section IX of CMM 2019-06.
3. The Secretariat has developed a dedicated webpage to support the organization and work of the CMS-IWG on the WCPFC website, available at https://www.wcpfc.int/cms-iwg_2020. All papers related to the work of the CMS-IWG, including those related to the elements of the workplan, will be made available on the CMS-IWG webpage.
4. Please submit any comments on the draft CMS IWG workplan to the CMS-IWG Chair at emily.crigler@noaa.gov (cc: the lead on the development of a risk based assessment framework heather.ward@mpi.govt.nz, the lead on the development of audit points rhea.moss@gmail.com, and Compliance Manager Lara.Manarangi-Trott@wcpfc.int).

CMS Future Work	2021	2022	2023
<p><i>Development of Risk-based Assessment Framework (RBAF)</i></p>	<p>Develop possible approach to a RBAF in consultation with members. (<i>Intersessional, TCC17</i>)</p> <p>Host a workshop (10 Nov 2021) to discuss proposed RBAF, risk rating of obligations and potential for RBAF to inform the development of the annual list of obligations for 2022. (<i>Intersessional</i>)</p> <p>If agreed, use RBAF as a trial to help inform the development of a draft priority list of obligations for assessment in 2022. (<i>WCPFC18</i>)</p>	<p>Consider the effectiveness of trial RBAF, if used to develop the 2022 list of obligations. (<i>TCC18</i>)</p> <p>Refine RBAF as necessary. Seek agreement on risk ratings, use of RBAF to inform the development of the annual priority list of obligations, and future process to incorporate new obligations into risk rating in the future. (<i>Intersessional</i>)</p> <p>If agreed, use RBAF to help inform the development of a possible priority list of obligations for assessment in 2023. (<i>WCPFC19</i>)</p> <p>Adoption of RBAF and process to incorporate new obligations the RBAF in the future, taking into account the development of audit points. (<i>WCPFC19</i>)</p> <p>Modify CMS CMM as necessary to incorporate RBAF. (<i>WCPFC19</i>)</p> <p>[Work Completed]</p>	

CMS Future Work	2021	2022	2023
<p><i>Development of Audit Points</i></p>	<p>Agree to develop audit points for Reporting obligations on the adopted list of obligations for assessment in 2022, to be trialed at TCC18. (WCPFC18)</p> <p><i>(Intersessional work to begin following WCPFC18)</i></p>	<p>Develop Reporting obligation audit points for 2022 trial, to be completed before end of February 2022. <i>(Intersessional)</i></p> <p>Secretariat to reference draft Reporting obligation audit points for 2022 trial in preparation of the Annual Report Part 2 online system for CCMs, and when preparing the dCMR. <i>(Intersessional)</i></p> <p>Incorporate audit points for reporting obligations into review of the dCMR (trial). <i>(TCC18)</i></p> <p>Develop audit points for all remaining obligations. <i>(Intersessional)</i></p> <p>Agree to other categories of obligations for which audit points will be trialed at TCC19 in the adopted list of obligations for assessment in 2023. <i>(WCPFC19)</i></p>	<p>Develop audit points for 2023 trial, to be completed before end of February 2023. <i>(Intersessional)</i></p> <p>Secretariat to reference draft audit points for 2023 trial in preparation of the Annual Report Part 2 online system for CCMs, and when preparing the dCMR. <i>(Intersessional)</i></p> <p>Incorporate audit points into review of the dCMR (trial). <i>(TCC19)</i></p> <p>Make any necessary changes to audit points based on trial. <i>(Intersessional)</i></p> <p>Adoption of audit points and process to develop audit points for future CMMs. <i>(WCPFC20)</i></p> <p>[Work Completed]</p>

CMS Future Work	2021	2022	2023
<p><i>Facilitate improvements to the CCFS</i></p>	<p>Secretariat to commence delivery of WCPFC17 tasks to enhance CCFS. <i>(Intersessional)</i></p>	<p>Secretariat to complete delivery of WCPFC17 tasks to enhance CCFS. <i>(Intersessional)</i></p> <p>CCMs to trial CCFS messaging tool to track observer requests. <i>(Intersessional)</i></p> <p>Further develop process for the consideration of aggregated summaries of information drawn from the CCFS ahead of TCC18. <i>(Intersessional)</i></p> <p>Secretariat to implement refinements to aggregate summary tables to support the revised process for the consideration of aggregated summaries of information drawn from the CCFS <i>(Intersessional)</i></p> <p>[Work Completed]</p>	

CMS Future Work	2021	2022	2023
<p><i>Participation of Observers in CMR</i></p>	<p>Prepare a working paper to explore data included in the CMR as it relates to the WCPFC Rules for Protection, Access and Dissemination of Data Held by the Commission (TCC17 Recommendation).</p> <p><i>(Intersessional work to begin following WCPFC18)</i></p>	<p>Develop tentative approach to allow participation of Observers in the CMR. <i>(Intersessional)</i></p> <p>Agree to trial process for participation of Observers in the CMR. <i>(WCPFC19)</i></p>	<p>Admission of Observers during review of the dCMR (trial). <i>(TCC19)</i></p> <p>Make any necessary improvements to allow participation of Observers in the CMR. <i>(Intersessional)</i></p> <p>Adoption of process to allow participation of Observers in the CMR. <i>(WCPFC20)</i></p> <p>[Work Completed]</p>
<p><i>Development of Corrective Actions</i></p>	<p>Nominate lead for the development of corrective actions. <i>(WCPFC18)</i></p>	<p>Begin work on the development of corrective actions. <i>(Intersessional)</i></p>	<p>Incorporate trial corrective actions into review of the dCMR. <i>(TCC19)</i></p> <p>Make any necessary improvements and continue development of corrective actions based on trial. <i>(Intersessional)</i></p> <p>[Anticipate Completion of Work in 2024]</p>